

A Smooth Model for the String Group

Christoph Wockel (Hamburg)

(with Th. Nikolaus and Ch. Sachse)

May 7, 2011

Outline

A smooth Lie group model

Promoting the model to a Lie 2-group model

Comparison with other models

What is the string group?

Whitehead tower of $O(n)$:

$$\text{String}(n) \rightarrow \underbrace{\text{Spin}(n)}_{\pi_3 \cong \mathbb{Z}} \xrightarrow{\cong} \underbrace{\text{Spin}(n)}_{\pi_2 = 0} \xrightarrow{2:1} \underbrace{\text{SO}(n)}_{\pi_1 = \mathbb{Z}/2} \hookrightarrow \underbrace{O(n)}_{\pi_0 = \mathbb{Z}/2}$$

Motivation:

- ▶ Spin geometry \rightsquigarrow String geometry?
- ▶ loop space geometry
- ▶ SUSY σ -modles

Observation: If $P = f^*(E \text{Spin}(n)) \rightarrow M$ is a principal $\text{Spin}(n)$ bundle, then a lift

$$\begin{array}{ccc} & & B \text{String}(n) \\ & \nearrow \tilde{f} & \downarrow \\ M & \xrightarrow{f} & B \text{Spin}(n) \end{array}$$

exists iff $\frac{p_1}{2}(M)$ vanishes.

String group models

May replace $\text{Spin}(n)$ by an arbitrary simple 1-connected compact Lie group G .

Definition: A smooth model (for the string group) is a morphism

$$q: \text{String}_G \rightarrow G$$

of Lie groups which is a 3-connected cover (i.e. $\pi_3(\text{String}_G) = 0$ and $\pi_i(q): \pi_i(\text{String}_G) \xrightarrow{\cong} \pi_i(G)$ for $i \neq 3$). Analogously one defines topological models.

Lemma: $\ker(q)$ is a $K(\mathbb{Z}, 2)$ and thus String_G cannot be finite-dimensional.

\rightsquigarrow consider generalisations for Lie group structures on String_G :

- ▶ topological groups
- ▶ infinite-dimensional Lie groups
- ▶ Lie 2-groups (smooth group stacks)

Towards an infinite-dimensional model

Fact: $PU := PU(\ell^2)$ is a $K(\mathbb{Z}, 2)$ and a Lie group when endowed with the **norm** topology.

$\Rightarrow \exists$ a *smooth* principal PU -bundle $q: P \rightarrow G$ representing

$$1 \in [G, BPU] \cong [G, K(3, \mathbb{Z})] \cong H^3(G, \mathbb{Z}) \cong \mathbb{Z}$$

$\Rightarrow \pi_3(P) = 0$ and $\pi_i(q)$ is an isomorphism for $i \neq 3$, so $P \rightarrow G$ could serve as a string group model.

Problems:

- ▶ No explicit construction of $P \rightarrow G$ known (only existence)!
 \rightsquigarrow if anybody knows...
- ▶ No criteria for existence of Lie group structure known (compare to Spin or the abelian case)!

However, we can use $P \rightarrow G$ to construct another model.

The automorphism group of $P \rightarrow G$

Definition: $\text{Aut}(P) := \{\varphi \in \text{Diff}(P) : \forall g \in PU f(p \cdot g) = f(p) \cdot g\}$

$\rightsquigarrow Q: \text{Aut}(P) \rightarrow \text{Diff}(G)$ given by

$$\begin{array}{ccc} P & \xrightarrow{\varphi} & P \\ \downarrow & & \downarrow \\ G & \xrightarrow{Q(\varphi)} & G \end{array}$$

- ▶ $\text{Gau}(P) := \ker(Q) \cong C^\infty(P, PU)^{PU}$ is the *gauge group* of P
- ▶ There are continuous versions $\text{Aut}_c(P)$ and $\text{Gau}_c(P)$ and Q extends to

$$Q_c: \text{Aut}_c(P) \rightarrow \text{Homeo}(G)$$

Fact: $\text{Gau}(P)$, $\text{Aut}(P)$ and $\text{Diff}(G)$ are Lie groups and

$$\text{Gau}(P) \rightarrow \text{Aut}(P) \rightarrow \text{Diff}(G)_{[P]}$$

is an extension of Lie groups. The corresponding Lie algebras are $\mathcal{V}_{\text{vert}}(P)^{PU}$, $\mathcal{V}(P)^{PU}$ and $\mathcal{V}(G)$.

