

Knot contact homology and topological strings

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Overview

These lectures will explain how certain properties of topological open string theories in non compact CY 3-folds can sometimes be captured from comparatively simple holomorphic curve theories at infinity.

We will start by introducing the relevant theories and then show how to relate them.

Knot contact homology and topological string

- **Symplectic and contact geometry**
- **CE-algebras**
- **Conormals of knots and links**
- **The augmentation variety**
- **Chern-Simons, topological string, and large N duality**
- **Augmentations and the GW-disk potential**
- **Legendrian SFT and quantization of the augmentation variety**
- **Recursion relation for the colored HOMFLY**
- **A-model topological recursion**
- **The skein relation via holomorphic curves**

Symplectic manifolds

$$(X, \omega) \quad \dim X = 2n$$

$$\omega \text{ non-deg 2-form, } d\omega = 0$$

Example

$$X = T^*M, \quad \omega = -d\theta$$

where θ is the Liouville form

$$\theta = p \cdot dq = \sum_{j=1}^n p_j dq_j.$$

Lagrangian submanifolds

$$L \subset X, \dim(L) = n, \omega|_L = 0$$

Example

$$M \subset T^*M, \quad T_m^*M \subset T^*M$$

X is exact if $\omega = d\theta$

$L \subset X$ exact if $\theta|_L = df$.

Contact manifolds

$$\overline{Y}, \dim(Y) = 2n-1$$

$$\alpha \text{ 1-form, } \alpha \wedge \underbrace{d\alpha \wedge \dots \wedge d\alpha}_{n-1} \neq 0$$

$\xi = \ker(\alpha)$ - contact structure

Legendrian submanifolds

$$\Lambda \subset Y, \dim(\Lambda) = n-1, \alpha|_{\Lambda} = 0$$

Example

$$\overline{Y} = ST^*M, \quad \alpha = \theta = p \cdot dq$$

$$\Lambda = ST_m^*M$$

Symplectization and contact boundary

$$\mathbb{R} \times Y, \quad \omega = d(e^t \alpha) = e^t (dt \wedge \alpha + d\alpha)$$

$$\mathbb{R} \times \Lambda \subset \mathbb{R} \times Y \quad \text{Lag.}$$

$$\text{If } (X, \mathcal{L}) \approx (T, \infty) \times (Y, \Lambda)$$

outside compact then

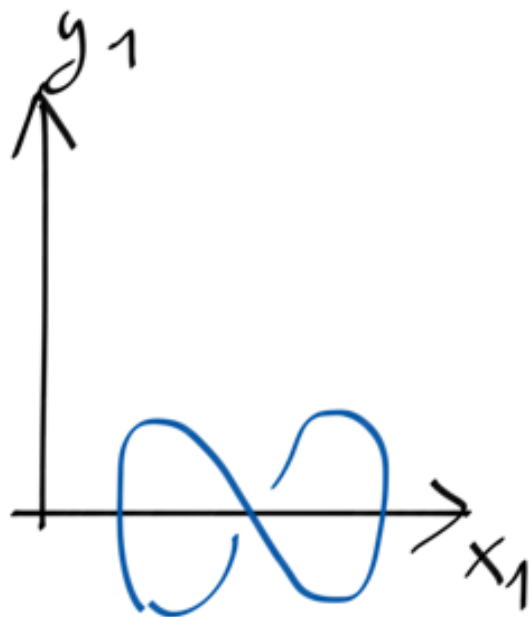
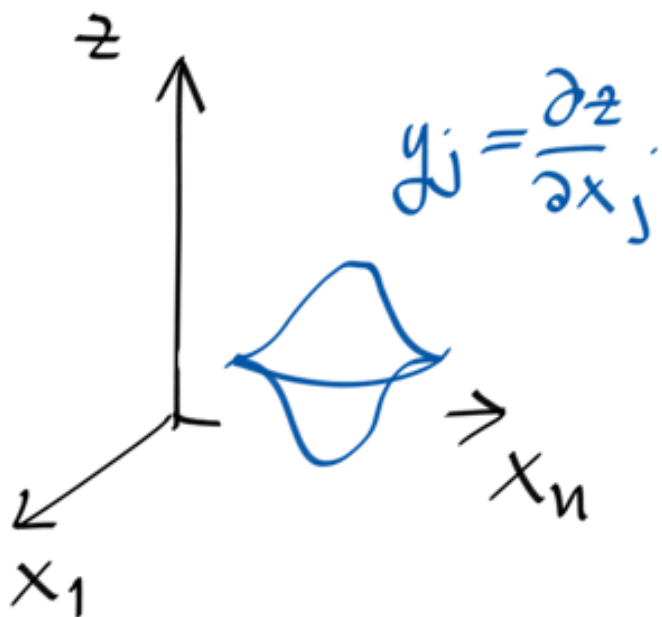
(Y, Λ) ideal contact boundary.

Darboux coordinate models

$$\mathbb{R}^{2n}_{st}, \quad \omega = \sum_{j=1}^n dx_j \wedge dy_j$$

$$\mathbb{R}^{2n-1}_{st}, \quad \alpha = dz - \sum_{j=1}^{n-1} y_j dx_j$$

Fronts



Contact homology dg-algebras

(Y, α) contact mfd

R - Reeb vector field

$$d\alpha(\cdot, R) = 0 \quad ; \quad \alpha(R) = 1$$

Reeb orbit - critical loop
of

$$A: \text{Loop}(Y) \rightarrow \mathbb{R}$$

$$A(\gamma) := \int_{\gamma} \alpha$$

Holomorphic curves in $\mathbb{R} \times Y$

Pick almost complex structure J on $\mathbb{R} \times Y$.

$$J: \xi \rightarrow \zeta, \quad d\alpha(J-, -) > 0$$

$$J\partial_t = \mathbb{R}.$$

$$\frac{\delta A}{\delta \eta} = \int_Y d\alpha(\dot{\gamma}, \eta)$$

$$u: \mathbb{R} \times S^1 \rightarrow \mathbb{R} \times Y$$

$$\frac{\partial u}{\partial \sigma} + J \frac{\partial u}{\partial \tau} = 0 \quad \approx \text{gradient.}$$

