

# AUTOHATA & FORMAL LANGUAGES

MONDAY 9 OCTOBER 2023

## Lecture II

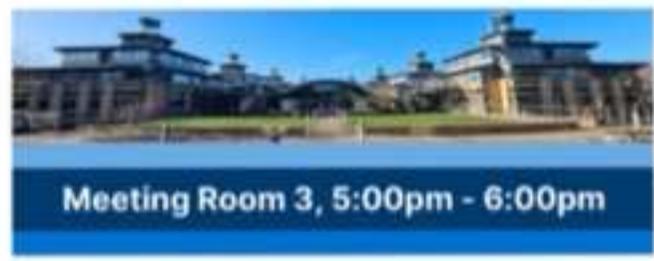
TODAY

SUMMER RESEARCH FESTIVAL

2pm - 6pm

MR 2, 3, 4, 5, 9, 8, 15

UNIVERSITY OF CAMBRIDGE Summer Research Festival 2023 Monday 9 October 2023, Centre for Mathematical Sciences		
"Click on the title to go to a short summary"		
<p><b>10:00</b> Learning Algebraic Varieties From Data Siddharth Dey (Cambridge) and John Voight (MIT)</p>	<p><b>10:00</b> The dense region in coloring diagrams Richard Lipton (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:00</b> Hyperbolic metrics on algebraic varieties Dany Pop (Cambridge) and David Zuckerman (MIT)</p>
<p><b>10:00</b> Determining Synthetic Brain Networks From Data with Changepoints Nancy Holme (Cambridge) and John Voight (MIT)</p>	<p><b>10:00</b> Realizability of tropical curves David Hulett (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:00</b> The Geometry of Non-Positive 2-Complexes and Wonders of Sierpinski Gasket Lorenz Heule (Cambridge) and David Zuckerman (MIT)</p>
<p><b>10:00</b> Missing Data Dany Pop (Cambridge) and Richard Lipton (Cambridge)</p>	<p><b>10:00</b> Sampling Schemes for High-Dimensional Posterior Measures David Hulett (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:00</b> Straightening lines in conformally non-positively curved 2-complexes Dany Pop (Cambridge) and David Zuckerman (MIT)</p>
Tea & Coffee (Central Core), 10:30 - 10:35		
<p><b>10:30</b> Polynomials with many prescribed points David Hulett (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:30</b> Reconstructing Point Sets from Random Sets of Distances Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:30</b> The Geometry of Non-Positive 2-Complexes and Wonders of Sierpinski Gasket Lorenz Heule (Cambridge) and David Zuckerman (MIT)</p>
<p><b>10:30</b> Constructing algebraic varieties Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:30</b> The algebraic varieties with 10:30</p>	<p><b>10:30</b> Straightening lines in conformally non-positively curved 2-complexes Dany Pop (Cambridge) and David Zuckerman (MIT)</p>
<p><b>10:30</b> Decomposing <math>\mathbb{Z}^2</math> Representations as <math>\mathbb{Z}</math> Representations Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:30</b> Decomposing <math>\mathbb{Z}^2</math> Representations as <math>\mathbb{Z}</math> Representations Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>10:30</b> Straightening lines in conformally non-positively curved 2-complexes Dany Pop (Cambridge) and David Zuckerman (MIT)</p>
Tea & Coffee (Central Core), 10:50 - 11:00		
<p><b>11:00</b> On the generalized Turán problem for odd cycles Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>11:00</b> Random plane maps Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>11:00</b> Extreme Points of the Privacy Polytope Dany Pop (Cambridge) and David Zuckerman (MIT)</p>
<p><b>11:00</b> A motivated theorem prover Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>11:00</b> Euclidean Random Walks Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>11:00</b> Extreme Points of the Privacy Polytope Dany Pop (Cambridge) and David Zuckerman (MIT)</p>
<p><b>11:00</b> How to avoid arithmetic progressions Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>11:00</b> Confines of Random Walks Dany Pop (Cambridge) and David Zuckerman (MIT)</p>	<p><b>11:00</b> Extreme Points of the Privacy Polytope Dany Pop (Cambridge) and David Zuckerman (MIT)</p>



MR 3  
5:20pm  
A motivated theorem prover

5:40pm  
How to avoid arithmetic progressions

**5:00pm** Csongor Bekes  
On the generalized Turán problem for odd cycles

5:20pm Mantas Baksys & Jovan Gerbscheid  
A motivated theorem prover

5:40pm Yaël Dillies  
How to avoid arithmetic progressions

# Brief reminder of Lecture I.

Pages 9 & 10.

