

# Generalized negligible morphisms and their tensor ideals

Or: How zero is zero?

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## Dimensions in monoidal categories

- Let  $\mathcal{C}$  be a monoidal rigid spherical category whose  $Hom$  spaces are  $k$ -vector spaces over a field  $k$ ,  $End(\mathbf{1}) \cong k$ .
- For any object can define the trace function  $Tr_X$  on  $End(X)$ .
- The dimension of  $X$  is then  $Tr(id_X) \in End(\mathbf{1}) \cong k$ .
- If  $\mathcal{C}$  is semisimple, then  $\dim(X) \neq 0$  for all indecomposable  $X$ .
- If  $\mathcal{C}$  is not semisimple, it has a largest proper tensor ideal

$$\mathcal{N}(X, Y) = \{f \in Hom(X, Y) \mid Tr(f \circ g) = 0 \forall g : Y \rightarrow X\},$$

the ideal of negligible morphisms.

- The associated thick ideal is

$$N = \{X \mid X \text{ indecomposable, } \dim(X) = 0.\}$$

- Observation: Often times this categorial dimension is zero. Aim: Introduce a measure for for the "nullity" of the dimension.

## Examples

- $Tilt(U_q(\mathfrak{g}))$  ( $\mathfrak{g}$  a semisimple Lie algebra), the category of tilting modules for (Lusztig's) quantum group at a primitive  $\ell$ -th root of unity  $q$  over  $Q(q)$  or  $\mathbb{C}$ .
- $Tilt(G)$ , the category of tilting modules for semisimple and simply connected  $G$  over  $\mathbb{F}_p$  (or its algebraic closure).
- In both cases indecomposable tilting modules are parametrized by  $X^+$ , the dominant integral weights. Their categorial dimension vanishes iff  $\lambda$  is not in the fundamental alcove (for  $\ell$  and  $p$  bigger than  $h$ ).
- $Rep(GL_n)$ , Deligne's interpolating category for the parameter  $n \in \mathbf{Z}$ . Indecomposable objects are parametrized by bipartitions  $(\lambda^L, \lambda^R)$ . The categorial dimension vanishes iff  $length(\lambda^L) + length(\lambda^R) > |n|$ .

Let  $\mathcal{C}$  be a monoidal category. A *tensor ideal*  $\mathcal{I}$  in  $\mathcal{C}$  consists of a subgroup  $\mathcal{I}(X, Y) \subset \text{Hom}(X, Y)$  for all  $X, Y \in \mathcal{C}$  such that

- for all  $X, Y, Z, W \in \mathcal{C}$  and  $f \in \text{Hom}(X, Y)$  and  $h \in \text{Hom}(Z, W)$

$$f \in \mathcal{I}(Y, Z) \text{ implies } f \circ g \in \mathcal{I}(X, Y) \text{ and } h \circ f \in \mathcal{I}(Y, W);$$

- $f \in \text{Hom}(X, Y)$  implies  $id_Z \otimes f \in \mathcal{I}(Z \otimes X, Z \otimes Y)$  and likewise from the right.

A collection of objects  $I$  in a monoidal category  $\mathcal{C}$  is called a *thick ideal* of  $\mathcal{C}$  if the following conditions are satisfied:

- (i)  $X \otimes Y \in I$  whenever  $X \in \mathcal{C}$  and  $Y \in I$ .
- (ii) If  $X \in \mathcal{C}$ ,  $Y \in I$  and there exist  $\alpha : X \rightarrow Y$ ,  $\beta : Y \rightarrow X$  such that  $\beta \circ \alpha = id_X$ , then  $X \in I$ .