Lagrangian boundary conditions

$\Lambda \subset Y$ Legendrian

$\mathbb{R} \times \Lambda \subset \mathbb{R} \times Y$ Lagrangian



$A: \text{Path}(\Lambda, \Lambda) \rightarrow \mathbb{R}$

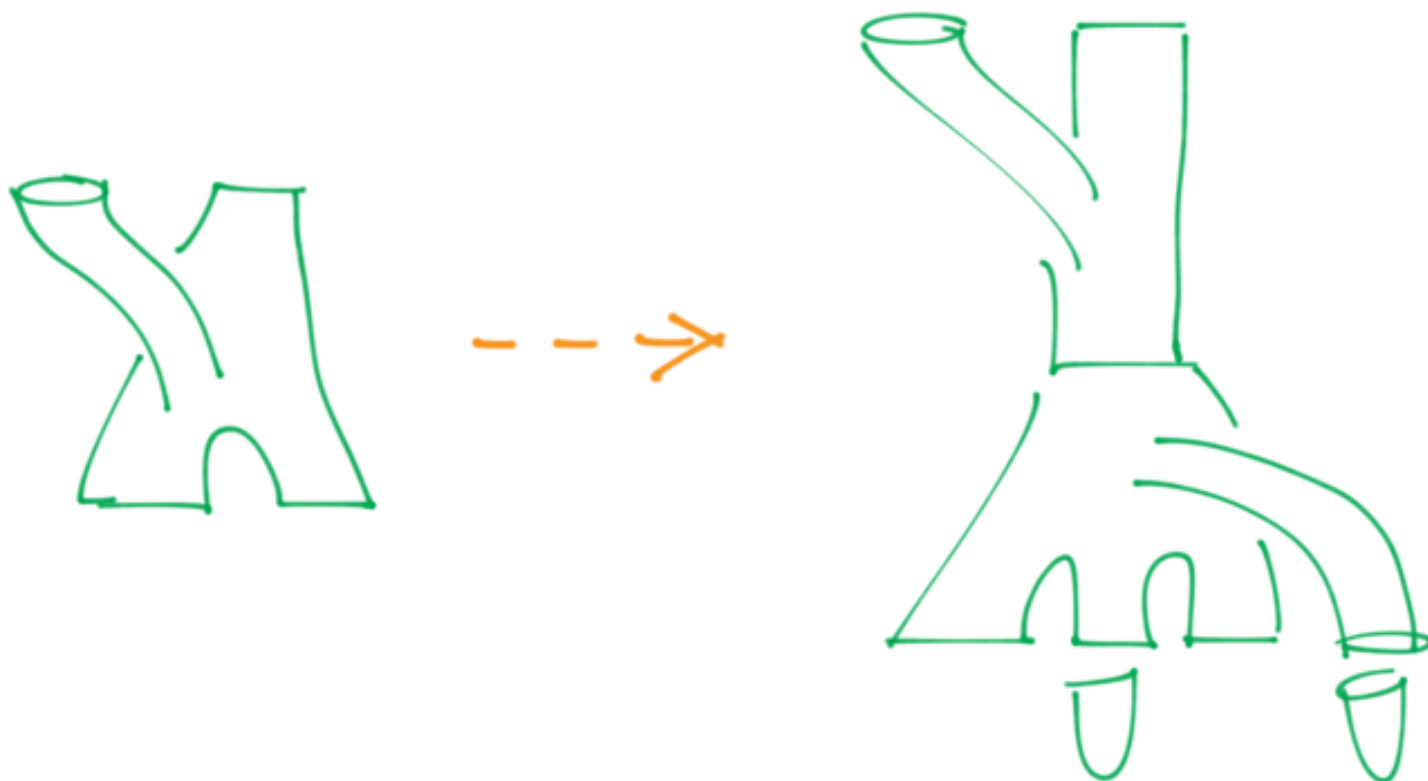
$$A(c) = \int_c \alpha$$

$u: (\mathbb{R} \times [0, 1], \mathbb{R} \times 0 \cup \mathbb{R} \times 1) \rightarrow (\mathbb{R} \times Y, \mathbb{R} \times \Lambda)$

$$\frac{\partial u}{\partial \sigma} + \int \frac{\partial u}{\partial \tau} = 0$$

SFT-compactness and dg-algebras

A sequence of finite energy punctured holomorphic curves in $(\mathbb{R} \times Y, \mathbb{R} \times \Lambda)$ has a subsequence that converges to a several level holomorphic building.



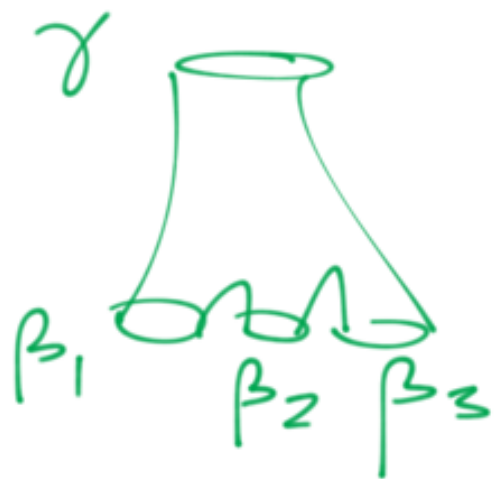
Orbit algebra

$$c_1(\xi) = 0$$

$$Q(Y) = \mathbb{C} \langle \text{good Reeb orbits} \rangle$$

$$|\gamma| = CZ(\gamma) + (n-3)$$

$d: Q(Y) \rightarrow \mathbb{S}$ counts



$$\begin{aligned} \dim \mathcal{M}(\gamma, \beta_1 \beta_2 \beta_3) \\ = |\gamma| - \sum |\beta_j| = 1 \end{aligned}$$

$$d\gamma = \beta_1 \beta_2 \beta_3 + \dots$$

$$d^2 = 0 :$$

1-dim orient
mfd.



$$= \partial(M/R)$$

$$CE(\Lambda) = Q(Y) \langle \text{Reeb chords} \rangle$$

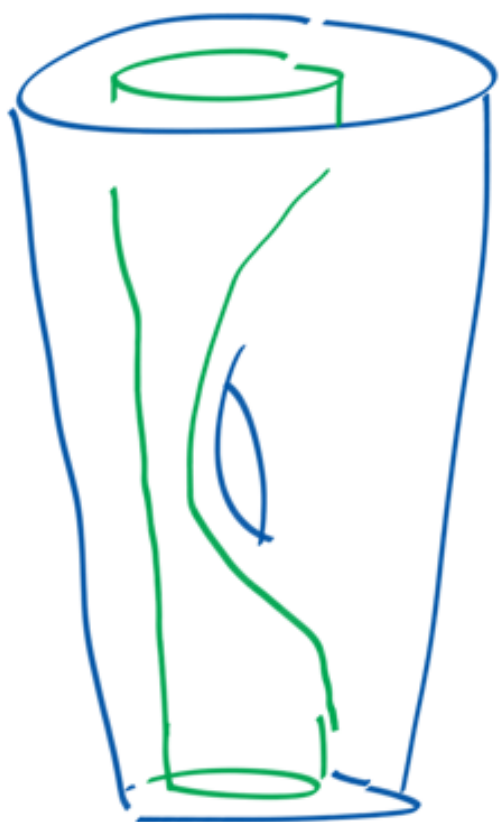
$d: CE(\Lambda) \rightarrow$ counts



$$\dim = |a| - \sum |b_i| = 1$$

$$da = b_1 b_2 b_3 + \dots$$

Functoriality



(Y_+, Λ_+)

(X, L)

(Y_-, Λ_-)