## NOTATION & REMINDER

(1)  $\mathbb{N} = \{0, 1, 2, \dots\}$

$n = \{0, \dots, n-1\}$

(2) For any set  $X$ , we write

$X^n$  for the sequence / tuples / strings of length  $n$  of etc of  $X$

Notation

$X^n$ :  $X$ -strings of length  $n$

(3) In particular,  $X^0$  consists only of the empty string. We call this  $\epsilon$  (EPSILON).

$\epsilon$ : empty string

(4) Note that if  $\alpha \in X^n$ ,  $\alpha$  is a function with  $\text{dom}(\alpha) = n$  and  $\text{ran}(\alpha) \subseteq X$ .

$|\alpha|$ : length of  $\alpha$

(5) If  $\alpha \in X^n$  and  $k \leq n$ , then  $\alpha \upharpoonright k \in X^k$  is the unique initial segment of  $\alpha$  of length  $k$ .

(6)  $|\alpha| := \text{dom}(\alpha)$

$X^*$ : finite  $X$ -strings

(7)  $X^* := \bigcup_{n \in \mathbb{N}} X^n$    
 length of  $\alpha$ .   
 The set of finite  $X$ -sequences

$\alpha\beta$ : concatenation of  $\alpha$  and  $\beta$ .

(8) Concatenation:

$\alpha \in X^n, \beta \in X^m$  we can define  $\alpha\beta \in X^{n+m}$  by

$$\alpha\beta(k) := \begin{cases} \alpha(k) & k < n \\ \beta(k-n) & k = n+l \text{ with } l < m \end{cases}$$

(9) Slightly incorrectly, I'll write  $x$  for the length one seq. with value  $x$ .

This means that  $\alpha x$  is just

$$\underbrace{a_0 \dots a_{n-1}}_{=\alpha} x$$

# NOTATION & REMINDERS (continued)

(10) Define recursively

$$\alpha^0 := \varepsilon$$

$$\alpha^{n+1} := \alpha^n \alpha$$

(11) If  $\alpha = x$ , then  $x^n$  is the length  $n$  sequence consisting of  $x$ 's.

(12)  $X^+ := X^* \setminus \{\varepsilon\}$

set of non-empty finite  $X$ -strings

(13)  $X$  is infinite if there is an inj.  $\mathbb{N} \rightarrow X$   
 $X$  is countable if there is a surj.  $\mathbb{N} \rightarrow X$   
or  $X = \emptyset$

$X$  is finite if not infinite

$X$  is uncountable if not countable

(14)  $X, Y$  ctable  $\Rightarrow X \times Y$  is countable

[Remember Cantor zigzag function

$$z: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$$

$$\begin{matrix} \text{if } \pi_X: \mathbb{N} \rightarrow X \\ \pi_Y: \mathbb{N} \rightarrow Y \end{matrix}$$

$$\xrightarrow{\text{bij.}}$$

Fix  $u$ , find  $i, j$  s.t.  $z(i, j) = u$

$$u \mapsto (\pi_X(i), \pi_Y(j)).$$

(15)  $X$  is countable  
 $\Rightarrow X^*$  is countable

[Since  $X^{n+1}$  is in bij. with  $X^n \times X$ , it follows by ind. from (14) that all sets  $X^n$  are countable.

But  $X^* = \bigcup_{n \in \mathbb{N}} X^n$ , so countable as a countable union of countable sets. [N&S].]

(16) If  $X \neq \emptyset$ , then  $X^*$  is infinite.

[If  $x \in X$ , then  $n \mapsto x^n$  is an inj. from  $\mathbb{N}$  to  $X^*$ .]

Sequence of length  $n$  with constant value  $x$ .

(17) Cantor's Thm.

If  $X$  is infinite, then  
 $\mathcal{P}(X)$  power set of  $X$   
set of all subsets of  $X$   
is uncountable.

[ Very famous proof:  
DIAGONALISATION

Start with any function

$$f: \mathbb{N} \rightarrow \mathcal{P}(X)$$

and define  $D \subseteq X$  s.t.

