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# Hyperbolic cubics and the geometry of the Kähler cone of smooth projective toric threefolds

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- 1 Introduction & motivation
- 2 Hyperbolic cubics & smooth projective toric 3-folds
- 3 Calculation & examples of the volume polynomial

**Main references:**

*"Properties of the moduli set of complete connected projective special real manifolds"* (DL, *Math. Z.* 303(2) (2023)),

*"Torus Actions and Their Applications in Topology and Combinatorics"* (V.M. Buchstaber and T.E. Panov, *American Mathematical Soc.* (2002)),

*"Toric Varieties"* (D.A. Cox, J.B. Little, and H.K. Schenck, *AMS Graduate Studies in Mathematics*, Vol. 124 (2011)),

*"tba"* (DL and Andrew Swann, *soon*)

## Definition

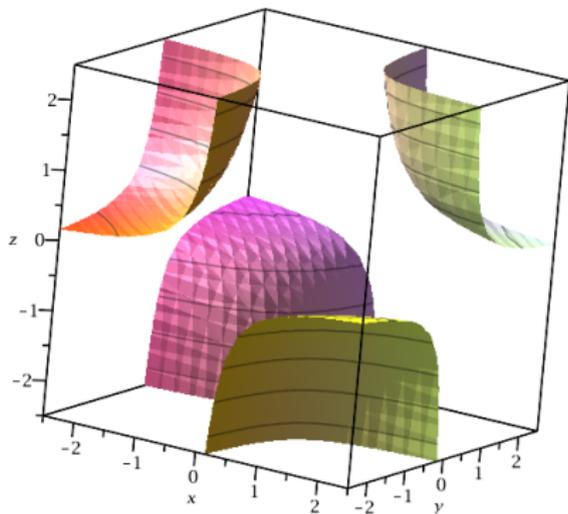
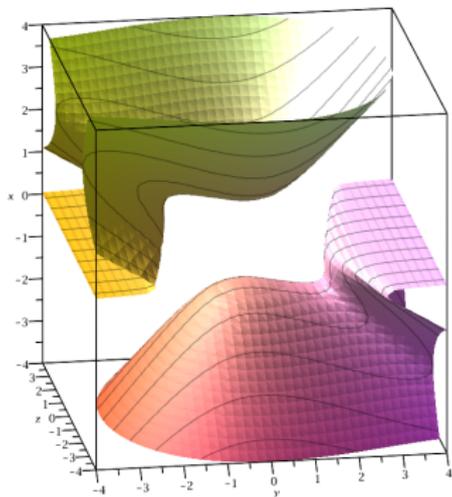
A homogeneous polynomial  $h : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  is called **hyperbolic** if  $\exists p \in \{h > 0\}$ , such that  $-\partial^2 h_p$  has **Minkowski signature**. Such a point  $p$  is called **hyperbolic point** of  $h$ .

- two hyperbolic polynomials  $h, \tilde{h}$  **equivalent** :  $\Leftrightarrow \exists A \in GL(n+1)$ , such that  $A^* \tilde{h} = h$
- there is precisely **one** equivalence class of **quadratic** hyperbolic polynomials in each dimension
- there is **no general classification** for higher degree  $\deg(h) \geq 3$
- in the following: **hyp<sub>1</sub>(h) := {hyperbolic points of h} ∩ {h = 1}**

## Definition

Open subsets of  $\text{hyp}_1(h)$  are called **projective special real (PSR)** manifolds for  $\deg(h) = 3$ , and **generalised PSR (GPSR)** manifolds for  $\deg(h) \geq 4$ .

**Example:** The **level set**  $\{h_i = 1\}$ ,  $i \in \{1, 2\}$ , for  $h_1 = x^4 - x^2(y^2 + z^2) - \frac{2\sqrt{2}}{3\sqrt{3}}xy^3$  and  $h_2 = xyz$



- **note:**  $\text{hyp}_1(h_1) \not\subseteq \{h_1 = 1\}$ ,  $\text{hyp}_1(h_2) = \{h_2 = 1\}$

## Remark

$\text{hyp}_1(h)$  admits a **natural Riemannian metric  $g$**  that is given by the restriction of

$$-\partial^2 h$$

to  $T \text{hyp}_1(h) \times T \text{hyp}_1(h)$ .

