

# Derived categories and stability structures

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# Outline

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# The definition

Let  $\mathbf{A}$  be an abelian category (e.g.,  $\mathbf{mod}\text{-}R$ , right  $R$ -modules,  $R$  an ass. ring with unity, and  $\mathbf{Coh}(X)$ ).

Define  $C(\mathbf{A})$  to be the (abelian) **category of complexes** of objects in  $\mathbf{A}$ . In particular:

- Objects:

$$M^\bullet := \{ \dots \rightarrow M^{p-1} \xrightarrow{d^{p-1}} M^p \xrightarrow{d^p} M^{p+1} \rightarrow \dots \}$$

- Morphisms: sets of arrows  $f^\bullet := \{f^i\}_{i \in \mathbb{Z}}$  making commutative the following diagram

$$\begin{array}{ccccccc} \dots & \xrightarrow{d_{M^\bullet}^{i-2}} & M^{i-1} & \xrightarrow{d_{M^\bullet}^{i-1}} & M^i & \xrightarrow{d_{M^\bullet}^i} & M^{i+1} & \xrightarrow{d_{M^\bullet}^{i+1}} & \dots \\ & & \downarrow f^{i-1} & & \downarrow f^i & & \downarrow f^{i+1} & & \\ \dots & \xrightarrow{d_{L^\bullet}^{i-2}} & L^{i-1} & \xrightarrow{d_{L^\bullet}^{i-1}} & L^i & \xrightarrow{d_{L^\bullet}^i} & L^{i+1} & \xrightarrow{d_{L^\bullet}^{i+1}} & \dots \end{array}$$

# The definition

For a complex  $M^\bullet \in C(\mathbf{A})$ , its  $i$ -th cohomology is

$$H^i(M^\bullet) := \frac{\ker(d^i)}{\operatorname{im}(d^{i-1})} \in \mathbf{A}.$$

A morphism of complexes is a **quasi-isomorphism** (qis) if it induces isomorphisms on cohomology.

## Definition 1

The **derived category**  $D(\mathbf{A})$  of the abelian category  $\mathbf{A}$  is such that:

- Objects:  $\operatorname{Ob}(C(\mathbf{A})) = \operatorname{Ob}(D(\mathbf{A}))$ ;
- Morphisms: (very) roughly speaking, obtained 'by inverting qis in  $C(\mathbf{A})$ '.

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## Important!

The category  $D(\mathbf{A})$  is triangulated. In particular, it has a shift functor  $[i]$ , for any  $i \in \mathbb{Z}$ , and a set of *distinguished or exact triangles*.

If we just consider bounded complexes, we get the bounded derived category  $D^b(\mathbf{A})$ . Other possibilities are  $D^-(\mathbf{A})$  (bounded above complexes) and  $D^+(\mathbf{A})$  (bounded below complexes).

## Exercise 2

Describe the bounded derived category of a closed point.

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If  $X$  is a smooth projective variety over a field  $k$  (always assume  $k = \bar{k}$ !), set  $D^b(X) := D^b(\mathbf{Coh}(X))$ .

## Exercise 3

Let  $C$  be a smooth complex curve. Show that any  $\mathcal{E} \in D^b(C)$  is isomorphic to the direct sum of shifts of sheaves.

## Proposition 4

If  $X$  is a smooth projective variety over  $k$ , then  $\bigoplus_j \mathrm{Hom}_{D^b(X)}(\mathcal{E}, \mathcal{F}[j])$  is finite dimensional, for any  $\mathcal{E}, \mathcal{F} \in D^b(X)$ .

In this case, we say that  $D^b(X)$  is **of finite type** over  $k$ .



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Define the **Grothendieck group**  $K(X)$  of  $D^b(X)$  as the free abelian group generated by the isomorphism classes of objects of  $D^b(X)$  modulo the relation  $[\mathcal{E}] = [\mathcal{F}] + [\mathcal{G}]$  for a distinguished triangle  $\mathcal{F} \rightarrow \mathcal{E} \rightarrow \mathcal{G}$ .

## Exercise 5

Show  $K(X) = K(\mathbf{Coh}(X))$  (more generally, for any abelian category  $\mathbf{A} \dots$ )

Using this, define the **Euler-Poincaré pairing**

$$\chi : K(X) \times K(X) \rightarrow \mathbb{Z}$$

by  $\chi([\mathcal{E}], [\mathcal{F}]) := \sum_i (-1)^i \dim \operatorname{Hom}_{D^b(X)}(\mathcal{E}, \mathcal{F}[i])$ .