$D \neq \text{ran}(f)$ . ] *check typed lecture notes for the proof.*

(18) If  $X$  is countable, then so is the  
set of finite subsets of  $X$ , say  $\text{Fin}(X)$ .

[ Know by (15) that  $X^*$  is countable, so  
only need a surj. from  $X^*$  onto  $\text{Fin}(X)$ .

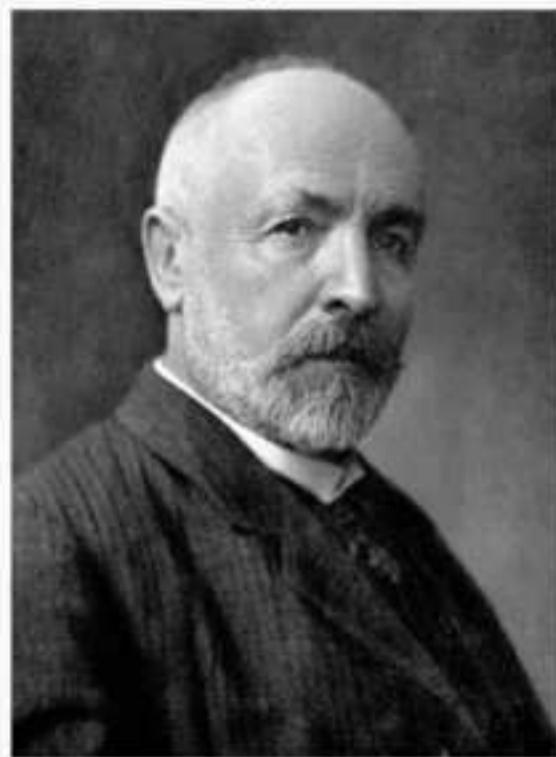
If  $\alpha \in X^*$ , let  $\pi(\alpha) := \text{ran}(\alpha)$ .

That is a surjection. ]

*Some subtlety: to show "surjection", need for arbitrary  $F \in \text{Fin}(X)$   
some  $\alpha$  s.t.  $\pi(\alpha) = F$ . How do you define  $\alpha$ ?*

[ See typed lecture notes. ]

Georg Cantor



Cantor, c. 1910

Born	Georg Ferdinand Ludwig Philipp Cantor 3 March 1845 Saint Petersburg, Russian Empire
Died	6 January 1918 (aged 72) Halle, Province of Saxony, German Empire
Nationality	German

## § 1.2 Formal Languages & Rewrite Systems

$\Omega$  finite set of symbols

We call any  $L \subseteq \Omega^*$  a  
(formal)  $\Omega$ -language

Compare to natural languages:

$$\Omega = \{a, b, c, d, \dots, z\}$$

then words become elements of  $\Omega^*$  and  
a dictionary is a subset of  $\Omega^*$ , thus  
a language.

Clearly, that's finite.

[There is little mathematical theory for finite languages.  
So?]

# FORMAL LANGUAGES & REWRITE SYSTEMS

Chomsky:

A fundamental principle of language is

LINGUISTIC RECURSION

GENERATION

Languages are best thought of as infinitely generated, even though only a finite fragment of these is practically relevant.

Noam Chomsky



Chomsky in 2017

<b>Born</b>	Avram Noam Chomsky December 7, 1928 (age 94) <a href="#">Philadelphia</a> , Pennsylvania, U.S.
<b>Spouses</b>	<a href="#">Carol Schatz</a> (m. 1949; died 2008) <a href="#">Valeria Wasserman</a> (m. 2014)
<b>Children</b>	3, including <a href="#">Aviva</a>
<b>Parent</b>	<a href="#">William Chomsky</a> (father)

E.g., the process of taking subordinate clauses is a *productive* feature of language. In principle, no matter how complex a sentence is, one can increase its complexity by prefixing it with "X observes that". So, we form an infinite sequence of grammatical sentences

*B* likes *A*.

*C* believes that *B* likes *A*.

*D* reports that *C* believes that *B* likes *A*.

*E* observes that *D* reports that *C* believes that *B* likes *A*.

etc.

Chomsky: The most salient feature of language is its recursive, productive nature which necessarily implies that languages should be conceived of as recursively generated & thus infinite.



