

# X

## AUTOMATA AND FORMAL LANGUAGES

### Tenth Lecture

27 October 2022

#### GOAL

The equivalence problem for regular grammars is solvable.

Theorem If  $I, I'$  are irreducible. Then

$$L(I) = L(I') \iff I \cong I'$$

PROCEDURE : Given regular grammars  $G, G'$

STEP 1 Produce automata  $D, D'$

STEP 2 Form equivalent irreducible automata  $I, I'$

Step 2a Remove inaccessible states

Step 2b Form the quotient

Step 3 Check whether  $I \cong I'$ .



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ALGORITHMIC  
"subset construction"

Prove to be algorithmic  
in Lecture IX

It remains to be shown  
that this is algorithmic.

We need:

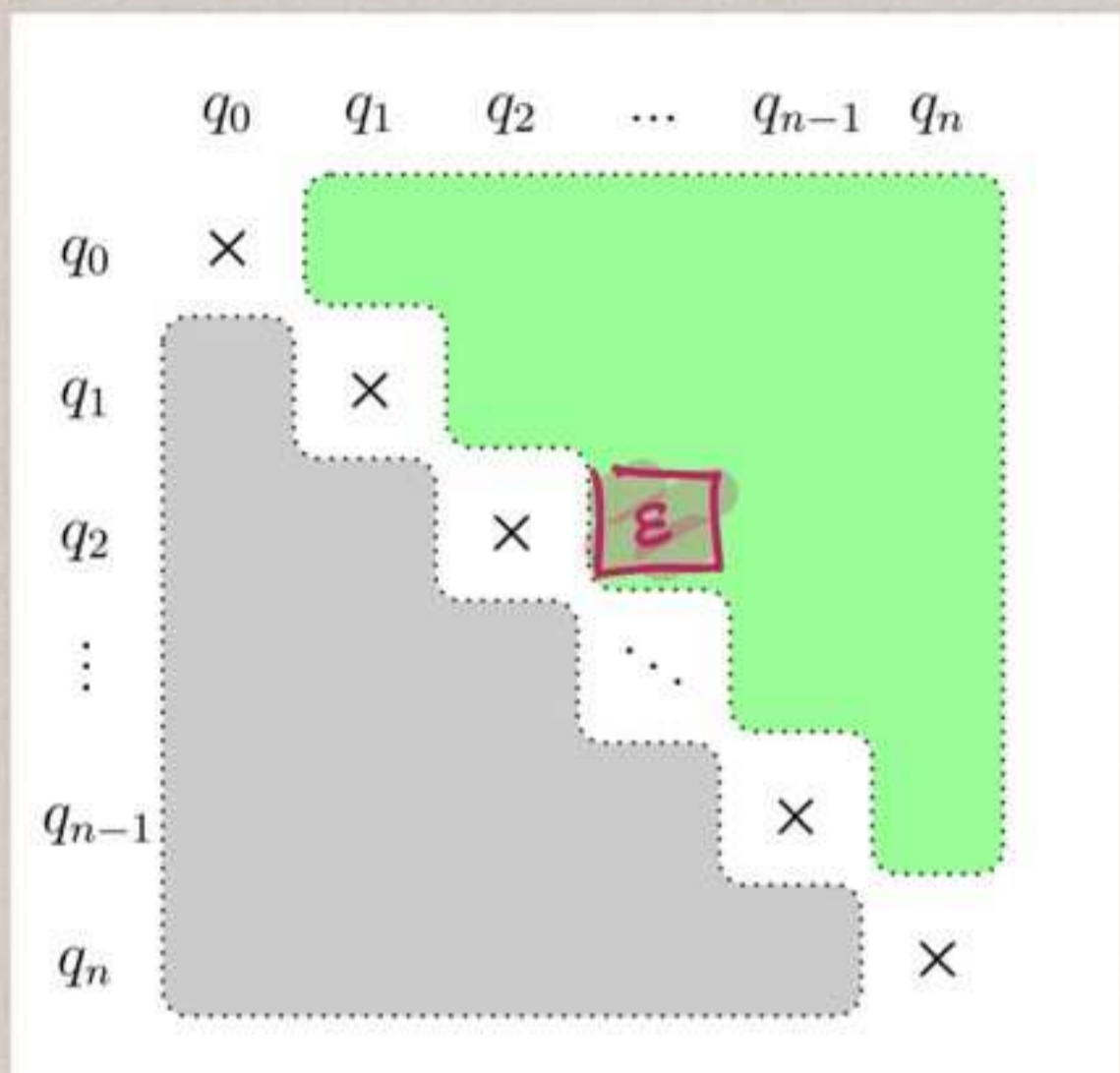
Theorem

There is an algorithm s.t.  
if  $D$  is an automaton,  
 $q, q' \in Q$ , then the algorithm  
determines whether  $q \sim q'$ .



# The TABLE FILLING ALGORITHM:

Form matrix  $Q \times Q$  and enter information about distinguishability of  $(q, q')$  in component  $(q, q')$ .



By symmetry, we only need the green part!