To any tensor ideal  $\mathcal{I}$  we can associate the thick ideal  $I$  given by

$$I = \{X \in \mathcal{C} \mid id_X \in \mathcal{I}(X, X)\}.$$

## $I$ -negligible morphisms

- Let  $R$  be a local ring with maximal ideal  $\mathfrak{m}$ . We assume  $\mathcal{C}(R)$  to be a monoidal rigid spherical tensor category whose  $\text{Hom}$  spaces are free  $R$ -modules.
- We call a morphism  $f : X \rightarrow Y$   $I$ -negligible if  $\text{Tr}_X(g \circ f) \in I$  and  $\text{Tr}_Y(f \circ g) \in I$  for all morphisms  $g : Y \rightarrow X$ . An object  $X$  is called  $I$ -negligible if  $\text{Tr}_X(a) \in I$  for all  $a \in \text{End}(X)$ .
- Then the  $I$ -negligible morphisms form a tensor ideal  $\mathcal{N}_I$  in  $\mathcal{C}(R)$  and the  $I$ -negligible objects form a thick ideal  $N_I$ .
- Special case:  $I = \mathfrak{m}^k$ . Then we use the notation  $\mathcal{N}_k$  and  $N_k$ .

## Mod $\mathfrak{m}$ evaluations

- If  $M$  is a free  $R$ -module of rank  $r$ , we obtain a well-defined vector space  $M/\mathfrak{m}M$  over  $k = R/\mathfrak{m}$  of dimension  $r$ . We call the mod  $\mathfrak{m}$  evaluation  $\mathcal{C}$  of  $\mathcal{C}(R)$  the category  $\mathcal{C}$  whose objects are in 1-1 correspondence with the ones of  $\mathcal{C}(R)$ , and where  $\text{Hom}(X, Y) = \text{Hom}_R(X, Y)/\mathfrak{m}\text{Hom}_R(X, Y)$ .
- The images of  $\mathcal{N}_i$  and  $N_i$  define tensor ideals respectively thick ideals in  $\mathcal{C}$ .
- Example:  $N_1$  are the indecomposable negligible objects, i.e.  $\dim_{\mathcal{C}}(X) = 0$ .
- Get a chain of thick ideals  $N_1 \supset N_2 \supset N_3 \subset \dots$  and tensor ideals  $\mathcal{N}_1 \supset \mathcal{N}_2 \supset \mathcal{N}_3 \subset \dots$  in any mod  $\mathfrak{m}$  evaluation as well as quotient functors  $\mathcal{C}/\mathcal{N}_3 \rightarrow \mathcal{C}/\mathcal{N}_2 \rightarrow \mathcal{C}/\mathcal{N}_1$ .
- The  $N_k$  are "hidden" in  $\mathcal{C}$  and only become visible when viewing  $\mathcal{C}$  as a mod  $\mathfrak{m}$  evaluation.
- Question: What can we say about the  $N_k$  and why are they interesting?
- For  $X \in \mathcal{C}$  we say  $X$  has nullity  $k$  if  $X \in N_k$  and  $k$  is minimal with this property.

### Theorem

- 1 *Various Deligne categories over  $\mathbb{C}$  are mod  $\mathfrak{m}$  evaluations from their analogs over the completion of  $\mathbb{C}[t]_{(t-n)}$ , the polynomial ring localized at  $(t-n)$ , i.e. all rational functions over  $\mathbb{C}$  which are evaluable at  $t = n$ .*
- 2  *$Tilt(U_q(\mathfrak{g}))$  is the mod  $\mathfrak{m}$  evaluation of  $Tilt(U_q(\mathfrak{g}))_R$  where  $R$  is (the completion of)  $\mathbb{C}[v]_{(v-q)}$ .*
- 3  *$Tilt(G)$  is the mod  $\mathfrak{m}$  evaluation of  $Tilt(G)_{\mathbb{Z}_p}$  over the  $p$ -adic integers  $\mathbb{Z}_p$ .*

Proof: Canonical/Crystal bases and Kempf vanishing over  $\mathcal{A} = \mathbb{Z}[v, v^{-1}]$ ;  
Lifting of primitive idempotents in towers of algebras.