**GRAMMATICAL**  
COLOURLESS GREEN IDEAS SLEEP FURIOUSLY

FURIOUSLY SLEEP IDEAS GREEN COLOURLESS

UNGRAMMATICAL

Both sentences are nonsensical and have no meaning, but we can still clearly say that the first one is grammatical and the second one is not.

If  $\Omega$  is our set of symbols, we call elements of

$$\Omega^+ \times \Omega^*$$

REWRITE RULES  
PRODUCTION RULES

We write

$$\alpha \longrightarrow \beta$$

for the rule  
 $(\alpha, \beta)$ .

INFORMAL INTERPRETATION :

"Whenever a string contains  $\alpha$  as a substring, we can replace it with  $\beta$ ."

Definition A pair  $R = (\Omega, P)$  is called a **REWRITE SYSTEM** if  $P$  is a finite set of rewrite rules.

Prop. For any fixed  $\Omega$ , there are countably many rewrite systems  $(\Omega, P)$ .

Proof. By (15),  $\Omega^*$  and  $\Omega^+$  are ctbl.  
So by (14),  $\Omega^+ \times \Omega^*$  is ctbl.

There is 1-1 correspondence between rewrite systems and  $\text{Fin}(\Omega^+ \times \Omega^*)$  which is ctbl by (18). q.e.d.

# NOTATION

If  $R = (\Omega, P)$  is a rewrite system,  $\sigma, \tau \in \Omega^*$ , then we write

$$\sigma \xrightarrow{R} \tau$$

$R$  rewrites  $\sigma$  in one step as  $\tau$

$\iff$

$$\exists \alpha, \beta, \gamma, \delta \in \Omega^* \text{ s.t.}$$

$$\sigma = \alpha\beta\gamma,$$

$$\tau = \alpha\delta\gamma,$$

$$\beta \rightarrow \delta \in P.$$

We let the relation  $\xrightarrow{R}$  be the transitive and reflexive closure of  $\xrightarrow{R}$ .

This means  $\sigma \xrightarrow{R} \tau$  iff  $\sigma \xrightarrow{R} \tau$  *an R-derivation of  $\tau$  from  $\sigma$*

①

$$\sigma = \tau$$

②

there is a sequence of strings

$$\sigma_0, \dots, \sigma_n \text{ s.t.}$$

$$\sigma = \sigma_0, \tau = \sigma_n \text{ and } \sigma_k \xrightarrow{R} \sigma_{k+1}.$$

This is called derivation of length  $n$ .

Note that a derivation of length  $n$  is a sequence of length  $n+1$ .

$R$  derives  $\tau$  from  $\sigma$ .  
 $R$  rewrites  $\sigma$  as  $\tau$ .  
 $R$  produces  $\tau$  from  $\sigma$ .

$$D(R, \alpha) := \{\beta; \alpha \xrightarrow{R} \beta\}$$

# GRAMMARS

Def.  $G = (\Sigma, V, P, S)$  is called a  
(formal) grammar over  $\Sigma$

if

1.  $\Sigma \cap V = \emptyset$

2.  $\Omega := \Sigma \cup V$  is finite nonempty set of symbols

terminal symbols  
or  
letters

nonterminal symbols  
or  
variables

$\Sigma$  is called the alphabet

3.  $(\Omega, P)$  is a rewrite system

4.  $S \in V$  called the start symbol

We call  $W := \Sigma^*$  the set of WORDS.

The definitions for rewrite systems still make sense:

$$\alpha \xrightarrow{G} \beta, \mathcal{D}(G, \alpha)$$

$$\alpha \xrightarrow{G} \beta$$

$$L(G) := W \cap \mathcal{D}(G, S)$$

LANGUAGE generated by  $G$ .

Example  $G_0 = (\Sigma, V, P_0, S)$

$$\Sigma := \{a\}$$

$$V := \{S\}$$

$$P_0 := \{S \rightarrow aaS, S \rightarrow a\}$$

Claim  $L(G_0) = \{a^{2u+1}; u \in \mathbb{N}\}$

Easy to see that  $\supseteq$ ;  
to see  $\subseteq$ , observe that every derivable string has odd length.

[Details will be repeated at the beginning of Lecture II.]