

# The Open Dihypergraph Dichotomy for Definable Subsets of Generalized Baire Spaces

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joint work with Philipp Schlicht

Hamburg Set Theory Workshop 2020

# The open graph dichotomy for subsets of ${}^\kappa\kappa$

Let  $\kappa$  be an infinite cardinal such that  $\kappa^{<\kappa} = \kappa$ .

Let  $X \subseteq {}^\kappa\kappa$ . A graph  $G$  on  $X$  is an **open graph** if it is an open subset of  $X \times X$ .

## $\text{OGD}_\kappa(X)$

If  $G$  is an open graph on  $X$ , then either

- $G$  has a  **$\kappa$ -coloring** (i.e.,  $X$  is the union of  $\kappa$  many  $G$ -independent sets),
- or  $G$  includes a  **$\kappa$ -perfect complete subgraph** (i.e., there is a continuous injection  $f : {}^\kappa 2 \rightarrow X$  such that  $(f(x), f(y)) \in G$  for all distinct  $x, y \in {}^\kappa 2$ .)

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Theorem (Feng)

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$X$  is definable from an element of  ${}^{\omega}\text{Ord}$  if

$$X = \{x : \varphi(x, a)\}$$

for some order formula  $\varphi$  with a parameter  $a \in {}^{\omega}\text{Ord}$ .

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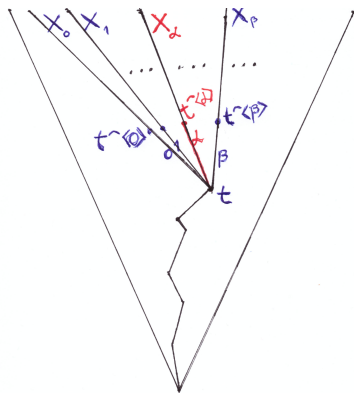
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$$\mathbb{H}_{\delta} = \{ \bar{x} \in {}^{\delta}({}^{\kappa}\delta) : (\exists t \in <^{\kappa}\delta) \\ (\forall \alpha < \delta) t \smallfrown \langle \alpha \rangle \subset x_{\alpha} \}.$$

### OGD $_{\kappa}^{\delta}(X)$

OGD $_{\kappa}^{\delta}(X, H)$  holds for all  $\delta$ -dimensional box-open dihypergraphs  $H$  on  $X$ .



$$\langle x_0, x_1, \dots, x_{\alpha}, \dots \rangle \in \mathbb{H}_{\delta}$$

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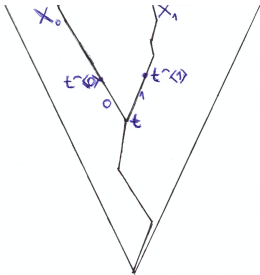
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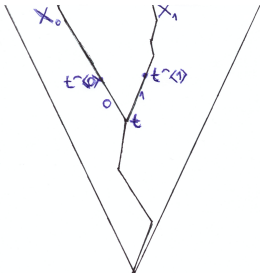
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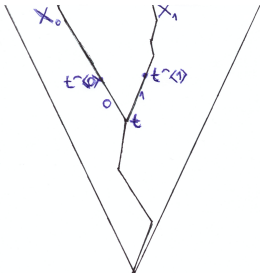
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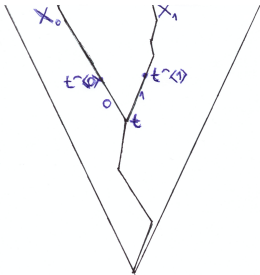
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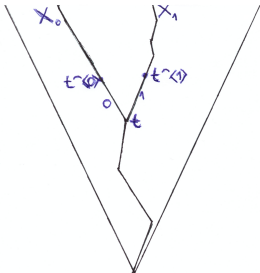
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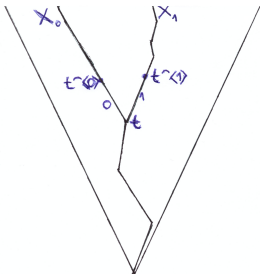
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$\text{OGD}_{\kappa}^2(X)$  implies the open graph dichotomy  $\text{OGD}_{\kappa}(X)$ .

