

ZMP Seminar, Winter 2021/2022

## Introduction to Cluster Algebras II

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Based on:

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Plan:

- 1- Reminder
  - 2- Introduction & motivation
  - 3- Cluster algebras of geometric type
  - 4- What are Grassmannians?
  - 5- Quivers & Cluster algebras of Grassmannians
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1- Reminder:

Last time we introduced quivers

$$R = (\underline{Q_0}, \underline{Q_1}, \underline{s}, \underline{t})$$

vertices, arrows, source, target

e.g. A<sub>2</sub> quiver

$$1 \longrightarrow 2$$

Skew symmetric matrix

$$B = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$\underline{b_{ij}} = \text{\# arrows from } i \text{ to } j - \text{\# arrows from } j \text{ to } i$$

mutation:

$$1 \leftarrow 2$$

—————

Seed mutation, cluster algebras

$$\boxed{f := \oplus (x_1, x_2)} \quad 1 \rightarrow 2$$

a seed is a pair  $(R, u)$   
Quiver  $\uparrow$  sequence of gen. of  $f$

Ex:  $(1 \rightarrow 2, \{x_1, x_2\})$

$$M_1((R, u)) = (R, u)' (1 \leftarrow 2, \{ \underbrace{\frac{1+x_2}{x_1}}_{x_3}, x_2 \})$$

$$M_2((R, u)') = (1 \rightarrow 2, \{ \underbrace{\frac{1+x_2}{x_1}}, \underbrace{\frac{1+x_1+x_2}{x_1 x_2}}_{x_4} \})$$

$$M_1((R, u)') = (1 \leftarrow 2, \{ \underbrace{\frac{1+x_1}{x_2}}, \underbrace{\frac{1+x_1+x_2}{x_1 x_2}}_{x_5} \})$$

$$M_2((R, u)^{'''}) = (1 \rightarrow 2, \{ \underbrace{\frac{1+x_1}{x_2}}, \underbrace{\frac{x_1}{x_6}}_{x_7} \})$$

$$M_1((R, u)^{'''}) = (1 \leftarrow 2, \{ \underbrace{x_2}_{x_7}, x_1 \})$$

Here: The cluster algebra  $\mathcal{A}_{\mathbb{A}_2}$  is generated  
 as a  $\mathbb{Q}$ -algebra by the cluster variables  
 $x_m$ ,  $m \in \mathbb{Z}$ , submitted to the exchange  
 relations

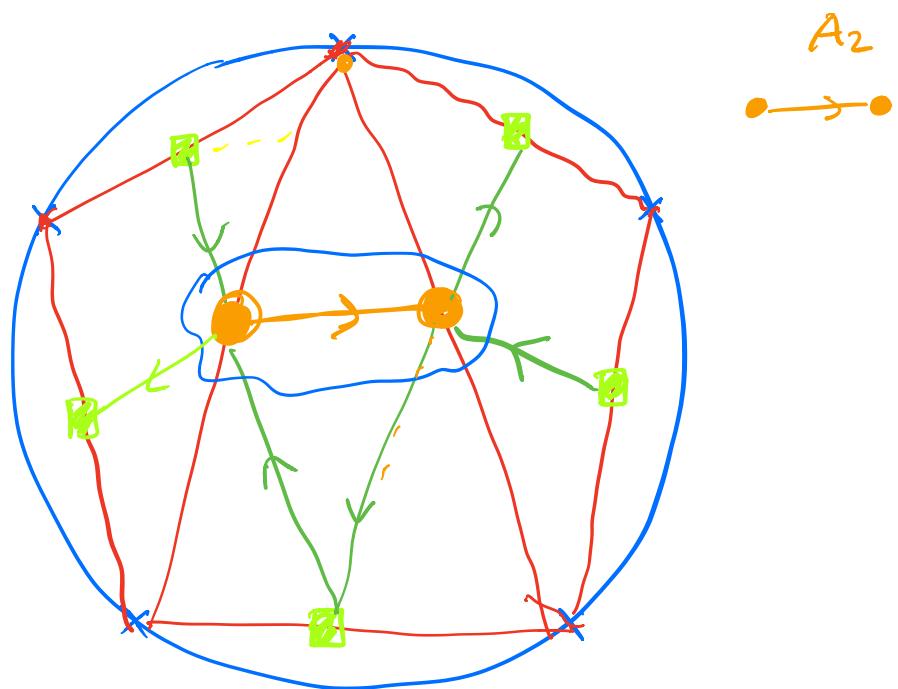
$$\boxed{x_{m-1} x_{m+1} = 1 + x_m, \quad m \in \mathbb{Z}}$$

Its Clusters are pairs of consecutive variables  
 $\{x_m, x_{m+1}\}, m \in \mathbb{Z}$ .