- $g$  is the **centro-affine fundamental form** determined by the centro-affine Gauß equation

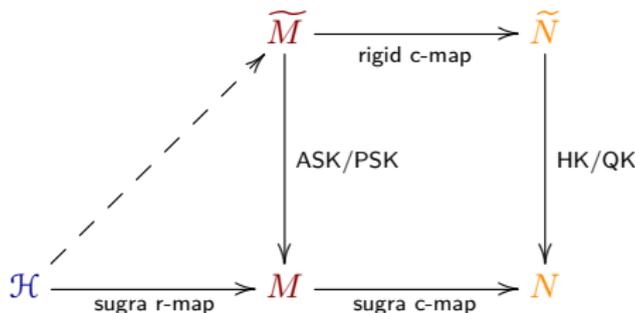
$$D_X Y = \nabla_X^{\text{ca}} Y + g(X, Y)\xi,$$

- $D =$  **flat connection** on ambient  $\mathbb{R}^{n+1}$
- $\nabla^{\text{ca}} =$  induced **centro-affine connection** in  $T \text{hyp}_1(h)$
- $\xi =$  **position vector field** in  $\mathbb{R}^{n+1}$

# Motivation 1: Supergravity

Explicit constructions of **special Kähler** and **quaternionic Kähler** manifolds:

- **supergravity r-map** constructs from given **PSR manifold**  $\mathcal{H}$  a **projective special Kähler (PSK) manifold**  $M \cong \mathbb{R}^{n+1} + i \mathbb{R}_{>0} \cdot \mathcal{H}$  [DV'92, CHM'12]
- **supergravity c-map** constructs from given **PSK manifold**  $M$  a (non-compact) **quaternionic Kähler manifold**  $N \cong M \times \mathbb{R}^{2n+5} \times \mathbb{R}_{>0}$  [FS'90]
- above constructions **preserve geodesic completeness**



## Motivation 2: Kähler geometry

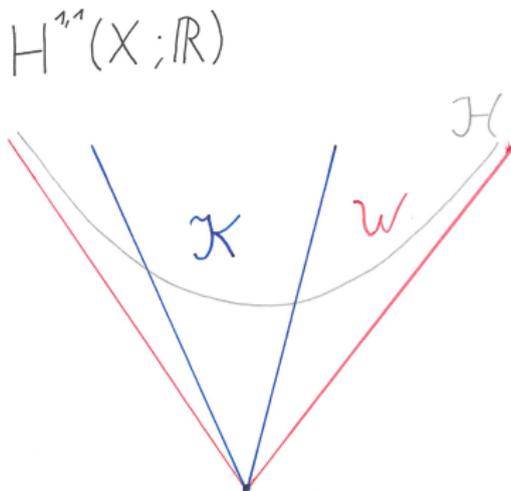
Geometry of **Kähler cones** [DP'04, W'04, TW'11]:

- for  $X$  a compact Kähler  $\tau$ -fold, the homogeneous polynomial

$$h : H^{1,1}(X; \mathbb{R}) \rightarrow \mathbb{R}, \quad [\omega] \mapsto \int_X \omega^\tau,$$

is **hyperbolic** since every point in the **Kähler cone**  $\mathcal{K} \subset H^{1,1}(X; \mathbb{R})$  is hyperbolic by the **Hodge-Riemann bilinear relations**

- $\mathcal{H} := \{h = 1\} \cap \mathcal{K}$  is a **(G)PSR manifold** for  $\tau \geq 3$
- in general,  $\mathcal{H}$  is **not** a **connected component** of  $\text{hyp}_1(h)$



### Lorentzian polynomials [BH]:

- a degree  $\tau \geq 2$  homogeneous polynomial  $h : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  is **strictly Lorentzian** if
  - (i)  $-\partial_{i_1} \dots \partial_{i_{n-1}} h$  has **Minkowski signature**  $\forall i_1, \dots, i_{n-1} \in \{1, \dots, n-1\}$
  - (ii)  $h$  has only **positive coefficients**
- **Lorentzian polynomials** := limits of Lorentzian polynomials in vector space  $\text{Sym}^\tau(\mathbb{R}^{n+1})^*$
- Lorentzian polynomials have **applications** in **matroid theory** and in the **geometry of Kähler cones** [BH]

### Remark [BH, Thm, 2.16]

Strictly Lorentzian polynomials are **hyperbolic**, i.e. **every point** in  $\mathbb{R}_{>0}^{n+1}$  is hyperbolic.