The Lie group model

Definition: $\text{String}_G := \text{Aut}(P)|_G$ and $\text{String}_{G,c} := \text{Aut}_c(P)|_G$, where $G \subset \text{Diff}(G)$ via left translation.

Theorem [Stolz]: $Q_c: \text{String}_{G,c} \rightarrow G$ is a topological model.

Theorem [NSW]: $Q: \text{String}_G \rightarrow G$ is a smooth model.

Proof: Show that $\text{String}_G \rightarrow \text{String}_{G,c}$ is a (weak) homotopy equivalence:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \pi_i(\text{Gau}(P)) & \longrightarrow & \pi_i(\text{String}_G) & \longrightarrow & \pi_i(G) \longrightarrow \cdots \\ & & \downarrow \cong & & \downarrow & & \parallel \\ \cdots & \longrightarrow & \pi_i(\text{Gau}_c(P)) & \longrightarrow & \pi_i(\text{String}_{G,c}) & \longrightarrow & \pi_i(G) \longrightarrow \cdots \end{array}$$

($\text{Gau}_c(P)$ has the compact-open, $\text{Gau}(P)$ the C^∞ topology). □

Note: $\text{String}_{G,c}$ cannot be turned into a Lie group, although $\text{Gau}_c(P)$ does.

Improving the model

Aim: Promote the model $\text{String}_G \rightarrow G$ to a 2-group model.

Why?

- ▶ Compare: line bundle are best studied as $U(1)$ -bundles, not as maps to $|BU(1)|$ or as \mathbb{Z} bundle gerbes.
 \rightsquigarrow This is because $U(1)$ is the *preferred* model of $K(\mathbb{Z}, 1)$!
The preferred model for $K(\mathbb{Z}, 2)$, the 2-group $U(1) \rightrightarrows *$.
- ▶ String theory predicts backgrounds with bundle-like structures having 3-forms as curvature.
 \rightsquigarrow 2-bundles (or $U(1)$ bundle gerbes) have this structure!

Definition: A (strict) Lie 2-group \mathcal{H} consists of

- ▶ a homomorphism $H \xrightarrow{\tau} K$ of Lie groups
- ▶ a smooth (right) action $K \rightarrow \text{Aut}(H)$

such that

$$\tau(h.k) = k^{-1} \cdot \tau(h) \cdot k \quad (\text{equivariance})$$

$$h.\tau(h') = h'^{-1} \cdot h \cdot h'. \quad (\text{Peiffer identity})$$

Lie 2-groups

Definition: A (strict) Lie 2-group \mathcal{H} consists of

- ▶ a homomorphism $H \xrightarrow{\tau} K$ of Lie groups
- ▶ a smooth (right) action $K \rightarrow \text{Aut}(H)$

such that

$$\tau(h.k) = k^{-1} \cdot \tau(h) \cdot k \quad (\text{equivariance})$$

$$h.\tau(h') = h'^{-1} \cdot h \cdot h'. \quad (\text{Peiffer identity})$$

Technical assumptions:

- ▶ always assume H and K to be metrisable!
- ▶ always assume that $\underline{\pi}_0(\mathcal{H}) := K/\tau(H)$ and $\underline{\pi}_1(\mathcal{H}) := \ker(\tau)$ have natural Lie group structures

First Examples:

- ▶ For K a Lie group $\{*\} \rightarrow K$ trivial (denoted again by K).
- ▶ For A an abelian Lie group $A \rightarrow \{*\}$ trivial (denoted BA).

Lie 2-group models

Note: There is the geometric realisation functor

$$|\cdot|: \text{Lie-2-Grp} \rightarrow \text{Top-Gp}$$

and $|BA|$ is the classifying space of A (whence the name). In particular, $|BU(1)|$ is a $K(\mathbb{Z}, 2)$. Moreover, $|K| = K$ (on the nose).

This allows us to define 2-group models in terms of group models:

Definition: A Lie 2-group model (for the string group) is a Lie 2-group \mathcal{H} with isomorphisms $\underline{\pi}_1(\mathcal{H}) \xrightarrow{\cong} U(1)$ and $\underline{\pi}_0(\mathcal{H}) \xrightarrow{\cong} G$ such that

$$|\mathcal{H}| \rightarrow |\underline{\pi}_0(\mathcal{H})| \xrightarrow{\cong} G$$

is a topological model.