$$\Phi_{(X,L)}: CE(\Lambda_+) \rightarrow CE(\Lambda_-)$$

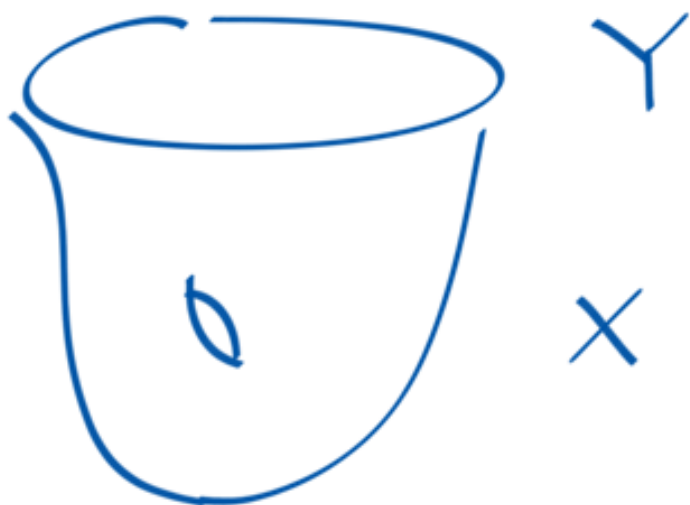
counts



$\dim = 0$

Orbit augmentations

If Y bounds

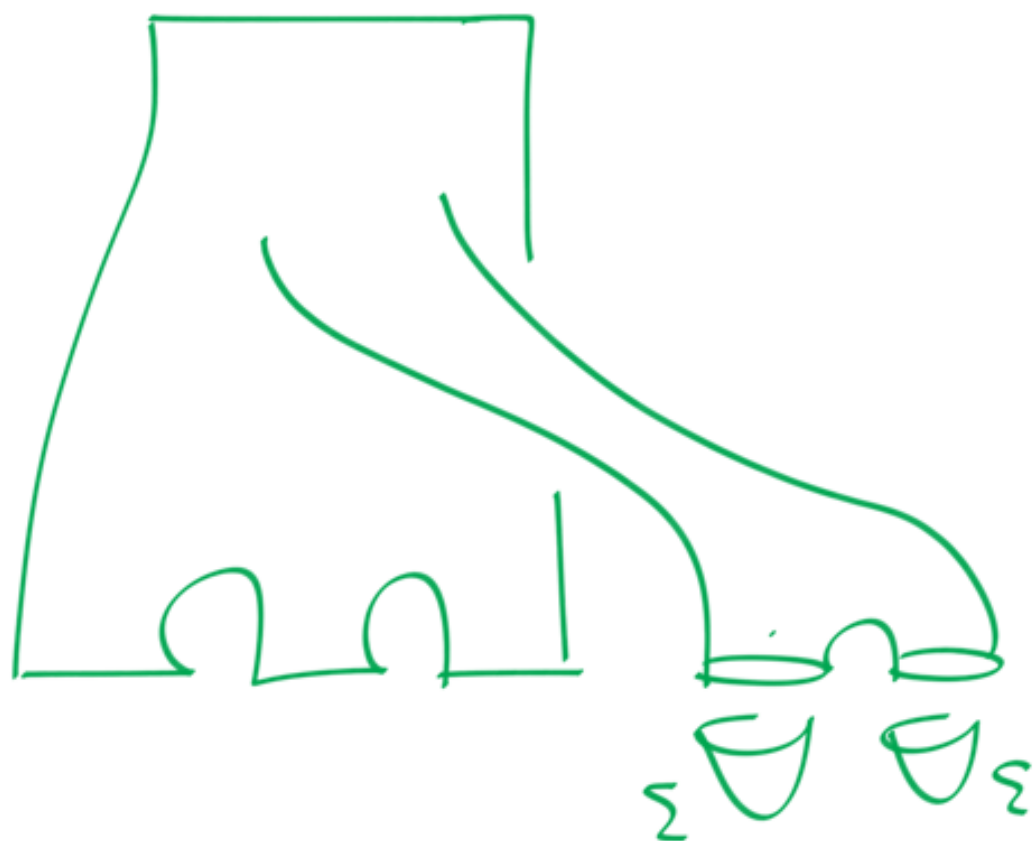


$$\Sigma = \overline{\Phi}_x : Q(Y) \rightarrow \mathbb{F}$$

chain map gives augmented

$$d : CE(\Lambda) \rightarrow CE(\Lambda)$$

which counts

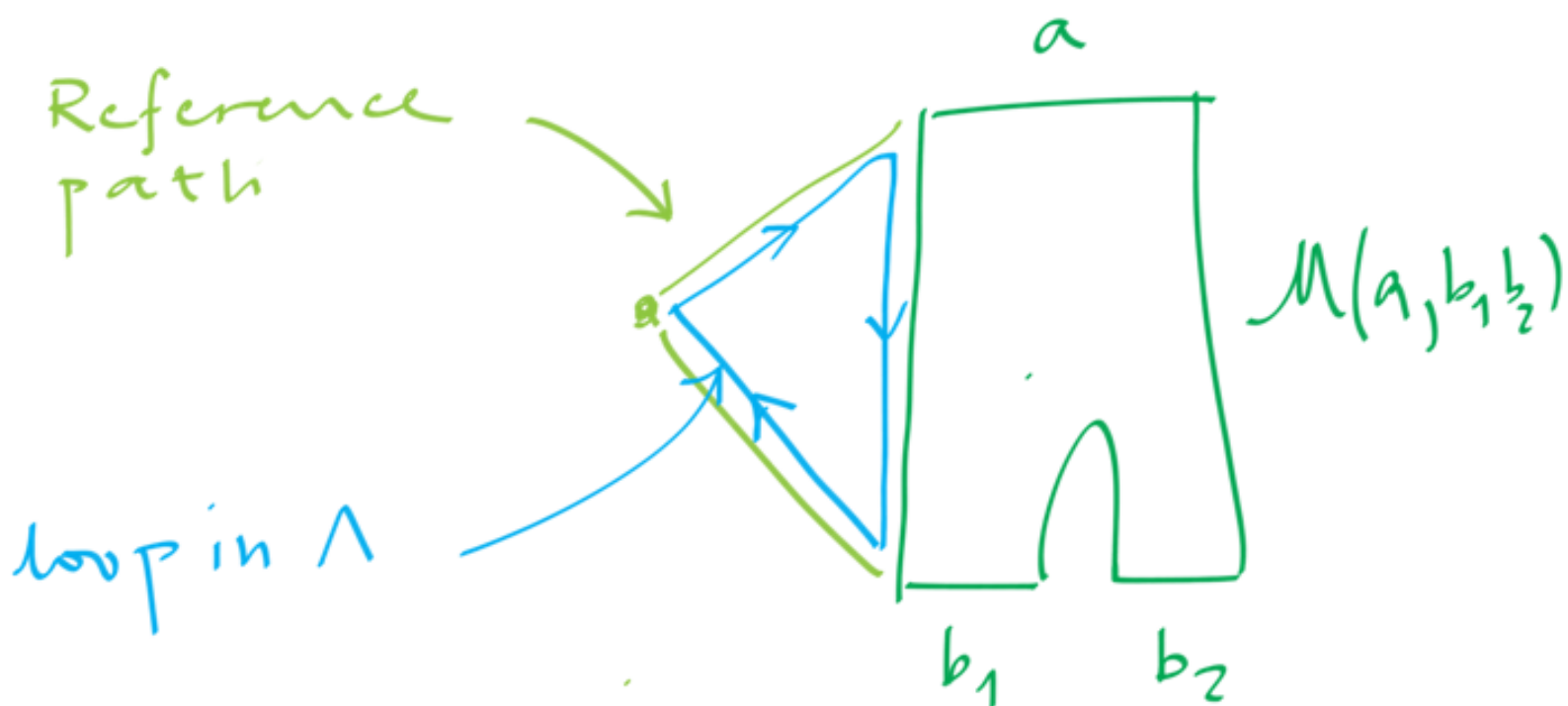


and gives $CE(\Lambda)$ as
algebra over \mathbb{C} .