**Question 1:** Which **hyperbolic/strictly Lorentzian** polynomial can be **realised** as the volume polynomial of some compact Kähler manifold?

**Question 2:** What does the **geometry** of the volume polynomial, i.e. of the Riemannian manifold  $\text{hyp}_1(h)$ , tell us about the **underlying** Kähler manifold?

→ We take the following (hopefully **realistic**) **approach**:

- **Restriction 1: cubic** hyperbolic polynomials, respectively compact Kähler **3-folds**
- **Restriction 2: smooth projective toric 3-folds** for the considered Kähler manifolds

## Cubic hyperbolic polynomials

- **global geometry** a connected component  $\mathcal{H}$  of  $\text{hyp}_1(h)$  is **complete** w.r.t. centro-affine metric  $g$  **iff**  $\mathcal{H} \subset \mathbb{R}^{n+1}$  is **closed**
- have some **classification results** for corresponding PSR manifolds:
  - (i) **curves** [CHM'12], 3 equivalence classes (2 closed, 1 homogeneous space)
  - (ii) **surfaces** [CDL'14], 7 equivalence classes (5 + 1 one-parameter family closed, 2 homogeneous spaces)
  - (iii) **reducible**  $h$  [CDJL'17]
  - (iv) **homogeneous** PSR manifolds [DV'92]

While not completely understood in **general dimension**, the **moduli space** of hyperbolic cubics has the following characterisation:

### Theorem [L'19]

Let  $y := (y_1, \dots, y_n)^T$ , and let  $h : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  be a hyperbolic cubic. Then

- (i)  $h \cong x^3 - x(y_1^2 + \dots + y_n^2) + P_3(y)$ , where  $P_3 : \mathbb{R}^n \rightarrow \mathbb{R}$  is homogeneous of degree 3
- (ii)  $\text{hyp}_1(h)$  contains a **complete** connected component **iff**  $\exists$  choice for  $P_3$ , such that  $\|P_3\| := \max_{|y|=1} P_3(y) \leq \frac{2}{3\sqrt{3}}$ .

- can **roughly** split up study of the **moduli space** of hyperbolic cubics  $h$  in **standard form**  $x^3 - x\langle y, y \rangle + P_3(y)$  into whether  $\|P_3\| \leq \frac{2}{3\sqrt{3}}$ , or  $\|P_3\| > \frac{2}{3\sqrt{3}}$
- if  $h$  is in **standard form**,  $P_3$ -term gives **information** about the connected component of  $\text{hyp}_1(h)$  that contains  $(x, y) = (1, 0)$
- the **standard form** with  $\|P_3\| \leq \frac{2}{3\sqrt{3}}$  allows us to describe the **asymptotic geometry** of complete connected components of  $\text{hyp}_1(h)$ , these are **again** complete PSR manifolds [L'20] and describe the **boundary points** of  $\text{GL}(n+1)$ -orbits in the **moduli space**.

- for our purpose, need the **fan picture** to describe torics
- toric 3-folds  $X$  are described by their **moment polytope**  $M$  in  $\mathbb{R}^3$
- alternatively, describe  $X$  by the **fan**  $\Sigma$  with cones spanned by the faces/edges/vertices of the **dual polytope**  $N$

### Remark [BP]

A toric 3-fold  $X_\Sigma$  corresponding to a finite fan  $\Sigma$  in  $\mathbb{R}^3$  is **smooth** & **projective** if  $\Sigma$  is

- (i) **complete**, i.e. the union of the cones in  $\Sigma$  is  $\mathbb{R}^3$ ,
- (ii) **simplicial**, i.e. the generators  $\eta_1, \dots, \eta_m$  of the rays in  $\Sigma$  are contained in an **integer lattice**, such that for each 3-d. cone  $C(\eta_i, \eta_j, \eta_k)$  in  $\Sigma$ , we have

$$|\det(\eta_i | \eta_j | \eta_k)| = 1.$$

## Calculating the volume polynomial

**Question:** How do we calculate the **volume polynomial**  $h$  of  $X_\Sigma$  from the combinatorial data in  $\Sigma$ ?

