

# Local nets from parallel transport 2-functors

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## Abstract

For every 2-functor on the 2-category of paths in a Lorentzian space we can define its endomorphism co-presheaf. We show that this copresheaf is automatically a local net of monoids satisfying the time slice axiom. For suitable codomains of the 2-functor it is a local net of  $C^*$ -algebras. It is covariant if the 2-functor is equivariant. One can interpret this as the passage from the Schrödinger to the Heisenberg picture in QM raised to 2-dimensional field theory.

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This exposition is based on [1].

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# 1 (0 + 1)-dimensional QFT

As warmup and motivation, recall the situation for quantum mechanics.

## 1.1 Classical

**Example: electromagnetic background field for charged particle.**

- traditionally: vector bundle with connection  $(E \rightarrow X, \nabla)$ ;
- but what is really used (in action functional): the parallel transport

$$\begin{array}{ccc} \text{(paths)} & \rightarrow & \text{(morphisms between fibers)} \\ (x \xrightarrow{\gamma} y) & \mapsto & (E_x \xrightarrow{P \exp \int_{\gamma} \nabla} E_y) \end{array} \quad ;$$

- this assignment has two crucial properties: it is
  - *local=functorial* [*\*\* picture goes here, but see also below \*\**]
  - *smooth* (in a sense which can be made precise)

**Theorem 1.1 ([9])** *Let a parallel transport functor be a functor from the path groupoid of  $X$  to some category of fibers which is smooth in the above sense. We have:*

$$\begin{aligned} \left\{ \text{parallel transport functors } \mathcal{P}_1(X) \longrightarrow \text{Vect} \right\} &\simeq \left\{ \text{smooth vector bundles with connection on } X \right\} \\ \left\{ \text{parallel transport functors } \mathcal{P}_1(X) \longrightarrow G\text{Tor} \right\} &\simeq \left\{ \text{smooth } G\text{-principal bundles with connection on } X \right\} \end{aligned}$$

**Remark: Precursors.** For restriction to closed paths this idea is old [Kobayashi:1954, Milnor:1956, Teleman:1960, Barrett:1991, Lewandowski:1993, Caetano-Picken:1994]. But non-closed paths are crucial for our purpose.

**Remark: generalized connections in loop quantum gravity.** The idea of encoding connections in terms of their parallel transport functor is the starting point for the quantization of the gravitational field, regarded as a connection on a fiber bundle, in “loop quantum gravity” – but there the smoothness and continuity requirement on the parallel transport functor is dropped: “generalized connections”.

**Theorem 1.2 ([9])** *A “generalized connection” in this sense comes from a smooth connection on a smooth bundle if and only if it has smooth Wilson lines.*

## 1.2 Quantum

Now pass to quantum theory of particle charged under  $(E, \nabla)$ .

### 1.2.1 Schrödinger picture – FQFT

**Observation.** In the Schrödinger picture the result of quantization is again parallel transport – now on the *worldline*.

$$(t_0 \longrightarrow t_1) \mapsto \left( \mathcal{H}_{t_1} \xrightarrow{U(t_1, t_2) = P \exp \frac{1}{i\hbar} \int_{t_0}^{t_1} H(t) dt} \mathcal{H}_{t_2} \right)$$

- fibers: spaces  $\mathcal{H}$  of states;
- connection: Hamiltonian  $H$ ;

- parallel transport: time evolution;
- functoriality: sewing axiom of the path integral.

This motivates

**Definition 1.3 (functorial QFT [Atiyah, Segal])** An  $n$ -dimensional QFT is a functor

$$U : n\text{Cob}_S \rightarrow \text{VectorSpaces},$$

on the category of  $n$ -dimensional cobordisms with  $S$ -structure, e.g.

- $S = \text{diffeomorphism classes}$ : topological QFT [Atiyah];
- $S = \text{conformal}$ : conformal QFT [Segal];
- $S = \text{Euclidean}$ : euclidean QFT [Stolz-Teichner].