Coefficients for CE

Chains on based loop space

$$C_*(\Omega \Lambda)$$

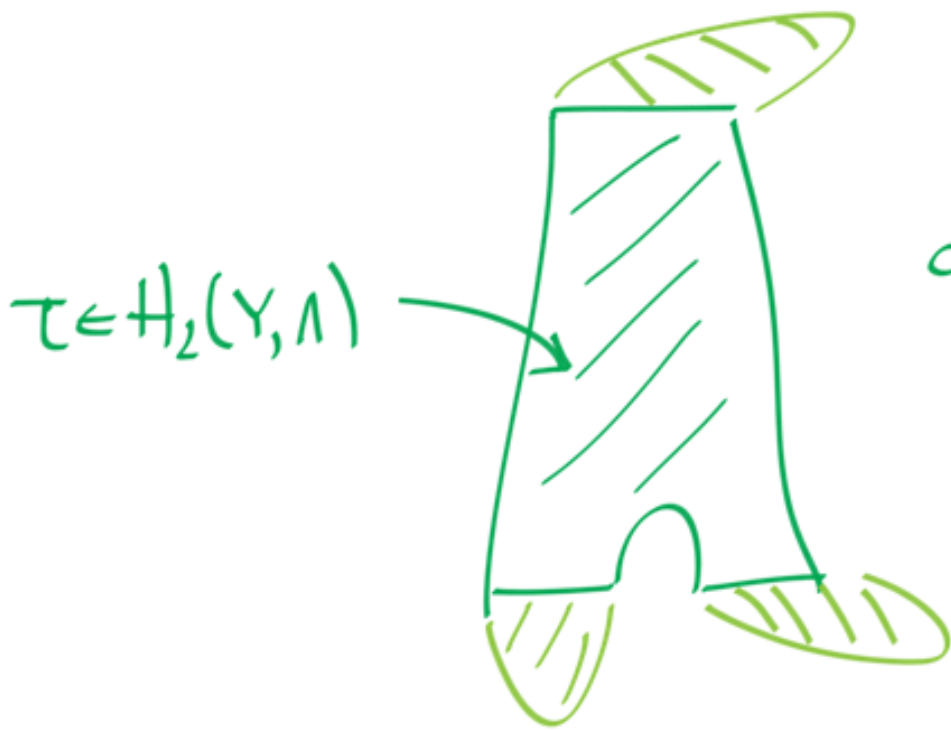


$$da = [M(a; b_1, b_2)] + \dots =$$

$$= \sum \sigma_1 b_1 \sigma_2 b_2 \sigma_3 + \dots$$

Group algebra of 2nd homology

$$\mathbb{C} [H_2(Y, \Lambda)]$$

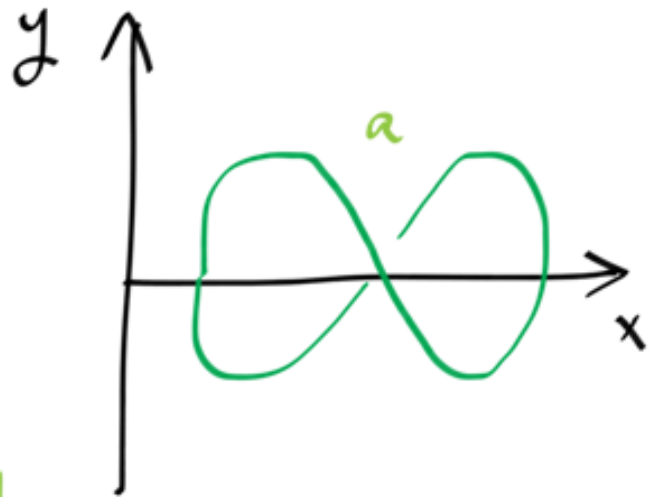
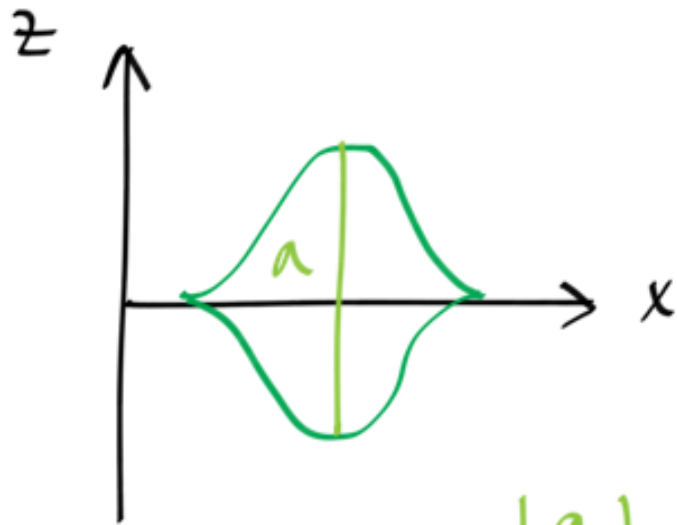


$$\dim M(a, b_1, b_2) = 0$$

$$da = e^{\tau} b_1 b_2 + \dots$$

Examples

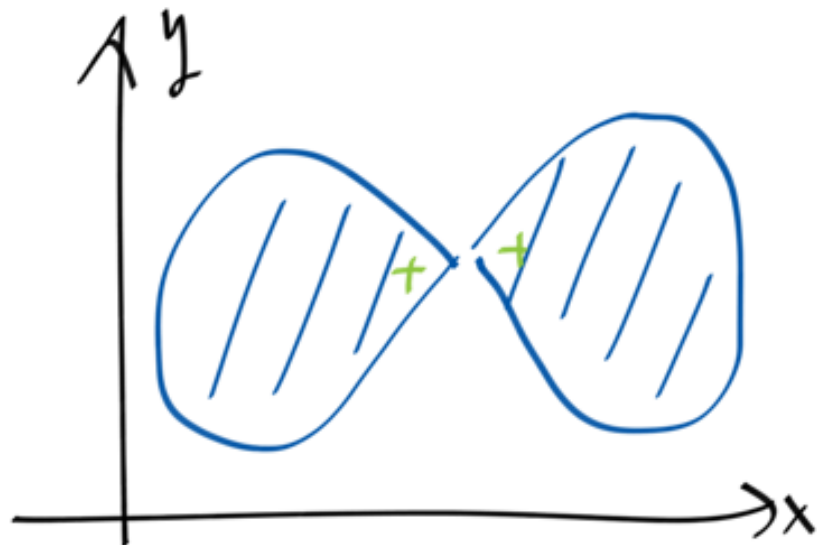
Unknot in \mathbb{R}_{st}^3 (or S_{st}^3)



$$|a| = 1$$

$$C_x(\Omega S^1) = \mathbb{C}[t, t^{-1}]$$

$$da = 1 - t$$



\mathbb{C} -coeff, set $t=1$

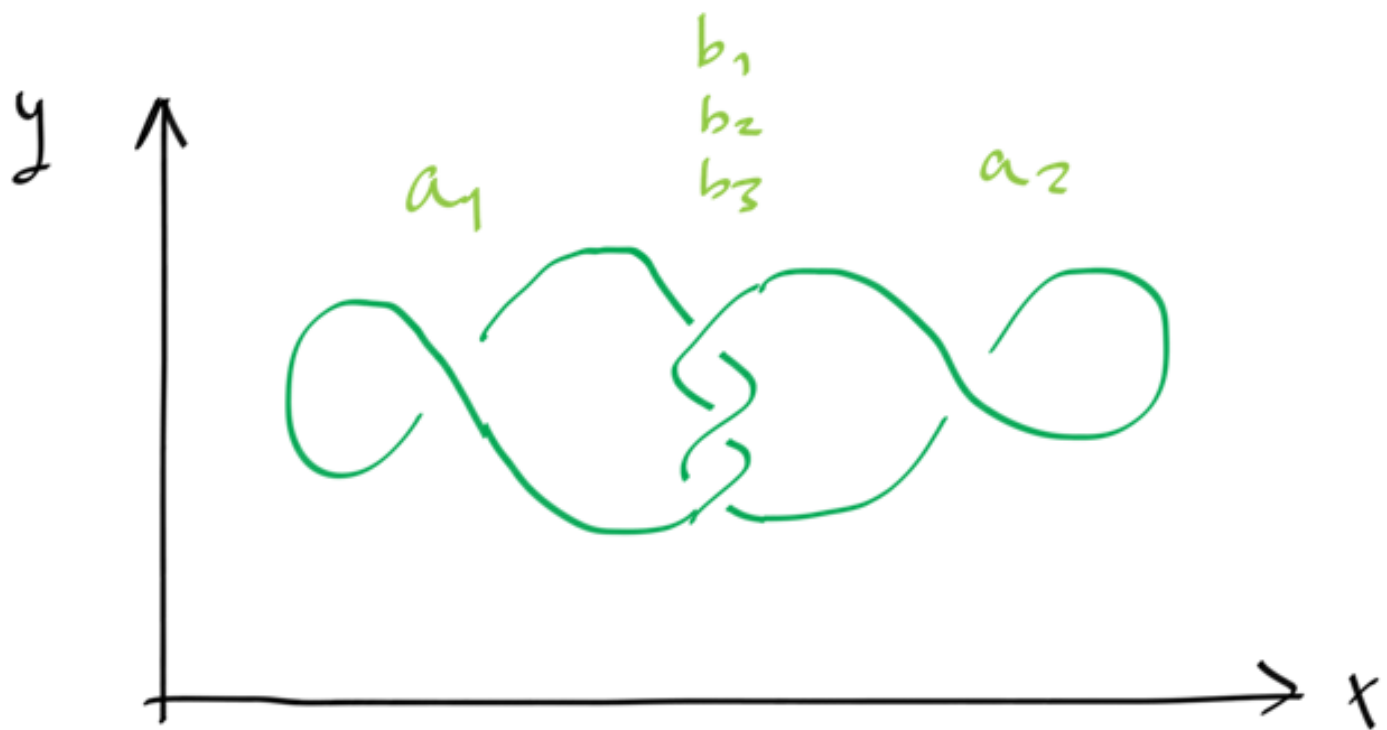
$da=0$, $CE(\Lambda) \approx \mathbb{C}[a]$.