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Given a functor  $F : \mathbf{A} \rightarrow \mathbf{B}$  between abelian categories, it is not straightforward to 'extend' it to  $D^b(\mathbf{A}) \rightarrow D^b(\mathbf{B})$ .

This is not automatic already for left or right exact functors.

Nevertheless, in the geometric setting, all the 'basic functors' can be *derived*, i.e. defined on the level of the bounded derived categories. For example, for  $X, Y$  smooth finite-dimensional noetherian schemes:

- Tensor product:  $- \overset{L}{\otimes} - : D^b(X) \times D^b(X) \rightarrow D^b(X)$ ;
- For a proper morphism  $f : X \rightarrow Y$ ,  
 $Rf_* : D^b(X) \rightarrow D^b(Y)$ ;
- For  $f$  as above,  $Lf^* : D^b(Y) \rightarrow D^b(X)$ .

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For  $X, Y$  smooth projective varieties, special exact functors  $D^b(X) \rightarrow D^b(Y)$  are those of **Fourier–Mukai type**. That is, those which are isomorphic to the functor

$$\Phi_{\mathcal{E}}(-) := R\rho_* \left( \mathcal{E} \overset{L}{\otimes} q^*(-) \right),$$

for  $\mathcal{E} \in D^b(X \times Y)$  and  $p, q$  the natural projections.

## Remark 6

Many classes of functors have been proved to be of Fourier-Mukai type at different levels of generalities. Among the authors who contributed to this, we mention: Orlov (+Bondal-Van den Bergh), Kawamata, Canonaco-S. and Ballard.

# Serre functor

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## Definition 7

For  $\mathbf{A}$  an abelian category, a **Serre functor** of  $D^b(\mathbf{A})$  is an exact equivalence  $S : D^b(\mathbf{A}) \rightarrow D^b(\mathbf{A})$  such that, for any  $\mathcal{E}, \mathcal{F} \in D^b(\mathbf{A})$ , there is an isomorphism

$$\eta_{\mathcal{E}, \mathcal{F}} : \mathrm{Hom}_{D^b(\mathbf{A})}(\mathcal{E}, \mathcal{F}) \rightarrow \mathrm{Hom}_{D^b(\mathbf{A})}(\mathcal{F}, S(\mathcal{E}))^\vee$$

of  $k$ -vector spaces which is functorial in  $\mathcal{E}$  and  $\mathcal{F}$ .

Some basic properties of Serre functors are the following:

- They commute with equivalences (i.e., for  $F : D^b(\mathbf{A}) \rightarrow D^b(\mathbf{B})$  an equivalence,  $S_{\mathbf{B}} \circ F \cong F \circ S_{\mathbf{A}}$ );
- For  $D^b(\mathbf{A})$  of finite type, a Serre functor, if it exists, is unique up to isomorphism.

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In the geometric setting, we can be more precise:

## Proposition 8

If  $X$  is a smooth projective variety defined over  $k$ , then the autoequivalence  $S_X : D^b(X) \rightarrow D^b(X)$  such that

$$S_X(-) := (-) \otimes \omega_X[\dim(X)],$$

where  $\omega_X$  is the dualizing line bundle, is a Serre functor.

## Exercise 9

Use the Serre functor to show that, if  $X$  has trivial canonical bundle, then  $\chi$  is symmetric if  $\dim(X)$  is even and is skewsymmetric otherwise.

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**Question:** Given the triangulated category  $D^b(\mathbf{A})$ , can we produce abelian subcategories  $\mathbf{B} \subseteq D^b(\mathbf{A})$ , possibly such that  $\mathbf{A} \neq \mathbf{B}$ ?

## Definition 10

A  **$t$ -structure** on  $D^b(\mathbf{A})$  is a pair  $(\mathbf{D}^{\leq 0}, \mathbf{D}^{\geq 0})$  of full subcategories such that, if we put  $\mathbf{D}^{\leq n} := \mathbf{D}^{\leq 0}[-n]$  and  $\mathbf{D}^{\geq n} := \mathbf{D}^{\geq 0}[-n]$ , we have

