# Hopf-algebraic structures inspired by Kitaev models

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### 3 Idempotents for non-semisimple Hopf algebras

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The Hamiltonian  $h = \sum_k h_k$  is a sum of local interaction terms  $h_k$  that each involve only a bounded number of subsystems  $H_i$ .

# Kitaev model

The Kitaev model is a family of such quantum many-body systems defined on any compact oriented surface  $\Sigma$  with cell decomposition.



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- $\Sigma^1$  : set of edges
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*Example:* The Kitaev model for  $H = \mathbb{CZ}_2 = \mathbb{C}^2$ , considered on the torus, is known as the *toric code* (Kitaev, 1997).

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### Definition

A Hopf algebra is an algebra H together with algebra maps  $\Delta: H \longrightarrow H \otimes H$  and  $\varepsilon: H \longrightarrow \Bbbk$  turning H into a coalgebra such that there exists an antipode  $S: H \longrightarrow H$ .

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### Example

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#### Remark

The representation category H-mod is a tensor category. Conversely, the Hopf algebra H can be reconstructed from the tensor category H-mod with the forgetful functor H-mod  $\rightarrow$  vect( $\Bbbk$ ).

### Proposition

If H is a semisimple Hopf algebra, then there exists a unique Haar integral  $\ell \in H$ , i.e.:

- $x\ell = \varepsilon(x)\ell = \ell x$  for all  $x \in H$ ,
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#### Example

Let G be a finite group. Then the Haar integral of  $\mathbb{k}G$  is  $\frac{1}{|G|}\sum_{g\in G} g$ .

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- a finite-dimensional vector space  $\mathfrak{H}_{\Sigma} = \bigotimes_{e \in \Sigma^1} H$ ,
- a family of local commuting projectors (A<sub>ν</sub>)<sub>ν∈Σ<sup>0</sup></sub> and (B<sub>p</sub>)<sub>p∈Σ<sup>2</sup></sub> on ℋ<sub>Σ</sub>, using the Haar integrals of H and of H<sup>\*</sup>, respectively,



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giving rise to the Hamiltonian

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The ground-state space

$$\mathfrak{H}_0 := \ker(h) = \operatorname{im}(\prod_{\nu \in \Sigma^0} A_{\nu} \prod_{p \in \Sigma^2} B_p)$$

is the state space of the three-dimensional topological field theory of Turaev-Viro type for the spherical fusion category H-mod. As such, it carries a mapping class group action.

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#### Remark

In applications to topological quantum computing, information is encoded in the subspace  $\mathcal{H}_0 \subset \mathcal{H}$ . Sufficiently local errors are dynamically corrected by the Hamiltonian. Quantum gates are implemented by the mapping class group action.

# Defects in topological field theories

Topological field theories with defects (and boundaries) are defined on decorated stratified manifolds.



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Topological field theories with defects (and boundaries) are defined on decorated stratified manifolds.



For theories of Turaev-Viro type, the defect label data are given in category-theoretic terms as follows (e.g. Kitaev-Kong 2011):



- $A_i$  : tensor category
- $M_i$ : bimodule category over the adjacent tensor categories
- Z : object in a cyclic relative Deligne product  $\otimes_{\mathcal{A}_1}(\mathcal{M}_1 \boxtimes_{\mathcal{A}_2} \cdots \boxtimes_{\mathcal{A}_n} \mathcal{M}_n)$



### 3 Idempotents for non-semisimple Hopf algebras

Based on arXiv:2001.10578

For the construction of a Kitaev model with defects, one first needs to realize the category-theoretic defect data in Hopf-algebraic terms:

 $\begin{array}{l} \text{tensor category } \mathcal{A} \rightsquigarrow \ \text{Hopf algebra } H \\ \mathcal{A}_1 \text{-} \mathcal{A}_2 \text{-bimodule category } \mathcal{M} \rightsquigarrow H_1 \text{-} H_2 \text{-bicomodule algebra } K \end{array}$ 

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For a vertex



we define a k-algebra  $C_v := (H_1^* \otimes \cdots \otimes H_n^*) \otimes (K_1 \otimes \cdots \otimes K_n)$ , which we call the *vertex algebra* for *v*.