Example: The categorial dimension in  $Tilt(G)$  is the  $p$ -dimension, the usual dimension reduced mod  $p$ . The maximal ideal in  $\mathbb{Z}_p$  is  $p\mathbb{Z}_p$ , so  $\dim_{Tilt(G)}(X) = 0$  iff  $p$  divides  $\dim X$  (vector space dimension). So are we measuring the  $p$ -divisibility of  $\dim X$ ?

## The modular $SL_2$ -case

- For  $SL_2$  the tilting modules are parametrized by  $\mathbb{N}$ ,  $T(0)$ ,  $T(1)$ ,  $T(2), \dots$
- Introduce  $St_r = L((p^r - 1)\rho)$  and let  $I_r = \langle St_r \rangle$ .
- For  $SL(2)$  the  $I_r$  are a complete list of thick ideals. A tilting module  $T(m)$  is in  $I_r$  if and only if  $m \geq p^r - 1$ .
- For  $p > 2$

$$T(\lambda) \in N_k \text{ if and only if } p^k \mid \dim T(\lambda)$$

where  $\dim$  refers to the dimension of  $T(\lambda)$  as a vector space. In other words,  $N_k$  measures the  $p$ -divisibility of the dimension of  $T(\lambda)$ .

- It is important to assume  $p > 2$  here. Indeed the dimensions of the first tilting modules in the  $p = 2$  case are

$$\dim T(0) = 1, \dim T(1) = St_1 = 2, \dim T(2) = 4, \dim T(3) = St_3 = 4.$$

- Although  $\dim T(2) = 4$ , it is not in  $N_2$ . Over  $\mathbf{Z}_2$  we have  $Tr(id_{T(2)}) = 4$ , but we can write  $T(2) \cong T(1) \otimes T(1)$ . Hence there is an endomorphism  $f$  of  $T(2)$  which permutes the two factors. It is easy to see that  $Tr(f) = 2$ , hence the trace is not always contained in  $(2)^2$  and so  $T(2) \notin N_2$ .

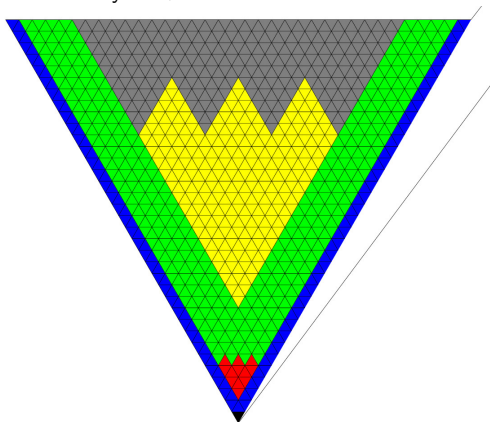


## The tilting cases $A_1$ and $A_2$

- For  $Sl_3$  every ideal is  $k$ -negligible for some  $k$  (picture is taken from Andersen *Cells in affine Weyl groups* for  $p \geq 5$ ).
- A complete list of thick ideals is:
- The Steinberg ideals with nullity  $3s$  for  $s = 1, 2, \dots$  and
- for  $s = 0, 1, 2, \dots$  the ideals generated by the  $T(\lambda)$  with

$$(\lambda + \rho, \rho) = p^{s+1}, \quad (\lambda + \rho, \alpha_1) = rp^s, \quad 1 \leq r < p$$

of nullity  $3s + 1$ .



## The example $\text{Rep } GL_t$

- Let  $\lambda$  be a Young diagram.  $(i, j)$  denotes the box in the  $i$ -th row and  $j$ -th column of  $\lambda$ .
- Let  $h(i, j)$  be the length of the hook whose northwest corner is the box  $(i, j)$ .
- Let  $R(\lambda^L, \lambda^R)$  denote the indecomposable object corresponding to the bipartition  $(\lambda^L, \lambda^R)$ . Then

$$\dim(R(\lambda, 0)) = P_\lambda(t) = \prod_{(i,j) \in \lambda} \frac{t - i + j}{h(i, j)} \text{ for Rep } GL_t \text{ over } R.$$

