

# XX

## Twentieth Lecture: AUTOMATA & FORMAL LANGUAGES Saturday, 19th November 2022

### RECAP

We encoded

- INSTRUCTIONS
- PROGRAM LINES
- PROGRAMMES
- REGISTER MACHINES
- CONFIGURATIONS

by functions code in such a way that the operations that constitute the actions of the RM are performed by RM:

Operations performed & questions answered by register machines

- Is  $w$  a code for a ...
  - ① number / state?
  - ② instruction?
  - ③ program line?
  - ④ register machine?
  - ⑤ sequence of words?
  - ⑥ configuration?
- If  $w$  is a code for a register machine, which instruction does it have for state  $q$ ?
- If  $w$  is a code for a sequence of words, how long is it?
- If  $w$  is a code for a configuration, which state is it in?
- Given  $\text{code}(C)$  and  $\text{code}(I)$ , apply  $I$  to  $C$  and output the code of the resulting configuration.
- Given  $\text{code}(C)$  and  $\text{code}(M)$ , output the code of the configuration that is the transformation of  $C$  by  $M$ .

### From Lecture XIX

Lemma 4.23 The function

$$h: W, U, V \mapsto \begin{cases} \text{code}(C(M, \vec{w}, \#v)) & \text{if there are } M, \vec{w} \text{ s.t.} \\ & w = \text{code}(M) \\ & u = \text{code}(\vec{w}) \\ \uparrow & \text{o/w} \end{cases}$$

is computable.

Corollary 4.24 The function

$$t_{M,k}(\vec{w}, v) := \begin{cases} a & \text{if } M \text{ has halted before} \\ & \text{time } \#v \text{ on input } \vec{w} \\ \epsilon & \text{o/w} \end{cases}$$

called the truncated computation is computable.

Theorem stated in lecture XIX:

Theorem 4.25 THE SOFTWARE PRINCIPLE

The function  $g(v, u) := \begin{cases} f_{M, k}(\vec{w}) & \text{if } v = \text{code}(M) \\ & u = \text{code}(\vec{w}) \\ & \text{with length } \vec{w} \\ & \text{is } k \\ & 0/w \end{cases}$

is computable.

This means that there is a UNIVERSAL REGISTER MACHINE  $U$  s.t.

$f_{U, 2}(v, u) = g(v, u)$ ,  
 i.e., a RM that gets  $v = \text{code}(M)$  as input, regarding it as SOFTWARE and performs the computation of  $M$ .

→ Proof in Lecture XX.

Proof.

$f: w, u, v \mapsto \text{code}(CCM, \vec{w}, \#v)$   
 if  $\text{code}(M) = w$   
 $\text{code}(\vec{w}) = u$

was computable by 4.23.

Start by checking whether  $w$  is a code for RM  
 $u$  is a code for a  $k$ -tuple of codes.

if not: ↑

Write  $f': w, u, v \mapsto \begin{cases} a & \text{if the state of } f(w, u, v) \text{ is } q\# \\ \epsilon & 0/w \end{cases}$

Minimise  $f'$  by MINIMISATION and obtain  
computable

$h(w, v) :=$  least  $v$  s.t.

$f(w, v, v)$  is in state  $q_H$   
(if it exists)

If  $h(w, v) \uparrow$ , then  $g(w, v) \uparrow$ , so searching  
for  $h(w, v)$  gives the desired value.

If  $h(w, v) \downarrow$ , then consider

$C(M, \vec{w}, \#h(w, v))$

and find its code for the Oth register.  
Write this into the Oth register and  
halt.

q.e.d.

## REMARKS

(1)

If RM that computes  $g$  is called  
a universal RM.

(2)

### REMARKABLE.

$U$  has a finite number of registers  
used & states, but can mimic  
the behaviour (with appr. input)  
of RM of arbitrary size.

(3)

This allows us to streamline notation

universal machine

$v \in W$

$$f_{v,k}(\vec{w}) := f_{U,2}(v, \text{code}(\vec{w}))$$

$$= f_{M,k}(\vec{w}) \quad \text{if } \text{code}(M) = v$$

Similarly,  $W_v := \text{dom}(f_{v,1})$

So,  $\{W_v; v \in W\}$  is the set of all computably enum. sets.

Theorem (s-u-u Theorem)

PARAMETER THM

← Name derives from the notation used when the theorem was published.

Suppose  $g: W^{k+1} \dashrightarrow W$  is a partial computable function. Then there is a total computable  $h: W \rightarrow W$  s.t.

$$f_{h(v),k}(\vec{w}) = g(\vec{w}, v)$$

one variable pulled into the index

This process is called  
**CURRYING**  
 after H. Curry.



Haskell CURRY  
 1900-1982

Proof.      Want  
 $f_{h(v), k}(\vec{w}) = g(\vec{w}, v)$ .

