

Two days of Radin forcing

Recap from previous class:

Radin forcing - Goals:

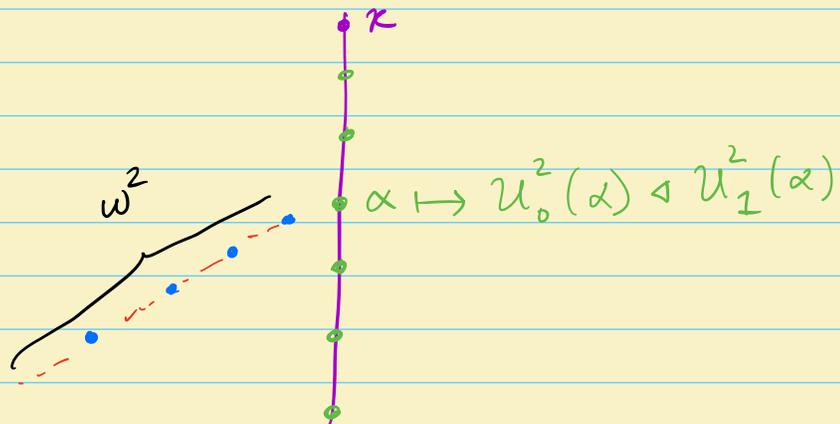
(1) Generalize Magidor's forcing.

(2) Shoot clubs consisting of former regulars while preserving large cardinals. \square

Motivating example (adding an ω^3 -sequence)

Assuming $\mathcal{U}_0 \triangleleft \mathcal{U}_1 \triangleleft \mathcal{U}_2$, Magidor's forcing

$\text{IM}(\mathcal{U}_0, \mathcal{U}_1, \mathcal{U}_2)$ yields the following:



The basic building blocks of the Radin generic are **measure sequences**, which generalize \triangleleft -increasing seq of measures \vec{u} .

Prior to defining measure sequences we need the notion of a **constructing embedding**:

Definition:

Let $j: V \rightarrow M$ be an elementary embedding with $\text{crit}(j) = \kappa$. Define

$$u^j = \langle u^j(\alpha) \mid \alpha < \ell(u^j) \rangle$$

as follows:

- $u^j(0) := \kappa$
- $u^j(\alpha) := \{ X \subseteq V_\kappa \mid u^j \upharpoonright \alpha \in j(X) \}$
- $\ell(u^j) := \min \{ \alpha \mid u^j \upharpoonright \alpha \notin M \}$.

Def: An elementary embedding $j: V \rightarrow M$ constructs u if there is $\alpha < \ell(u^j)$ st $u = u^j \upharpoonright \alpha$.

Example:

- $u^j(1)$ is essentially the normal measure on κ derived from j .

$$u^j(1) \ni \{ \langle \alpha \rangle \mid \alpha < \kappa \wedge \alpha \text{ inacc} \}$$

- $u^j(2) := \{ X \subseteq V_\kappa \mid \langle \kappa, u^j(1) \rangle \in j(X) \}$

$$u^j(2) \ni \{ \langle \alpha, U \rangle \mid \alpha < \kappa \text{ meas} \wedge U \text{ meas on } V_\alpha \}$$

- $u^j(3) = \{ X \subseteq V_\kappa \mid \langle \kappa, u^j(1), u^j(2) \rangle \in j(X) \}$

$$u^j(3) \ni \{ \langle \alpha, U, V \rangle \mid \langle \alpha, U \rangle \text{ are as before} \}$$

$$\wedge V \ni \{ \langle \beta, W \rangle \mid \beta < \alpha \text{ meas} \wedge W \text{ meas on } V_\beta \}$$

- Forcing with $\mathbb{R}_{u^j \upharpoonright 2}$ tantamounts to forcing with Pnkry forcing. Forcing with $\mathbb{R}_{u^j \upharpoonright 3}$ has the same effect as forcing with Magidor's forcing " $\mathbb{M}(u^j(1), u^j(2))$ ".

Definition (Measure sequences) :

- $\mathcal{U}_0 = \{u \mid \exists E \text{ extender } (j_E \text{ constructs } u)\}$
- $\mathcal{U}_{n+1} := \{u \in \mathcal{U}_n \mid \forall \alpha \in (0, \ell(u)) \quad \mathcal{U}_n \cap \bigvee_{\kappa_u} \in \mathcal{U}(\alpha)\}$
 $\kappa_u := u(0)$
- $\mathcal{U}_\infty = \bigcap_{n \leq \omega} \mathcal{U}_n$

The collection of measure sequences is \mathcal{U}_∞ . \square

Note:

- Conditions in Radin forcing will mention members of \mathcal{U}_∞ rather than \mathcal{U}_0
- The reason for this is securing the "fractal-like" architecture of Radin forcing. The key observation is:
(*) If $u \in \mathcal{U}_\infty$ and $\alpha \in (0, \ell(u))$ then

$$\mathcal{U}_\infty \cap \bigvee_{\kappa_u} \in \mathcal{U}(\alpha).$$



Because of this observation it makes sense to define Radin for ν etc.