STEP 0  $\sim \frac{n^2}{2}$  substeps if  $q \in F$  &  $q' \notin F$  or vice versa, then  $\epsilon$  distinguishes  $q, q'$ , so write  $\epsilon$  in  $(q, q')$ .

STEP n  $\rightarrow$  STEP n+1 For each  $(q, q')$  and each  $a \in \Sigma$ , check  $(\delta(q, a), \delta(q', a))$ . If  $w$  is in that code, then write  $aw$  in  $(q, q')$ .  $\sim \frac{n^2}{2} \cdot |\Sigma|$  substeps



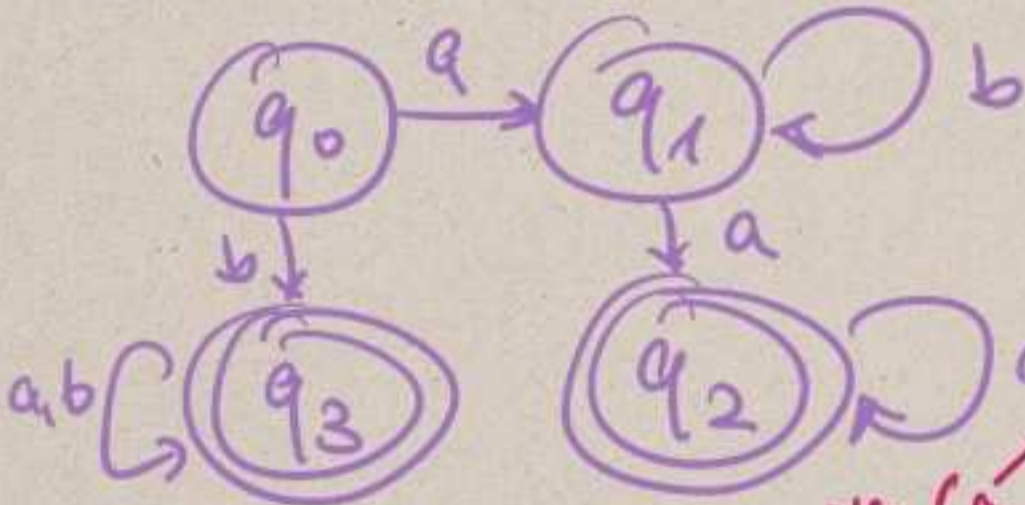
We say the algorithm **TERMINATES** if at any point, no new node is marked between **STEP  $n$**  & **STEP  $n+1$** .

This will happen at some finite point since there are only finitely many elements of  $Q \times Q$ .

Claim  $(q, q')$  is marked in the algorithm  $\iff$

$$q \neq q'$$

EXAMPLE



	$q_0$	$q_1$	$q_2$	$q_3$
$q_0$	X	a	$\epsilon$	$\epsilon$
$q_1$	/	X	$\epsilon$	$\epsilon$
$q_2$	/	/	X	X
$q_3$	/	/	/	X

STEP 0

Deals with  $(q_0, q_2)$ ,  $(q_0, q_3)$ ,  $(q_1, q_2)$  and  $(q_1, q_3)$

STEP 1

Check  $\delta(q_0, a) = q_1$ ;  $\delta(q_1, a) = q_2$ ,  $(q_1, q_2)$  is marked  $\epsilon$ , so  $(q_0, q_1)$  is marked  $a\epsilon = a$ .

