

HOMOLOGICAL BV-BRST METHODS: FROM QFT TO POISSON REDUCTION

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Than are dreamt of in your philosophy...*

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1 Quantum Field Theoretical preliminaries

- Defining data
- Outputs: Quantum amplitudes
- Gauge-fixing problem in gauge theories

2 Fadeev-Popov's trick

- Gauge fixed expressions
- The materialization of ghosts

3 BRST symmetry

- A C-DGA structure
- BRST quantization: Quantum BRST cohomology

4 BV formalism

- Antifields and Odd Poisson structures
- From BV to BRST
- BV quantization: The Q-Master equation

Defining data

$$(\mathfrak{A}_G, S[\chi], \mathfrak{G})$$

- \mathfrak{A}_G space of fields over space-time $\Sigma (= \mathbb{R}^4)$
- $S[\chi]$ classical action functional on fields $\chi \in \mathfrak{A}_G$
- \mathfrak{G} (gauge) symmetry group acting on \mathfrak{A}_G and leaving $S[\chi]$ invariant

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Examples: general Lorentz representation valued fields

- $\mathfrak{A}_G = \{\phi : \Sigma \rightarrow V\}$
 $V = \mathbb{R}, \mathbb{C}, \mathbb{R}^4, \text{Dirac's}(1/2, 1/2) - \text{Spinors}, \dots$, finite dimensional representation space of Lorentz group.
- \mathfrak{G} finite or infinite dimensional group

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Gauge Theories

- $\mathfrak{A}_G = \{\text{connections on } G - \text{Principal bundle } P \rightarrow \Sigma\}$
If $P \approx \Sigma \times G$ then $\mathfrak{A}_G = \Omega^1(\Sigma, \mathfrak{g}) \ni A^\mu(x) dx_\mu$
- $\mathcal{G} \approx \text{Maps } \{\Sigma \rightarrow G\}$ gauge transformations (vertical automorphisms of $P \rightarrow \Sigma$)

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Matter fields in gauge theories

$$\mathfrak{A} = \mathfrak{A}_G \times \mathfrak{A}_{\text{Matter}}$$

$\psi(\mathbf{x}) \in \mathfrak{A}_{\text{Matter}} = \{\Sigma \rightarrow V[\text{odd}]\}$ (\mathbb{Z}_2 -grading)

entering expressions as anti-commuting (Fermionic) symbols in $\Lambda\mathfrak{A}$ = free graded commutative algebra generated by \mathfrak{A}

Outputs: Quantum amplitudes

Scattering matrix elements

$$\langle p_1 p_2 \dots p_k | p_A p_B \rangle = \sum_{\text{possible intermediate processes}} \quad (\text{Feynman diagrams})$$

Probability amplitude for the scattering event (**quantum amplitudes**):

- $|p_A p_B \rangle$ asymptotically free state of 2 "in" particles
- $|p_1 p_2 \dots p_k \rangle$ asymptotically free state of k "out" particles

Quantum Field Theory:

rules for obtaining the q-amplitudes from the defining data
($\mathfrak{A}_G, S[\chi], \mathfrak{G}$)

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Aspects of Quantum Field Theory

- * Symbolic expressions involving $\int D\phi \exp(iS[\phi])$
- perturbative series on Feynman diagrams
- explicit numerical calculations involving integrals

Vacuum-Vacuum q-amplitudes

To get a taste of the symbolic algebra involved...

$$\langle TO[\chi] \rangle = \lim_{t \rightarrow \infty (1-i\epsilon)} \frac{1}{Z_S} \int_{\mathfrak{A}_G} (\prod d\chi) O[\chi] \exp(iS[\chi])$$

$$Z_S = \int_{\mathfrak{A}_G} (\prod d\chi) \exp(iS[\chi])$$

$O[\chi]$ is an operator having a polynomial expression in terms of the fields $\chi \in \mathfrak{A}_G$

$S[\chi] = \int_{-t}^t \int_{\mathbb{R}^3} \mathcal{L}[\chi]$, being $\mathcal{L}[\chi]$ the lagrangian density over $\Sigma \simeq \mathbb{R}^4$ of the field theory.

