

Set Theories through Ordinary Mathematics

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Contents

- 1 Idea
- 2 Toposes & Graphs
- 3 Algebra
- 4 Topology
- 5 References

- 1 Stipulate some basic mathematical object, O
- 2 Stipulate some believable(!) endogenous axioms, A , for O
- 3 Stipulate an interpretation for the primitive notions of set theory, \mathcal{I} , in the relevant language.
- 4 See which set theory the structure $\langle O, A, \mathcal{I} \rangle$ models

Philosophical motivation: a candidate foundation $\langle O, A, \mathcal{I} \rangle$ must preserve the direction of plausibility - not all theorems as axioms!

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- 4 Topology
- 5 References

Theorem (Mac Lane & Moerdijk [6] §10, Lawvere [5])

A well-pointed topos with a natural number object and choice models Bounded ZFC - Replacement.

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Definition

Well pointed topos is a category which:

- 1 has finite limits
- 2 is Cartesian closed (internalises homomorphisms)
- 3 has a subobject classifier (identifies characteristic functions)
- 4 1 is not initial (non-degenerate)
- 5 for $f, g : A \rightrightarrows B$, $f = g$ iff $fx = gx$ for every global element x of A (somewhat like extensionality).

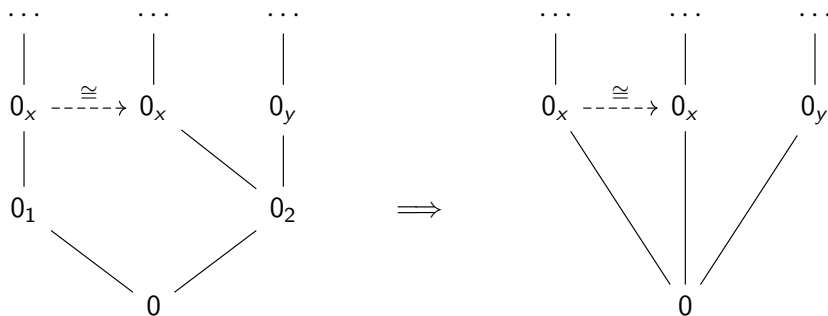
\mathcal{C} has Choice if every epi splits, i.e. if $e : X \rightarrow Y$ is epi, then there is a morphism $s : Y \rightarrow X$ such that $e \circ s = id_Y$.

Topos Model - Simulating the Graph Model

Theorem (Mac Lane & Moerdijk [6] §10, Lawvere [5])

A well-pointed topos with a natural number object and choice models Bounded ZFC - Replacement.

Union: adjoin the representatives 0_1 and 0_2 at a root using colimits, then colimits again to quotient



Topos Model - Infinity

Theorem (Mac Lane & Moerdijk [6] §10, Lawvere [5])

A well-pointed topos with a natural number object (NNO) and choice models Bounded ZFC - Replacement.

Definition (NNO)

A NNO on a topos \mathcal{E} is an object N of \mathcal{E} with arrows

$$1 \xrightarrow{0} N \xrightarrow{s} N$$

such that for any object X of \mathcal{E} with arrows x and f such that

$$1 \xrightarrow{x} X \xrightarrow{f} X$$

then there exists a unique $h : N \rightarrow N$ such that the following commute

Topos Model - Infinity

$$\begin{array}{ccccc} 1 & \xrightarrow{O} & N & \xrightarrow{s} & N \\ & \searrow x & \vdots !h & & \vdots !h \\ & & X & \xrightarrow{f} & X \end{array}$$

Category-wise for s is a successor function on N .

Claim

A well-pointed topos has independent motivation as a foundational category.

Topos Model - Parasitism?

Claim

A well-pointed topos has independent motivation as a foundational category.

Parasitical Claim

NNO and Choice have no independent motivation, besides modeling ω and the Axiom of Choice

So too for Replacement? The constraint on the category depends on “how much Replacement you want” ([7] 2.3.10).

Topos Model - Parasitism?

Does the parasitical claim hold up?

topos axiom	set axiom
NNO	Inf
Choice	AC
various replacement analogues	various strengths of Replacement

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Definition of a WPT

requirement	internalisation	set axiom
finite limits	products	Union (with Powers)
Cartesian closed	homomorphisms	-
subobject classifier	χ_f	Powers
1 is not initial	non-degeneracy	(Found?)
$f = g$ iff $\forall x$ global $fx = gx$	equality	Ext(+)

Topos Model

Parasitic or not, certain toposes can interpret standard set theories, Z , FinSet , ZC , etc.

