# On the classification of pre-Nichols algebras of diagonal type with finite Gelfand-Kirillov dimension

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Joint with Andruskiewitsch (arXiv:2002.11087) and Angiono-Campagnolo. Hopf Algebras and Tensor Categories online Workshop.



- 1. Motivation
- 2. Background
- 3. Quantum linear spaces
- 4. Cartan, super and standard types

# Motivation

# Hopf algebras: coradical and infinitesimal braiding

Fix field  $\mathbb{k} = \overline{\mathbb{k}}$  with char  $\mathbb{k} = 0$ . Let A be a Hopf algebra.

#### Definitions

- The coradical of A is  $A_0 :=$  (direct) sum of simple subcoalgebras.
- Extends to coradical filtration  $A = \bigcup_{n>0} A_n$  as coalgebra.

Hypothesis: the coradical is a Hopf subalgebra.

$$\implies$$
  $A = \bigcup_{n>0} A_n$  is a Hopf algebra filtration.

 $\rightsquigarrow$  Get associated graded Hopf algebra gr A endowed with  $A_0 \hookrightarrow$  gr A and gr A  $\rightarrow$   $A_0$  composing to id.

 $\rightsquigarrow$  Recover gr  $A \simeq R \# A_0$  with R graded Hopf algebra in  $A_0 \mathcal{YD}$ .

#### Definitions

 $R = \bigoplus_{n>0} R^n$  is the diagram and  $R^1$  is the infinitesimal braiding of A.

#### Next: what kind of objects are these diagrams?

# Generation in degree 1

• Fix a Hopf algebra *H* (with bijective antipode) and  $V \in {}^{H}_{H} \mathcal{YD}$ .

#### Definitions

A post-Nichols algebra of V is a corradically graded and connected Hopf algebra  $\mathcal{E} = \bigoplus_{n \ge 0} \mathcal{E}^n$  in  ${}^{H}_{H} \mathcal{YD}$  endowed with an iso  $\mathcal{E}^1 \simeq V$ .

A pre-Nichols algebra of V is a graded and connected Hopf algebra  $\mathcal{B} = \bigoplus_{n>0} \mathcal{B}^n$  in  ${}^{H}_{H} \mathcal{YD}$  generated by  $\mathcal{B}^1$  and endowed with  $\mathcal{B}^1 \simeq V$ .

• Restrict now to a group algebra  $H = \Bbbk \Gamma$ .

Generation in degree 1 conjecture, Andruskiewitsch-Schneider '00 Let  $V \in {}^{k\Gamma}_{k\Gamma} \mathcal{YD}$  such that dim  $\mathcal{B}(V) < \infty$ . Then the Nichols algebra itself is the unique finite dimensional post-Nichols algebra of *V*.

 $\bullet$  Assume that  $\Gamma$  is abelian.  $\rightsquigarrow$  Conjecture holds (Angiono).



Considering Gelfand-Kirillov dimension instead, the conjecture fails.

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**Goal:** classify finite **GK-dim** Hopf algebras with coradical **kΓ**. Following [AAH18] <sup>1</sup>,

(A) classify (fin. dim.)  $V \in {}_{\Bbbk\Gamma}^{\Gamma} \mathcal{YD}$  such that GK-dim  $\mathcal{B}(V) < \infty$ , then (B) for such V, classify its finite GK-dim post-Nichols algebras,

which is related to

(C) classify finite GK-dim pre-Nichols algebras of V.

We focus on problem (C) for some families of diagonal braidings:

- quantum linear spaces,
- super type,

- Cartan type,
- standard type.

<sup>&</sup>lt;sup>1</sup>[AAH18] N. Andruskiewitsch, I. Angiono, I. Heckenberger, *Liftings of Jordan and super Jordan planes*. Proc. Edinb. Math. Soc. **61** 661–672 (2018).

Background

## Terminology

• Gelfand-Kirillov dim: let A be a k-algebra generated by I (finite). GK-dim A measures growth of {words on I of length  $\leq k$ } as  $k \to \infty$ .