- $\mathrm{Hom}_{D^b(\mathbf{A})}(\mathbf{D}^{\leq 0}, \mathbf{D}^{\geq 1}) = 0$ ;
- $\mathbf{D}^{\leq 0} \subseteq \mathbf{D}^{\leq 1}$  and  $\mathbf{D}^{\geq 1} \subseteq \mathbf{D}^{\geq 0}$ ;
- For any  $\mathcal{E} \in D^b(\mathbf{A})$  there exist  $\mathcal{F} \in \mathbf{D}^{\leq 0}$ ,  $\mathcal{G} \in \mathbf{D}^{\geq 1}$  and an exact triangle

$$\mathcal{F} \rightarrow \mathcal{E} \rightarrow \mathcal{G}.$$

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## Definition 11

A *t*-structure  $(\mathbf{D}^{\leq 0}, \mathbf{D}^{\geq 0})$  on  $D^b(\mathbf{A})$  is **bounded** if

$$D^b(\mathbf{A}) = \bigcup_{i,j \in \mathbb{Z}} (\mathbf{D}^{\leq 0}[i] \cap \mathbf{D}^{\geq 0}[j]).$$

## Definition 12

The **heart** of a *t*-structure  $(\mathbf{D}^{\leq 0}, \mathbf{D}^{\geq 0})$  on  $D^b(\mathbf{A})$  is the full subcategory  $\mathbf{B} := \mathbf{D}^{\leq 0} \cap \mathbf{D}^{\geq 0}$ .

## Proposition 13

The heart  $\mathbf{B}$  is an abelian category.



# The standard $t$ -structure

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For  $D^b(\mathbf{A})$  we can define the two full subcategories

$$\mathbf{D}^{\leq 0} := \{\mathcal{E} \in D^b(\mathbf{A}) : H^i(\mathcal{E}) = 0 \text{ for } i > 0\}$$

$$\mathbf{D}^{\geq 0} := \{\mathcal{E} \in D^b(\mathbf{A}) : H^i(\mathcal{E}) = 0 \text{ for } i < 0\}.$$

The pair  $(\mathbf{D}^{\leq 0}, \mathbf{D}^{\geq 0})$  defines a bounded  $t$ -structure whose heart is again  $\mathbf{A}$ .

This is usually called the **standard  $t$ -structure** on  $D^b(\mathbf{A})$ .

# Tiltings (after Happel-Reiten-Smalø)

## Definition 14

A **torsion pair** in an abelian category  $\mathbf{A}$  is a pair of full subcategories  $(\mathbf{T}, \mathbf{F})$  of  $\mathbf{A}$  which satisfy  $\text{Hom}_{\mathbf{A}}(\mathcal{T}, \mathcal{F}) = 0$ , for  $\mathcal{T} \in \mathbf{T}$  and  $\mathcal{F} \in \mathbf{F}$ , and such that, for every  $\mathcal{E} \in \mathbf{A}$ , there are  $\mathcal{T} \in \mathbf{T}$  and  $\mathcal{F} \in \mathbf{F}$  and a short exact sequence

$$0 \rightarrow \mathcal{T} \rightarrow \mathcal{E} \rightarrow \mathcal{F} \rightarrow 0.$$

## Proposition 15

If  $(\mathbf{T}, \mathbf{F})$  is a torsion pair in  $D^b(\mathbf{A})$ , then the full subcategory

$$\mathbf{B} := \left\{ \mathcal{E} \in D^b(\mathbf{A}) : \begin{array}{l} \bullet H^i(\mathcal{E}) = 0 \text{ for } i \notin \{-1, 0\}, \\ \bullet H^{-1}(\mathcal{E}) \in \mathbf{F} \text{ and } H^0(\mathcal{E}) \in \mathbf{T} \end{array} \right\}$$

is the heart of a bounded  $t$ -structure.

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**Warning:** For simplicity, we restrict ourselves to the case of stability conditions on derived categories!

A **stability condition** on  $D^b(\mathbf{A})$  is a pair  $\sigma = (Z, \mathcal{P})$  where

- $Z : K(D^b(\mathbf{A})) \rightarrow \mathbb{C}$  is a linear map (the **central charge**)
- $\mathcal{P}(\phi) \subset D^b(\mathbf{A})$  are full additive subcategories for each  $\phi \in \mathbb{R}$

satisfying the following conditions:

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**(B1)** If  $0 \neq \mathcal{E} \in \mathcal{P}(\phi)$ , then  $Z(\mathcal{E}) = m(\mathcal{E}) \exp(i\pi\phi)$  for some  $m(\mathcal{E}) \in \mathbb{R}_{>0}$ .