Consider a vertex



and recall that  $K_i$ -mod are bimodule categories.

#### Theorem

There is a canonical equivalence of categories  $C_v - \text{mod} \cong \bigotimes_{H_1 - \text{mod}} (K_1 - \text{mod} \boxtimes_{H_2 - \text{mod}} \cdots \boxtimes_{H_n - \text{mod}} K_n - \text{mod}).$ 

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#### Remark

 $\otimes_{\mathcal{A}_1}(\mathcal{M}_1 \boxtimes_{\mathcal{A}_2} \cdots \boxtimes_{\mathcal{A}_n} \mathcal{M}_n)$  is the category of vertex labels for the state-sum construction of the modular functor by Fuchs-Schaumann-Schweigert (2019).

• A compact oriented surface  $\Sigma$  with cell decomposition ( $\Sigma^0,$   $\Sigma^1,$   $\Sigma^2),$ 



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- a semisimple bicomodule algebra  $K_e$  for  $e \in \Sigma^1$  over the adjacent Hopf algebras,

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- a semisimple Hopf algebra  $H_p$  for  $p\in\Sigma^2$ ,
- a semisimple bicomodule algebra  $K_e$  for  $e \in \Sigma^1$  over the adjacent Hopf algebras,
- a representation  $Z_v$  of the vertex algebra  $C_v = (H_1^* \otimes \cdots \otimes H_n^*) \otimes (K_1 \otimes \cdots \otimes K_n)$  for every  $v \in \Sigma^0$ .

Let  $\Sigma$  be a compact oriented surface with a decorated cell decomposition  $(\Sigma^0,\Sigma^1,\Sigma^2).$ 

#### Theorem

From these data, we construct a family of local commuting projectors  $(A_v)_{v \in \Sigma^0}$  and  $(B_p)_{p \in \Sigma^2}$  on the finite-dimensional vector space

$$\widetilde{\mathbb{H}}_{\Sigma} = (\bigotimes_{e \in \Sigma^1} \mathcal{K}_e^*) \otimes (\bigotimes_{v \in \Sigma^0} Z_v),$$

giving rise to the Hamiltonian

$$h := \sum_{\nu \in \Sigma^0} (\operatorname{id} - A_{\nu}) + \sum_{p \in \Sigma^2} (\operatorname{id} - B_p)$$

on  $\widetilde{\mathcal{H}}_{\Sigma}$ .

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#### Proposition

Any finite-dimensional semisimple  $\Bbbk$ -algebra A possesses a unique symmetric separability idempotent  $\sum p^1 \otimes p^2 \in A \otimes A^{op}$ , i.e.:

•  $\sum xp^1 \otimes p^2 = \sum p^1 \otimes p^2 x$  for all  $x \in A$ ,

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$$\sum p^1 p^2 = 1$$
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We furthermore need (and show) for our construction that

#### Lemma

If H is a Hopf algebra and A is an H-comodule algebra, then the symmetric separability idempotent  $\sum_{p} p^1 \otimes p^2$  is contained in the H-coinvariant subspace  $(A \otimes A^{op})^{coH} \subseteq A \otimes A^{op}$ .

### Upshot:

We have constructed a quite explicit Hamiltonian model within a rather general framework for defects.

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Further work can be:

Show explicitly that the ground-state spaces of our Hamiltonians are naturally isomorphic to the vector spaces assigned to defect surfaces by the modular functor constructed by Fuchs-Schaumann-Schweigert 2019.