**Examples:**  $\cdot$  GK-dim A = 0 iff dim  $A < \infty$ ,

- $\cdot$  GK-dim  $\Bbbk[x_1,\ldots,x_n] = n, \quad \cdot$  GK-dim U( $\mathfrak{g}$ ) = dim  $\mathfrak{g}$ .
- Braidings of diagonal type: given  $\mathbb{I} = \{1, \ldots, \theta\}$  and  $\mathfrak{q} \in M_{\theta}(\mathbb{k}^{\times})$ , consider  $(V, c^{\mathfrak{q}})$  where

V has basis  $(x_i)_{i \in \mathbb{I}}$ ,  $c^{\mathfrak{q}}(x_i \otimes x_j) = q_{ij}x_j \otimes x_i$ ,  $i, j \in \mathbb{I}$ .

- Dynkin diagram of such q: is the decorated graph with
  - vertices  $\{1, \ldots, \theta\}$ , vertex *i* labelled by  $q_{ii}$ ;
  - edge between *i* and *j* iff  $\tilde{q}_{ij} := q_{ij}q_{ji} \neq 1$ . Such edge is labelled  $\tilde{q}_{ij}$ .
- PBW-type basis for  $\mathcal{B}_{\mathfrak{q}} := \mathcal{B}(V, c^{\mathfrak{q}})$  is available (Kharchenkho).
- Roots of q: is the set of heights of the PBW generators.
- Root system of q: is the bundle of roots of all reflections of q.

### Finite dim versus finite GK-dim

• All connected q's such that dim  $\mathcal{B}_q < \infty$  are known. More generally, Theorem, [H09] <sup>2</sup>

Complete classification of connected q's with finite root system.

• On the other hand, going back to problem (A) we have

Conjecture, [AAH] 3

If GK-dim  $\mathcal{B}_q < \infty$ , the root system of  $\mathfrak{q}$  is finite.

Substantial progress has been made by Rosso and [AAH].

• However, regarding prob (C), for each connected q with dim  $\mathcal{B}_q < \infty$  there is a finite GK-dim pre-Nichols algebra different from  $\mathcal{B}_q$ .

<sup>3</sup>[AAH] N. Andruskiewitsch, I. Angiono, I. Heckenberger, *On finite GK-dimensional Nichols algebras over abelian groups*. Mem. Amer. Math. Soc., to appear.

<sup>&</sup>lt;sup>2</sup>[H09] I. Heckenberger, Classification of arithmetic root systems. Adv. Math. 220, 59–124 (2009)

### Eminent pre-Nichols algebras

The set  $\mathfrak{Pre}(\mathfrak{q})$  of pre-Nichols algebras of  $\mathfrak{q}$  is partially ordered:



 $\mathfrak{Pre}_{fGK}(\mathfrak{q})$  := subposet consisting of all elements with finite GK-dim.

#### Definition [Andruskiewitsch-S]

 $\widehat{\mathcal{B}} \in \mathfrak{Pre}(\mathfrak{q})$  is eminent if it is the minimum of  $\mathfrak{Pre}_{fGK}(\mathfrak{q})$ .

This means:  $\operatorname{GK-dim} \widehat{\mathcal{B}} < \infty$  and for each  $\mathcal{B} \in \mathfrak{Pre}_{\mathrm{fGK}}(\mathfrak{q})$  there exists a projection  $\widehat{\mathcal{B}} \twoheadrightarrow \mathcal{B}$  of braided Hopf algebras which is the identity on V.

**Goal:** decide if there exist (in such case find) eminent pre-Nichols algebras for each braiding of interest.

# Quantum linear spaces

#### Terminology

- Recall: V has basis  $(x_i)_{i \in \mathbb{I}}$  and braiding  $c^q(x_i \otimes x_j) = q_{ij}x_j \otimes x_i$ .
- Quantum linear space condition:  $q_{ij}q_{ji} = 1$  if  $i \neq j \in \mathbb{I}$ .
- Distinguished pre-Nichols:  $\widetilde{\mathcal{B}}_{\mathfrak{q}} := T(V)/\langle x_i x_j q_{ij} x_j x_i : i < j \rangle$ . Satisfies GK-dim =  $|\mathbb{I}|$ .