$t=1$ induced from Lagr. disk



Orientations uses spin str
on Λ , $t=1$ corresponds
to the spin str that
extends to L .

Trefoil in \mathbb{R}^3_{st}



$$|a_j| = 1, \quad |b_j| = 0$$

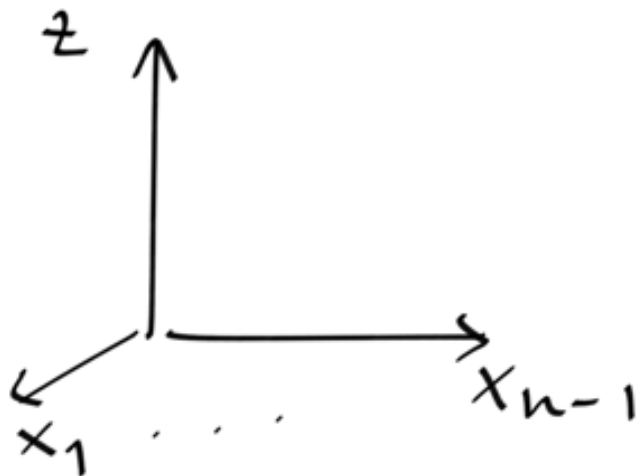
$$da_1 = 1 - b_1 - b_3 - b_3 b_2 b_1$$

$$da_2 = 1 + b_1 + b_3 + b_1 b_2 b_3$$

5 augmentations from torus filling

$$CE(\Lambda) \approx \mathbb{C}[b_1, b_2, b_3] / (1 - b_1 - b_3 - b_1 b_2 b_3)$$

Unknot in dim $n-1$



$$|a| = n-1$$

$$C_*(\Omega \Lambda) \approx \mathbb{C}[y] \quad |y| = n-2$$

$$da = y$$

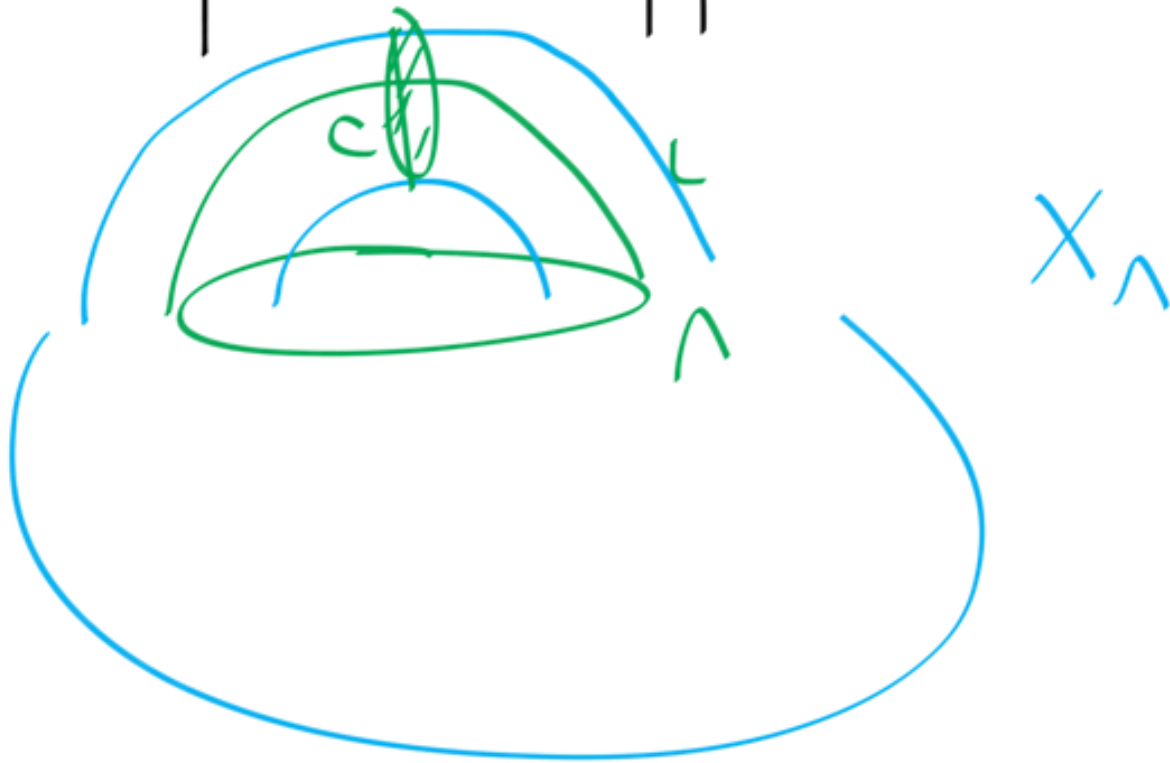


With \mathbb{C} -coeff $da = 0$

$$CE(\Lambda) \approx \mathbb{C}[a]$$

Legendrian surgery and Floer cohomology

$CE(\Lambda)$ with \mathbb{C} -coeffs
computes wrapped Floer cohomology



$$CE(\Lambda) \approx CW(c)$$

$$\Lambda = \text{unknot} \Rightarrow X_\Lambda = T^*S^n$$

$$CW(c) \approx C_*(\Omega S^n) \approx \mathbb{C}[a]$$

$$\Lambda = \text{trefoil} \Rightarrow X_\Lambda = \{1+x+y+xyz=0\}$$

$CW(\Lambda) = CE(\Lambda)$ proves
mirror symmetry for X_Λ .

$CE(\Lambda)$ with $C_x(\Omega\Lambda)$ -weft
computes $CW(c)$ in X'_Λ



$$\Lambda = \text{Leg unknot} \quad X'_\Lambda = T^*\mathbb{R}^n$$

$$CW(\Lambda) = \mathbb{Q}$$

Knot contact homology - definition

$$K \subset S^3 \quad \text{link}$$

$$L_K \subset T^*S^3$$

$$L_K = \{p \in T_K^*S^3 : p|_{TK} = 0\} \approx S^1 \times \mathbb{R}^3$$

$$\Lambda_K = L_K \cap ST^*S^3 \approx S^1 \times S^1$$

$$0 \rightarrow H_2(ST^*S^3) \rightarrow H_2(ST^*S^3, \Lambda_K) \rightarrow H_1(\Lambda_K) \rightarrow 0$$

$$t \longrightarrow t, x, p \longrightarrow x, p$$

$$\mathbb{C} [H_2(ST^*S^3, \Lambda_K)] \cong$$

$$\mathbb{C} [e^{\pm x_j}, e^{\pm p_j}, Q^{\pm 1}]_{j=1, \dots, k}$$

$$K = K_1 \cup \dots \cup K_k, \quad Q = e^t.$$

Knot contact homology

$$A_K := \mathbb{C}\mathcal{E}(\Lambda_K)$$

algebra over $\mathbb{C}[e^{\pm x_i}, e^{\pm p_i}, Q]$

Knot contact homology - loop space coefficients

K knot. Fill Λ_K w/ $L_K \subset T^*S^3$
then $Q=1$.