### 1.2.2 Heisenberg picture – AQFT

**Question.** How does this connect to the Haag-Kastler axioms for QFT (AQFT)?

**Obvious answer in 1d.** Pass to Heisenberg picture by forming the

**Definition 1.4 (endomorphism co-presheaf)**

- to causal subset  $(t_1, \infty) \subset \mathbb{R}$  assign algebra  $\text{End}(\mathcal{H}_{t_1})$ ;
- to inclusion of subsets  $(t_2, \infty) \subset (t_1, \infty)$  assign algebra homomorphism

$$\text{End}(\mathcal{H}_{t_1}) \xrightarrow{a \mapsto U(t_1, t_2) a U(t_1, t_2)^{-1}} \text{End}(\mathcal{H}_{t_2}) .$$

**Problem: lack of locality.** Same trick won't work for  $n \geq 2$ , as 1-functors on  $n\text{Cob}$  are *not local enough* to produce local nets of observables.

**Solution: extended FQFT.** Schrödinger picture in  $n$ -dimensional QFT must be  $n$ -functor  $\rightarrow$   $n$ -functorial “extended” or “many tiered” QFT [Baez-Dolan, Freed, Stolz-Teichner, Hopkins-Lurie].

## 2 (1 + 1)-dimensional QFT

Recall that a 2-category is  $[** \dots **]$ .

### 2.1 Classical

**Example:  $B$ -field background for charged string.**

- traditionally: bundle gerbe with connection  $(\mathcal{G} \rightarrow X, \nabla)$ , aka Deligne 3-cocycle;
- but what is really used (in action functional): the parallel transport

$$\begin{array}{ccc}
 \text{(surfaces)} & \rightarrow & \text{(morphisms between morphisms between fibers)} \\
 \left( \begin{array}{c} \begin{array}{ccc} \xrightarrow{\quad} & & \xrightarrow{\quad} \\ \downarrow & \nearrow & \downarrow \\ \text{\scriptsize } \Sigma \\ \text{\scriptsize (piece of surface)} & & \\ \downarrow & \nwarrow & \downarrow \\ \xrightarrow{\quad} & & \xrightarrow{\quad} \end{array} \\ \end{array} \right) & \mapsto & \left( \begin{array}{c} \begin{array}{ccc} \xrightarrow{\quad} & & \xrightarrow{\quad} \\ \downarrow & \nearrow & \downarrow \\ \text{\scriptsize } \text{tra}(\Sigma) & & \\ \downarrow & \nwarrow & \downarrow \\ \xrightarrow{\quad} & & \xrightarrow{\quad} \end{array} \\ \end{array} \right)
 \end{array}$$



### 2.2.2 Heisenberg picture – AQFT

Let  $S(\mathbb{R}^2) \subset O(\mathbb{R}^2)$  be the subcategory of the category of open subsets of  $\mathbb{R}^2$  given by “causal subsets”, i.e. interiors of rectangles all whose sides are lightlike, as usual.

**Definition 2.2 (endomorphism co-presheaf of 2-functor)** *Given any extended 2-dimensional FQFT, i.e. a 2-functor*

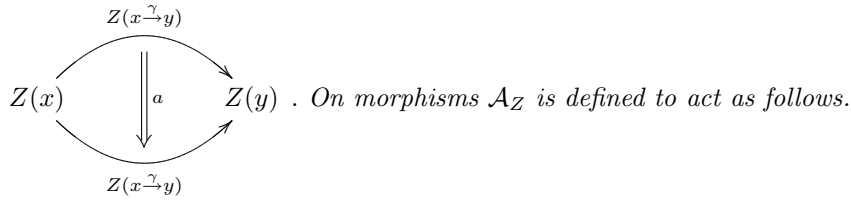
$$Z : P_2(\mathbb{R}^2) \rightarrow C$$

we define a functor

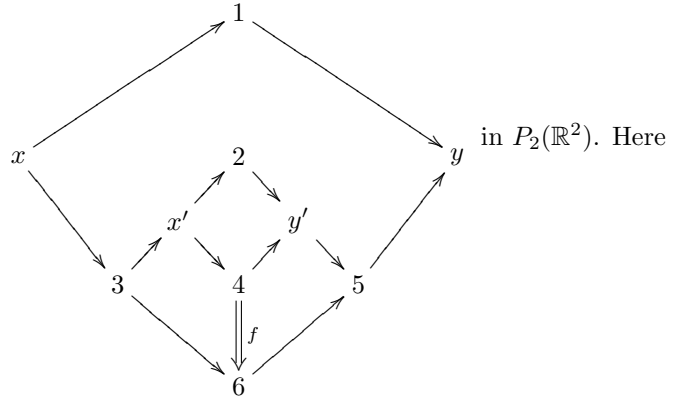
$$\mathcal{A}_Z : S(\mathbb{R}^2) \rightarrow \text{Monoids}.$$