STEP 2

Check that  $\delta(q_3, a) = \delta(q_3, b) = q_3$   
 Observe  $\rightarrow \delta(q_2, a) = \delta(q_2, b) = q_2$ .

$\rightarrow$  **TERMINATES** NOTHING CHANGES



## Proof of Claim

Clearly, if  $(q, q')$  is marked by  $w$ ,  
then by construction

$$\hat{\delta}(q, w) \in F \text{ \& } \hat{\delta}(q', w) \notin F$$

or vice versa,

so  $w$  distinguishes  $q, q'$ .

Suppose for contradiction that the converse  
doesn't hold.

So there is  $(q, q')$  unmarked, yet distinguished.

**BAD PAIR**

Suppose bad pairs exist; pick bad pair  
 $(q, q')$  s.t. the distinguishing word  
has minimal length.

Clearly, by STEP 0, the word can't be  $\epsilon$ .  
So the word is of the form  $aw$   
for some  $a \in \Sigma$ .

Clearly,  $\delta(q, a) \neq \delta(q', a)$  are distinguished  
by  $w$ . But they are unmarked  
[o/w STEP  $n+1$  would have marked  $(q, q')$ ]  
Contradiction to minimality of BAD PAIR  $(q, q')$ .  
q.e.d.



Corollary The equivalence problem  
for regular grammars  
is solvable.

So, for regular grammars all  
problems we care about  
have positive solutions.

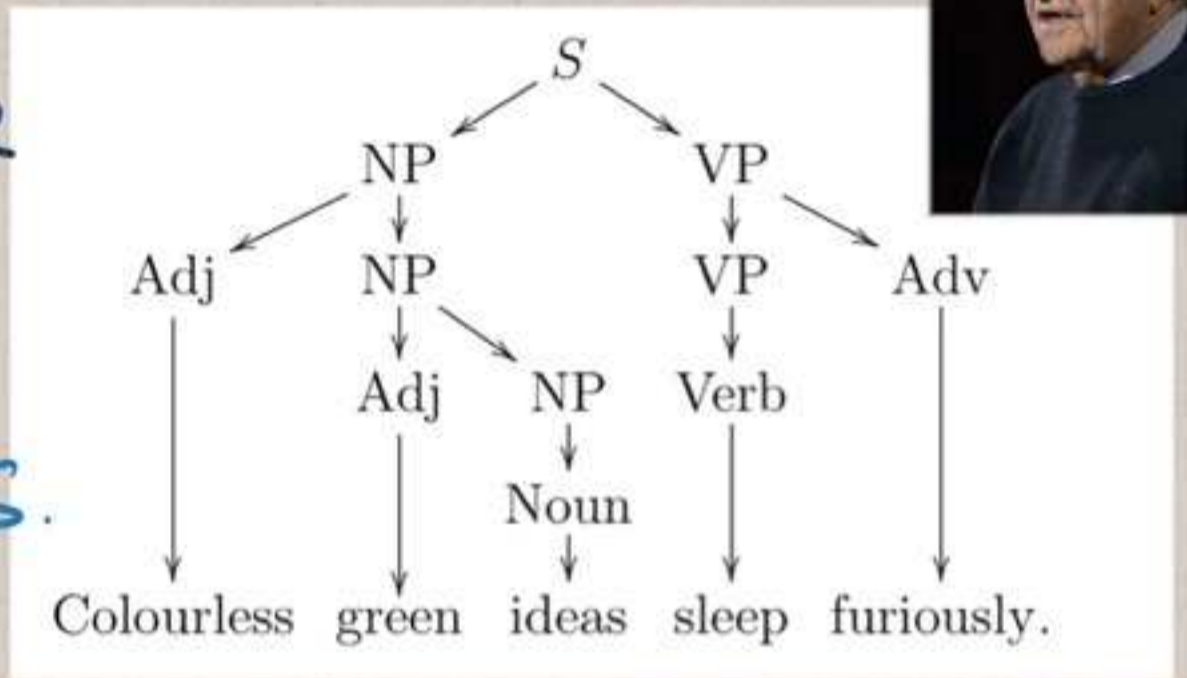


# Chapter 3

# CONTEXT-FREE GRAMMARS

Reminder:  $\{0^n 1^n; n > 0\}$  is context-free but not regular.