Use coeff in

$$C_*(\Omega \Lambda_K) \approx \mathbb{C}[e^{\pm X}, e^{\pm P}]$$

but $e^{\pm X}, e^{\pm P}$ does not

commute w/ Reeb chords

$$\text{Let } \Sigma_q = ST_q^* S^3$$

$$q \notin K$$

Thm (E.-Ng - Shende, '16)

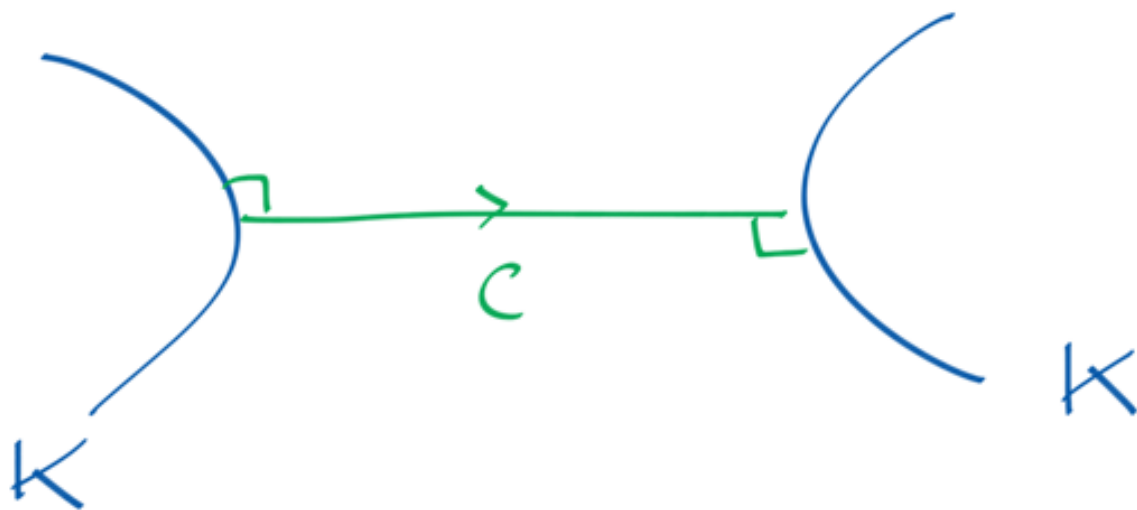
$CE(\Lambda_K \cup \Sigma_g)$ is a complete
knot invariant (knows
 $\pi_1(S^3 - K)$ & peripheral structure)

The proof uses a connection
to string topology developed
by Cieliebak - E - Latschev - Ng.

Knot contact homology - calculation

Reeb chords on $\Lambda_K \subset ST^*S^3 \sim$

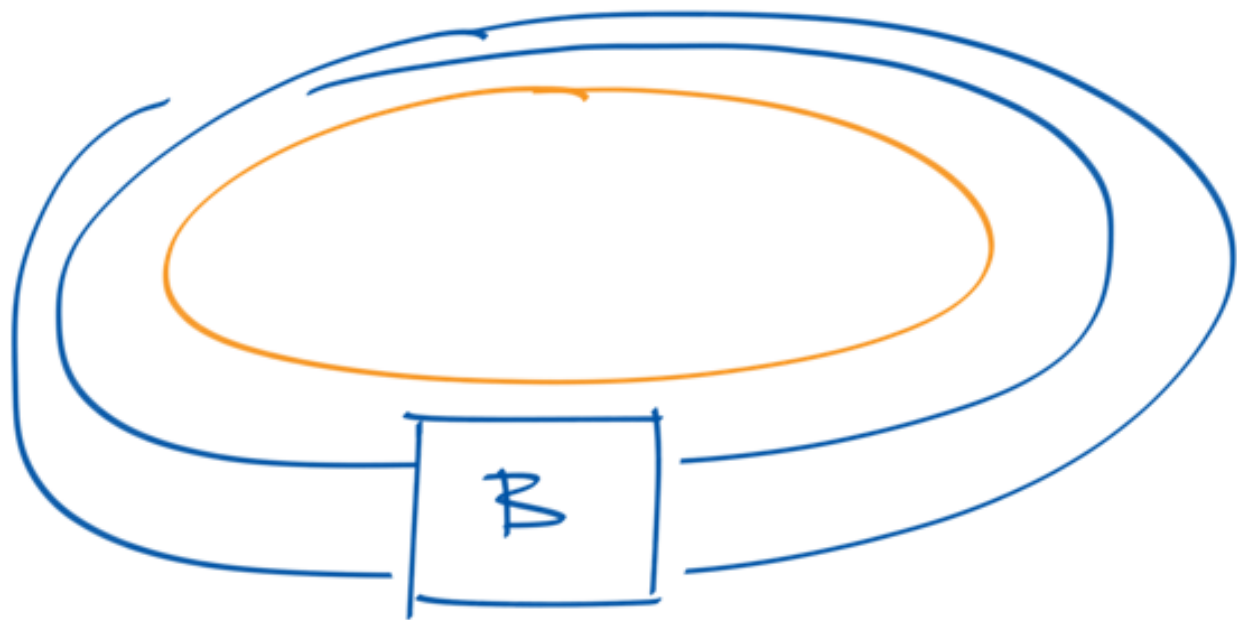
Oriented binormal geodesics on K



$|c| = \text{Morse index}(c)$

($e \in \{0, 1, 2\}$ in flat \mathbb{R}^3)

To compute \mathcal{A}_K , braid K
around the unknot



then $\Lambda_K \subset N(\Lambda_u) \approx \mathcal{J}'(\Lambda_u)$

and holomorphic disks on

Λ_K can be computed from

disks on Λ_u and flow

trees of $\Lambda_K \subset \mathcal{J}'(\Lambda_u)$.

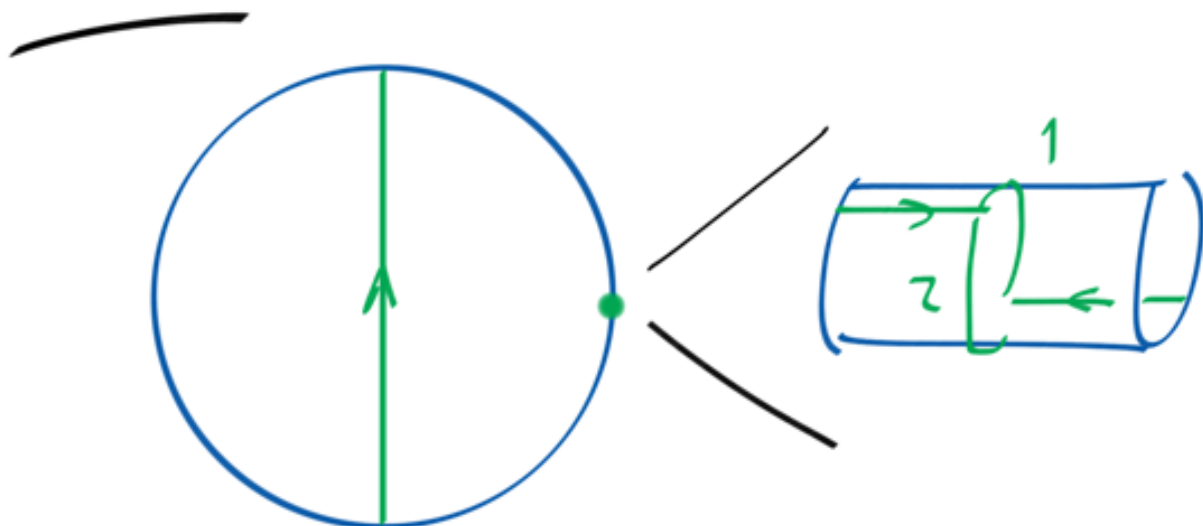
For braid on n strands:

$n(n-1)$ deg 0 chords

$n(2n-1)$ deg 1

n^2 deg 2

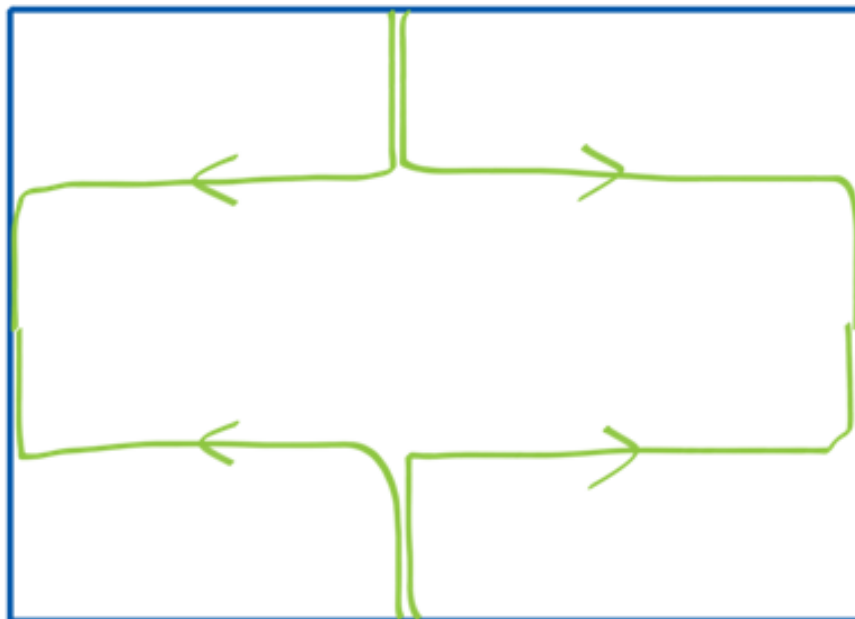
Knot contact homology of the unknot



$$\mathcal{A}_u = \mathbb{C}[e^{\pm X}, e^{\pm P}, Q^{\pm 1}] \langle c, e \rangle; |c|=1, |e|=2$$

$$\partial e = c - c = 0; \quad \partial c = 1 - e^X - e^P + Q e^X e^P$$

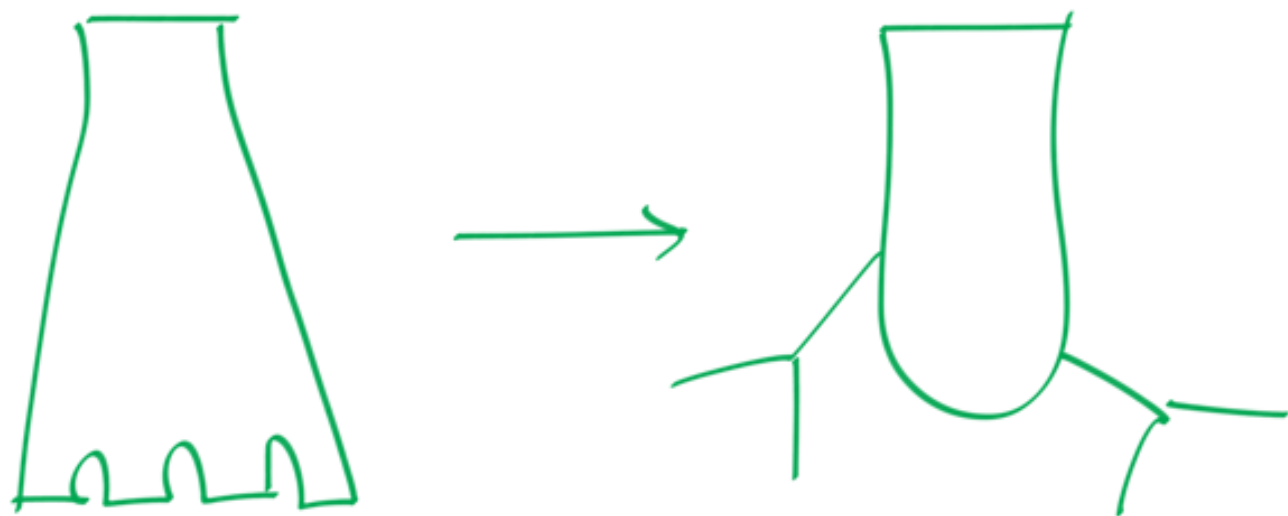
Boundaries of the four disks on the conormal



Knot contact homology of the trefoil

General: as $\Lambda_K \rightarrow \Lambda_U$

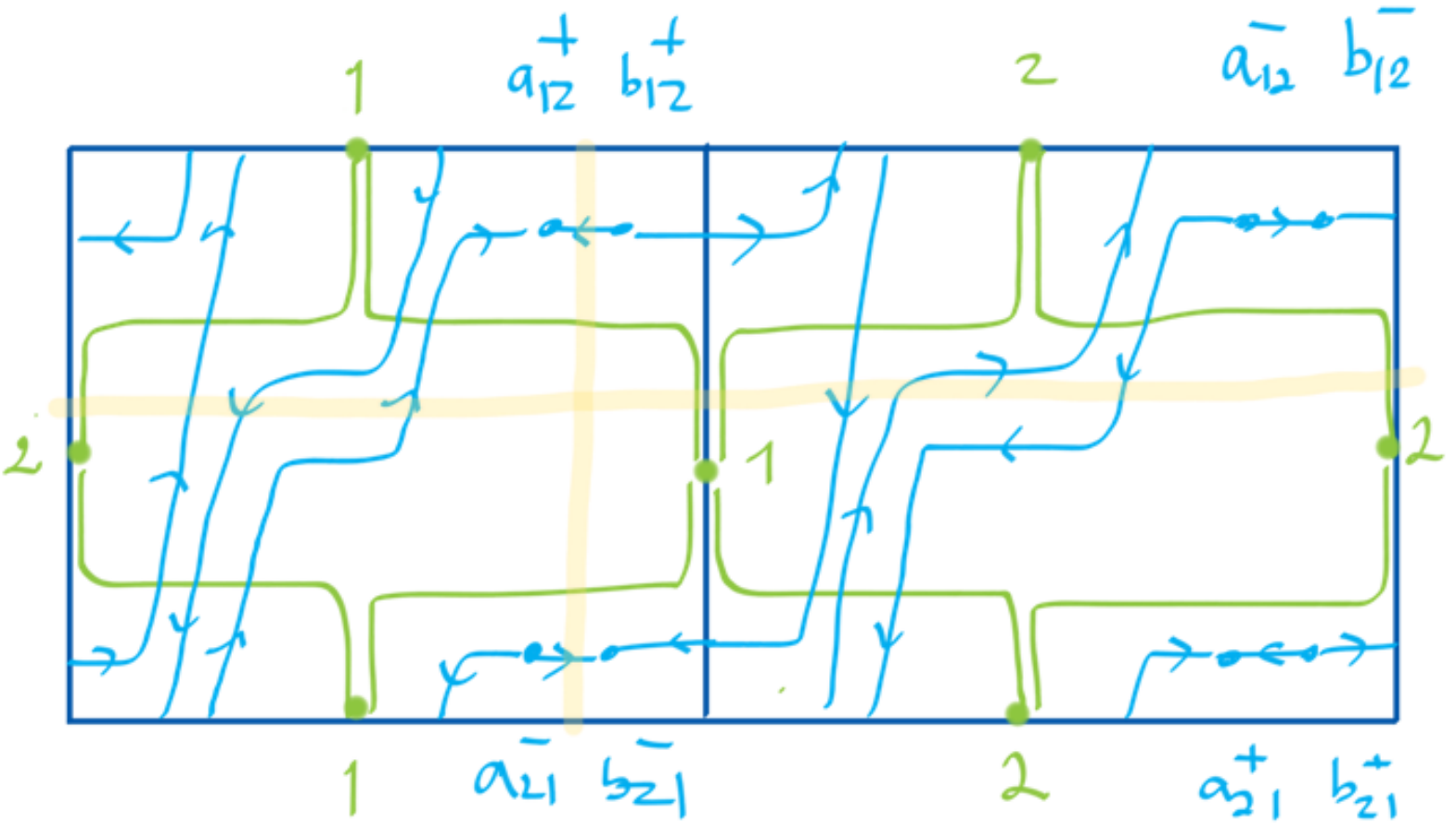
disk on $\Lambda_K \rightarrow$ disk on Λ_U w/ tree



$$\mathcal{A}_T = \mathbb{C}[e^{\pm x}, e^{\pm p}, Q^{\pm 1}] \langle a_{12}, a_{21}, b_{12}, b_{21}, c_{ij}, l_{ij} \rangle$$