- Zeros of  $P_\lambda(T)$  are precisely the integers  $-j + i$  for  $(i, j) \in \lambda$ . For the partition  $\lambda = (k^{n+k})$  the polynomial has exactly  $k$  zeros for  $t = n$ , i.e.

$$P_{k^{n+k}}(t) = (t - n)^k \cdot \text{something}.$$

## The example $\text{Rep } GL_t$ part II

- Hence for each  $k \in \mathbb{N}$  there must be an  $N_k$ . In fact:

### Theorem

*Every thick ideal resp. every tensor ideal is of the form  $N_k$  resp.  $\mathcal{N}_k$ . These form a strictly decreasing chain of ideals. The same is true for the  $\text{Rep } O_n$ -case.*

- For the thick ideals this gives a new classification of the thick ideals in  $\text{Rep } GL_t$  and  $\text{Rep } O_t$ .
- For the tensor ideals this is based on results by Coulembier.
- Should be true for the quantum versions as long as  $q$  is not a root of unity.

Recall that for any objects  $X, Y \in \mathcal{C}$  and any endomorphism  $f \in X \otimes Y$  we have the left trace  $t_L(f) \in \text{End}_{\mathcal{C}}(X)$  and the right trace  $t_R(f) \in \text{End}_{\mathcal{C}}(Y)$ .

### Definition

If  $I$  is a thick ideal in  $\mathcal{C}$  then a *trace on  $I$*  is a family of linear functions

$$\{t_V : \text{End}_{\mathcal{C}}(V) \rightarrow R\}$$

where  $V$  runs over all objects of  $I$  and such that following two conditions hold.

- 1 If  $U \in I$  and  $W \in \mathcal{C}$  then for any  $f \in \text{End}_{\mathcal{C}}(U \otimes W)$  we have

$$t_{U \otimes W}(f) = t_U(\text{tr}_R(f)).$$

- 2 If  $U, V \in I$  then for any morphisms  $f : V \rightarrow U$  and  $g : U \rightarrow V$  in  $\mathcal{C}$  we have

$$t_V(g \circ f) = t_U(f \circ g).$$

## Modified dimensions and link invariants

- Assume that the maximal ideal  $(p) \subset R$  is generated by the element  $p$ .
- Let  $I$  be a tensor ideal all of whose objects are  $k$ -negligible, e.g. the ideal  $N_k$  of all  $k$ -negligible objects. Then we define the modified trace  $Tr_X^{(k)}$  and modified dimension  $\dim^{(k)}(X)$  for an object  $X$  in  $I$  by ( $a \in \text{End}(X)$ )

$$Tr_X^{(k)}(a) = \frac{1}{p^k} Tr_X(a), \quad \dim^{(k)}(X) = \frac{1}{p^k} \dim(X),$$

Note that this is well-defined since  $Tr_X(a) \in (p)^k \forall a \in \text{End}(X)$ . It is clear that  $Tr_X^{(k)}(id_X) = \dim^{(k)}(X)$ .

### Lemma

Let  $X, Y$  be objects in  $I$ , and let  $Z$  be an object in  $\mathcal{C}$ . Then we have

- (a)  $Tr_X^{(k)}(ab) = Tr_Y^{(k)}(ba)$  for all morphisms  $a : X \rightarrow Y$  and  $b : Y \rightarrow X$ ,
- (b)  $Tr_{X \otimes Y}^{(k)}(a \otimes c) = Tr_X^{(k)}(a) Tr_Z(c)$  and  $\dim^{(k)}(X \otimes Y) = \dim^{(k)}(X) \dim(Y)$  for  $a \in \text{End}(X)$ ,  $c \in \text{End}(Z)$ .

Gives a *modified* trace on  $I$  in the sense of Geer, Kujawa, Patureau-Mirand,...  
In particular: All ideals in Deligne categories and for *Tilt*(...) have nontrivial modified traces.