Factorization problem

Physical gauge-invariance principle

Physical magnitudes shall depend only on $[\chi] \in \mathfrak{A}_G/\mathfrak{G}$ and not on χ itself.

BUT we have (for example)

$$Z_S = \int_{\mathfrak{A}_G} (\prod d\chi) \exp(iS[\chi])$$

if we could $\mathfrak{A}_G \simeq \mathfrak{G} \times \mathfrak{A}_G/\mathfrak{G}$, then problem solved:

$$Z_S \simeq \text{Vol}(\mathfrak{G}) \times \int_{\mathfrak{A}_G/G} (\prod d([\chi])) \exp(iS[\chi])$$

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Factorization problem II: gauge fixing

How to factorize $\mathfrak{A}_G \simeq \mathfrak{G} \times \mathfrak{A}_G/\mathfrak{G}$?!?

Gauge fixing

restrict to a gauge-fixed surface $\{g^a(\chi) = 0\} \subset \mathfrak{A}_G$ transversal to the \mathfrak{G} -orbits, $a = 1, \dots, \dim(G)$

Example: Lorentz gauge fixing in QED

$G = U(1)$, $g^1(A^\mu) = \partial_\mu A^\mu = 0$ "covariant gauge"

Physical gauge-fixing independence principle

Physical magnitudes shall not depend on the choice of gauge fixing g^a 's

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By means of Faddeev-Popov's trick, one can get

$$Z_S = \text{Vol}(\mathfrak{G}) \times \int_{\mathfrak{A}_G} (\prod d\chi) \exp(iS[\chi]) \delta(g^a(\chi)) \det\left(\frac{\partial g^a(\chi^\alpha)}{\partial \alpha}\right)$$

Faddeev-Popov-De Witt Theorem

The rhs of the above expression is gauge fixing independent, i.e., it does not depend on the choice of g^a 's

Operator q-amplitudes

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Features of the F-P expression:

- it singles out the physical contribution of $\mathfrak{A}_G/\mathfrak{G}$
- it is explicitly Lorentz invariant

BUT

we only know how to (Feynman-diagrammatically) handle expressions of the form $\int D\phi \exp(iS[\phi]) \dots$

Using formal expressions (Fourier transform and Grassmann integration)

$$\delta(g^a) \rightsquigarrow \int (\Pi db_a) \exp\left(i \int_{\Sigma} \frac{\xi}{2} b_a b_a + b_a g^a\right)$$

$$\det\left(\frac{\partial g^a(\chi^\alpha)}{\partial \alpha^b}\right) \rightsquigarrow \int (\Pi dc_a) (\Pi d\bar{c}_b) \exp\left(-i \int_{\Sigma} \bar{c}_a \left[\frac{\partial g^a(\chi^\alpha)}{\partial \alpha^b}\right] c_b\right)$$

$a = 1, \dots, \dim(G)$

- $b_a(x)$ are commuting scalar fields on Σ named *auxiliary fields*
- $c_a : \Sigma \rightarrow \mathbb{R}[1]$ **ghosts**
- $\bar{c}^a : \Sigma \rightarrow \mathbb{R}[-1]$ **anti-ghosts**

The extended Action over the extended field space with ghosts

Then, finally

$$Z_S \propto \int (\Pi d\chi) (\Pi db_a) (\Pi dc_a) (\Pi d\bar{c}_b) \exp(iS_{FP}[\chi, b_a, c_a, \bar{c}_b])$$

where the extended Fadeev-Popov action functional is

$$S_{FP}[\chi, b_a, c_a, \bar{c}_b] = S[\chi] + \int_{\Sigma} \frac{\xi}{2} b_a b_a + b_a g^a + \bar{c}_a \left[\frac{\partial g^a(\chi^\alpha)}{\partial \alpha^b} \right] c_b$$

- The above expression has the desired form
- The extended (ghost-graded, vector) field space is $\mathfrak{F}_{FP} = \mathfrak{A}_G \times \langle c_a, \bar{c}^b, b^c \rangle$
- $S_{FP}[\chi, b_a, c_a, \bar{c}_b]$ defines a polynomial (symbolic) expression in $\Lambda \mathfrak{F}_{FP}$

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Why isn't the story over?