- \* The initial cluster is  $\{x_1, x_2\}$  and two clusters  
 are linked by mutation iff they share exactly  
 one variable.
- \* The exchange relations allow one to write  
 each cluster variable as a rational expression  
 in the initial variables  $x_1, x_2$  and thus to  
 identify  $\mathcal{A}_{\mathbb{A}_2}$  with a subalgebra of the field  
 $\mathbb{Q}(x_1, x_2)$ .

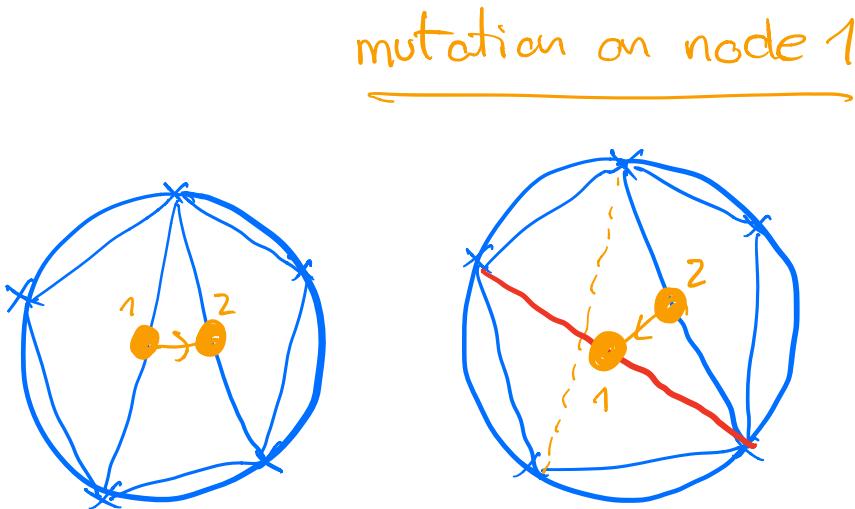
## 2. Introduction & Motivation :

Consider the triangulation of a disk with 5 punctures on the boundary:



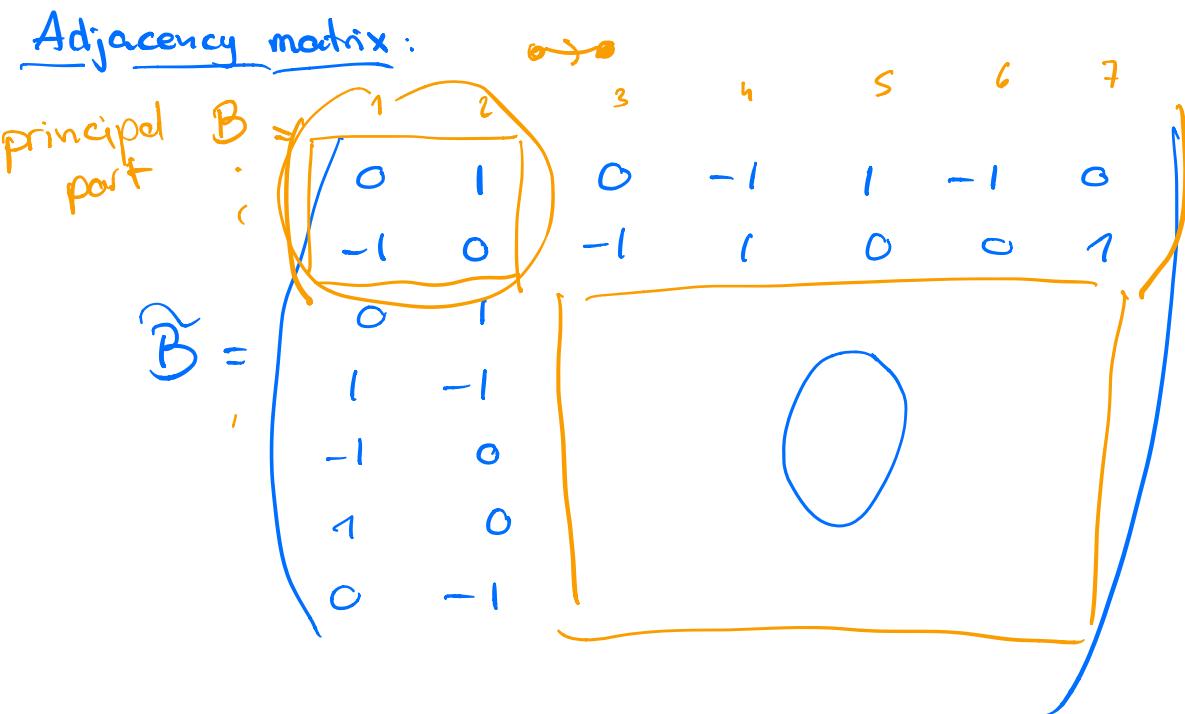
Assign a quiver to a given triangulation by the  
following rules:

- \* assign a node  () to each internal (external) arc of the triangulation.
- \* assign an arrow to each pair of nodes which share a triangle using an orientation convention



mutation corresponds to flipping the  
diagonal within a quadrilateral

Remark: mutation in this context corresponds to  
a flip of the triangulation.



### 3. Cluster algebras of geometric type:

2, 7

3.11 Definition: Let  $1 \leq h \leq n$  be integers.

Let  $\tilde{Q}$  be an ice quiver of type  $(h, n)$ , i.e.

a quiver with  $n$  vertices which does not

have any arrows between vertices  $i, j$  which are both strictly greater than  $h$ .

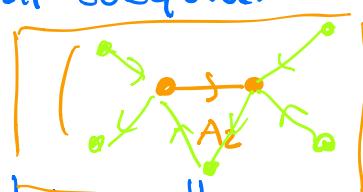
#### ex: Triangulation

The principal part of  $\tilde{Q}$  is the full subquiver

$Q$  whose vertices are  $1, \dots, h$

(full if with any two vertices, it contains all

the arrows linking them )



Ex:



The vertices  $k+1, \dots, n$  are called frozen vertices.

The cluster algebra associated to the ice quiver  $\tilde{Q}$

$\mathcal{A}_{\tilde{Q}}$   $\subset \mathbb{C}(x_1, \dots, x_n)$  is defined

in the same manner as the cluster algebra

associated with a quiver but:

- \* only mutations w.r.t. non frozen vertices are allowed and no arrows between frozen vertices are added in the mutation.
- \* the variables  $x_{k+1}, \dots, x_n$  which belong to all clusters are called coefficients rather than cluster variables
- \* the cluster type of the ice quiver is that of its principal part.

3.2] Rem. The datum of  $\widehat{Q}$  is equivalent to that of the  $n \times k$  matrix  $\widetilde{B}$

where  $b_{ij} = \# \text{ arrows from } i \rightarrow j - \# \text{ arrows from } j \rightarrow i$

$1 \leq i \leq n, \quad 1 \leq j \leq k$ .

The top  $k \times k$  part  $(B)$  of  $(\widetilde{B})$  is called its principal part.

3.3] Theorem FZ '03

Each cluster variable in  $\mathcal{A}_{\mathcal{Q}}$  is a Laurent polynomial in the initial variables  $x_1, \dots, x_n$   $(x_1, x_2)$

with coefficients in  $\mathbb{Z}[x_{k+1}, \dots, x_n]$ .

$x_3, \dots, x_7$

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Remark following Timo's question:

In the context where a quiver encodes the data of an  $N=1$  4d gauged theory, the non-frozen nodes correspond to gauge groups, the frozen ones to flavour symmetries.

#### 4. What are Grassmannians?

natural generalizations of projective spaces, and  
share many of their properties

We work over the field  $\mathbb{C}$

4.11 Definition: The Grassmannian  $\text{Gr}(k, n)$  is  
the set of all  $k$ -dimensional vector subspaces of  $\mathbb{C}^n$ .

ex:  $\text{Gr}(1, n+1) \cong \mathbb{P}^n = \mathbb{C}^{n+1} \setminus \{0\} / \sim$

$$(x_0, \dots, x_n) \sim (y_0, \dots, y_n)$$

$$\text{if } \exists \lambda \neq 0 \text{ s.t. } (x_0, \dots, x_n) = (\lambda y_0, \dots, \lambda y_n)$$

Grassmannians can be thought of as a set of linear  
subvarieties of a projective space. A linear subvariety

of  $\mathbb{P}^n$  is a closed subvariety defined by linear homogeneous  
polynomials. An  $m$ -dimensional linear subvariety  
of  $\mathbb{P}^n$  is a projective subvariety determined by  
an  $(m+1)$ -dim vector subspace of the vector  
space  $\mathbb{C}^{n+1}$ .