Definition: Given $u \in \mathcal{U}_\infty$ we denote by $\mathcal{F}(u)$ the associated filter. That is,

$$\mathcal{F}(u) := \begin{cases} \{\emptyset\} & \text{if } \ell(u) = 1. \\ \bigcap_{1 \leq \alpha < \ell(u)} u(\alpha) & \text{if } \ell(u) \geq 2. \end{cases}$$

$u = \langle \kappa u \rangle$. \square

Lemma (Woodin):

Suppose that $j: V \rightarrow M$ is an elementary embed. with $\text{cp}(j) = \kappa$ and $V_{\kappa+2} \subseteq M$. Then

(1) $\ell(u^j) \geq (2^\kappa)^+$

(2) $u^j \upharpoonright \alpha \in \mathcal{U}_\infty$ for all $\alpha < \ell(u^j)$. \square

Definition (Radin forcing):

Let $u \in \mathcal{U}_\infty$ with $\ell(u) \geq 2$.

The poset \mathbb{R}_u has as conditions sequences

$$p = \langle (u_0, A_0), \dots, (u_i, A_i), \dots, (u, A_n^p) \rangle$$

stem of p.
 $\ell(p)$

where:

(1) For each $i < n^p$, $u_i \in \mathcal{U}_\infty$ and $(u_i, A_i) \in \bigvee_{\kappa_{u_{i+1}}} \kappa_{u_i}$

(2) For each $i < n^p$:

- $A_i \subseteq \{v \in \mathcal{U}_\infty \mid \kappa_v > \kappa_{u_{i-1}}\}$

- $A_i \in \mathcal{F}(u_i)$.

Given $p, q \in \mathbb{R}_u$ we write $p \leq^* q$ if:

- $\ell(p) = \ell(q)$

- $u_i^p = u_i^q$ for all $i \leq \ell(p)$

- $A_i^p \subseteq A_i^q$

□

Definition (One-point extension):

Given $p = \langle (u_0, A_0), \dots, (u_i, A_i), \dots, (u, A_n) \rangle \in \mathbb{R}_u$

and $v \in A_i$ we define $p \dot{\rightarrow} \langle v \rangle$ as the sequence

$$p \dot{\rightarrow} \langle v \rangle := \langle (u_0, A_0), \dots, (u_{i-1}, A_{i-1}), (v, A_i \cap \bigvee_{\kappa_v}), (u_i, \{w \in A_i \mid \kappa_w > \kappa_v\}) \rangle$$

$(u_{i+1}, A_{i+1}) \dots, (u, A_n) \rangle$.

More generally, given $\vec{v} \in U_\infty^{<\omega}$ we define $p \approx \vec{v}$ by recursion in the obvious fashion. \square

Def: A condition $p \in R_u$ is pruned if for all $\vec{v} \in U_\infty^{<\omega}$, $p \approx \vec{v} \in R_u$.

Lemma: $\{p \in R_u \mid p \text{ is pruned}\}$ is \leq^* -dense. \square

Definition: Given $p, q \in R_u$ we write $p \leq q$ if there is $\vec{v} \in U_\infty^{<\omega}$ s.t. $p \leq^* q \approx \vec{v}$.

Example: Suppose that $u \in U_\infty$ has $l(u) = 2$.

This means that $u = \langle \kappa, U \rangle$

Clearly, $\langle (u, \{\langle \alpha \rangle \mid \alpha < \kappa\}) \rangle \in R_u$.

$$\frac{\langle (u, \{\langle \alpha \rangle \mid \alpha < \kappa\}) \rangle}{p} \quad \overset{\Delta}{F(u)} = u(1) = U.$$

If we force with R_u/p we know that

every $q \in \mathbb{R}u/p$ is of the form

$$q \leq^* p \approx \vec{v}, \text{ for some } \vec{v} \in U_\infty^{\omega}.$$

For instance, suppose $q \leq^* p \approx \langle v \rangle$

- $v = \langle \alpha \rangle$
- $p \approx \langle v \rangle = \langle (\langle \alpha \rangle, \phi), (u, \{ \langle \beta \rangle \mid \beta \succ \alpha \}) \rangle$
- $q \leq^* p \approx \langle v \rangle$ is of the form

$$q = \langle (\langle \alpha \rangle, \phi), (u, A) \rangle.$$

In general,

$$q = \langle (\langle \alpha_0 \rangle, \phi), (\langle \alpha_1 \rangle, \phi), \dots, (\langle \alpha_{n-1} \rangle, \phi) \\ , (u, A) \rangle$$

This is Pinky forcing in disguise. □

The next lemma makes precise the "fractal-like" architecture of \mathbb{R}_u :