- Explicit gauge-invariance of the original action $S[\chi]$ was a fundamental tool for proving **renormalizability**
- Now, in the F-P expressions, S_{FP} is not gauge symmetric (not \mathfrak{G} -invariant)... how to prove renormalizability then?

A generalized symmetry involving ghosts!

S_{FP} has another symmetry: **BRST symmetry**.

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Ghost grading and differential

- $\Lambda\mathfrak{F}_{FP} = \langle \chi, c, \bar{c}, b, \partial_I \chi, \partial_I c, \dots \rangle$ Free commutative graded algebra
- Total ghost number tn grading:
 $tn(\chi, b) = 0, tn(c) = 1, tn(\bar{c}) = -1$
- $S_{FP}[\chi, b_a, c_a, \bar{c}_b]$ is a polynomial expression \Rightarrow defines a $tn = 0$ element in $\Lambda\mathfrak{F}_{FP}$
- $\exists s : \Lambda\mathfrak{F}_{FP} \rightarrow \Lambda\mathfrak{F}_{FP}$ of $tn(s) = +1$ such that $(\Lambda\mathfrak{F}_{FP}, s)$ is a commutative differential graded algebra.

$$s^2 = 0$$

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$$s^2 = 0$$

Ghost grading and differential II

$$s(\chi_\mu^a) = \partial_\mu c^a + f_{bc}^a \chi_\mu^b c^c$$

$$s(c^a) = -\frac{1}{2} f_{bc}^a c^b c^c$$

$$s(\bar{c}_a) = -b_a$$

$$s(b_a) = 0$$

f_{bc}^a denote the structure constants of $\mathfrak{g} = Lie(G)$

s is extended as a super derivation and is called the **BRST operator**.

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Properties of BRST operator

Props:

- Relation to gauge transformation expression

$$\delta_\epsilon \chi_\mu^a = \theta s(\chi_\mu^a)$$

θ parameter anti-commuting with ghosts (\mathbb{Z}_2 -module structure) with $\epsilon^a(x) = \theta c^a(x)$ infinitesimal gauge parameter

- If $H[\chi] \in \Lambda \mathfrak{F}_{FP}$ is gauge invariant $\Rightarrow s(H) = 0$

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Classical BRST cohomology

- Gauge invariance of $S[\chi] \Leftrightarrow s$ - zero cocycle

$$s(S[\chi]) = s(S_{FP}[\chi, b_a, c_a, \bar{c}_b]) = 0$$

- Gauge fixing choices (ghost terms in S_{FP}) $\Leftrightarrow s$ - zero coboundaries

$$S_{FP}[\chi, b_a, c_a, \bar{c}_b] = S[\chi] + s(\Psi[\chi, b_a, \bar{c}_b])$$

$$\Psi[b_a, c_a, \bar{c}_b] = \int_{\Sigma} \bar{c}_b g^b[\chi] + \frac{\xi}{2} \bar{c}_b b_a$$

$tgn(\Psi) = -1$ known as "gauge fixing fermion".

Classical observables = 0th BRST cohomology

$H_s^0(\Lambda \mathfrak{F}_{FP}) \simeq \text{Funct}(\mathfrak{A}_G/\mathfrak{G})$ are observables that can be quantized through gauge-fixing and yield the same result for any gauge fixing choice.

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Higher $H_s^{i>0}(\Lambda \tilde{\mathfrak{F}}_{FP})$

without physical meaning... but (finite dim examples)

geometrical meaning.

Quantization I

BRST quantization:

- Start with classical data $(\Lambda \tilde{\mathfrak{F}}_{FP}, s, S[\chi])$
- choose gauge-fixing fermion $\Psi[\chi, b_a, c_a, \bar{c}_b]$ of $tgn = -1$
- define q-**vacuum**-amplitudes $\langle TO[\chi] \rangle$ through F-P expression

F-P-dW Theorem revisited

These $\langle TO[\chi] \rangle$ are well defined regardless the choice of Ψ
 q-vacuum-amplitudes depend on $[\chi] \in \mathfrak{A}_G/\mathfrak{G}$ as desired

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Scattering matrix elements and Quantum BRST cohomology

S-Matrix elements:

$$\langle p_1 p_2 \dots p_k | p_A p_B \rangle = \sum_{\text{possible intermediate processes}} \quad (\text{Feynman diagrams})$$

involve k-particles states $|p_1 p_2 \dots p_k \rangle$ in a Hilbert space \mathfrak{H} on which the quantized fields $\check{\chi}$ act.