The structure of context-free derivations is determined by **PARSE TREES**.



From Chapter 1: Chomsky's grammatical nonsensical sentence

## § 3.1 Parse trees (fairly branching)

We say  $T \subseteq \mathbb{N}^*$  is called a tree

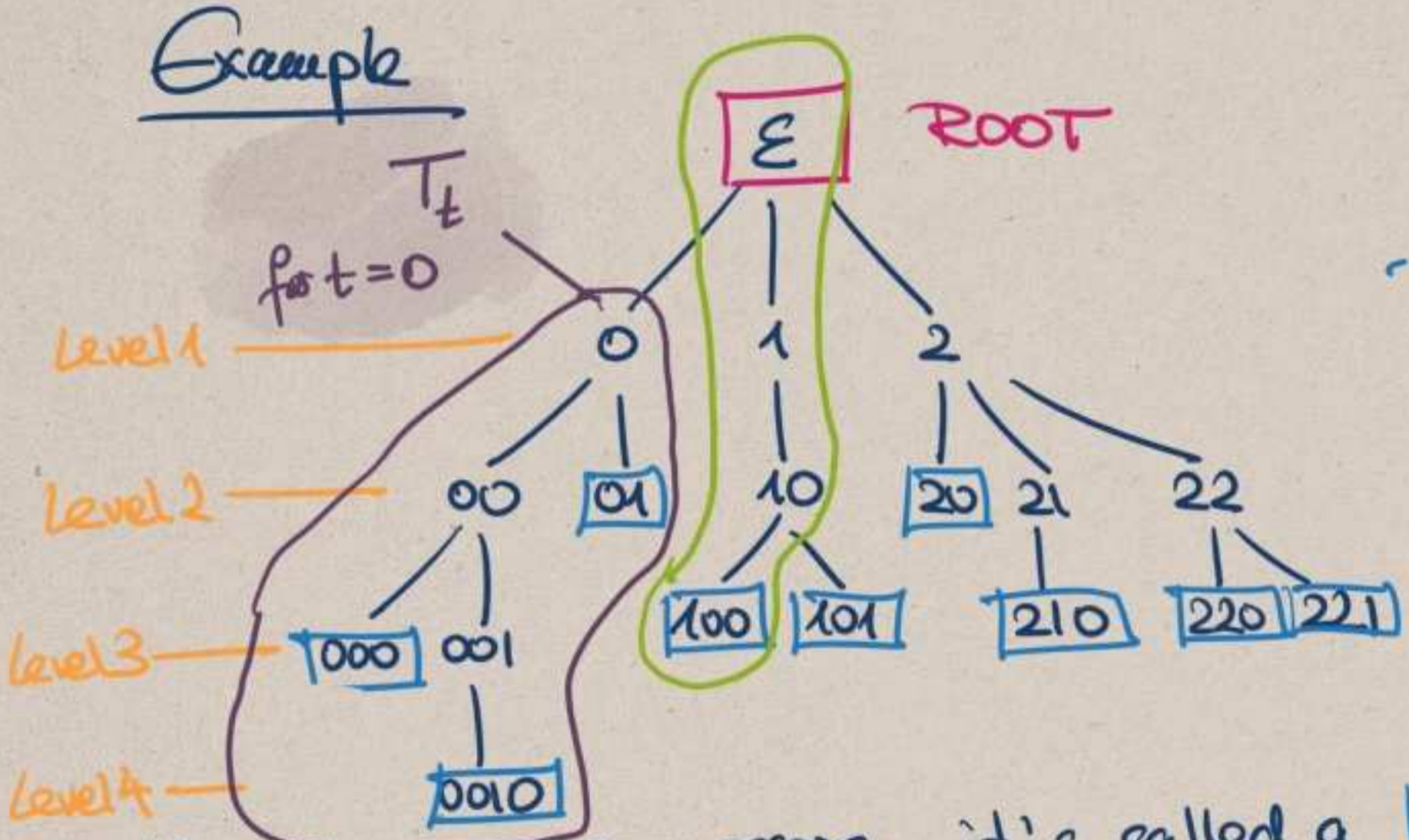
(a) it is closed under initial segments (i.e.,  $t \in T, s \subseteq t \implies s \in T$ )

(b) For each  $t \in T$  there is  $n \in \mathbb{N}$  branching number s.t.