**(B2)**  $\mathcal{P}(\phi + 1) = \mathcal{P}(\phi)[1]$  for all  $\phi$ .

**(B3)**  $\text{Hom}(\mathcal{E}_1, \mathcal{E}_2) = 0$  for all  $\mathcal{E}_i \in \mathcal{P}(\phi_i)$  with  $\phi_1 > \phi_2$ .

**(B4)** Any  $0 \neq \mathcal{E} \in D^b(\mathbf{A})$  admits a **Harder–Narasimhan filtration** given by a collection of distinguished triangles

$$\mathcal{E}_{i-1} \rightarrow \mathcal{E}_i \rightarrow \mathcal{A}_i$$

with  $\mathcal{E}_0 = 0$  and  $\mathcal{E}_n = \mathcal{E}$  such that  $\mathcal{A}_i \in \mathcal{P}(\phi_i)$  with  $\phi_1 > \dots > \phi_n$ .

# Further definitions

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- The non-zero objects in the abelian category  $\mathcal{P}(\phi)$  are the **semistable** objects of phase  $\phi$ . The objects  $\mathcal{A}_i$  in (B4) are the **semistable factors** of  $\mathcal{E}$ .
- The minimal objects of  $\mathcal{P}(\phi)$  (i.e. those with no proper subobjects) are called **stable** of phase  $\phi$ .
- The category  $\mathcal{P}((0, 1])$ , generated by the semistable objects of phase in  $(0, 1]$ , is called the **heart** of  $\sigma$ .

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One could alternatively start with an abelian category  $\mathbf{A}$  and a **slope function**  $Z : K(\mathbf{A}) \rightarrow \mathbb{C}$  such that, for  $0 \neq \mathcal{E} \in \mathbf{A}$ ,

$$Z([\mathcal{E}]) \in \{z \in \mathbb{C} \setminus \{0\} : z = |z| \exp(i\pi\phi), 0 < \phi \leq 1\}.$$

Define

$$\phi(\mathcal{E}) := \frac{1}{\pi} \arg(Z(\mathcal{E})) \in (0, 1].$$

An object  $\mathcal{E} \in \mathbf{A}$  is **semistable** if

$$\phi(\mathcal{F}) \leq \phi(\mathcal{E})$$

for any proper subobject  $\mathcal{F} \subsetneq \mathcal{E}$ .

A slope function has the **Harder–Narasimhan property** if it has HN-filtrations with semistable factors.

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## Proposition 16

To exhibit a stability condition on  $D^b(\mathbf{A})$ , it is enough to give

- a bounded  $t$ -structure on  $D^b(\mathbf{A})$  with heart  $\mathbf{B}$ ;
- a group homomorphism  $Z : K(\mathbf{B}) \rightarrow \mathbb{C}$  such that  $Z(\mathcal{E}) \in \mathbb{H}$ , for all  $0 \neq \mathcal{E} \in \mathbf{B}$ , and with the Harder–Narasimhan property.

(Here  $\mathbb{H} := \{z \in \mathbb{C} \setminus \{0\} : z = |z| \exp(i\pi\phi), 0 < \phi \leq 1\}$ .)

All stability conditions are assumed to be **locally finite**.  
Hence every object in  $\mathcal{P}(\phi)$  has a finite **Jordan–Hölder filtration**.

$\text{Stab}(D^b(\mathbf{A}))$  is the set of locally finite stability conditions.



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$\text{Stab}(\mathbf{D}^b(\mathbf{A}))$  carries a natural topology with the following important property:

## Theorem 17 (Bridgeland)

For each connected component  $\Sigma \subseteq \text{Stab}(\mathbf{D}^b(\mathbf{A}))$ , there is a linear subspace  $V(\Sigma) \subseteq \text{Hom}(K(\mathbf{D}^b(\mathbf{A})), \mathbb{C})$  with a well defined topology and a local homeomorphism  $\mathcal{Z} : \Sigma \rightarrow V(\Sigma)$  which maps a stability condition  $(Z, \mathcal{P})$  to its central charge  $Z$ .

As explained later in the examples, for  $\mathbf{A} = \mathbf{Coh}(X)$ , (up to some modifications...)  $\text{Stab}(\mathbf{D}^b(X))$  is a finite dimensional complex manifold.