$$|a_{ij}| = 0, \quad |b_{ij}| = |c_{ij}| = 1$$
$$|l_{ij}| = 2$$

$$i, j = 1, 2$$



Differential in degree 1

$$db_{12} = e^{-x} a_{12} - a_{21}$$

$$db_{21} = e^x a_{21} - a_{12}$$

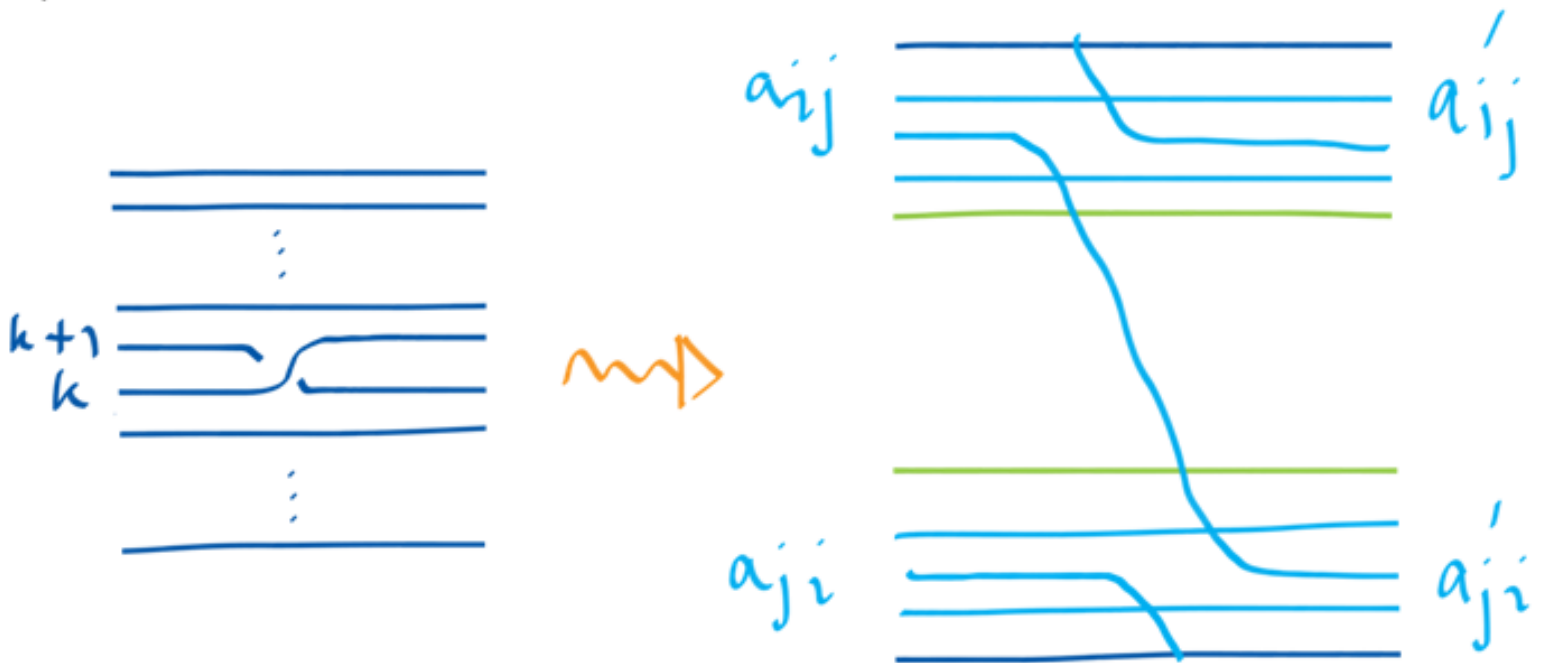
$$dc_{11} = e^p e^x - e^x - (2Q - e^p) a_{12} - Q a_{12}^2 a_{21}$$

$$dc_{12} = Q - e^p + e^p a_{12} + Q a_{12} a_{21}$$

$$dc_{21} = Q - e^p + e^p e^x a_{21} + Q a_{12} a_{21}$$

$$dc_{22} = e^p - 1 - Q a_{21} + e^p a_{12} a_{21}$$

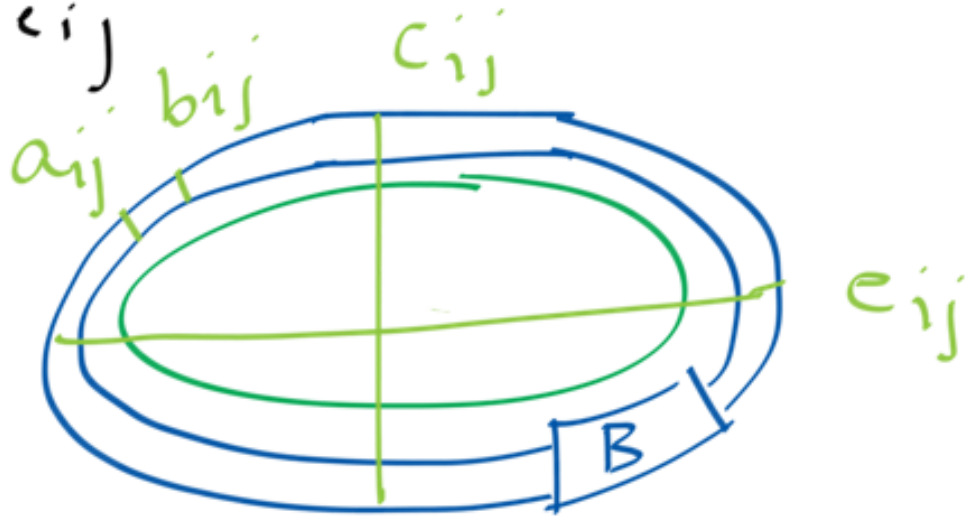
General links



A twist in the braid gives rise to a homomorphism of the algebra of degree 0 chords that is a linear change of variables except for two quadratic terms.

Composing these gives explicit matrices Φ_B^L and Φ_B^R that gives the effect of these isomorphism on an algebra corresponding to a braid with one extra trivial strand.

Write generators as $a_{ij}, b_{ij}, c_{ij}, e_{ij}$



Let $A = a_{ij}$ etc.

Then d on \mathcal{A}_K is given by

$$dA = 0$$

$$dB = -\lambda^{-1} \cdot A \cdot \lambda + \phi_B^L \cdot A \cdot \phi_B^R$$

$$dC = A \cdot \lambda + A \cdot \phi_B^R$$

$$dE = B \cdot (\phi_B^R)^{-1} + B \cdot \lambda^{-1} - \phi_B^L \cdot C \cdot \lambda^{-1} + \lambda^{-1} \cdot C \cdot (\phi_B^R)^{-1}$$

Augmentations

Consider \mathcal{A}_K as a family of algebras over

$$(\mathbb{C}^*)^{2k} \times \mathbb{C}^*$$

where pts correspond to k values of $(e^{x_j}, e^{p_j}, Q)_{j=1}^k$

An augmentation is a chain map

$$\varepsilon: \mathcal{A}_K \longrightarrow \mathbb{C}$$

$$\text{i.e. } \varepsilon \circ d = 0.$$

The augmentation variety V_K is the alg. closure of

$$\left\{ (e^{x_j}, e^{p_j}, Q)_{j=1}^k : A_K \text{ has aug.} \right\}.$$

If $k=1$ the augmentation

polynomial A_K is
the polynomial of V_K .

and can be computed
by elimination theory.

Examples

$$A_u = 1 - e^x - e^P + Q e^x e^P$$

$$A_T = (e^{4P} - e^{3P}) e^{2x}$$

$$+ (e^{4P} - Q e^{3P} + 2Q^2 e^{2P} - 2Q e^{2P} - Q^2 e^P + Q^2) e^x$$
$$+ (-Q^3 e^P + Q^4)$$

Properties

The A -polynomial divides
 $A_K |_{Q=1}$. Other factors
from flat $GL(n)$ conn.

We will eventually relate
 V_K to open string (GW-
theory).