On objects it acts as  $\mathcal{A}_Z : \left( \begin{array}{ccc} & & \\ & \nearrow & \\ x & \gamma & y \\ & \searrow & \\ & & \end{array} \right) \mapsto \text{End}_C \left( Z \left( \begin{array}{ccc} & & \\ & \nearrow & \\ x & \gamma & y \\ & \searrow & \\ & & \end{array} \right) \right)$ , where on the right we form the

monoid of 2-endomorphism  $a$  in  $C$  on the 1-morphism  $Z(x \xrightarrow{\gamma} y)$  in  $C$  that is the past boundary of  $O_{x,y}$ ,



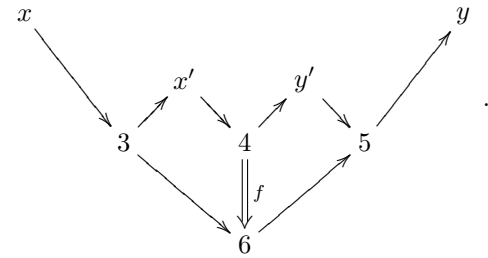
For any inclusion  $O_{x',y'} \subset O_{x,y} \in S(\mathbb{R}^2)$  consider



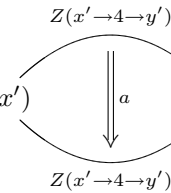
the obvious projections along light-like directions (for instance from  $x'$  onto  $x \rightarrow 6$  yielding 3) is used. It is at this point that the light-cone structure crucially enters the construction.

Let  $f'$  be the 2-morphism obtained by whiskering (= horizontal composition with identity 2-morphisms)

the indicated 2-morphism  $f$  with the 1-morphisms  $x \rightarrow 3$  and  $5 \rightarrow y$ .  $f' :=$



For any  $a \in \text{End}_C Z(x', 4, y')$ ,  $Z(x')$   $\xrightarrow{Z(x' \rightarrow 4 \rightarrow y')}$   $Z(y')$ , let  $a'$  be the corresponding re-whiskering by



$Z(x, 3, x')$  from the left and by  $Z(y', 5, y)$  from the right:

$$\begin{array}{c}
 Z(x \rightarrow 3 \rightarrow x' \rightarrow 4 \rightarrow y' \rightarrow 5 \rightarrow y) \\
 \begin{array}{ccc}
 Z(x) & \begin{array}{c} \Downarrow a' \\ \Downarrow \\ \Downarrow \end{array} & Z(y) \\
 \begin{array}{ccc}
 \curvearrowright & & \curvearrowleft \\
 \end{array} & & \begin{array}{ccc}
 \curvearrowright & & \curvearrowleft \\
 \end{array} \\
 Z(x \rightarrow 3 \rightarrow x' \rightarrow 4 \rightarrow y' \rightarrow 5 \rightarrow y) & & Z(x' \rightarrow 4 \rightarrow y')
 \end{array}
 \end{array}
 :=
 Z(x) \xrightarrow{Z(x \rightarrow 3 \rightarrow x')} Z(x') \begin{array}{c} \Downarrow a \\ \Downarrow \\ \Downarrow \end{array} Z(y') \xrightarrow{Z(y' \rightarrow 5 \rightarrow y)} Z(y) ,
 \end{array}$$

Then we obtain a co-restriction map

$$\text{End}_C(Z(x', 4, y')) \hookrightarrow \text{End}_C(Z(x, 3, 6, 5, y))$$

by setting

$$a \mapsto Z(f') \circ a' \circ Z(f')^{-1} ,$$

i.e.