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There are two groups acting naturally on  $\text{Stab}(D^b(\mathbf{A}))$  (and whose actions commute):

- The left action of the group  $\text{Aut}(D^b(\mathbf{A}))$  of exact autoequivalences of  $D^b(\mathbf{A})$ . Indeed,  $\Phi \in \text{Aut}(D^b(\mathbf{A}))$  sends  $(Z, \mathcal{P})$  to  $(Z', \mathcal{P}')$ , where

$$Z'([\mathcal{E}]) = Z([\Phi^{-1}(\mathcal{E})]) \quad \mathcal{P}'(\phi) = \Phi(\mathcal{P}(\phi)).$$

- The right action of the universal cover  $\widetilde{\text{Gl}}_2^+(\mathbb{R})$  of  $\text{Gl}_2^+(\mathbb{R})$ .  $\widetilde{\text{Gl}}_2^+(\mathbb{R})$  is the set of pairs  $(T, f)$  where  $f: \mathbb{R} \rightarrow \mathbb{R}$  is an increasing map with  $f(\phi + 1) = f(\phi) + 1$ , and  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is an orientation-preserving linear isomorphism, such that the induced maps on  $S^1 = \mathbb{R}/2\mathbb{Z} = (\mathbb{R}^2 \setminus 0)/\mathbb{R} > 0$  are the same. So  $Z' = T^{-1} \circ Z$  and  $\mathcal{P}'(\phi) = \mathcal{P}(f(\phi))$ .

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For  $X$  a smooth projective variety (defined over  $\mathbb{C}$ ), define the **numerical Grothendieck group** to be the quotient

$$\mathcal{N}(X) := K(X)/K(X)^\perp,$$

where  $\perp$  is with respect to the pairing  $\chi$ .

A stability condition is **numerical** if  $Z$  factors through  $v(-) := \text{ch}(-) \cdot \sqrt{\text{td}(X)} : K(X) \rightarrow \mathcal{N}(X)$ .  $\text{Stab}_{\mathcal{N}}(\text{D}^b(X))$  is the finite dimensional complex manifold parametrizing numerical stability conditions and  $\dim_{\mathbb{C}} \text{Stab}_{\mathcal{N}}(\text{D}^b(X)) = \dim_{\mathbb{C}}(\mathcal{N}(X) \otimes \mathbb{C})$ .

## Example 18

If  $X$  is a smooth curve then  $\mathcal{N}(X) \cong \mathbb{Z} \oplus \mathbb{Z}$  and so  $\text{Stab}_{\mathcal{N}}(\text{D}^b(X))$  has dimension 2.

# Examples of stability conditions (Bridgeland)

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Let  $C$  be a smooth curve of genus  $g > 0$  defined over  $\mathbb{C}$ . The abelian category  $\mathbf{Coh}(C)$  is the heart of a bounded  $t$ -structure.

As  $\mathcal{N}(C) = H^0(C, \mathbb{Z}) \oplus H^2(C, \mathbb{Z})$ , define  $Z : \mathcal{N}(C) \rightarrow \mathbb{C}$  as

$$\mathcal{E} \mapsto -\deg(\mathcal{E}) + i \operatorname{rk}(\mathcal{E}).$$

## Exercise 19

Show that  $Z$  as above is a slope function.

The HN-property follows easily from the existence of HN-filtrations for the slope stability (recall that  $\mu(\mathcal{E}) = \frac{\deg(\mathcal{E})}{\operatorname{rk}(\mathcal{E})}$ ).

# The space of stability conditions

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## Theorem 20 (Bridgeland, Macrì)

If  $C$  is a curve of genus  $g > 0$  defined over  $\mathbb{C}$ , then the action of  $\tilde{G}l_2^+(\mathbb{R})$  on  $\text{Stab}_{\mathcal{N}}(D^b(X))$  is free and transitive. In particular,  $\text{Stab}_{\mathcal{N}}(D^b(X)) \cong \tilde{G}l_2^+(\mathbb{R})$ .

**Note:** The case of  $\mathbb{P}^1$  was treated independently by Okada and Macrì.

# Sketch of the proof

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- **Gorodentsev–Kuleshov–Rudakov:** If  $\mathcal{E} \in \mathbf{Coh}(C)$  sits in a triangle

$$\mathcal{F} \rightarrow \mathcal{E} \rightarrow \mathcal{G},$$

with  $\mathcal{F}, \mathcal{G} \in D^b(C)$  and  $\mathrm{Hom}^{\leq 0}(\mathcal{F}, \mathcal{G}) = 0$ , then  $\mathcal{E}, \mathcal{G} \in \mathbf{Coh}(C)$  as well.

