

# Introduction To Lie algebras

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# 1 Lie algebras

## 1.1 Definition and examples

**Definition 1.1.** A Lie algebra is a vector space  $\mathfrak{g}$  over a field  $F$  with an operation  $[\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  which we call a *Lie bracket*, such that the following axioms are satisfied:

- It is bilinear.
- It is *skew symmetric*:  $[x, x] = 0$  which implies  $[x, y] = -[y, x]$  for all  $x, y \in \mathfrak{g}$ .
- It satisfies the *Jacobi Identity*:  $[x, [y, z]] + [y, [z, x]] + [z, [x, y]] = 0$ .

**Definition 1.2.** A *Lie algebra Homomorphism* is a linear map  $H \in \text{Hom}(\mathfrak{g}, \mathfrak{h})$  between two Lie algebras  $\mathfrak{g}$  and  $\mathfrak{h}$  such that it is compatible with the Lie bracket:

$$H : \mathfrak{g} \rightarrow \mathfrak{h} \quad \text{and} \quad H([x, y]) = [H(x), H(y)]$$

**Example 1.1.** Any vector space can be made into a Lie algebra with the *trivial bracket*:

$$[v, w] = 0$$

for all  $v, w \in V$ .

**Example 1.2.** Let  $\mathfrak{g}$  be a Lie algebra over a field  $F$ . We take any nonzero element  $x \in \mathfrak{g}$  and construct the space spanned by  $x$ , we denote it by  $Fx$ . This is an abelian one dimensional Lie algebra: Let  $a, b \in Fx$ . We compute the Lie bracket.

$$[\alpha x, \beta x] = \alpha\beta[x, x] = 0.$$

where  $\alpha, \beta \in F$ . Note: This shows in particular that all one dimensional Lie algebras have a trivial bracket.

**Example 1.3.** Any associative algebra  $\mathfrak{A}$  can be made into a Lie algebra by taking commutator as the Lie bracket:

$$[x, y] = xy - yx$$

for all  $x, y \in \mathfrak{A}$ .

**Example 1.4.** Let  $V$  be any vector space. The space of  $\text{End}(V)$  forms an associative algebra under function composition. It is also a Lie algebra with the commutator as the Lie bracket. Whenever we think of it as a Lie algebra we denote it by  $\mathfrak{gl}(V)$ . This is the General Linear Lie algebra.

**Example 1.5.** Let  $V$  be a finite dimensional vector space over a field  $F$ . Then we identify the Lie algebra  $\mathfrak{gl}(V)$  with set of  $n \times n$  matrices  $\mathfrak{gl}_n(F)$ , where  $n$  is the dimension of  $V$ . The set of all matrices with the trace zero  $\mathfrak{sl}_n(F)$  is a subalgebra of  $\mathfrak{gl}_n(F)$ .

**Example 1.6.** The set of anti symmetric matrices with the trace zero denoted by  $\mathfrak{so}_n$  forms a Lie algebra under the commutator as the Lie bracket .

**Example 1.7. Heisenberg algebra:** We look at the vector space  $\mathfrak{h}$  generated over  $F$  by the matrices:

$$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

This is a linear subspace of  $\mathfrak{gl}_3(F)$  and becomes a Lie algebra under the commutator bracket. The fact that  $\mathfrak{h}$  is closed under the commutator bracket follows from the well-known commutator identity on the standard basis of  $n \times n$  matrices:

$$[E_{ij}, E_{kl}] = \delta_{jk}E_{il} - \delta_{li}E_{kj}$$

where  $\delta_{ij}$  is the Kronecker delta.

## 1.2 Some Basic Notions

**Definition 1.3.** Let  $\mathfrak{g}$  be a Lie algebra over  $F$ . Then a linear subspace  $U \subseteq \mathfrak{g}$  is a **Lie subalgebra** if  $U$  is closed under the Lie bracket of  $\mathfrak{g}$ :

$$[x, y] \in U$$

for all  $x, y \in U$ .