## Modified dimensions and link invariants II

- Any link  $L$  with  $m$  components can be obtained as the closure of a braid  $\beta$ . For chosen objects  $X_1, \dots, X_m$  obtain

$$\Phi(\beta) \in \text{End}(X_1^{\otimes c_1} \otimes X_2^{\otimes c_2} \otimes \dots \otimes X_m^{\otimes c_m}).$$

- The link invariant  $\mathcal{L}^{(X_1, \dots, X_m)}(L)$  is then defined by

$$\mathcal{L}^{(X_1, \dots, X_m)}(L) = \text{Tr}(\Phi(\beta)).$$

### Theorem

(a) If the object  $\mathbf{X}^{\otimes m}$  is  $k$ -negligible, then we obtain a new link invariant  $\mathcal{L}^{(X_1, \dots, X_m), (k)}$  defined by

$$\mathcal{L}^{(X_1, \dots, X_m), (k)}(L) = \frac{1}{p^k} \mathcal{L}^{(X_1, \dots, X_m)}(L)$$

which is well-defined and yields an invariant with values in  $R/(p)$ .

(b) If  $R = \widehat{\mathbb{C}[v]}_{(v-q)}$  and  $p = v - q$ , then  $R/(p) \cong \mathbb{C}$  and the value of the  $R/(p)$ -valued invariant is equal to  $k! \frac{d^k}{dq^k} \mathcal{L}^{(X_1, \dots, X_m)}(L)|_{v=q}$ , which is valid for its evaluation on any  $m$ -component link  $L$ .

## How do the tensor ideals look like in the quantum case?

- Have a system of hyperplanes on  $\mathfrak{h}^*$  from the orbits of the generating hyperplanes under the affine Weyl group. They can be described explicitly by

$$H_{\alpha,k} = \{x \in \mathfrak{h}^*, (x, \alpha) = k\ell\}, \quad \alpha \in \Delta_+, k \in \mathbf{Z},$$

if  $d|\ell$ .

- These hyperplanes make  $\mathfrak{h}^*$  into a cell complex as follows: We call an intersection of  $k$  hyperplanes maximal if it has dimension  $n - k$ , and we denote by  $\mathfrak{h}^*(n - k)$  the union of all maximal intersections of  $k$  hyperplanes.
- The set of  $j$ -cells then is given by all connected components of  $\mathfrak{h}^*(j) \setminus \mathfrak{h}^*(j - 1)$ , with  $\mathfrak{h}^*(-1)$  being the empty set.
- Call the  $n$ -cells *alcoves*, and lower-dimensional cells *facets*. The  $(n - 1)$ -cells which are in the closure of a given alcove  $A$  are called the *walls* of  $A$ .

The following theorem gives an explicit description of all thick ideals in quantum  $U_q(\mathfrak{sl}_n)$ . In this case Ostrik constructed thick ideals corresponding to two-sided cells in the affine Weyl group. These cells are parametrized by partitions  $\lambda$  of  $n$ . To each  $\lambda$  we associate a facet  $F_0(\lambda)$ .

### Theorem

*The thick ideal  $\mathcal{I}(\lambda) = \mathcal{I}(F_0(\lambda))$  generated by the tilting modules  $T(\nu)$  for which  $\nu + \rho \in F_0(\lambda)$  coincides with the thick ideal constructed by Ostrik for the cell in the dominant Weyl chamber corresponding to the two-sided cell labeled by the partition  $\lambda^T$ . The nullity of any generating module  $T(\nu)$  of that ideal is equal to the value of Lusztig's  $a$ -function of that cell.*

Remark: The thick ideal  $N_k$  is the sum of the  $\mathcal{I}(\lambda)$  ( $\lambda$  partition of  $n$ ) for which the nullity is  $\geq k$ .

We have an analogous conjecture for modular type A.



## Open questions

- Extension to more general categories? E.g. small quantum group?
- Classify thick ideals for Deligne categories at roots of unity.
- Currently we define modified traces only if the maximal ideal has one generator. It would be interesting to define modified traces if the maximal ideal is not principal.
- Understand the relation between the nullity and the  $a$ -function in the quantum case.