Vacuum state

$|\Omega \rangle \in \mathfrak{H}$ is one of these states, the one corresponding to no particles at all... i.e. vacuum state.

How is gauge-fixing operation represented in particle state space \mathfrak{H} ?

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Scattering matrix elements and Quantum BRST cohomology II

Quantum representation of BRST differential algebra $(\mathfrak{h}, \check{Q})$

$$[\check{Q}, \check{\Phi}]_{\pm} = i(s\Phi)^{\vee}$$

$$[\check{Q}, \check{Q}]_{\pm} = 2\check{Q}^2 = 0$$

\mathfrak{h} is also ghost graded (ghost particles)

Quantum BRST cohomology

$H_{\check{Q}}^0(\mathfrak{h})$ physical quantum particle states (with no ghosts, gauge-fixing independent)

Scattering matrix elements and Quantum BRST cohomology II

Quantum representation of BRST differential algebra $(\mathfrak{h}, \check{Q})$

$$[\check{Q}, \check{\Phi}]_{\pm} = i(s\Phi)^{\vee}$$

$$[\check{Q}, \check{Q}]_{\pm} = 2\check{Q}^2 = 0$$

\mathfrak{h} is also ghost graded (ghost particles)

Quantum BRST cohomology

$H_Q^0(\mathfrak{h})$ physical quantum particle states (with no ghosts, gauge-fixing independent)

Well behaved QFT states

When is it "well behaved"

- No-ghost theorem for $H_Q^0(\mathfrak{H})$
- compatibility with inner product in \mathfrak{H} I: restricted S-matrix unitary
- compatibility with inner product in \mathfrak{H} II: physical states with positive definite norm

Gauge theories

There exists a **well behaved** quantum representation $(\mathfrak{H}, \check{Q})$ of the classical BRST cohomology for gauge theories $(\mathfrak{A}_G, S[\chi], \mathfrak{G})$

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Final remarks on BRST quantization

- BRST symmetry is a tool for proving Renormalizability
- $[\check{Q}, -]$ suggests looking for classical inner representation
 $s = \{Q, -\}$
- How to get $(\Lambda_{\check{\mathfrak{F}}_{FP}}, s, S_{FP}[\chi])$ for a general $(\mathfrak{A}_G, S[\chi], \mathfrak{G})$ without F-P trick?
- How to handle **reducible** symmetries? (p-form field theories)
- How to handle **open** symmetries? (supergravity, TFT)

Solution: BV formalism

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Solution: BV formalism

BV ingredients

- Enlargement $\mathfrak{F}_{BV} = \mathfrak{F}_{FP} \times \mathfrak{F}_{FP}^\sharp$, by adding an **anti-field** $\phi_\alpha^\sharp \in \mathfrak{F}_{FP}^\sharp$ for each field $\phi_\alpha \in \mathfrak{F}_{FP}$
for gauge theories ϕ_β runs over χ, c, \bar{c}, b ,
- ghost gradings $\text{tgn}(\phi^\sharp) = -\text{tgn}(\phi) - 1$.
- commutative graded algebra $\Lambda\mathfrak{F}_{BV}$ has an **odd Poisson bracket** (of $\text{tgn} + 1$) defined on generators $\phi_\beta, \phi_\alpha^\sharp \in \mathfrak{F}_{BV}$ by

$$\begin{aligned} \{\phi_\beta, \phi_\alpha^\sharp\} &= \delta_{\beta\alpha} \\ \{\phi_\beta, \phi_\alpha\} &= \{\phi_\beta^\sharp, \phi_\alpha^\sharp\} = 0 \end{aligned}$$

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BV action

- $S_{BV}[\phi_\beta, \phi_\alpha^\sharp]$ with $\text{tgn} = 0$,
satisfying the **classical Master equation**

$$\{S_{BV}, S_{BV}\} = 0$$

- $(\mathcal{A}_{BV}, D = \{S_{BV}, -\})$ is a **C-DGA** ($\text{tgn}(D) = +1$)