- From this one deduces that the skyscraper sheaves  $\mathcal{O}_x$  are all stable in any stability condition. Indeed, one proves that  $\mathcal{O}_x$  is semistable and all its stable factors are isomorphic. By the above results they are in  $\mathbf{Coh}(C)$  and so isomorphic to  $\mathcal{O}_x$ .
- By the same argument it follows that all line bundles are stable in all stability conditions.

# Sketch of the proof

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definition

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KS definition

- Take  $\sigma = (Z, \mathcal{P})$  and a line bundle  $L$ . Let  $\phi$  and  $\psi$  be the phases of the stable objects  $L$  and  $\mathcal{O}_X$ .
- The existence of the maps  $L \rightarrow \mathcal{O}_X$  and  $\mathcal{O}_X \rightarrow L[1]$  gives the inequalities  $\psi - 1 \leq \phi \leq \psi$ . This implies that  $Z$  (seen as a map  $\mathcal{N}(C) \otimes \mathbb{R} \rightarrow \mathbb{R}^2 \cong \mathbb{C}$ ) is an orientation preserving isomorphism.
- Hence by acting by  $\tilde{\text{Gl}}_2^+(\mathbb{R})$ , we can assume that  $Z = -\text{deg}(\mathcal{E}) + i \text{rk}(\mathcal{E})$  and that all skyscraper sheaves are stable of phase 1. This implies that  $\mathcal{P}((0, 1])$ , the heart of the stability condition, is **Coh**( $C$ ).



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## Definition 21

A **K3 surface** is a smooth Kähler (complex) surface  $X$  such that:

- $X$  is simply connected.
- The canonical bundle  $\omega_X$  is trivial.

Some examples are

- Quartics in  $\mathbb{P}^3$  and double covers of  $\mathbb{P}^2$  ramified along a sextic.
- Kummer surfaces (i.e. crepant resolutions of the quotient of an abelian surface by the involution  $a \mapsto -a$ ).

**Note:** We restrict ourselves to projective ones!

# Geometry

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For  $X$  a K3,  $\mathcal{N}(X) \cong \mathbb{Z}^{\oplus \rho}$ , with  $3 \leq \rho \leq 22$ . All values are realized!

$\mathcal{N}(X)$  is actually the algebraic part of the total cohomology.

$H^*(X, \mathbb{Z})$  is endowed with a natural symmetric bilinear form, called **Mukai pairing**:

$$\langle \alpha, \beta \rangle := \alpha_2 \cup \beta_2 - \alpha_0 \cup \beta_4 - \alpha_4 \cup \beta_0,$$

for  $\alpha = (\alpha_0, \alpha_2, \alpha_4)$  and  $\beta := (\beta_0, \beta_2, \beta_4)$  in  $H^0 \oplus H^2 \oplus H^4$ .

# Stability - Bad news

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The main difference with the curve case is:

## Proposition 22

If  $X$  is a smooth complex projective variety of dimension  $d \geq 2$ , then there are no numerical stability conditions on  $D^b(X)$  with heart  $\mathbf{Coh}(X)$ .

**Reason:** After reducing to the case  $d = 2$ , one observes that it is already impossible to have a slope function on  $\mathbf{Coh}(X)$ .

# Stability - Examples

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Let  $X$  be a K3 surface and let  $\beta, \omega \in \text{Pic}(X) \otimes \mathbb{Q}$ . Assume moreover  $\omega$  to be ample.

Define  $Z_{\beta, \omega} : K(X) \rightarrow \mathbb{C}$  as

$$Z(\mathcal{E}) := \langle \exp(\beta + i\omega), v(\mathcal{E}) \rangle.$$

Let  $\mathbf{T}, \mathbf{F} \subseteq \mathbf{Coh}(X)$  be full additive subcategories:

- The non-trivial objects in  $\mathbf{T}$  are the sheaves such that their torsion-free part have  $\mu_\omega$ -semistable Harder–Narasimhan factors of slope  $\mu_\omega > \beta \cdot \omega$ .
- A non-trivial sheaf  $\mathcal{E}$  is an object in  $\mathbf{F}$  if  $\mathcal{E}$  is torsion-free and every  $\mu_\omega$ -semistable Harder–Narasimhan factor of  $\mathcal{E}$  has slope  $\mu_\omega \leq \beta \cdot \omega$ .

One shows that  $(\mathbf{T}, \mathbf{F})$  defines a torsion pair.