In fact, there is a story in Lie group cohomology going on here (C. Schommer-Pries, work in progress with F. Wagemann).

Construction of the 2-group model

Recall:

- ▶ $P \rightarrow G$: principal PU -bundle (generator in $H^3(G, \mathbb{Z})$)
- ▶ $\text{String}_G \subseteq \text{Aut}(P)$, covering left multiplication $G \subset \text{Diff}(G)$

$\rightsquigarrow \text{Gau}(P) \cong C^\infty(P, PU)^{PU}$ has a universal central extension

$$C^\infty(G, U(1)) \rightarrow C^\infty(P, U)^{PU} \rightarrow \text{Gau}(P) \quad (*)$$

$\rightsquigarrow \text{String}_G \subseteq \text{Aut}(P)$ acts on $C^\infty(P, U)^{PU}$ by $f^\varphi := f \circ \varphi$. This yields a Lie 2-group

$$\begin{array}{ccc} C^\infty(P, U)^{PU} & \xrightarrow{\tau} & \text{String}_G \\ & \searrow & \uparrow \\ & & \text{Gau}(P) \end{array}$$

with $\pi_1(\mathcal{H}) = C^\infty(G, U(1))$.

Proposition: String_G acts smoothly on the bundle

$$U(1) \rightarrow \widehat{\text{Gau}(P)} \rightarrow \text{Gau}(P)$$

associated to $(*)$ along the homomorphism

$$I_G: C^\infty(G, U(1)) \rightarrow U(1), \quad f \mapsto \int_G f d\mu.$$

Why is this a 2-group model?

Definition: The 2-group STRING_G is given by the homomorphism

$$\widehat{\text{Gau}}(P) = C^\infty(P, U)^{PU} \times_{C^\infty(G, U(1))} U(1) \xrightarrow{\tau \circ \text{pr}_1} \text{String}_G$$

and the action

$$[f, \lambda]^\varphi := [f \circ \varphi, \lambda].$$

\rightsquigarrow want to check that this is a Lie 2-group model for String:

- ▶ $\pi_1(\text{STRING}) = \ker(\widehat{\text{Gau}}(P) \rightarrow \text{Gau}(P)) = U(1)$ (by constr.)
- ▶ $\pi_0(\text{STRING}) = \text{coker}(\text{Gau}(P) \rightarrow \text{String}_G) = G$ (by constr.)
- ▶ remains to show that $|\text{STRING}| \rightarrow G$ is a topological model

Note: There exists a canonical inclusion $\text{String}_G \rightarrow \text{STRING}_G$, given by

$$\begin{array}{ccc} \{*\} & \xrightarrow{\cong} & \widehat{\text{Gau}}(P) \\ \downarrow & & \downarrow \\ \text{String}_G & \xrightarrow{\cong} & \text{String}_G \end{array}$$

Why is this a 2-group model?

Proposition: Both horizontal maps in

$$\begin{array}{ccc} \{*\} & \xrightarrow{\simeq} & \widehat{\text{Gau}}(P) \\ \downarrow & & \downarrow \\ \text{String}_G & \xrightarrow{\simeq} & \text{String}_G \end{array}$$

are in fact (weak) homotopy equivalences.

Proof: Show that $U(1) \rightarrow \widehat{\text{Gau}}(P) \rightarrow \text{Gau}(P)$ universal (recall $\text{Gau}(P)$ is a $K(\mathbb{Z}, 2)$).

Theorem [NSW]: $|\text{String}_G| \rightarrow |\text{STRING}_G|$ is a (weak) homotopy equivalence and thus STRING_G is a Lie 2-group model.

Proof: Show that adding a contractible space of “morphisms” does not affect the geometric realisation. This relies heavily on the homotopy theory of topological **metrisable** manifolds [Palais '66].

String bundles and string connections

Aim: Do differential geometry with Lie 2-groups by using the theory of 2-bundles and connections.

Proposition: The inclusion $\text{String}_G \rightarrow \text{STRING}_G$ induces a functor

$$\text{Bun}_{\text{String}_G}(M) \rightarrow 2\text{-Bun}_{\text{STRING}_G}(G)$$

which induces a bijection on isomorphism classes.