**Definition 1.4.** Let  $I$  be a linear subspace of a Lie algebra  $\mathfrak{g}$ . Then  $I$  is an **ideal** of  $\mathfrak{g}$  if

$$[x, y] \in I$$

whenever  $x \in I$  and  $y \in \mathfrak{g}$ .

**Definition 1.5.** A Lie algebra  $\mathfrak{g}$  is called **abelian** if the Lie bracket vanishes for all elements in  $\mathfrak{g}$ :

$$[x, y] = 0$$

for all  $x, y \in \mathfrak{g}$ .

**Definition 1.6.** Let  $U$  be a non empty subset of  $\mathfrak{g}$ , we call  $\langle U \rangle$  **the Lie subalgebra (ideal) generated by  $U$** , where:

$$\langle U \rangle = \bigcap \{I \subseteq \mathfrak{g} : I \text{ is Lie subalgebra (ideal) containing } U\}$$

## 2 Free Lie Algebras

Let  $X$  be a set. We define  $W_X = \bigoplus_{x \in X} F$ , where  $F$  is an arbitrary field. Then we denote the tensor algebra of  $W_X$  by  $TW_X$  which is as well a Lie algebra. **The Free Lie algebra** on  $X$  is the Lie subalgebra in  $TW_X$  generated by  $X$ . Where  $X$  can be canonically embedded into  $W_X$  via the map:

$$\begin{aligned} f : X &\rightarrow W_X \\ x &\mapsto e_x \end{aligned}$$

.

## 3 Representations

A representation of a Lie algebra  $\mathfrak{g}$  is a Lie algebra homomorphism from  $\mathfrak{g}$  to the Lie algebra  $End(V)$ :

$$\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V).$$

**Definition 3.1.** For a Lie algebra  $\mathfrak{g}$  and any  $x \in \mathfrak{g}$  we define a map

$$ad_x : \mathfrak{g} \rightarrow \mathfrak{g}, \quad y \mapsto [x, y]$$

which is the **adjoint action**.

Every Lie algebra has a representation on itself, the **adjoint representation** defined via the map:

$$\begin{aligned} ad : \mathfrak{g} &\rightarrow \mathfrak{gl}(\mathfrak{g}) \\ x &\mapsto ad_x \end{aligned}$$

.

**Definition 3.2.** For two representations of a Lie algebra  $\mathfrak{g}$ ,  $\phi : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  and  $\phi' : \mathfrak{g} \rightarrow \mathfrak{gl}(V')$  a **morphism** from  $\phi$  to  $\phi'$  is a linear map  $\psi : V \rightarrow V'$  such that it is compatible with the action of  $\mathfrak{g}$  on  $V$  and  $V'$ :

$$\phi'(x)\psi = \psi\phi(x)$$

For all  $x \in \mathfrak{g}$ . This constitutes the category  $\mathfrak{g} - \mathbf{Mod}$ .

## 4 The Universal Enveloping Algebra

For any associative algebra we construct a Lie algebra by taking the commutator as the Lie bracket. Now let us think in the reverse direction. We want to see if we can construct an associative algebra from a given Lie algebra and its consequences. With this construction, instead of non-associative structures; Lie algebras, we can work with nicer and better developed structures: Unital associative algebras that captures the important properties of our Lie algebra.

### 4.1 Constructing $U(\mathfrak{g})$

Let us construct the tensor algebra of the Lie algebra  $\mathfrak{g}$ :

$$T\mathfrak{g} = \bigoplus_{k=0}^{\infty} T^k\mathfrak{g} = \bigoplus_{k=0}^{\infty} \underbrace{\mathfrak{g} \otimes \dots \otimes \mathfrak{g}}_{k \text{ times}}$$

We look at the two sided ideal  $I$  generated by :  $g \otimes h - h \otimes g - [g, h]$  For  $g, h \in T\mathfrak{g}$ . Its elements look like:

$$\sum_{i=0}^k c_i (x_1^{(i)} \otimes \dots \otimes x_{n_i}^{(i)}) \otimes (g_i \otimes h_i - h_i \otimes g_i - [g_i, h_i]) \otimes (y_1^{(i)} \otimes \dots \otimes y_{n_i}^{(i)})$$

for  $g_i, h_i \in T\mathfrak{g}$ .