Partition  $\mathbb{I} = \mathbb{I}^{<3} \sqcup \mathbb{I}^3 \sqcup \mathbb{I}^{>3} \sqcup \mathbb{I}^{\infty}$ , where

$$\mathbb{I}^{\infty} = \{i \in \mathbb{I} : q_{ii} \notin \mathbb{G}_{\infty}\}, \quad \mathbb{I}^{N} = \{i \in \mathbb{I} : \text{ ord } q_{ii} = N\}, N \ge 1,$$
$$\mathbb{I}^{>3} = \bigcup_{N>3} \mathbb{I}^{N}, \qquad \mathbb{I}^{<3} = \{i \in \mathbb{I} : q_{ii} = \pm 1\} = \mathbb{I}^{1} \sqcup \mathbb{I}^{2}.$$

For  $\star \in \{ < 3, 3, > 3, \infty \}$  set  $V^{\star} = \Bbbk \{ X_i : i \in \mathbb{I}^{\star} \} \subset V$ , so

 $V = V^{<3} \oplus V^3 \oplus V^{>3} \oplus V^{\infty}.$ 

Super symmetric algebra condition:  $V = V^1 \oplus V^2$ , i.e.,  $q_{ii}^2 = 1$  for all *i*.

Lema [Andruskiewitsch-S]

If  $\mathfrak{q}$  and  $\mathfrak{p}$  have the same Dynkin diagram, there is a posets iso  $\mathfrak{Pre}_{\rm fGK}(\mathfrak{q})\simeq\mathfrak{Pre}_{\rm fGK}(\mathfrak{p})\,.$ 

 $\rightsquigarrow$  Instead of (V,  $c^{\mathfrak{q}}$ ), consider (V,  $c^{\mathfrak{p}}$ ) with  $p_{ij} = \pm 1$ .

- $\mathfrak{Pre}(\mathfrak{p}) =$  super enveloping algebras  $U(\mathfrak{n})$ , where  $\mathfrak{n} = \bigoplus_{j \ge 1} \mathfrak{n}^j$ graded Lie super algebra generated by  $\mathfrak{n}^1 \simeq V$ .
- $\mathfrak{Pre}_{fGK}(\mathfrak{p}) =$ super enveloping algebras  $U(\mathfrak{n})$ , where  $\mathfrak{n} = \bigoplus_{j \ge 1} \mathfrak{n}^j$  graded Lie super algebra generated by  $\mathfrak{n}^1 \simeq V$  and dim  $\mathfrak{n} < \infty$ .

Eminent pre-Nichols algebras might not exist.

# Main result for quantum linear spaces

Theorem [Andruskiewitsch-S]

**Recall:**  $V = V^{<3} \oplus V^3 \oplus V^{>3} \oplus V^{\infty}$ .

(1) For  $\star \in \{3, > 3, \infty\}$ , the distinguished pre-Nichols algebra  $\widetilde{\mathcal{B}}(V^{\star})$  is eminent.

(2) Let  $\mathcal{B} \in \mathfrak{Pre}_{fGK}(V)$ . For  $\star \in \{\leq 3, > 3, \infty\}$ , denote by  $\mathcal{B}^{\star}$  the subalgebra of  $\mathcal{B}$  generated by  $V^{\star}$ . Then

$$\mathcal{B}\simeq \mathcal{B}^{\leq 3}\underline{\otimes} \mathcal{B}^{>3}\underline{\otimes} \mathcal{B}^{\infty}.$$

(3) Assume V has basis  $\{x_1, x_2\}$  with  $x_1 \in V^3$ ,  $x_2 \in V^1$ . Then

$$\breve{\mathcal{B}}(V) = T(V) / \langle (\mathsf{ad}_{c} x_{1})^{4} x_{2}, \, (\mathsf{ad}_{c} x_{2})^{2} x_{1} \rangle$$

is an eminent pre-Nichols algebra of V with GK-dim = 6.

Moreover,  $\breve{\mathcal{B}}(V)$  has a  $G_2$ -type PBW basis ...

