

XXII

THE ULTIMATE & TWENTY-SECOND
LECTURE OF

AUTOMATA & FORMAL LANGUAGES

Thursday, 5 December 2024

$$WP \equiv_m K_0 = \{(w, v); w \in W_v\}$$

$$Emp \equiv_m Emp = \{w; \emptyset = W_w\}$$

$$Eq \equiv_m Eq = \{(w, v); W_w = W_v\}$$

Note This identification requires the translation
of grammars to RM [C 4.38]

$$G \mapsto h_0(G)$$

and RM to grammars

$$M \mapsto h_1(M)$$

[not given in
lectures]

such that

$$w \in L(G) \iff w \in W_{h_0(G)}$$

$$w \in W_M \iff w \in L(h_1(M))$$

Still to do: Emp & Eq are not computable.

Index sets

closed under weak
equivalence

Trivial $\emptyset$ & $\mathcal{B}$

Emp is a non-trivial
index set

§4.12 Index sets

$I \subseteq \mathcal{B}$ is called an index set if

$$\forall w, v \in I \text{ & } W_w = W_v \implies w \in I$$

[i.e. we really
equivalent]

Examples ① $\emptyset, \mathcal{B}$ are called trivial index sets.

② $Emp := \{w; W_w = \emptyset\}$ is a non-trivial index set.

$Fin := \{w; W_w \text{ is finite}\}$ ———

$Inf := \{w; W_w \text{ is infinite}\}$ ———

Note. If Emp is not computable, then the emptiness problem
for Type 0 grammars is not solvable.

Theorem 4.48 (RICE)

No non-trivial index set is computable.

Proof

Preparation

Fix w and consider

$$g_w(u,v) = \begin{cases} f_{\text{emp}}(v) & \text{if } u \in K \\ \uparrow & \text{if } u \notin K. \end{cases}$$

Corollary

Emp is not computable $\Rightarrow$ the emptiness problem for Type 0 grammars is not solvable.

Note: This is not an allowed case distinction by the case-distinction lemma.

However, here it works: check $u \in K$. If answer is Yes, compute $f_{\text{emp}}(v)$.
If never receive answer, then $\uparrow$ which is what we want.

Thus g_w is computable, so by s-m-n

there is total computable h_w s.t. $f_{h_w(u),1}(v) = g_w(u,v)$

Case 1 If $v \in K$, then $f_{h_w(v),1} = f_{w,1} \Rightarrow W_{h_w(v)} = W_w \quad (\dagger)$

If $v \notin K$, then $f_{h_w(v),1}$ is nowhere defined. $\Rightarrow W_{h_w(v)} = \emptyset \quad (\ddagger)$

Get to the proof. Let I be a nontrivial index set. Fix some e s.t. $W_e = \emptyset$.

Two cases: $e \in I$ or $e \notin I$.

Case 1 $e \in I$. Find $w \notin I$. Consider g_w and h_w as in the preparation.

CLAIM

h_w is a reduction witnessing $\forall K \leq_m I$.

[$v \notin K \xRightarrow{(\ddagger)} W_{h_w(v)} = \emptyset$, so e & $h_w(v)$ are weakly equivalent $\Rightarrow h_w(v) \in I$.

$v \in K \xRightarrow{(\dagger)} W_{h_w(v)} = W_w$, so $h_w(v)$ & w are weakly eq. $\Rightarrow h_w(v) \notin I$.]

Case 2 $e \notin I$. Fix $w \in I$ by nontriviality. Consider g_w & h_w as in preparation.

Claim: k_m witnesses $K \leq_m I$.

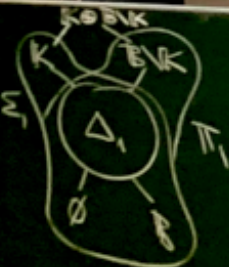
$\forall v \in K \xrightarrow{(\dagger)} W_{h_w(v)} = W_w$, so $h_w(v)$ & w are weakly eq

$$(\dagger) \implies h_w(v) \in I$$
$$v \notin K \xRightarrow{\left(\frac{+}{-}\right)} W_{h_w(v)} = \emptyset, \text{ so } h_w(v) \& e \text{ are weakly eq}$$
$$\Rightarrow h_w(v) \notin I$$
Remarks

① The proof shows more:

$$e \in I \Rightarrow \mathbb{B} \setminus K \leq_m I \text{ (i.e., } I \text{ is not c.e.)}$$
$$e \notin I \Rightarrow K \subseteq_m I.$$

② ① implies that $B \setminus K \leq_m \text{Emp}, \text{Fin}.$

$$K \leq \inf$$


③

1a ES#4

$$\mathbb{E}mp \equiv_m B \setminus K$$

so Emp is

 Π_1 -complete.

④

Can show

(please read the

proof in the typed notes are with $\leq$

that Fin and Inf are within the typed notes

that $K \oplus B \setminus K \leq_m \text{Fin}, \text{Inf}$.
(Prop 4.50).

use Π_1 by showing

§ 4.13 Decision Problems

	TYPE	WP	Emp	Eq	
regular	3	✓	✓ C 2.27	✓ T 2.30 MINIMISATION	
context-free	2	✓	✓ C 3.15 PUMPING LEMMA	✗ NOT PROVED IN LECTURES	
noncontext-free	1	✓ Thm 4.21	✗	✗	
c.e.	0	✗ C 4.42	✗ C 4.49	✗ Cor 4.51	Goal for Lectures XXI & XXII.

Cor. 4.51 E_{eq} is not computable.

to ~~if~~ Suppose e is such that $W_e = \emptyset$ & consider the map $w \mapsto (w, e)$. This is an operation that can be performed by a RM.

Note

This proof means that solvability of E_{eq} implies solvability of E_{emp} pr.

$$X'(w) := X_{E_{eq}}(w, e) = \begin{cases} 1 & \text{if } W_w = W_e = \emptyset \\ 0 & \text{if } W_w \neq \emptyset \end{cases} = X_{E_{emp}}(w)$$

Thus $X_{E_{eq}}$ computable $\Rightarrow X_{E_{emp}}$ computable

Thus by C 4.49, $X_{E_{eq}}$ not computable. q.e.d.