### Theorem [BP, CLS]

Let  $X_\Sigma$  be a smooth projective toric 3-fold with fan  $\Sigma$ . Let  $\eta_1, \dots, \eta_m$  denote the generators of the **rays** in  $\Sigma$ , and assign a **formal variable**  $v_i$  to each  $\eta_i$ . Then there is a **ring isomorphism**

$$H^*(X_\Sigma, \mathbb{Z}) \cong \mathbb{Z}[v_1, \dots, v_m] / (I_\Sigma + J_\Sigma)$$

where

- the  $v_i$  on the right hand side are of **degree two**
- $I_\Sigma$  is the **Stanley-Reisner ring** (or: face ring) of  $\Sigma$ , i.e.

$$I_\Sigma := (v_{i_1} \dots v_{i_n} \mid i_j \neq i_k, C(\eta_{i_1}, \dots, \eta_{i_n}) \notin \Sigma),$$

- $J_\Sigma$  is the ideal generated by solutions of

$$(\eta_1 \mid \dots \mid \eta_m) \begin{pmatrix} v_1 \\ \vdots \\ v_m \end{pmatrix} = 0$$

**note:**

- $m \geq 4$ , otherwise **completeness** cannot be satisfied
- $H^*(X_\Sigma, \mathbb{R}) \cong H^*(X_\Sigma, \mathbb{Z}) \otimes \mathbb{R}$
- $H^2(X_\Sigma, \mathbb{Z}) \cong H^{1,1}(X_\Sigma, \mathbb{Z})$

$\leadsto$  in the following, will assume **wlog** that  $(\eta_{m-2}|\eta_{m-1}|\eta_m) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$ , can always be obtained via acting with  $SL(2, \mathbb{Z})$

### Calculating $h_\Sigma$

With our assumptions,  $\{[v_1], \dots, [v_{m-3}]\}$  is a **basis** of  $H^{1,1}(X_\Sigma, \mathbb{R})$ . Since  $H^3(X_\Sigma, \mathbb{R})$  is **1-dimensional**, we have

$$h_\Sigma = h_\Sigma(x_1, \dots, x_{m-3}) = \left( \sum_{i=1}^{m-3} x_i [v_i] \right)^3 .$$

## Example 1

$\mathbb{C}P^3$  is **smooth projective toric**, and one fan  $\Sigma$  is given by

$$\begin{aligned}\Sigma = & \{C(e_1, e_2, e_3), C(e_1, e_2, \eta), C(e_1, \eta, e_3), C(\eta, e_2, e_3)\} \\ & \cup \{C(e_1, e_2), C(e_1, e_3), C(e_1, \eta), C(e_2, e_3), C(e_2, \eta), C(e_3, \eta)\} \\ & \cup \{C(e_1), C(e_2), C(e_3), C(\eta)\},\end{aligned}$$

$\eta = -e_1 - e_2 - e_3$ . Then

$$h_{\Sigma} = x_1^3[v_1^3].$$

$\leadsto$  as expected, but **boring** (for *our* purposes)

## Example 2

$(\mathbb{C}P^1)^3$  is **smooth projective toric**, and one fan  $\Sigma$  is determined by its **3-dimensional cones**

$$\text{3-d. cones of } \Sigma = C(\pm e_1, \pm e_2, \pm e_3).$$

The volume polynomial is given by

$$\begin{aligned} h_\Sigma &= (x_1[v_1] + x_2[v_2] + x_3[v_3])^3 \\ &= 3x_1x_2x_3[v_1v_2v_3]. \end{aligned}$$

$\leadsto$  to **actually find** the above polynomial, make use of

$$\begin{aligned} I_\Sigma &= (v_1v_4, v_2v_5, v_3v_6), \\ J_\Sigma &= (v_4 - v_1, v_5 - v_2, v_6 - v_3), \end{aligned}$$

$$\Rightarrow [v_i^2] = [0] \text{ for all } 1 \leq i \leq 3$$

$\leadsto \text{hyp}_1(h_\Sigma)$  is a **homogeneous surface**, which is **flat** w.r.t. centro-affine fundamental form