In the following I want to motivate the following

\* Grassmannians are complex manifolds. (charts?)



\* Grassmannians are projective algebraic varieties

$$\boxed{\text{Gr}(k, n)} \longrightarrow \underline{\mathbb{P}^d} \quad d = ?$$

+ vanishing locus of hom. polynomials

4.21 Motivational example:  $\text{Gr}(2, 5)$

2-planes in  $\mathbb{C}^5$

Let  $\Lambda \in \text{Gr}(2, 5)$  be a two-dim vector subspace in  $\mathbb{C}^5$ .

Choose basis vectors  $(\tilde{a}_{11}, \dots, \tilde{a}_{15})$

$(\tilde{a}_{21}, \dots, \tilde{a}_{25})$

matrix

$$\begin{bmatrix} \tilde{a}_{11} & \cdots & \tilde{a}_{15} \\ \tilde{a}_{21} & \cdots & \tilde{a}_{25} \end{bmatrix}$$

has full rank

$(a_{ij})$  and  $(\tilde{a}_{ij})$  span the same subspace

If  $\exists \underline{g} \in GL(k) = \{\text{invertible } k \times k \text{ matrices}\}$

$$(a_{ij}) = \underline{g} (\tilde{a}_{ij})$$

w.l.o.g

$$\begin{bmatrix} \tilde{a}_{11} & \cdots & \tilde{a}_{15} \\ \tilde{a}_{21} & \cdots & \tilde{a}_{25} \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & a_{13} & a_{14} & a_{15} \\ 0 & 1 & a_{23} & a_{24} & a_{25} \end{bmatrix}$$

6 entries  
(coordinates)

$\Rightarrow$  we can identify

$$\boxed{Gr(k,n) = \{k \times n \text{ matrices of rank } k\} / GL(k)}$$

Consider

$$\left[ \begin{array}{ccc|cc} \tilde{a}_{11} & \cdots & \tilde{a}_{15} \\ \tilde{a}_{21} & \cdots & \tilde{a}_{25} \end{array} \right] \rightarrow \left[ \Delta_{(1,2)} : \cdots : \Delta_{(i_1, i_2)} : \cdots : \Delta_{(4,5)} \right]$$

where  $\boxed{\Delta_{(i_1, i_2)}}$  denotes the  $2 \times 2$  subdeterminant  
of  $(\tilde{a}_{ij})$  formed by the columns  $1 \leq i_1 < i_2 \leq 5$

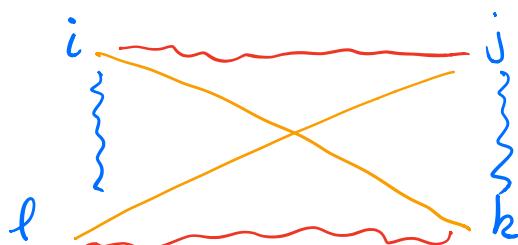
this gives a well defined map

$$Gr(2,5) \rightarrow \underline{\mathbb{P}}^d \quad d = \binom{5}{2} - 1 = \frac{5!}{3! 2!} - 1 = 9$$

homogeneous polynomials?

Although we expect  $Gr(2,5)$  to be of dim 6, it embeds  
into  $\mathbb{P}^9$ , so there have to be equations satisfied...  
for any  $1 \leq i < j < k < l \leq 5$

$$\underline{\Delta_{ik} \Delta_{jl}} = \underline{\Delta_{ij} \Delta_{kl}} + \underline{\Delta_{il} \Delta_{jh}}$$



ex: verify

### 4.3 | Theorem

The Grassmannian  $\text{Gr}(k, n)$  can be embedded as a complex submanifold of  $\mathbb{P}^{\binom{n}{k}-1}$

Proof: Let  $\Lambda \in \text{Gr}(k, n)$  be a  $k$ -dim vector subspace in  $\mathbb{C}^n$ . Choose basis vectors  $(a_{ij}, \dots, a_{jn})$ ,  $\underbrace{j=1, \dots, k}_{\text{for } \Lambda}$  and from the row matrix of basis vectors

$$\begin{Bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & & \vdots \\ a_{k1} & \cdots & a_{kn} \end{Bmatrix} \quad k \times n \text{ matrix}$$