$$\begin{array}{c}
 \begin{array}{ccc}
 Z(x' \rightarrow 4 \rightarrow y') \\
 \begin{array}{ccc}
 Z(x') & \begin{array}{c} \Downarrow a \\ \Downarrow \\ \Downarrow \end{array} & Z(y') \\
 \begin{array}{ccc}
 \curvearrowright & & \curvearrowleft \\
 \end{array} & & \begin{array}{ccc}
 \curvearrowright & & \curvearrowleft \\
 \end{array} \\
 Z(x' \rightarrow 4 \rightarrow y') & & 
 \end{array}
 \end{array}
 \mapsto
 \begin{array}{c}
 Z(x \rightarrow 3 \rightarrow 6 \rightarrow 5 \rightarrow y) \\
 \begin{array}{ccc}
 Z(x) & \xrightarrow{Z(x \rightarrow 3 \rightarrow x')} & Z(x') \begin{array}{c} \Downarrow a \\ \Downarrow \\ \Downarrow \end{array} Z(y') \xrightarrow{Z(y' \rightarrow 5 \rightarrow y)} & Z(y) \\
 \begin{array}{ccc}
 \curvearrowright & & \curvearrowleft \\
 \end{array} & & \begin{array}{ccc}
 \curvearrowright & & \curvearrowleft \\
 \end{array} \\
 Z(x \rightarrow 3 \rightarrow 6 \rightarrow 5 \rightarrow y) & & 
 \end{array}
 \end{array}
 .
 \end{array}$$

**Theorem 2.3** ([1])  $\mathcal{A}_Z$  is a

- copresheaf (corestriction maps are functorial);
- which is a net (corestriction maps are injections) of monoids;
- which are local (monoids on spacelike separated regions commute with each other);
- satisfying the time slice axiom (assignment to causal subset fixed by assignment to any Cauchy surface).

Proof. Basic mechanism: 2-functoriality induces respect for composition and for exchange law:

FQFT	AQFT
$n$ -functoriality	locality

Details in section A. □

**Example.** Recall lattice model from previous example. The algebras assigned by the corresponding net  $\mathcal{A}_Z$  to the elementary causal bigon  $O_{\rho, \bar{\rho}}$  and  $O_{\bar{\rho}, \rho}$  are  $\mathcal{A}_Z(O_{\rho, \bar{\rho}}) = \text{End}_C(\bar{\rho} \circ \rho)$  and  $\mathcal{A}_Z(O_{\bar{\rho}, \rho}) = \text{End}_C(\rho \circ \bar{\rho})$ .

If  $\mathcal{C}$  is a 2- $C^*$ -category and  $\rho$  is an “irreducible 1-morphism generating a 2- $C^*$ -category of depth two” as in section 4 of [Zito], then these are  $C^*$ -Hopf algebras  $H$  and  $\hat{H}$  which are duals of each other [Mueger, Zito]. Due to the fact that the 2-morphisms in the above diagrams do not mix  $\rho$  and  $\bar{\rho}$ , we can understand the nature of the net  $\mathcal{A}_Z$  obtained from the above 2-functor  $Z$  already by concentrating on the endomorphism

$$\begin{array}{c}
 a \xrightarrow{\rho} b \xrightarrow{\bar{\rho}} a \xrightarrow{\rho} b \xrightarrow{\bar{\rho}} a \xrightarrow{\rho} b \\
 \text{algebras assigned to a horizontal zig-zag}
 \end{array}$$

algebras assigned to a horizontal zig-zag

$$| \text{---} | \text{---} | \text{---} | \text{---} | \text{---} |$$

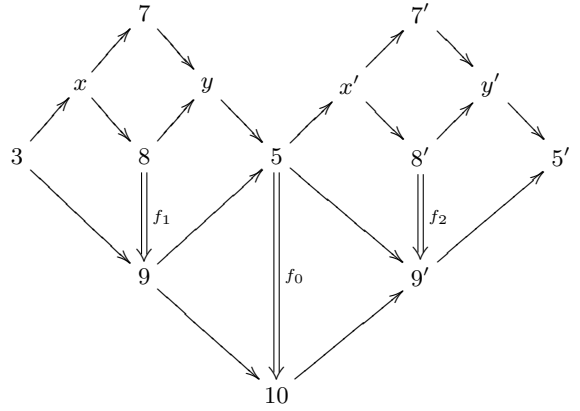
evaluating the net  $A_Z$  on zig-zags of even length, this gives rise to a net on the latticized real axis with the property that algebras  $A_Z(I_1)$  and  $A_Z(I_2)$  commute if the intervals  $I_1$  and  $I_2$  are not just disjoint but differ by at least one lattice spacing. Precisely these kind of 1-dimensional nets are considered in [NillSzlachány], where they are addressed as *Hopf spin chain models*.