Method: imitate the graph theoretic model and identify any “copies”.

Parasitic or not, certain toposes can interpret standard set theories, Z, FinSet, ZC, etc.

Method: imitate the graph theoretic model and identify any “copies”.

Question

*How about natural topos axioms to models strengthening of ZFC?
Reflection principles?*

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- 3 Algebra**
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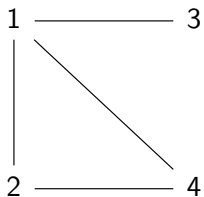
Question

Which basic algebraic entities and constructions are required for a model of a standard set theory?

Or for a substantial fragment of concrete mathematics

Encode a Graph

Natural approach: encode graphs again. E.g. G :



Adjacency matrix?

$$\begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 \end{bmatrix}$$

Encoding Finite Graphs

For this kind of representation, our foundation must include:

- 1 A collection, S , $|S| \geq 2$ (e.g. C_2)
- 2 The general theory of (2 dimensional) matrices on a collection S , $M^{\mathbf{On} \times \mathbf{On}}(S)$.

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(2.) *implausible for a natural axiom.*

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Problem

(2.) implausible for a natural axiom.

Problem

No clear way to 'connect' the matrix-representations of graphs (i.e. coproducts/sums).

Link '3' of one copy of G to '4' of another copy to make an 8×8 matrix?
More generally, we must define addition on arbitrary matrices in $M^{\mathbf{0n} \times \mathbf{0n}}(S)$. Unclear how to describe such an addition algebraically.

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Algebraic categories obviously have products.

They can have direct sums(/colimits), e.g. in \mathbf{GRP} , colimits are quotients of the free product by suitable congruences.

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Problem

No internal way to take direct sums(/colimits) of algebraic categories.

Using congruence and quotients relies on structure beyond the relevant algebraic theory.

Major restriction on expressiveness: set theory is closed under limits (\sim products) *and* colimits (\sim sums and unions). This seems an unavoidable problem of algebraic foundations.

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- 5 References

A diversion into Positive Set Theory

a topological intuition: the set of subsets of any set is a topology on that set

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- Some PSTs are okay for constructions, e.g. have ordinals ([3]:1.3)
- in all known models, the sets are classes closed under a topology (κ -compact κ -topological T_2 spaces homeomorphic to their own hyperspace)
- One PST, Topological Set Theory has axioms like
 - If $A \subseteq \mathbb{T}$ is nonempty, then $\bigcap A$ is \mathbb{T} -closed.
 - If a and b are \mathbb{T} -closed, then $a \cup b$ is \mathbb{T} -closed.

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 - If $A \subseteq \mathbb{T}$ is nonempty, then $\bigcap A$ is \mathbb{T} -closed.
 - If a and b are \mathbb{T} -closed, then $a \cup b$ is \mathbb{T} -closed.
- but a strange family: no singletons, universal set, non-well-founded, only positive separation!
- very strong: GPK_{ω}^+ has consistency strength proper class ordinal weakly compact [2]

Cheating topologically

Suppose $\mathbb{C} = \text{TOP}$. Let M be a set-model of set theory T .¹ Then discrete spaces $M \times M, 2 \in \mathbb{C}$, and there is a TOP-map

$$\in_M: M \times M \rightarrow 2$$

Encode \in -relation as $\in_M^{-1}(\{1\})$. Then $\langle M \times M, \in_M^{-1}(\{1\}), \mathcal{I} \rangle$ models T .

¹Let T be no stronger than e.g. NBG with Choice

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Problem

\in is non-constructive, showing only that there is a model for ZFC 'somewhere in' TOP. Relies on prior knowledge of TOP.

Instead, we stipulate some basic entities and constructions, and *build* a category which contains a model of ZFC.

¹Let T be no stronger than e.g. NBG with Choice

Cheating less badly

- 1 \mathcal{C} is closed under
 - sums
 - quotients
 - finite products
- 2 $\omega + 1 \in \mathcal{C}$
- 3 $\forall \kappa \in \text{Card}^{\geq \omega}, \exists X \in \mathcal{C}, \exists U \subseteq X$ open discrete subset with $|X| = \kappa$ which witnesses tightness κ exactly.