Theorem [Nikolaus-Waldorf]: If $\mathcal{H} \rightarrow \mathcal{H}'$ is a morphism between 2-group models, then the induced functor

$$2\text{-Bun}_{\mathcal{H}}(G) \rightarrow 2\text{-Bun}_{\mathcal{H}'}(G)$$

is an equivalence of 2-groupoids.

Open: Corresponding statements for 2-bundles with connections.

Other existing models

- ▶ [BCSS '07] start with the contractible cover $P_e G \rightarrow G$, construct an action of $P_e G$ on $\widehat{\Omega G}$ turning

$$\begin{array}{ccc} \widehat{\Omega G} & \xrightarrow{\tau} & P_e G \\ & \searrow & \nearrow \\ & \Omega G & \end{array}$$

into a Lie 2-group and show that this is a 2-group model.

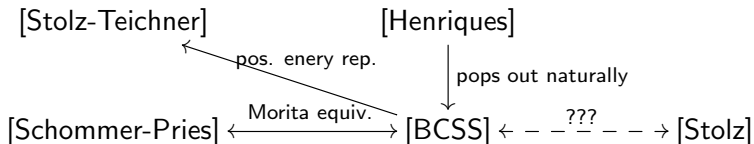
- ▶ [Stolz-Teichner '04] associate the above along a positive energy representation $\rho: \Omega G \rightarrow PU$.
- ▶ [Schommer-Pries '10] classifies central extensions of smooth group stacks

$$[* / U(1)] \rightarrow E \rightarrow [G]$$

and relates this to $H_{\text{Lie}}^3(G, U(1)) \cong H^4(|BG|, \mathbb{Z}) \cong \mathbb{Z}$.

- ▶ [Henriques '08] develops integration procedure for L_∞ -algebras and applies this to the string Lie 2-algebra.
- ▶ [Stolz '96]: $\text{String}_G \rightarrow G$ (topological/smooth model)

Relation between the models



Where “Morita equivalence” has to be understood as follows:

- ▶ take cover $(U_i)_{i=1,\dots,n}$ of G with sections $\sigma_i: U_i \rightarrow P_e G$
- ▶ $\gamma_{ij} := \sigma_i \cdot \sigma_j^{-1}: U_{ij} \rightarrow \Omega G$ is a Čech cocycle for the smooth principal bundle $P_e G \rightarrow G$

\Rightarrow Get a Morita equivalence

$$\begin{array}{ccc}
 \bigsqcup U_{ij} & \xrightarrow{\gamma_{ij} \times \sigma_i} & \Omega G \times P_e G \\
 \downarrow \downarrow & & \downarrow \downarrow \\
 \bigsqcup U_i & \xrightarrow{\sigma_i} & P_e G
 \end{array}$$

(Morita equiv. of Lie groupoids \leftrightarrow diffeomorphism of manifolds)

Relation between the models

Where “Morita equivalence” has to be understood as follows:

- ▶ take good cover $(U_i)_{i=1,\dots,n}$ of G with sections $\sigma_i: U_i \rightarrow P_e G$
- ▶ $\gamma_{ij} := \sigma_i \cdot \sigma_j^{-1}: U_{ij} \rightarrow \Omega G$ is a Čech cocycle for the smooth principal bundle $P_e G \rightarrow G$
- ▶ assume $(U_i)_{i=1,\dots,n}$ to be good $\Rightarrow \gamma_{ij}$ has lifts $\widehat{\gamma}_{ij}: U_{ij} \rightarrow \widehat{\Omega G}$
- ▶ $\widehat{\gamma}_{ij} \cdot \widehat{\gamma}_{jk} \cdot \widehat{\gamma}_{ik}^{-1}: U_{ijk} \rightarrow U(1)$ is a Čech cocycle and defines a Lie groupoid $\sqcup U(1) \times_h U_{ij} \rightrightarrows \sqcup U_i$.

$$\Rightarrow \text{Get a Morita equivalence} \quad \begin{array}{ccc} \sqcup U(1) \times_h U_{ij} & \xrightarrow{\iota \cdot \widehat{\gamma}_{ij} \times \sigma_i} & \widehat{\Omega G} \rtimes P_e G \\ \downarrow \downarrow & & \downarrow \downarrow \\ \sqcup U_i & \xrightarrow{\sigma_i} & P_e G \end{array}$$

\Rightarrow induces smooth group structure on the associated smooth stack

$$\left[\sqcup U(1) \times_h U_{ij} \rightrightarrows \sqcup U_i \right].$$

\rightsquigarrow Can do the same with the model $\widehat{\text{Gau}}(P) \rightarrow \text{String } G$.