#### Non genuine quantum Serre relations

• Let  $\mathbf{a} = (a_{ij})_{i,j \in \mathbb{I}}$  indecomposable symmetrizable GCM;

 $d\in \mathsf{GL}_\theta(\mathbb{Z})$  diagonal such that da is symmetric;

 $\mathfrak{g} = \mathfrak{g}(a)$  associated Kac-Moody algebra,  $\mathfrak{g}(a) = \mathfrak{g}^+ \oplus \mathfrak{h} \oplus \mathfrak{g}^-$ .

• Let  $q \in \Bbbk^{\times}$  and consider the Dynkin diagram

• Fix q with this Dynkin diagram Beware: Cartan type, but associated Cartan matrix not necessarily a. Define  $\breve{B}_q = T(V)/\langle (ad_c x_i)^{1-a_{ij}} x_j \ i \neq j \in \mathbb{I} \rangle$ . **Proposition [Andruskiewitsch-S]** 

 $\mathsf{GK}\text{-}\mathsf{dim}\,\breve{\mathcal{B}}_\mathfrak{q}\geq\mathsf{dim}\,\mathfrak{g}^+.$ 

# Cartan, super and standard types

# Terminology

• Andruskiewitsch-Angiono organize Heckenbergers' list with a Lie-theoretic perspective:

- Cartan, super, standard,
- modular, supermodular, UFO.
- For each q with dim  $\mathcal{B}_q < \infty$ , Angiono <sup>4</sup> defines the distinguished pre-Nichols algebra  $\widetilde{\mathcal{B}}_q$ . Some features:
  - if  $\mathcal{B}_{\mathfrak{q}} = \mathfrak{u}_q^+(\mathfrak{g})$ , then  $\widetilde{\mathcal{B}}_{\mathfrak{q}} = \mathsf{U}_q^+(\mathfrak{g})$ .
  - $\cdot \ \widetilde{\mathcal{B}}_{\mathfrak{q}}$  inherits all Lusztig's isomorphisms from  $\mathcal{B}_{\mathfrak{q}}.$
  - $\widetilde{\mathcal{B}}_{\mathfrak{q}}$  has the same PBW generators of  $\mathcal{B}_{\mathfrak{q}}$  ( $\neq$  heights).
  - · kernel of  $\widetilde{\mathcal{B}}_{\mathfrak{q}} \twoheadrightarrow \mathcal{B}_{\mathfrak{q}}$  is generated by powers of root vectors.
  - GK-dim  $\widetilde{\mathcal{B}}_{q} < \infty$ . It is asked: is  $\widetilde{\mathcal{B}}_{q}$  eminent?

**Next:** try to answer this for Cartan, super and standard types. If the answer is negative, find eminent pre-Nichols algebras.

<sup>&</sup>lt;sup>4</sup>[An] I. Angiono Distinguished pre-Nichols algebras. Transf. Groups **21** 1–33 (2016).

Here 
$$\mathcal{B}_{\mathfrak{q}} = T(V)/\langle x_1^3, x_2^3, x_{12}^3, x_{112}, x_{221} \rangle$$
 and  $\widetilde{\mathcal{B}}_{\mathfrak{q}} = T(V)/\langle x_{112}, x_{221} \rangle$ .  
Define  $\widehat{\mathcal{B}} = T(V)/\langle x_{1112}, x_{2112}, x_{1221}, x_{2221} \rangle$ .

Let  $\mathcal{Z} =$  subalgebra of  $\widehat{\mathcal{B}}$  gen by  $x_1^3$ ,  $x_2^3$ ,  $x_{12}^3$ ,  $x_{112}$ ,  $x_{221}$ .

#### Theorem [Andruskiewitsch-S]

- (1)  $\mathcal{Z}$  is a normal Hopf subalgebra. Moreover,  $(\operatorname{ad}_{c}\widehat{\mathcal{B}})\mathcal{Z} = 0$ .
- (2)  $\mathcal{Z}$  is a skew-polynomial algebra in five variables.
- (3) There is an extension of braided Hopf algebras

$$\Bbbk \to \mathcal{Z} \hookrightarrow \widehat{\mathcal{B}} \twoheadrightarrow \mathcal{B}_{\mathfrak{q}} \to \Bbbk.$$

(4) The pre-Nichols algebra  $\widehat{\mathcal{B}}$  is eminent with GK-dim  $\widehat{\mathcal{B}} = 5$ .

# ¡Gracias!