# Stability - Examples

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Define the heart of the induced  $t$ -structure as the abelian category

$$\mathbf{A}_{\beta,\omega} := \left\{ \mathcal{E} \in D^b(X) : \begin{array}{l} \bullet H^i(\mathcal{E}) = 0 \text{ for } i \notin \{-1, 0\}, \\ \bullet H^{-1}(\mathcal{E}) \in \mathbf{F}, \\ \bullet H^0(\mathcal{E}) \in \mathbf{T} \end{array} \right\}.$$

## Lemma 23

Assume  $\beta, \omega \in \text{Pic}(X) \otimes \mathbb{Q}$  and  $\omega$  ample such that  $\omega \cdot \omega > 2$ . The map  $Z_{\beta,\omega}$  is a stability function on  $\mathbf{A}_{\beta,\omega}$  with the HN property. Moreover, it defines a numerical locally finite stability condition  $\sigma_{\beta,\omega}$ .

**Note:** one could impose a weaker condition on  $Z_{\beta,\omega}$ .

# The main result

Define:

- $\mathcal{P}(X) \subseteq \mathcal{N}(X) \otimes \mathbb{C}$  consisting of those vectors whose real and imaginary parts span positive definite two-planes in  $\mathcal{N}(X) \otimes \mathbb{R}$ ;
- $\mathcal{P}^+(X) \subset \mathcal{P}(X)$  denote the connected component containing vectors of the form  $\exp(\beta + i\omega)$ , where  $\omega \in \text{Pic}(X) \otimes \mathbb{Q}$  is ample;
- $\Delta(X) = \{\delta \in \mathcal{N}(X) : \langle \delta, \delta \rangle = -2\}$ ;
- $\mathcal{P}_0^+(X) = \mathcal{P}^+(X) \setminus \bigcup_{\delta \in \Delta(X)} \delta^\perp \subseteq \mathcal{N}(X) \otimes \mathbb{C}$ .
- Any autoequivalence of  $D^b(X)$  induces an Hodge isometry on cohomology. Denote by  $\text{Aut}^0(D^b(X))$  the subgroup acting trivially.

# The main result

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## Theorem 24 (Bridgeland)

There is a connected component  $\text{Stab}^\dagger(\mathcal{D}^b(X))$  of  $\text{Stab}_{\mathcal{N}}(\mathcal{D}^b(X))$  mapped by  $\mathcal{Z}$  onto  $\mathcal{P}_0^+(X)$ . Moreover, the induced map  $\mathcal{Z} : \text{Stab}^\dagger(\mathcal{D}^b(X)) \rightarrow \mathcal{P}_0^+(X)$  is a covering map, and the subgroup of  $\text{Aut}^0(\mathcal{D}^b(X))$  which preserves the connected component  $\text{Stab}^\dagger(\mathcal{D}^b(X))$  acts freely on  $\text{Stab}^\dagger(\mathcal{D}^b(X))$  and is the group of deck transformations of  $\mathcal{Z}$ .

## Conjecture 25 (Bridgeland)

The action of  $\text{Aut}(\mathcal{D}^b(X))$  on  $\text{Stab}_{\mathcal{N}}(\mathcal{D}^b(X))$  preserves the connected component  $\text{Stab}^\dagger(\mathcal{D}^b(X))$ . Moreover  $\text{Stab}^\dagger(\mathcal{D}^b(X))$  is simply-connected.



**Huybrechts-Macri-S.:** The conjecture has been verified for

- Generic non-algebraic K3 surfaces (i.e. such that  $\text{Pic}(X) = 0$ );
- Generic projective twisted K3 surfaces (the twist is given by an element of the Brauer group of the surface).

**Bridgeland:** As a consequence of the conjecture we get the following short exact sequence

$$1 \rightarrow \pi_1(\mathcal{P}_0^+(X)) \rightarrow \text{Aut}(D^b(X)) \rightarrow O_+(\tilde{H}(X, \mathbb{Z})) \rightarrow 1,$$

where  $O_+(\tilde{H}(X, \mathbb{Z}))$  is the group of orientation preserving Hodge isometries of the total cohomology of  $X$ .

# Remarks

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The morphism  $\Pi : \text{Aut}(\text{D}^b(X)) \rightarrow \text{O}(\tilde{H}(X, \mathbb{Z}))$  sends an autoequivalence to the induced Hodge isometry.