Think of  $g_v(\vec{w}) := g(\vec{w}, v)$ .

This is computable, so there is some  $M^*$  s.t.

$f_{M^*, k}(\vec{w}) = g_v(\vec{w})$ .

The s-m-u theorem tells us there is  
 a computable  $h$  that finds  $M^*$ .

①  $\vec{w} \mapsto (\vec{w}, v)$  is performed by RM  
 call it  $M_v$ .

This is just the RM that writes  $v$  into  
 register  $k$ .

So there is a computable  $f_v$

$v \mapsto \text{code}(M_v)$ .

(2) Since  $g$  is computable, we have  
RM  $M$  s.t.

$$f_{M, k+1} = g.$$

This means that  $g_v$  is computed by  
the RMs  $M_v$  and  $M$  in that  
order.

(3) We observed in §4.2 that the  
concatenation of two RM produces  
a concrete RM that we defined  
in the proof.  
Write  $M \circ M_v$  for that concatenated  
RM.

Thus, the function  
 $v \longmapsto \text{code}(M \circ M_v)$   
is total and computable.

Call this  $k$  and claim that

$$f_{(w), k}(\vec{w}) = g(\vec{w}, v).$$

$$\begin{aligned}
f_{k(v), k}(\vec{w}) &= f_{\text{code}(M \circ M_v), k}(\vec{w}) \\
&= f_{M \circ M_v, k}(\vec{w}) \\
&= g_v(\vec{w}) \\
&= g(\vec{w}, v). \quad \text{q.e.d.}
\end{aligned}$$

## § 4.8 Computably enumerable sets.

$$K_0 := \{ (w, v); f_{w,1}(v) \downarrow \}$$

$$K := \{ w; f_{w,1}(w) \downarrow \}$$

### HALTING PROBLEM

Theorem 4.27 Both  $K_0$  and  $K$  are computably enumerable.

Proof. For this, it's enough to show that they are  $\text{dom}(f)$  for some computable  $f$ .

By SOFTWARE PRINCIPLE  $f_{0,2}(w,v) = f_{w,1}(v)$ .  
 computable with  $\text{dom } K_0$

For  $\mathbb{K}$ , observe that

$w \mapsto (w, w)$   
 is performed by a RM. [Copy reg. 0 to reg 1  
 $\downarrow$  halt]

But then

$w \mapsto (w, w) \mapsto f_{0,2}(w, w) = f_{1,1}(w)$   
 is computable and its domain is  $\mathbb{K}$ .  
 q.e.d.

Theorem 4.8 Neither  $\mathbb{K}$  nor  $\mathbb{K}_0$  are computable.

Proof. Let's do it for  $\mathbb{K}_0$ . [ $\mathbb{K}$  in green]  
 Assume towards contradiction that  $\mathbb{K}_0$  is computable:  

$$\chi_{\mathbb{K}_0}(w, v) = \begin{cases} a & \text{if } (w, v) \in \mathbb{K}_0 \\ \varepsilon & \text{o/w} \end{cases}$$

is computable.  
 $f(w) := \begin{cases} \uparrow & \text{if } \uparrow \\ \varepsilon & \text{if } \downarrow \end{cases}$   
 $\chi_{\mathbb{K}}(w) = a$   
 $\chi_{\mathbb{K}_0}(w, w) = a$   
 $\chi_{\mathbb{K}_0}(w, w) = \varepsilon$   
 $\chi_{\mathbb{K}}(w) = \varepsilon$