Lemma: Let $p \in \mathbb{R}_u$ and $i < l(p)$. Then

$$\mathbb{R}_u / p \cong \mathbb{R}_{u_i} / p \upharpoonright_{i+1} \times \mathbb{R}_u / \langle (k_{u_i}, \phi) \rangle \upharpoonright_{p \setminus i+1}$$

where:

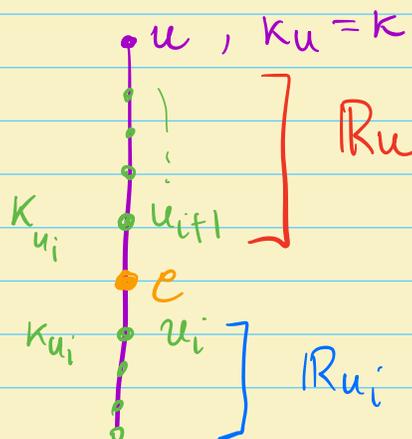
$$p \upharpoonright_{i+1} = \langle (u_0, A_0), \dots, (u_i, A_i) \rangle$$

$$p \setminus i+1 = \langle (u_{i+1}, A_{i+1}), \dots, (u_n, A_n) \rangle$$

as witnessed by the map:

$$q \leq p \mapsto \langle q \upharpoonright_{i+1}, \langle (k_{u_i}, \phi) \rangle \upharpoonright_{q \setminus i+1} \rangle.$$

□



Lemma: \mathbb{R}_u has the Prikry property. Namely,

for each $p \in \mathbb{R}_u$ and φ a sentence in the language of forcing there is $q \in^* p$ s.t

$q \Vdash \varphi$ or $q \Vdash \neg \varphi$. \square

The generic Radin object:

Define: Let $G \in \mathbb{R}_u$ be generic. Define:

$$MS_G = \left\{ \nu \in \mathcal{U}_\infty \mid \exists p \in G (\nu \text{ occurs in } p) \right\}.$$

The Radin sequence inferred from G is

$$\langle \nu_\alpha \mid \alpha < \kappa_G \rangle$$

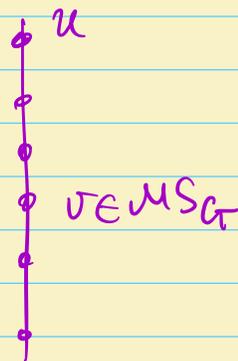
the increasing $(\nu < w \iff \kappa_\nu < \kappa_w)$ enumeration of MS_G .

The Radin club inferred from G is

$$C(G) = \langle \kappa_{\nu_\alpha} \mid \alpha < \kappa_G \rangle$$

\square

Remark: Given any $\alpha \in C(G)$ there is a unique $v \in MS_G$ s.t. $\alpha = \kappa v$.



Theorem: $V[G] = V[MS_G]$. (= $V[C(G)]$ in some situation)

Lemma: Let $G \in \mathbb{R}_u$ be generic. Then

$$C(G) = \langle \kappa v_\alpha \mid \alpha < \aleph_G \rangle$$

is a club subset of κ_u .

Proof: Set $C := C(G)$ and $\kappa := \kappa_u$

Unbounded: Let $\alpha < \kappa$ and look at

$$D_\alpha = \{ p \in \mathbb{R}_u \mid \exists v \in U_\infty (v \text{ occurs in } p \wedge \kappa v > \alpha) \}.$$

If D_α is dense then $D_\alpha \cap G \neq \emptyset$ and the

there is $v \in MS_G$ s.t. $\kappa v > \alpha$.

D_α is dense: Let $p \in \mathbb{R}_\alpha$

$$p = \langle (u_0, A_0), \dots, (u_i, A_i), \dots, (u, A) \rangle$$

where $i \leq \ell(p)$ is the first index with $\alpha \leq \kappa_{u_i}$.

Define

$$B = \{v \in A_i \mid \kappa_v > \alpha\} \in \mathcal{F}(u_i)$$

and look at $p^* = \langle (u_0, A_0), \dots, (u_i, B), \dots \rangle$

Then $p^* \smallfrown \langle v \rangle = \langle (u_0, A_0), \dots, (v, B \cap V_{\kappa_v}), (u_i, B^*), \dots \rangle$ and $p^* \smallfrown \langle v \rangle \in D_\alpha$.

Clubness: Suppose that $\alpha < \kappa$ s.t. $\alpha \notin \dot{C}$.

We show that $C \cap \alpha$ is bounded in α .

Let $p \in G$, $p \Vdash \alpha \notin \dot{C}$ and $i \leq \ell(p)$

$\kappa_{u_i}^p \leq \alpha < \kappa_{u_{i+1}}^p$. Note that

$\kappa_{u_i}^p \leq \alpha < \kappa_{u_{i+1}}^p$ (because $p \Vdash \alpha \notin \dot{C}$).

Then, $p = s \cap (\langle \kappa_{u_{i+1}}^p \rangle, \phi) \sim s_1$.

Therefore $p \Vdash \sup (C \cap \alpha) = \kappa_{u_i}^p < \alpha$. \square

\uparrow
 G