The fact that  $\Pi$  should factor through a surjective morphism onto  $\text{O}_+(\tilde{H}(X, \mathbb{Z}))$  was previously conjectured by Szendroi based on some results by Orlov, Mukai,...

**Huybrechts-Macri-S.:** Szendroi's conjecture holds true.

**Warning:** To prove this, we need anyhow a (tiny) part of Bridgeland's theory of stability conditions!

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# The definition (after Kontsevich-Soibelman)

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Denote by  $\mathbf{C}$  an ind-constructible weakly unital triangulated  $A_\infty$ -category over a field  $k$ .

A data **stability structure** is given by the data:

- An ind-constructible homomorphism  $\text{cl} : K(\mathbf{C}) \rightarrow \Gamma$ , where  $\Gamma \cong \mathbb{Z}^n$  is a free abelian group of finite rank endowed with a bilinear form  $\langle -, - \rangle : \Gamma \times \Gamma \rightarrow \mathbb{Z}$  such that for any two objects  $\mathcal{E}, \mathcal{F} \in \text{Ob}(\mathbf{C})$ ,

$$\langle \text{cl}(\mathcal{E}), \text{cl}(\mathcal{F}) \rangle = \chi(\mathcal{E}, \mathcal{F});$$

- An additive map  $Z : \Gamma \rightarrow \mathbb{C}$ , called the **central charge**;
- A collection  $\mathbf{C}^{\text{ss}}$  of (isomorphism classes of) non-zero objects in  $\mathbf{C}$  called semistable, such that  $Z(\mathcal{E}) \neq 0$  for any  $\mathcal{E} \in \mathbf{C}^{\text{ss}}$ ;
- A choice of a phase for  $Z(\mathcal{E})$ , where  $\mathcal{E} \in \mathbf{C}^{\text{ss}}$ .

# The definition (after Kontsevich-Soibelman)

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The data must satisfy the following axioms:

**(KS1)** For all  $\mathcal{E} \in \mathbf{C}^{ss}$  and for all  $n \in \mathbb{Z}$ ,  $\mathcal{E}[n] \in \mathbf{C}^{ss}$  and  $\phi(Z(\mathcal{E}[n])) = \phi(Z(\mathcal{E})) + n$ ;

**(KS2)** For all  $\mathcal{E}_1, \mathcal{E}_2 \in \mathbf{C}^{ss}$  with  $\phi(\mathcal{E}_1) > \phi(\mathcal{E}_2)$  we have  $\text{Hom}(\mathcal{E}_1, \mathcal{E}_2) = 0$ ;

**(KS3)** For any  $\mathcal{E} \in \text{Ob}(\mathbf{C})$ , there exist  $n \geq 0$  and a chain of morphisms  $0 = \mathcal{E}_0 \rightarrow \mathcal{E}_1 \rightarrow \cdots \rightarrow \mathcal{E}_n = \mathcal{E}$  (HN filtration) such that  $\mathcal{F}_i := \text{Cone}(\mathcal{E}_{i-1} \rightarrow \mathcal{E}_i)$ , for  $i = 1, \dots, n$  are semistable and  $\phi(\mathcal{F}_1) > \phi(\mathcal{F}_2) > \cdots > \phi(\mathcal{F}_n)$ ;

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**(KS4)** For each  $\gamma \in \Gamma \setminus \{0\}$ , the set of isomorphism classes of a  $\mathbf{C}_{\gamma}^{ss} \subset \text{Ob}(\mathbf{C})_{\gamma}$  consisting of semistable objects  $\mathcal{E}$  defined over  $\bar{k}$  and such that  $\text{cl}(\mathcal{E}) = \gamma$  and  $\phi(\mathcal{E})$  is fixed, is a constructible set;

**(KS5) (Support Property)** For a norm  $\| - \|$  on  $\Gamma \otimes \mathbb{R}$ , there exists  $C > 0$  such that for all  $\mathcal{E} \in \mathbf{C}^{ss}$  one has  $\| \text{cl}(\mathcal{E}) \| \leq C |Z(\mathcal{E})|$ .

# Remarks

## Derived categories and stability structures

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- The forgetting map  $\text{Stab}(\mathbf{C}) \rightarrow \text{Hom}(\Gamma, \mathbf{C})$  sending a stability structure to  $Z$  is a local homeomorphism.
- Hence,  $\text{Stab}(\mathbf{C})$  is a complex manifold, not necessarily connected.
- Due to the support property, all stability structures are locally finite.