**Open questions:**

- which 2-functors give nets of type III von Neumann algebra factors? (contunuum limit of lattice models?);
- my main motivation: we interpret [12] the construction in [FuchsRunkelSchweigert] as saying that full rational 2D CFT is, topologically, a cocycle for parallel 2-transport with coefficients in  $(\mathbf{BBimod}(\mathcal{C}))^I$  for  $\mathcal{C}$  a modular tensor category. Aim: refine to full differential cocycle which locally describes conformal nets as above.

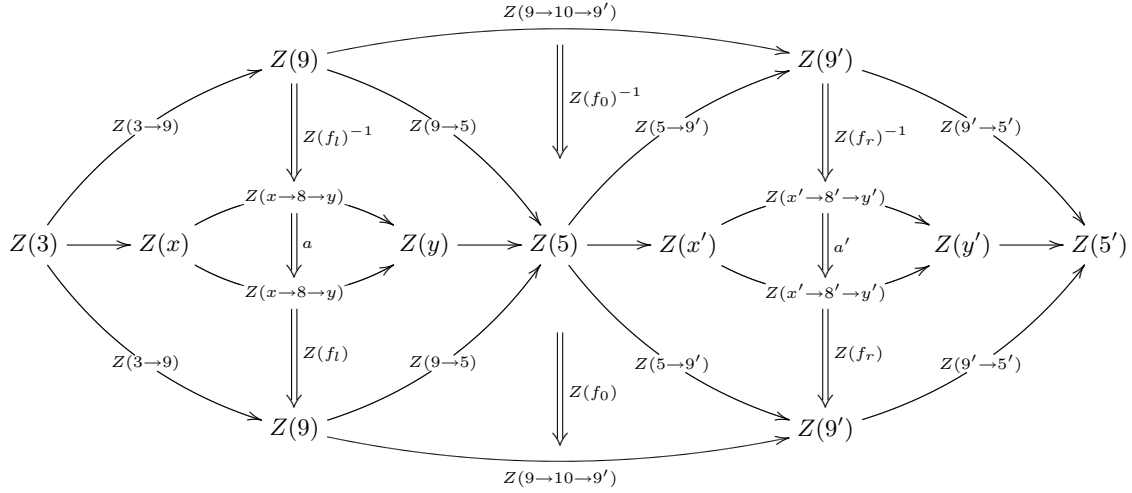
## A Some diagram proofs

**Parts of the proof of theorem 2.3.** To see locality, let  $O_{x,y}$  and  $O_{x',y'}$  be two spacelike separated causal subsets inside  $O_{(3,5')}$ . The relevant pasting diagram in  $P_2(\mathbb{R}^2)$  is of the form



Now given any two endomorphisms  $Z(x) \begin{array}{c} \xrightarrow{Z(x \rightarrow 8 \rightarrow y)} \\ \Downarrow a \\ \xrightarrow{Z(x \rightarrow 8 \rightarrow y)} \end{array} Z(y)^a$  and  $Z(x') \begin{array}{c} \xrightarrow{Z(x' \rightarrow 8' \rightarrow y')} \\ \Downarrow a' \\ \xrightarrow{Z(x' \rightarrow 8' \rightarrow y')} \end{array} Z(y')$  we can either first include  $a$  in  $\text{End}_C(Z(3 \rightarrow 9 \rightarrow 10 \rightarrow 9' \rightarrow 5'))$  and then  $a'$ , or the other way around. Either way, the total

endomorphism in  $\text{End}_C(Z(3 \rightarrow 9 \rightarrow 10 \rightarrow 9' \rightarrow 5'))$  is



This means that the inclusions of  $a$  and  $a'$  in  $\text{End}_C(Z(3 \rightarrow 9 \rightarrow 10 \rightarrow 9' \rightarrow 5'))$  commute.  $\square$

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