This matrix has full rank, since its rows are linearly indep. Two matrices of full rank  $(a_{ij})$  and  $(b_{ij})$  span the same subspace

iff there exists a matrix  $\underline{g \in \text{GL}(k) = \{\text{inv. } k \times k \text{ matrix}\}}$

satisfying  $(a_{ij}) = g(b_{ij})$

We can therefore identify

$$\text{Gr}(k, n) \cong G = \{ k \times n \text{ matrices of rank } k \} / \text{action of } \text{GL}(k)$$

Denote by  $\boxed{\Delta_{(i_1 \dots i_k)}}$  the  $k \times h$  subdet. of  $(a_{ij})$   
formed by the columns

$$1 \leq i_1 < \dots < i_k \leq n \quad (12)$$

(Notation also  $\langle i_1 \dots i_k \rangle$ )

The mapping

$$\begin{bmatrix} a_{11} & \dots & a_{1n} \\ \vdots & & \vdots \\ a_{k1} & \dots & a_{kn} \end{bmatrix} \mapsto [\Delta_{(1 \dots k)}, \dots, \Delta_{(i_1, \dots, i_k)}, \dots, \dots, \Delta_{(n-k+1, \dots, n)}]$$

is well defined on the factor set  $\text{Gr}(k, n)$

So we have a well defined map

$$\text{Gr}(k,n) \rightarrow \mathbb{P}^{\binom{n}{k}-1}$$

it is injective and known as the Plücker embedding.

Consider a subspace  $\underline{\Lambda}$ , where  $(\alpha_{ij})$  satisfies

$\boxed{\Delta(1, \dots, k) \neq 0}$ . This kind of subspace  $\Lambda$  corresponds

to a unique matrix of the form

$$h \left[ \begin{array}{cc|cc} 1 & & 0 & \\ & \ddots & & \\ 0 & & 1 & \\ \hline & & a_{1,k+1} & - a_{1n} \\ & & a_{k,k+1} & \dots a_{kn} \end{array} \right] h^{n-k}$$

and each matrix of this form determines a unique  
subspace  $\underline{\Lambda} \in \text{Gr}(k,n)$ . So there is a  
bijective map

$$\underline{U}_{(1, \dots, k)} = \{ \underline{\Lambda} \in \text{Gr}(k,n) \mid \underline{\Delta(1, \dots, k)} \neq 0 \} \rightarrow \underline{\mathbb{C}^{k(n-k)}}$$

Because the open sets  $U_{(i_1 \dots i_n)}$  where  
 $\Delta(i_1 \dots i_n) \neq 0$  cover  $Gr(k, n)$ , these mappings  
form an atlas of  $Gr(k, n)$ .

(Chart changes are given by multiplication by  
the rational fcts.  $\boxed{\Delta_I / \Delta_J}$ )

hence chart changes are analytic.

Also, because the Plücker embedding is given  
by holomorphic (rational) maps on the coord.

charts, we know that  $Gr(k, n)$  can  
be described as a complex submanifold of proj. space

Theorem  $Gr(k, n) \subset \mathbb{P}^{(n)-1}$  is a proj. alg. variety

proof see a book i.e.  $\boxed{\text{Griffiths & Harris}}$

## 5. Quiver and cluster algebra structure of Grassmannian

(Gekhtman, Shapiro, Vainshtein)  
(C. Vergu review)

Let  $\underline{\Lambda} \in \underline{\text{Gr}(k,n)}$  be represented

by a matrix

$$\begin{pmatrix} \mathbb{1}_k & Y \\ \hline & n \end{pmatrix}$$

where  $Y$  is a  $k \times \underbrace{(n-k)}_{\ell}$  matrix

Now define a matrix  $\boxed{F_{ij}}$  for  $1 \leq i \leq k, 1 \leq j \leq \ell = (n-k)$