Now the universal enveloping algebra is constructed by taking the quotient of our tensor algebra:  $U(\mathfrak{g}) = T(\mathfrak{g})/I$ .

**Definition 4.1.** For two ring homomorphisms  $S : U(\mathfrak{g}) \rightarrow \text{End}(W)$  and  $S' : U(\mathfrak{g}) \rightarrow \text{End}(W')$  a morphism from  $S$  to  $S'$  is a map  $t : W \rightarrow W'$  such that it is compatible with the action of  $U(\mathfrak{g})$  on  $W$  and  $W'$ :

$$S'(x)t = tS(x).$$

This constitutes the category  $U(\mathfrak{g}) - \text{Mod}$ .

**Theorem 4.1.** To each representation  $\phi : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  we can associate some  $S_\phi : U(\mathfrak{g}) \rightarrow \text{End}(V)$  and to each ring homomorphism  $S : U(\mathfrak{g}) \rightarrow \text{End}(V)$  we can associate some  $\phi_S : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ , such that a morphism  $\psi$  from  $\phi$  to  $\phi'$  is also a morphism from  $S_\phi$  to  $S'_{\phi'}$  and a morphism  $t$  from  $S$  to  $S'$  is also a morphism from  $\phi_S$  to  $\phi'_S$ , and  $\phi_{S_\phi} = \phi$  and  $S_{\phi_S} = S$ .

*Remark.* This is equivalent as to say  $\mathfrak{g}$ -Mod is equivalent to  $U(\mathfrak{g})$ -Mod.

*Proof.* Suppose we are given a representation  $\phi$ , we want to construct  $S_\phi$  such that it will satisfy the properties of a ring homomorphism, that is:

- (1)  $S_\phi(1) = 1$
- (2)  $S_\phi(r + r') = S_\phi(r) + S_\phi(r')$
- (3)  $S_\phi(rr') = S_\phi(r)S_\phi(r')$

We define  $S_\phi$  as follows:

$$S_\phi(1) = 1$$

So that (1) holds. We consider an element of  $x_1 \otimes \dots \otimes x_n \in U(\mathfrak{g})$ , we define  $S$  on  $x_1 \otimes \dots \otimes x_n$ :

$$S_\phi(x_1 \otimes \dots \otimes x_n) = \phi(x_1) \circ \phi(x_2) \dots \circ \phi(x_n).$$

Now we define  $S$  on the rest of  $U(\mathfrak{g})$  by linear extension:

$$S_\phi(x_1 \otimes \dots \otimes x_n + y_1 \otimes \dots \otimes y_m) = S_\phi(x_1 \otimes \dots \otimes x_n) + S_\phi(y_1 \otimes \dots \otimes y_m)$$

It is clear that (2) is also satisfied. We show that (3) is satisfied as well:

$$S_\phi(x_1 \otimes \dots \otimes x_n \otimes y_1 \otimes \dots \otimes y_m) = \phi(x_1) \circ \dots \circ \phi(x_n) \circ \phi(y_1) \dots \circ \phi(y_m) = S_\phi(x_1 \otimes \dots \otimes x_n) \circ S_\phi(y_1 \otimes \dots \otimes y_m)$$

Now suppose we have  $S$  and we want to define  $\phi_S$ :

$$\phi_S(x) = S(x)$$

we want to show that its a Lie algebra representation:

$$\phi_S([x, y]) = S(x \otimes y - y \otimes x) = S(x \otimes y) - S(y \otimes x) = S(x) \circ S(y) - S(y) \circ S(x) = \phi_S(x) \circ \phi_S(y) - \phi_S(y) \circ \phi_S(x)$$