Theorem (Dow & Watson [1])

If 1., 2., and 3. hold, then $\mathcal{C} = \text{TOP}$.

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Theorem (Dow & Watson [1])

If 1., 2., and 3. hold, then $\mathcal{C} = \text{TOP}$.

Problem

This essentially requires an ambient (external) set theory, especially for quotients.

Candidate Topological Model: First Axioms

More constructively...

topological axiom	set structure
0 space	Emptyset
1 space	singleton
$0 \neq 1$	non-degeneracy (Found?)
finite limits	finite products
finite colimits	finite unions
<i>suitably full</i>	“correct” sums and products
Sierpiński space	open and closed sets
ω	ω (Inf)
(countable) powers + discretisation	large sets (up to \beth_ω)

Axiom

There is a 0 space in \mathcal{C} , i.e. $\exists 0 \in \mathcal{C}$, such that for any space $x \in \mathcal{C}$, there is a unique function $! : 0 \rightarrow x$.

Axiom

There is a 1-point space in \mathcal{C} , i.e. unique function $! : x \rightarrow 1$.

Axiom

$0 \neq 1$.

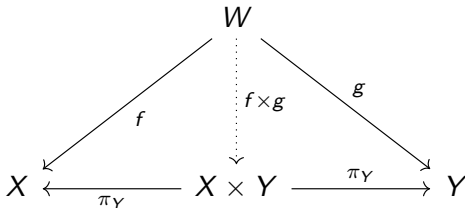
TOP has finite (co-)limits so this is reasonable. Note the binary product, $Z = X \times Y$:

$$\begin{array}{ccccc} & & W & & \\ & \swarrow f & \vdots f \times g & \searrow g & \\ X & \xleftarrow{\pi_X} & X \times Y & \xrightarrow{\pi_Y} & Y \end{array}$$

Axiom

\mathcal{C} has finite limits

TOP has finite (co-)limits so this is reasonable. Note the binary product, $Z = X \times Y$:



Axiom

\mathcal{C} has finite colimits.

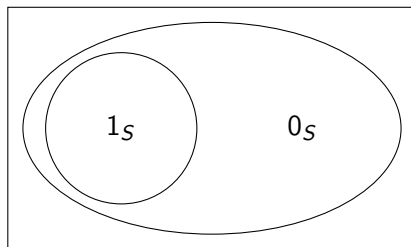
Proposition

If \mathcal{C} has enough morphisms, $2 \in \mathcal{C}$

Sierpiński Space, Opens

Axiom

The Sierpiński space is in \mathcal{C}



Proposition

If \mathcal{C} has a enough morphisms \mathcal{C} can interpret open sets, and closed sets internally.

Things get strange: Countable Powers

Countable products would be useful, e.g. for 2^ω .

Problem

Our logic is finitary, so no countable limits in the language.

Problem

How to express countable families?

Things get strange: Countable Powers

Axiom

Suppose X_n are (somehow!) indexed by ω . Then $\exists Z = \prod_{n \in \omega} X_n \in \mathcal{C}$ i.e. for countably many maps $f_i : W \rightarrow X_i$, there is a unique $(f_i)_\omega : W \rightarrow \prod X_i$ such that all(!) diagrams of this shape commute:

$$\begin{array}{ccc} W & & \\ \text{\scriptsize } (f_i)_\omega \downarrow & \searrow f_i & \\ \prod X_n & \xrightarrow{\pi_i} & X_i \end{array}$$

Corollary (Cantor set)

$$\bigcup_{i \in \omega} 2_{(i)} =: 2^\omega \in \mathcal{C}$$

topological axiom	set structure
indiscretisation	arbitrary subsets
discretisation	big sets, compare cardinalities
topological separation	Sep
hyperspaces	[subobject classifier]
G_δ	?
unit interval	?
Stone-Čech compactifications	?
$\beta\omega$?
internal function space	2^ω (from 1, ω , finite limits) ²
TOP congruences are \mathbb{C} congruences	quotienting (e.g. $[0, 1] = 2^\omega/R$)

²i.e. *without* countable products

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- 4 Topology
- 5 References**

Selection of References

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