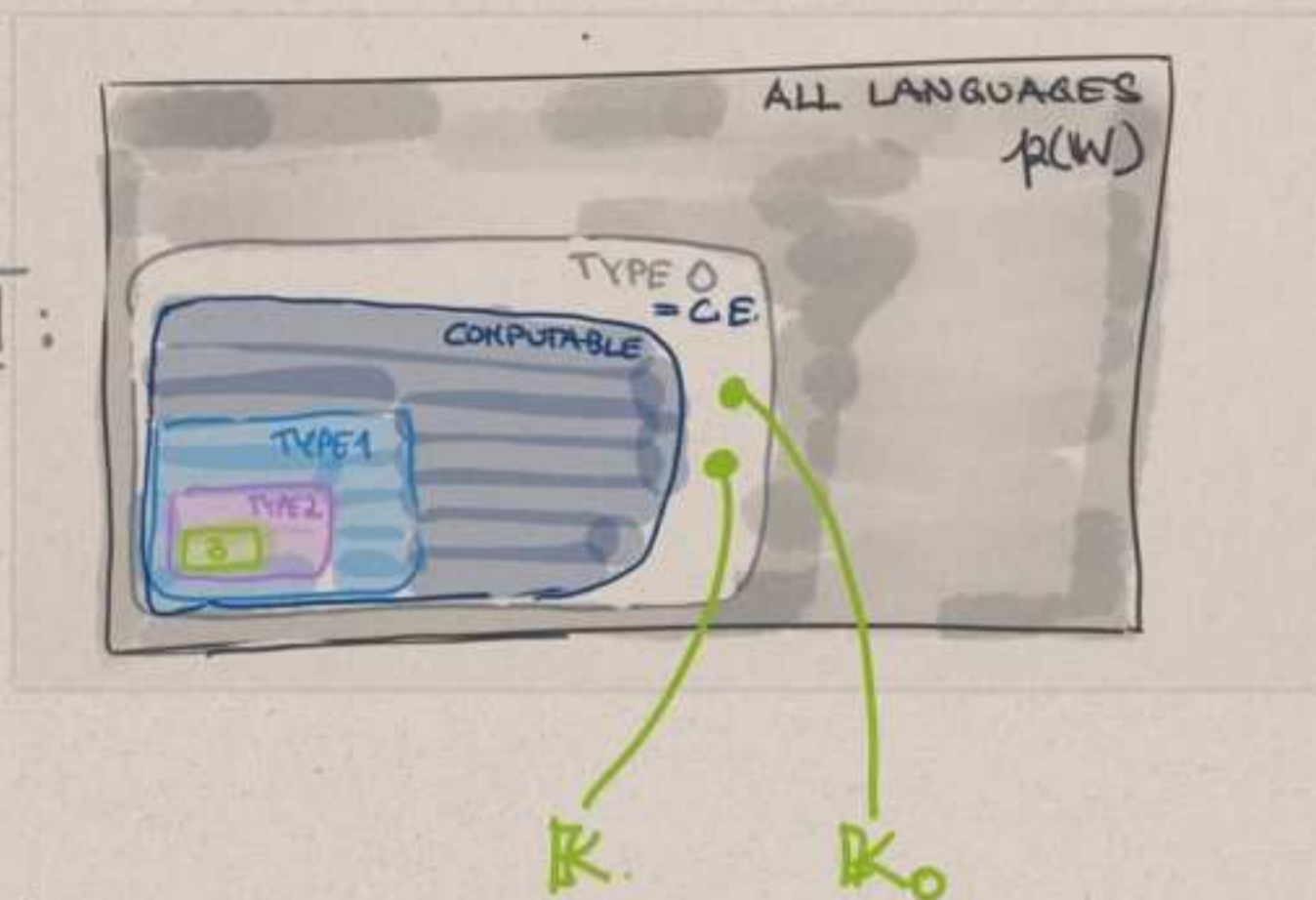
This is computable, so there is some

$d \in W$  s.t.  $f_{d,1} = f$ .

"diagonal"  
 $f(d) \downarrow \iff f_{d,1}(d) \downarrow \iff (d, d) \in \mathbb{K}_0 \iff \chi_{\mathbb{K}_0}(d, d) = a \iff f(d) \uparrow$ .  
 $d \in \mathbb{K}$   
 CONTRADICTION!  
 q.e.d.



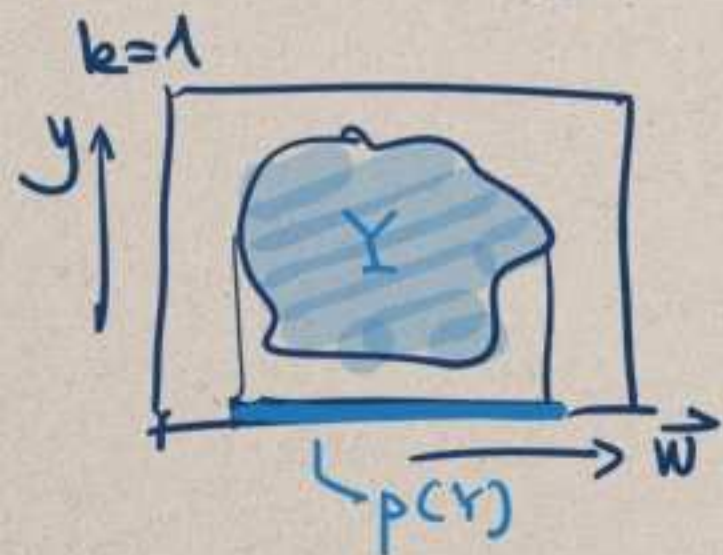
From  
Lecture XVII:



Goal Understand c.e. = Type 0.  
For this, we need deeper understanding of  
c.e. sets.

Def.  $X \subseteq W^k$  is called  $\Sigma_1$  if there is  
a computable  $Y \subseteq W^{k+m}$  s.t.

$$\vec{w} \in X \iff \exists y (\vec{w}, y) \in Y.$$



We say  
 $X = p(Y) = \{ \vec{w} ; \exists y (\vec{w}, y) \in Y \}$   
is the projection of  $Y$ .