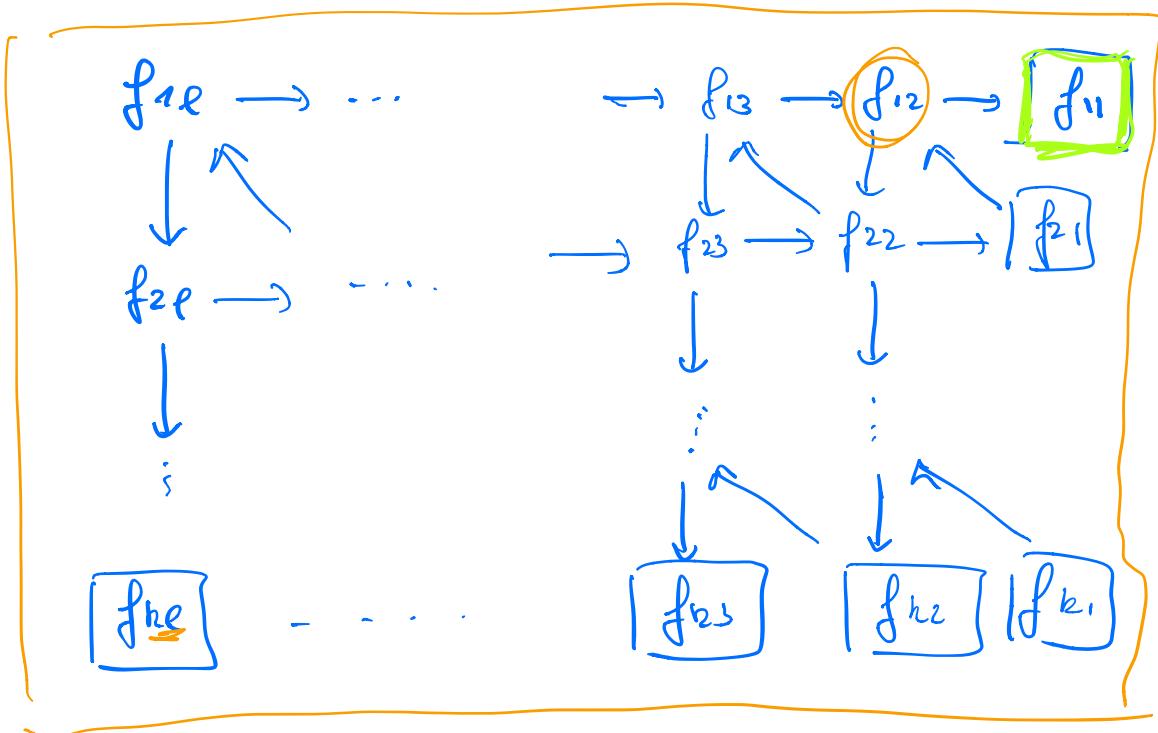
which is the biggest square matrix which fits inside  $Y$

and whose lower-left corner is at position  $(i,j)$  inside  $Y$ .

Then define  $\underline{\ell(i,j) = \min(i-1, n-j-k)}$  and

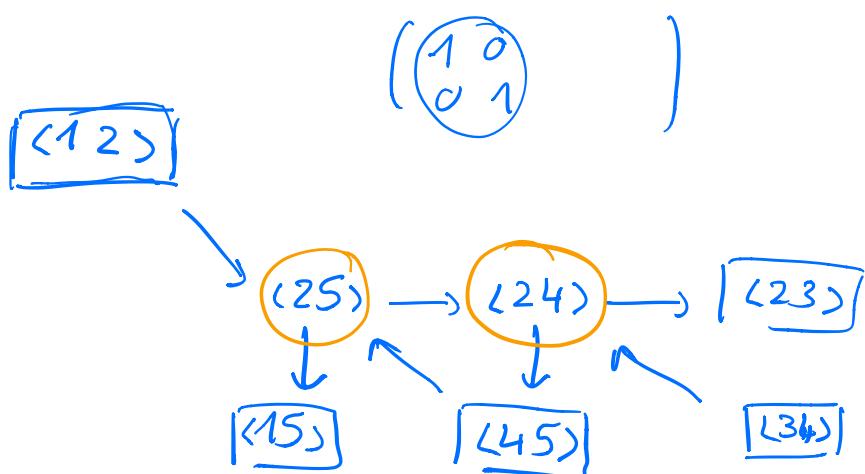
$$\underline{f_{ij}} = \boxed{(-1)^{(k-i)(\ell(i,j)-1)}} \det F_{ij}$$

then the initial quiver for the  $\text{Gr}(k,n)$  cluster algebra is given by



The boxed variables are frozen vertices.