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- Several other applications . . .

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This theorem gives the exact consistency strength of these statements.

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- $R$  is a  $\delta$ -dimensional box-open dihypergraph on  $X$  which has no  $\kappa$ -coloring.

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Then  $x \in V[G_\alpha]$  for some  $\alpha < \lambda$ . Let  $\dot{x}$  be a  $\mathbb{P}_\alpha$ -name for  $x$ .

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For all countable sequences  $y$  of ordinals in  $V[G]$ ,  $V[G]$  is a  $\mathbb{P}_\lambda$ -generic extension of  $V[y]$ .

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We construct a  $\subseteq$ -preserving map  $e : {}^{<\kappa}\delta \rightarrow \mathbb{P}_\alpha$  such that for all  $y \in {}^\kappa\delta$ ,

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By the next lemma,  $e$  can be defined in such a way that  $\dot{x}^{g_y} \in X$  for all  $y \in {}^\kappa\delta$ , and the (continuous) map

$$f : {}^\kappa\delta \rightarrow X; y \mapsto \dot{x}^{g_y}$$

is a homomorphism from  $\mathbb{H}_\delta$  to  $H$ .

## Sketch of the proof (the $\kappa = \omega$ case)

For any forcing  $\mathbb{Q}$ , any  $q \in \mathbb{Q}$  and any  $\mathbb{Q}$ -name  $\sigma$ , define

$$T_{\mathbb{Q}}^{\sigma, q} = \{t \in {}^{<\kappa}\kappa : (\exists r \leq q) r \Vdash_{\mathbb{Q}}^V t \subseteq \sigma\},$$

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$\dot{x}^{G_\alpha} \in X$ ; if  $T \in V$  is a subtree of  ${}^{<\kappa}\kappa$  and  $[T]$  is  $R$ -independent, then  $\dot{x}^{G_\alpha} \notin [T]$ .

### Lemma 2

There exists  $p \in \mathbb{P}_\alpha$  such that the following hold.

- 1  $p \Vdash_{\mathbb{P}_\alpha}^V$  “ $\varphi_X(\dot{x}, a_X)$  holds in every further  $\mathbb{P}_\lambda$ -generic extension of  $V[\dot{x}]$ .”
- 2 For all  $r \in \mathbb{P}_\alpha$  below  $p$ , there exists (in  $V[G]$ ) a sequence  $\langle t_i \in T_{\mathbb{P}_\alpha}^{\dot{x}, r} : i < \delta \rangle$  such that (in  $V[G]$ )

$$\prod_{i < \delta} N_{t_i} \cap X \subseteq R.$$

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Assume  $\kappa = \kappa^{<\kappa}$  is uncountable.

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There exist  $\gamma < \lambda$  and an  $\text{Add}(\kappa, 1)$ -name  $\tau \in V[G_\gamma]$  which satisfy a strong version of Lemma 2:



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We let  $p \leq_{\mathbb{Q}} q$  if and only if  $\text{dom}(p) \supseteq \text{dom}(q)$ , and

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Let  $f : {}^\kappa\delta \rightarrow X$ ;

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$f$  is a continuous map and is a homomorphism from  $\mathbb{H}_\delta$  to  $R$ . (Item 3 in the definition of  $\mathbb{Q}$  guarantees this).

# The Hurewicz dichotomy for definable subsets of ${}^{\kappa}\kappa$

Let  $\kappa$  be an infinite cardinal such that  $\kappa^{<\kappa} = \kappa$ . Let  $X \subseteq {}^{\kappa}\kappa$ .

$X$  is  $\kappa$ -compact iff every open cover of  $X$  has a subcover of size  $< \kappa$ .

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## Proposition

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## Corollary (Lücke, Motto Ros, Schlicht)

*If  $\lambda > \kappa$  is inaccessible, then in any  $\text{Col}(\kappa, < \lambda)$ -generic extension  $V[G]$ , the Hurewicz dichotomy holds for all subsets  $X \subseteq {}^{\kappa}\kappa$  which are definable from an element of  ${}^{\kappa}\text{Ord}$ .*



# Questions

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Thank you!