Now we will show if  $\psi$  is a morphism from  $\phi$  to  $\phi'$  then it is also a morphism from  $S_\phi$  to  $S_{\phi'}$ . By definition  $S_\phi = \phi$ , Thus:

$$S_{\phi'}(x)\psi = \psi \circ S(x).$$

Taking another element  $x \otimes y \in U(\mathfrak{g}) - Mod$ , we will have:

$$S_{\phi'}(x \otimes y)\psi = \psi \circ S(x \otimes y)$$

$$S'(x) \circ S'(y) \circ \psi = S'(x) \circ \psi \circ S(y) = \psi \circ s(x) \circ S(y) = \psi \circ S(x \otimes y)$$

From the equation above it is obvious that this holds for any arbitrary element  $x_1 \otimes \dots \otimes x_n \in U(\mathfrak{g})$ . That is we have:

$$S_{\phi'}(x_1 \otimes \dots \otimes x_n)\psi = \psi \circ S_\phi(x_1 \otimes \dots \otimes x_n)$$

The other way around to show that  $t$  is also a morphism from  $\phi$  to  $\phi'$  is obvious by using the one to one correspondence between  $\phi$  and  $s$ .

The only thing left is to show that  $\phi_{S_\phi}(x) = \phi(x)$  and  $S_{\phi_S}(X) = S(x)$ . For the first case we mean that if we start from  $\phi$  go to  $\phi_S$  and then to  $\phi_{S_\phi}$  we get the same  $\phi$ . Let's look at the definition of  $\phi_{S_\phi}$ . It is a Lie algebra representation that we get from the ring homomorphism  $S_\phi$ . by defining  $\phi_{S_\phi}(x) := S_\phi(x)$ , and we defined  $S_\phi(x) = \phi(x)$ . Therefore:  $\phi_{S_\phi}(X) = \phi(x)$ .

To show  $S_{\phi_S}(x) = S(x)$ , we use the same trick. By definition:

$$S_{\phi_S}(x_1 \otimes \dots \otimes x_n) = \phi_S(x_1) \circ \dots \circ \phi(x_n)$$

We insert the definition of  $\phi_S(x)$ :

$$\phi_S(x_1) \circ \dots \circ \phi_S(x_n) = S(x_1) \circ \dots \circ S(x_n)$$

but since  $S$  is a ring homomorphism, we have that it is multiplicative:

$$S(x_1) \circ \dots \circ S(x_n) = S(x_1 \otimes \dots \otimes x_n).$$

Therefore  $S_{\phi_S}(x) = S(x)$ . □

## 5 Simple Lie algebras, Semisimple Lie algebras, Killing form, Cartan criterion for semisimplicity

**Definition 5.1.** A non abelian Lie algebra  $\mathfrak{g}$  is called *simple* if it has no non trivial ideals.

**Definition 5.2.** We define a Lie algebra  $\mathfrak{g}$  to be *semisimple* if it is the finite direct sum of simple Lie algebras  $\mathfrak{g}_i$ :

$$\mathfrak{g} = \mathfrak{g}_1 \oplus \mathfrak{g}_2 \dots \oplus \mathfrak{g}_n.$$

**Definition 5.3.** Let  $\mathfrak{g}$  be a *finite* dimensional Lie algebra over a field  $F$ . *The Killing form*  $\kappa$  is the bilinear form  $\kappa : \mathfrak{g} \times \mathfrak{g} \rightarrow F$  defined by

$$\kappa(x, y) = \text{Tr}(ad_x \circ ad_y)$$

$\forall x, y, z \in \mathfrak{g}$ . It has the following properties:

- It is bilinear.
- It is symmetric.
- It is ad invariant:

$$\kappa([y, x], z) + \kappa(x, [y, z]) = 0$$

**Definition 5.4.** The Killing form is said to be *non degenerate* if:  $\forall y = 0 \ \kappa(x, y) = 0$  implies  $x = 0$ .

**Theorem 5.1. Cartan criterion:** A Lie algebra  $\mathfrak{g}$  over a field  $F$  of characteristic zero is semisimple if and only if the Killing form is non degenerate.