0th BV cohomology

"cotangent classical observables" $\approx \text{Fun}(T^*[1](\mathcal{A}_G/\mathfrak{G}))$



$$S_{BV}[\phi_\beta, \phi_\alpha^\sharp] = S_{min}[\chi, c, \chi^\sharp, c^\sharp] - b^A \bar{c}_A^\sharp$$

$$S_{min} = S[\chi] + c^A f_A^r[\chi] \chi_r^\sharp + \frac{1}{2} c^A c^B f_{AB}^C[\chi] c_C^\sharp + \frac{1}{2} c^A c^B f_{AB}^{rs}[\chi] \chi_r^\sharp \chi_s^\sharp + \text{higher terms}$$

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Master equation for S_{BV} imply compatibility conditions for structure functions $f_A^r[\chi], f_{AB}^c[\chi], f_{AB}^{rs}[\chi], \dots$

gauge fixing within BV formalism

- set anti-fields

$$\phi_\alpha^\# = \frac{\partial \Psi[\phi]}{\partial \phi^\alpha}$$

Canonical transformation

$(\phi^\alpha, \phi_\alpha^\#)$ to $(\phi^\alpha, \tilde{\phi}_\alpha^\# = \phi_\alpha^\# - \frac{\partial \Psi[\phi]}{\partial \phi^\alpha})$ s.t. $\tilde{\phi}_\alpha^\# = 0$

- (generalized) BRST operator on $\Lambda_{\mathfrak{F}_{FP}} = \langle \phi^\alpha \rangle \subset \Lambda_{\mathfrak{F}_{BV}}$

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gauge fixing within BV formalism II

- def the Gauge-fixed action

$$S_{FP}^{\Psi}[\phi^{\alpha}] = S_{BV} \left[\phi^{\alpha}, \phi_{\alpha}^{\#} = \frac{\partial \Psi[\phi]}{\partial \phi^{\alpha}} \right]$$

It is easy to check that $s^2 = 0$ and $s(S_{FP}^{\Psi}) = 0$

- for $\mathfrak{F}_{BV} = \mathfrak{F}_{FP} \times \mathfrak{F}_{FP}^{\#}$ coming from gauge theory $(\mathfrak{A}_G, S[\chi], \mathfrak{G})$, setting $S_{BV}[\phi^{\alpha}, \phi_{\alpha}^{\#}] = S[\chi] + s(\phi^{\alpha})\phi_{\alpha}^{\#}$, then

$$S_{FP}^{\Psi}[\phi^{\alpha}] = S[\chi] + s(\Psi[\phi])$$

moreover, for closed transformation algebras, s coincides with the BRST operator, yielding the early BRST $(\mathfrak{F}_{FP}, s, S_{FP}^{\Psi})$ construction. $H_s^0(\wedge \mathfrak{F}_{FP})$ gives the classical observables.

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gauge-fixing independence and Quantum master equation

- vacuum-vacuum amplitud $Z_{S_{FP}^\Psi}$ is gauge-fixing (Ψ) independent, if **quantum master equation** is full-filled

$$\{S_{BV}, S_{BV}\} - 2i\hbar\Delta S_{BV} = 0 \quad \text{at} \quad \phi_\alpha^\# = \frac{\partial\Psi[\phi]}{\partial\phi^\alpha}$$

where

$$\Delta S_{BV} = \frac{\partial_R}{\partial\phi_\alpha^\#} \frac{\partial_L}{\partial\phi^\alpha} S_{BV}$$

general quantum amplitudes for operators

$\langle O[\phi^\alpha] \rangle$ is gauge-fixing Ψ -independent, when S_{BV} satisfies the QME and $O[\phi^\alpha]$ is s -invariant:

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Final remarks on BV formalism

- general framework for (open, reducible) symmetries
 $\mathcal{G} = \text{Diff}(\Sigma), \text{End}(A \rightarrow \Sigma), \dots$
- More powerful tool for renormalizability of gauge theories (Zinn-Justin) (for sums of diagrams)
- treatment of anomalies (symmetry loss after quantization)

BV quantization: The Q-Master equation

We have learned...

the moral

That ghosts exist! and are usefull...

Thank you, see you next monday.