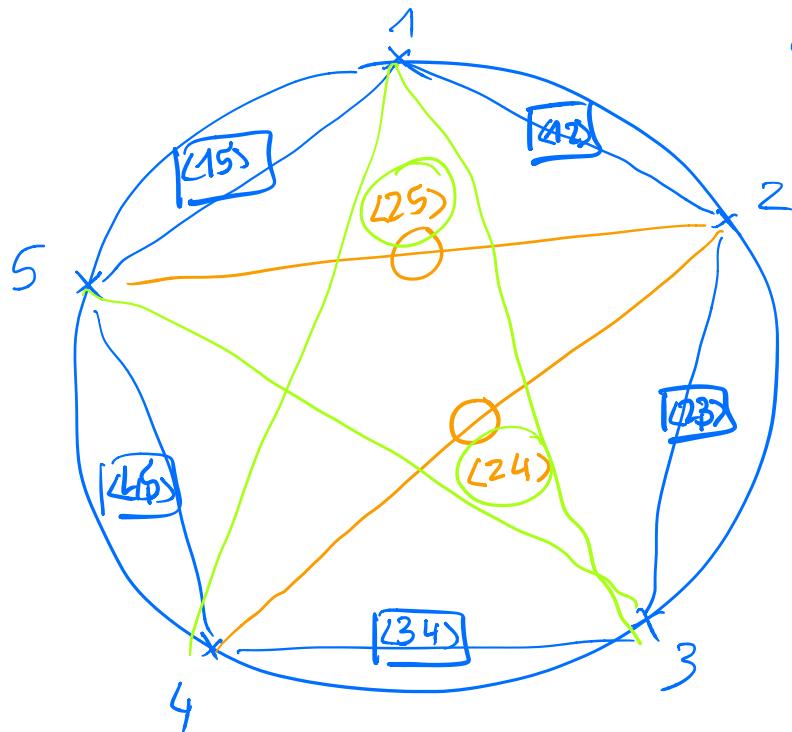
Ex.:  $\text{Gr}(2,5)$  in terms of  $\langle i j \rangle = \delta_{ij}$   
Plücker coordinates