$\forall k \quad \# \{s \in T \mid s \subseteq t \wedge |s| = k\} < n$



# Example



If  $t$  has no successors, it's called a leaf.   
 terminal node

A node  $t \in T$  has level  $k$  if  $|t| = k$

If  $T$  is finite, there is a maximum level: called the height of the tree.

If  $t$  is a node, then

$$t \uparrow 0, t \uparrow 1, \dots, t \uparrow |t| = \epsilon$$

is called the branch leading to  $t$ .

If  $t \in T$ , then  $T_t := \{s ; t \uparrow |t| \leq s \in T\}$  is the subtree starting from  $t$ .



We can define a partial order on  $T$   
by

$t < s : \iff t \neq s$  and if there  
is  $k$  s.t.  $t(k) \neq s(k)$   
and  $k_0$  is minimal  
with this property, then  
 $t(k_0) < s(k_0)$ .

LEFT-TO-RIGHT  
ORDER

It's only partial since it does not order  
two distinct nodes that lie on the  
same branch.

But, it is a total order on:

- (a) all nodes of a fixed level  $k$
- (b) all leaves.



# MOTIVATION for PARSE TREES:

Assign elts of  $\Omega$  to the nodes s.t. elts of  $V$  are assigned to non-leaves and elts of  $\Sigma$  are assigned to leaves.

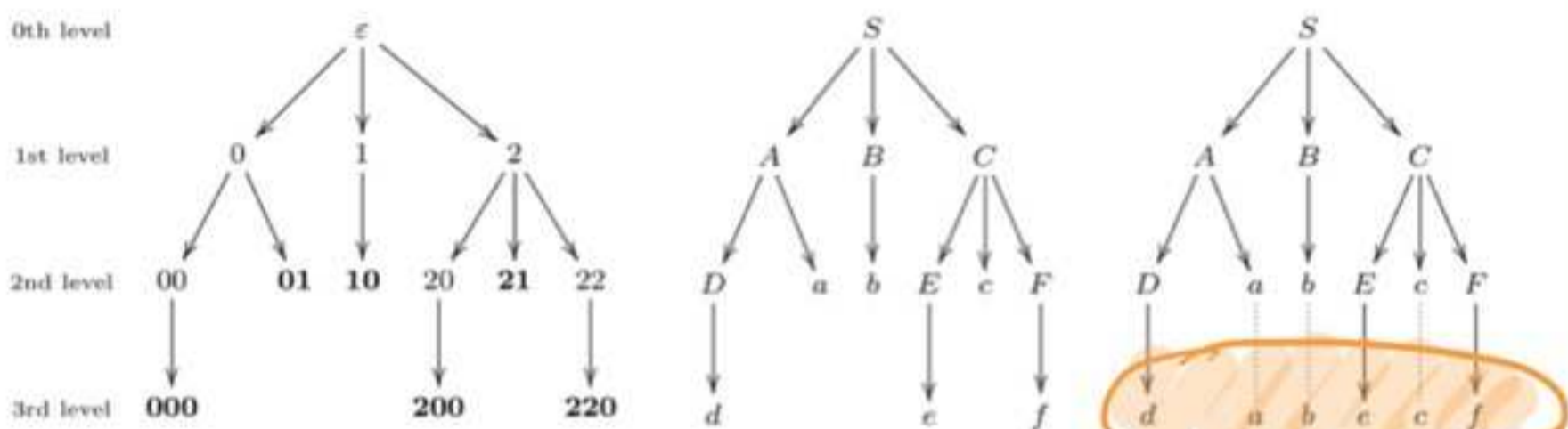


Figure 2: *Left.* A finitely branching tree; leaves are marked in boldface font. *Middle.* The same tree labelled to form a  $G$ -parse tree. *Right.* The same parse tree with the leaves extended to the final level to highlight that the parse tree parses the word *dabecf*.

We can read off the parsed word from the tree by reading the final level from left-to-right.