# Blowup construction on the level of fans

- in order to make use of the **toric minimal model programme (tmmp)**, we need to understand blowups at a **point** and along **curves**, and “flips” on the level of **fans**
- need to **make sure** to stay in class of **smooth projective toric 3-folds**

## Blowup in a point

Blowing up  $X_\Sigma$  in a **point** correspond to

- choosing a 3-d. cone  $C(\eta_i, \eta_j, \eta_k)$  in  $\Sigma$
- constructing a **new ray**  $\eta_{m+1} = \eta_i + \eta_j + \eta_k$
- building a **new fan**  $\Sigma'$  via

$$\begin{aligned} \text{3-d. cones of } \Sigma' &= \text{3-d. cones of } \Sigma \setminus \{C(\eta_i, \eta_j, \eta_k)\} \\ &\cup \{C(\eta_i, \eta_j, \eta_{m+1}), C(\eta_i, \eta_{m+1}, \eta_k), C(\eta_{m+1}, \eta_j, \eta_k)\} \end{aligned}$$

- this **completely** determines  $\Sigma'$
- $\Sigma'$  is **complete & simplicial**, hence  $X_{\Sigma'}$  is a **smooth projective toric 3-fold**

↪ the above process is a **certain type** of **star subdivision**

### Proposition (DL, AS)

Let  $\Sigma$  be a complete simplicial fan. Suppose  $\Sigma'$  is obtained via a one-point blowup (in the tmpp). Then

- (i)  $h_{\Sigma'} \cong h_{\Sigma} + x_{m+1}^3$ , (which is **nice**)
- (ii) **every** connected component of  $\text{hyp}_1(h_{\Sigma'})$  is **not closed** in  $\mathbb{R}^{m-2}$

#### Proof sketch:

- (i) follows from a **calculation** and uses that  $\frac{1}{6}\partial^3 h_{\Sigma}(U, V, W) = [UVW]$  and that  $h_{\Sigma'}$  is **hyperbolic**
- the second point (ii) follows from the fact that for all planes  $E \subset \mathbb{R}^{m-2}$ , such that  $E \not\subset \{x_{m+1} = 0\}$ ,  $h_{\Sigma'}|_E$  is **equivalent** to  $x^3 + y^3$
- $\text{hyp}_1(x^3 + y^3)$  has two **isometric, non-closed** connected components

$\leadsto$  next, blowing up along a **curve**

## Blowup in along a curve

Blowing up  $X_\Sigma$  along a **curve** correspond to

- choosing two 3-d. cones  $C(\eta_i, \eta_j, \eta_k), C(\eta_i, \eta_\ell, \eta_k)$  in  $\Sigma$ , so that  $C(\eta_i, \eta_k) \in \Sigma$ , and  $\eta_\ell = -\eta_j + A\eta_i + B\eta_k$
- constructing a **new ray**  $\eta_{m+1} = \eta_i + \eta_k$
- building a **new fan**  $\Sigma'$  via

$$\begin{aligned} \text{3-d. cones of } \Sigma' &= \text{3-d. cones of } \Sigma \setminus \{C(\eta_i, \eta_j, \eta_k), C(\eta_i, \eta_\ell, \eta_k)\} \\ &\cup \{C(\eta_i, \eta_j, \eta_{m+1}), C(\eta_j, \eta_k, \eta_{m+1}), \\ &\quad C(\eta_i, \eta_\ell, \eta_{m+1}), C(\eta_k, \eta_\ell, \eta_{m+1})\} \end{aligned}$$

- this **completely** determines  $\Sigma'$
- $\Sigma'$  is **complete & simplicial**, hence  $X_{\Sigma'}$  is a **smooth projective toric 3-fold**

↷ the above is **another type** of **star subdivision**

↪ unfortunately, the situation is more complicated when looking at  $h_{\Sigma'}$  compared to the one-point blowup:

### Proposition (DL,AS)

Let  $\Sigma$  be a complete simplicial fan. Suppose  $\Sigma'$  is obtained via a one-point blowup (in the tmpp). **Wlog** assume that the **new ray** corresponds to the two 3-d. cones

$$C(e_1, e_2, e_3), \quad C(e_1, -e_2 + ae_1 + ce_3, e_3), \quad \eta_{m+1} = e_1 + e_3.$$