Rem: there is a bijection

$\text{Gr}(2, d)$

triangulation  
of  $d$ -gon

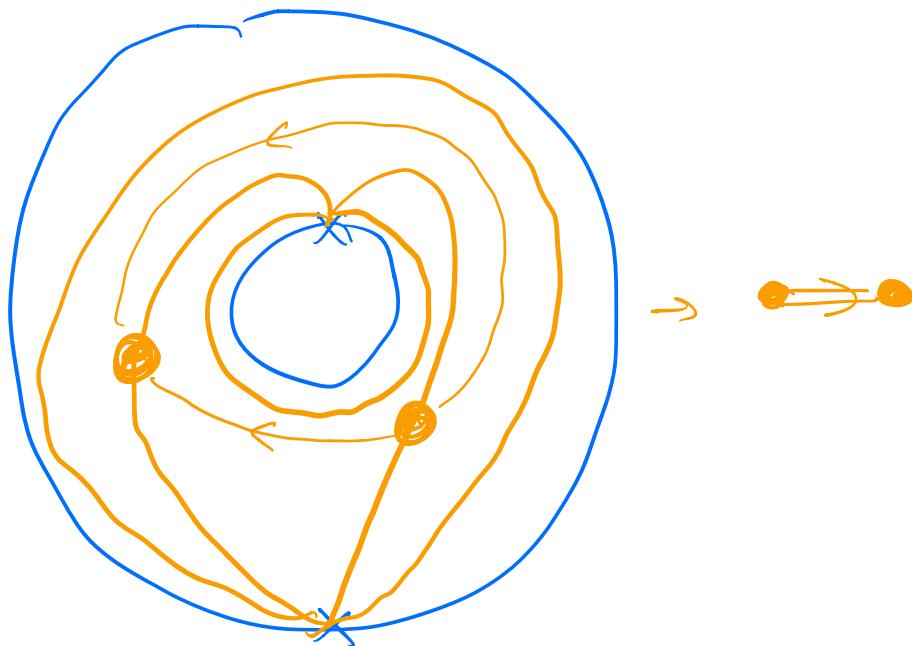


Remark following a question by Ingo

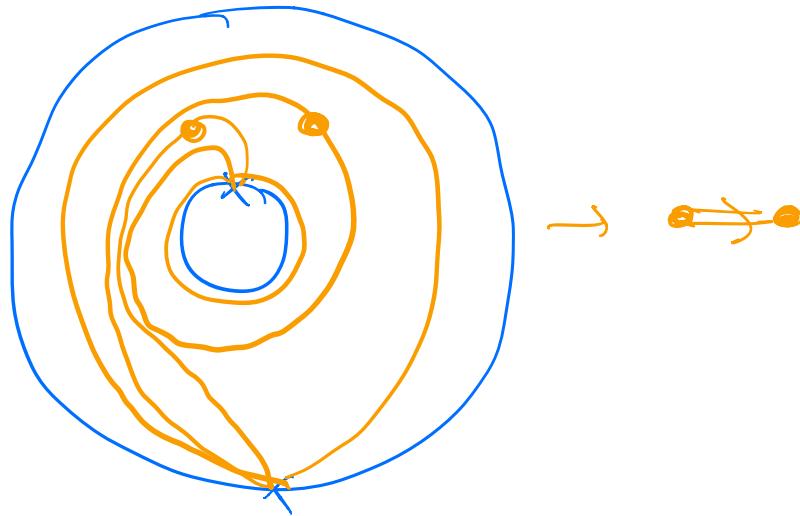
- \* there are quivers corresponding to triangulations which are of finite mutation type (topologically), whose cluster variables are not finite however, correspondingly

there are infinitely many triangulations.

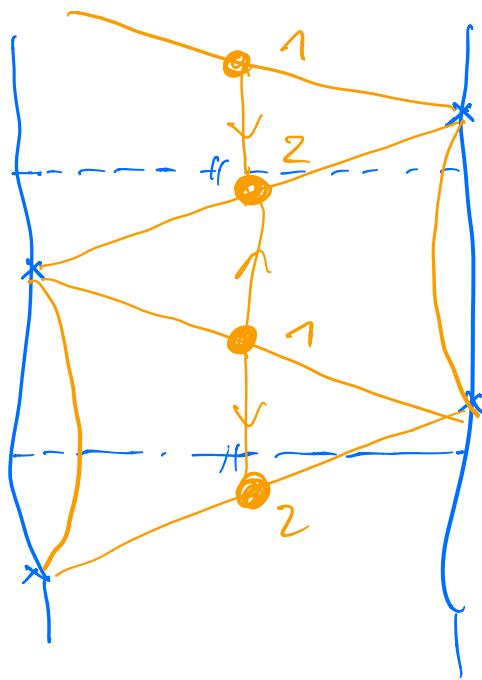
For example the cylinder with one marked point at each boundary



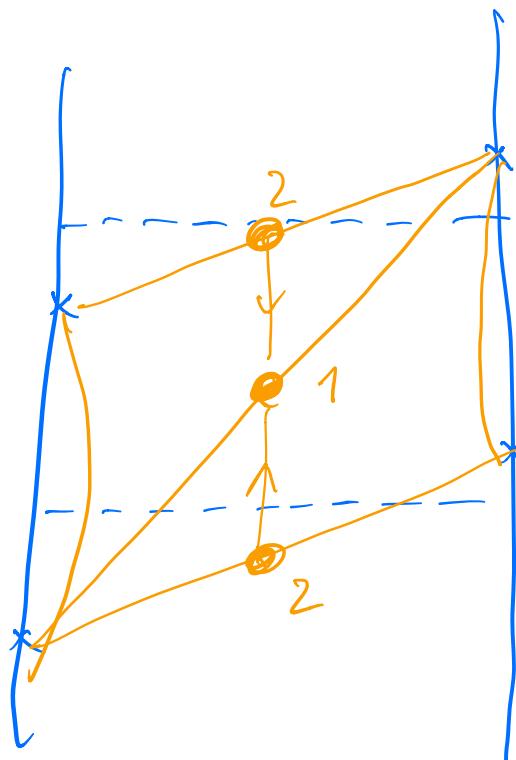
but also



easier to see:



mutate on 1



^ ^ ^

(added to the notes after the seminar)

Remark: This triangulation corresponds

to the 2-Kronecker quiver



- \* its representation theory captures the BPS spectrum of Seiberg-Witten  $SU(2)$  theory
- \* In the context of last term's 2MP seminar it captures the coherent sheaves on  $\mathbb{P}^1$ , its mirror side captures geodesics of a quadratic differential on the sw curve

$$\Sigma = \left\{ y^2 = \frac{\lambda^2}{z^3} + \frac{2u}{z^2} + \frac{\lambda^2}{z} \right\}$$

$$\phi = y^2, \quad \underline{\gamma = y \, dz}$$