Comparison to the BCSS model:

Pass to the associated stacks to apply Schommer-Pries' result:

$$\left[\begin{array}{c} \widehat{\Omega G} \times P_e G \\ \downarrow \quad \downarrow \\ P_e G \end{array} \right] \xleftarrow{\cong} \left[\begin{array}{c} \bigsqcup U_{ij} \times_h U(1) \\ \downarrow \quad \downarrow \\ \bigsqcup U_i \end{array} \right] \cong \left[\begin{array}{c} \bigsqcup U'_{ij} \times_{h'} U(1) \\ \downarrow \quad \downarrow \\ \bigsqcup V_i \end{array} \right] \xrightarrow{\cong} \left[\begin{array}{c} \widehat{\text{Gau}}(P) \times \text{String}_G \\ \downarrow \quad \downarrow \\ \text{String}_G \end{array} \right]$$

- ⇒ The BCSS model and the NSW model are equivalent as (infinite-dimensional) smooth stacks.
- ⇒ The BCSS model and the NSW are equivalent as Lie 2-groups [Noohi].
- ⇒ There exists a Lie 2-group $H \xrightarrow{\tau} K$ and smooth morphisms

$$\begin{array}{ccccc} \widehat{\Omega G} & \longleftarrow & H & \longrightarrow & \widehat{\text{Gau}}(P) \\ \downarrow \tau_{BCSS} & & \downarrow \tau & & \downarrow \tau_{NSW} \\ P_e G & \longleftarrow & K & \longrightarrow & \text{String}_G \end{array}$$

↪ Explicit construction? Any ideas?

C^* -algebras vs. von Neumann algebras

Since the Stolz-Teichner construction ('04) von Neumann algebras are considered to yield meaningful representations of String.

The present model seems to be closer to C^* algebras:

$PU \curvearrowright K$ (for $K = \text{compact operators of } \ell^2$), so we get a C^* -algebra bundle








$$\mathcal{K} := P \times_{PU} K$$

and an action $\text{Gau}(P) \subseteq \text{Aut}(P) \curvearrowright \Gamma(\mathcal{K})$.

\Rightarrow For each string manifold M (i.e. $\frac{p_1}{2}(M) = 0$) and each string lift $\tilde{P} \rightarrow M$ of a spin bundle we get a bundle $\tilde{P} \times_{\text{String}_G} \Gamma(\mathcal{K})$ of C^* -algebras over M .

Problem: This does not seem to be meaningful, since the action of String_G is linear.

Note: For a 2-group model $\mathcal{H} = (H \xrightarrow{\tau} K)$, interesting representations come from the **outer** action of K on $\text{Rep}_\lambda(H)$, where λ is a fixed character for the $U(1)$ -action.

-  Baez, J. C., A. S. Crans, D. Stevenson, and U. Schreiber, *From loop groups to 2-groups*, Homology, Homotopy Appl. **9**(2) (2007), 101–135, [arXiv:math/0504123](https://arxiv.org/abs/math/0504123).
-  Henriques, A., *Integrating L_∞ -algebras*, Compos. Math. **144**(4) (2008), 1017–1045, [arXiv:math/0603563](https://arxiv.org/abs/math/0603563).
-  Nikolaus, T., C. Sachse, and C. Wockel, *A Smooth Model for the String Group*, [arXiv:1104.4288](https://arxiv.org/abs/1104.4288).
-  Nikolaus, T. and K. Waldorf, *Four Equivalent Versions of Non-Abelian Gerbes*, [arXiv:1103.4815](https://arxiv.org/abs/1103.4815).
-  Schommer-Pries, C., *Central Extensions of Smooth 2-Groups and a Finite-Dimensional String 2-Group*, [arXiv:0911.2483](https://arxiv.org/abs/0911.2483).
-  Stolz, S., *A conjecture concerning positive Ricci curvature and the Witten genus*, Math. Ann. **304**(4) (1996), 785–800
-  Stolz, S. and P. Teichner, *What is an elliptic object?*, in “Topology, geometry and quantum field theory,” volume 308 of *London Math. Soc. Lecture Note Ser.*, 247–343, Cambridge Univ. Press, Cambridge, 2004.