Let further  $\bar{N} = (\eta_1 | \dots | \eta_{m-4})$ ,  $\tilde{v} = ([v_1], \dots, [v_{m-4}])^T$ . Then

$$\begin{aligned} h_{\Sigma'} = h_{\Sigma} + & \left( -\frac{3(a+c+1)}{ac} x_{m-3}^2 x_{m+1} + 3x_{m-3} x_{m+1}^2 + x_{m+1}^3 \right) \\ & \cdot \left( \frac{ac}{a^2 + ac + c^2 + a + c} ([e_1^*(\bar{N}\tilde{v})e_3^*(\bar{N}\tilde{v})v_{m-3}] \right. \\ & \quad \left. + [(ae_3^*(\bar{N}\tilde{v}) + ce_1^*(\bar{N}\tilde{v}))v_{m-3}^2] \right) \\ & \left. + \frac{a^2 c^2}{a^2 + ac + c^2 + a + c} [v_{m-3}^3] \right). \end{aligned}$$

↪ no **easy to see general conclusion** (for now)

Since there is no nice **general result** yet, we consider two examples:

### Blowup of $\mathbb{C}P^3$ along a curve

We have

$$\text{3-d. cones of } \Sigma = \{C(e_1, e_2, e_3), C(e_1, e_2, \eta), C(e_1, \eta, e_3), C(\eta, e_2, e_3)\},$$

and

$$\begin{aligned} \text{3-d. cones of } \Sigma' &= \text{3-d. cones of } \Sigma \setminus \{C(e_1, e_2, e_3), C(e_1, \eta, e_3), C(e_1, e_3)\} \\ &\cup \{C(e_1, e_2, \mu), C(e_1, \eta, \mu), C(e_2, e_3, \mu), C(e_3, \eta, \mu)\} \end{aligned}$$

where  $\mu = e_1 + e_3$ . With

$$I_\Sigma = (v_1 v_2 v_3 v_4), J_\Sigma = (v_2 - v_1, v_3 - v_1, v_4 - v_1),$$

$$I_{\Sigma'} = (v_2 v_4, v_1 v_3 v_5), J_{\Sigma'} = (v_2 - v_1 + v_5, v_3 - v_1, v_4 - v_1 + v_5).$$

we obtain

$$h_{\Sigma'} = h_\Sigma + (-3x_1 x_5^2 - 2x_5^3)[v_1^3].$$

- $h_{\Sigma'} \cong x^3 - xy^2 + \frac{2}{3\sqrt{3}}y^3$
- $\text{hyp}_1(h_{\Sigma'})$  is a **homogeneous space**.

## Blowup of $(\mathbb{C}P^1)^3$ along a curve

Modulo **calculations**, we obtain

$$h_{\Sigma} = x_1 x_2 x_3 [v_1 v_2 v_3],$$

$$h_{\Sigma'} = 3x_2 (x_1 x_3 - x_7^2) [v_1 v_2 v_3].$$

- $\text{hyp}_1(h_{\Sigma})$  has 4 equivalent **connected components** and is a **homogeneous space** (*flat*) [CDL'14]
- $\text{hyp}_1(h'_{\Sigma})$  has 2 equivalent **connected components** and, again, is a **homogeneous space** (*constant negative curvature*) [CDJL'17]

# What type of result can we expect in general, including flips?

We conjecture that the following holds:

## Conjecture

Let  $h_\Sigma$  be the volume cubic of a **smooth projective toric 3-fold**. Then for **any standard form**  $x^3 - x\langle y, y \rangle + P_3(y)$  of  $h_\Sigma$ , such that  $(x, y) = (1, 0)$  is a Kähler class,  $P_3$  fulfils **either**

$$\|P_3\| = \frac{2}{3\sqrt{3}}, \quad \text{hyp}_1(h_\Sigma) \text{ is a } \mathbf{homogeneous \ space},$$

**or**

$$\|P_3\| > \frac{2}{3\sqrt{3}}, \quad \text{c.c. of } \text{hyp}_1(h_\Sigma) \text{ containing a Kähler class is } \mathbf{incomplete}.$$

$\leadsto$  if **true**, the above would disqualify *most* smooth projective toric 3-folds as **toy models** for **supergravity**

**Thank you for your attention!**

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