Based on arXiv:1910.13161 jointly authored with Ehud Meir and Christoph Schweigert

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#### Example

Let H = & G for a finite group G. The central orthogonal idempotents  $(p_i)_i$  for the isotypic decomposition  $\& G = \bigoplus_i S_i^{\dim(S_i)}$  of the regular representation are expressed by the *character-projector formula*:

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For any *semisimple* Hopf algebra H, the orthogonal idempotents for the isotypic decomposition of the regular H-module are expressed by:

$$p_i := \dim(S_i)(S(\chi_i) \otimes \mathrm{id}_H)\Delta(\ell),$$

where  $\ell \in H$  is the Haar integral,  $(S_i)_i$  are the isomorphism classes of simple *H*-modules and  $(\chi_i)_i$  their characters.

What about not necessarily semisimple Hopf algebras?

It is known that:

 If H non-semisimple, then the Haar integral does not exist. (Any integral ℓ ∈ H, xℓ = ε(x)ℓ = ℓx for all x ∈ H, is nilpotent, since ε(ℓ) = 0 due to Maschke's theorem.)

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Our idea is hence to replace  $\ell$  by p:

 $p_i := \dim(S_i)(S(\chi_i) \otimes \mathrm{id}_H)\Delta(p)$ 

Question: Does this still give orthogonal idempotents?

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More generally: A Hopf algebra H is said to have the *Chevalley* property if the tensor product of any two semisimple H-modules is again semisimple.

### This motivates:

### Conjecture

Let H be a finite-dimensional Hopf algebra over  $\Bbbk$  with the Chevalley property. Then we conjecture that the elements

 $p_i := \dim(S_i)\chi_i(S(p_{(1)}))p_{(2)} \in H,$ 

for  $i \in I$ , where I is the set of isomorphism classes of simple H-modules, define a set of orthogonal idempotents of H such that

$$H = \bigoplus_{i \in I} Hp_i$$

is an isotypic decomposition of the regular H-module, i.e.  $Hp_i$  is a projective cover of  $S_i^{\bigoplus \dim(S_i)}$ .

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#### Theorem

Let  $\chi : H \to \Bbbk$  be the character of a non-zero one-dimensional (hence, simple) H-module. Then  $p_{\chi} = (S(\chi) \otimes id_H)(\Delta(p)) \in H$  is an idempotent such that  $Hp_{\chi} \subseteq H$  is a  $\chi$ -isotypic component of H.

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Let  $\Lambda_0 \in H^*$  be the Haar integral of the maximal semisimple sub-Hopf-algebra  $H_0^* = (H/J)^* \subseteq H^*$ . Define the *Hecke algebra*  $\mathcal{H}(H^*, H_0^*)$  as the subalgebra  $\Lambda_0 H^* \Lambda_0 \subseteq H^*$ .

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#### Theorem

$$\sum_{i \in I} \mathsf{p}_i = \mathbb{1}_H$$
 (completeness relation)

if and only if the Hecke algebra  $\Lambda_0 H^* \Lambda_0$  has up to isomorphism only one simple module.

Let  $H_0^*$  be the maximal semisimple sub-Hopf-algebra of  $H^*$ .

### Corollary

Let the Hopf algebra H be basic. Assume further that the associated Hecke algebra  $\mathcal{H}(H^*, H_0^*)$  has, up to isomorphism, a unique simple  $\mathcal{H}(H^*, H_0^*)$ -module. Then the conjecture holds for H, i.e.  $(n_1 - \dim(S_1)) \cup (S(n_1))$  by are orthogonal idempotents cus

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### Remark

 There are counter-examples to the conjecture for non-Chevalley Hopf algebras.

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### Remark

- There are counter-examples to the conjecture for non-Chevalley Hopf algebras.
- We have computed an example of a 72-dimensional Hopf algebra, for which the conjecture holds, which is Chevalley but not basic. For this we used the computer algebra system Magma.

Two natural open problems remain:

- Full proof the conjecture
- Application to the construction of a Kitaev model in the non-semisimple case

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