

# LARGE CARDINALS

## Lecture VI

9 Feb 2022

### LARGER LARGE CARDINALS

- Strongly compact cardinals (s.c.)
- Measurable cardinals
- Weakly compact cardinals (w.c.)
- Inaccessible cardinals

GOAL : Show implications.

Every s.c. is measurable.

Every measurable is w.c.

Every w.c. is inaccessible.

After that: non-implications.

Henri Lebesgue



Born	June 28, 1875 Beauvais, Oise, France
Died	July 26, 1941 (aged 66) Paris, France
Nationality	French

Giuseppe Vitali



Born	26 August 1875 Ravenna, Italy
Died	29 February 1932 (aged 56) Bologna, Italy

## Measure problem

(for the unit interval  $[0,1]$ )

Is there a function

$$\mu: \underline{\mathcal{P}([0,1])} \longrightarrow [0,1]$$

s.t.

- $\mu([0,1]) = 1$

- $\mu(\emptyset) = 0$

- translation invariance

$$\mu(A+x) = \mu(A)$$

where  $+x$  refers to a translation

- $\sigma$ -additivity

If  $\{A_i; i \in \mathbb{N}\}$  is a family of p.w. disjoint sets, then

$$\mu\left(\bigcup_{i \in \mathbb{N}} A_i\right) = \sum_{i \in \mathbb{N}} \mu(A_i)$$

?

Answer (Vitali 1905): No.

More precisely: AC  $\implies$  there is a non-measurable set.

Even more precisely: if there is a basis for  $\mathbb{R}$  as  $\mathbb{Q}$ -vector space, then answer is No.

Answers in measure theory:

Give up on  $\text{dom}(\mu) = \mathcal{P}(\mathbb{C}, \square)$   
and work with appropriate  $\sigma$ -algebras  
instead.

First generalisation:

Banach DROP translation invariance.

If you do so, you could have trivial  
solutions:

$$\begin{aligned} \text{If } \mu(A) &= 1 \quad \text{if } 0 \in A \\ \mu(A) &= 0 \quad \text{if } 0 \notin A \end{aligned}$$

This is a solution to the measure problem  
without translation invariance.

Banach  $\mu$  is nontrivial if for all  $x$

$$\mu(\{x\}) = 0.$$

Therefore (by  $\sigma$ -additivity), all countable  
sets get measure 0.

One further abstractive step:

Stanisław Ulam



Stanisław Ulam

Born	Stanisław Marcin Ulam 13 April 1909 Lemberg, Austria-Hungary (now Lviv, Ukraine)
Died	13 May 1984 (aged 75) Santa Fe, New Mexico, U.S.
Nationality	Polish
Citizenship	Poland, United States (naturalized in 1941)
Education	Lwów Polytechnic Institute, Second Polish Republic

Def. An ULAM measure is a function  $\mu: \mathcal{P}(X) \rightarrow \{0, 1\}$  such that it is non-trivial,  $\mu(X) = 1$ ,  $\mu(\emptyset) = 0$ , and  $\mu$  is  $\sigma$ -additive.

[Note: if  $A \cap B = \emptyset$ , then at most one of  $A$  and  $B$  can have measure 1.]

Definition A cardinal  $\kappa$  is ULAM MEASURABLE if there called is an Ulam measure on  $\kappa$ .

(Possibly something on ES #2.)

If  $\mu$  is an Ulam measure on  $\kappa$ ; we call it  $\kappa$ -additive if for all families  $\{A_\alpha; \alpha < \lambda\}$  for  $\lambda < \kappa$  s.t. the  $A_\alpha$ 's are p.w. disjoint  $\mu(\bigcup_{\alpha < \lambda} A_\alpha) = \sum_{\alpha < \lambda} \mu(A_\alpha)$

= 1 iff there is  $\alpha < \lambda$   $\mu(A_\alpha) = 1$

Def. A cardinal  $\kappa$  is measurable if there is a  $\kappa$ -additive Ulam measure on it.

[If  $A \subseteq \kappa$  has card.  $|A| < \kappa$ , then  $\mu(A) = 0$ .]

### Filters & Ultrafilters

A family  $F \subseteq \wp(\kappa)$  is called a filter on  $\kappa$  if

$$\kappa \in F$$

$$\emptyset \notin F$$

$$A, B \in F \rightarrow A \cap B \in F$$

$$A \in F, B \supseteq A \rightarrow B \in F$$

A filter is  $\lambda$ -complete if for all  $\mu < \lambda$  and  $\{A_\alpha; \alpha < \mu\} \subseteq F$ , we have

$$\bigcap_{\alpha < \mu} A_\alpha \in F.$$

A filter is nontivial if for all  $\alpha \in \kappa$ ,  $\{\alpha\} \notin F$ .

A filter is called ultrafilter if for all  $A \subseteq \kappa$ , either  $A \in F$  or  $\kappa \setminus A \in F$ .

## OBSERVATION

$\kappa$ -complete non-trivial ultrafilters  
and  $\kappa$ -additive Ulam measures  
are the same thing.

$$\begin{array}{ccc} \text{[ } \cup \text{ ultrafilter} & \rightsquigarrow & \mu(A) = 1 \Leftrightarrow A \in U \\ \mu \text{ measure} & \rightsquigarrow & A \in U \Leftrightarrow \mu(A) = 1. \end{array}$$

Need to check via set algebra that  
additivity & completeness translate into  
each other in this particular case.

E.g., if  $U$  is ultrafilter,  $\kappa$ -completeness  
is eq. to

$$(*) \quad \boxed{\text{"if } \{A_\alpha; \alpha < \lambda\} \text{ s.t. } A_\alpha \notin U, \text{ then } \bigcup_{\alpha < \lambda} A_\alpha \notin U."}$$

Theorem 1 If  $\kappa$  is measurable,  $\kappa$  is  
regular.

Proof. Let  $U$  be the  $\kappa$ -complete nf. on  $\kappa$ .  
By (\*), sets of size  $< \kappa$  cannot be in  $U$ .

$\kappa = \bigcup C$  where  $C$  is cofinal and  
 $|C| < \kappa$ ,

Then each  $\alpha \in C$  has size  $< \kappa$ ,  
 so  $\alpha \notin U$ , but then  $\kappa$  is a  
 $|C|$ -union of things not in  $U$ ,  
 so by  $\kappa$ -completeness  $\kappa \notin U$ .  
 Contradiction! q.e.d.

Theorem 2 If  $\kappa$  is measurable, then  
 $\kappa$  is a strong limit.

Corollary All measurables are inaccessible.

Proof of Theorem 2 If  $\kappa$  is not a strong limit,  
 then there is  $\lambda < \kappa$  s.t.  $\sum \lambda \geq \kappa$ .

This means there is an injection

$$f: \kappa \rightarrow \{f; f: \lambda \rightarrow 2\}$$

Write  $f_\alpha : \lambda \rightarrow 2$  for  $f(\alpha)$ .

Fix  $\{\xi \in \lambda\}$ , consider

$$\kappa = \{\alpha; f_\alpha(\xi) = 0\} \cup \{\alpha; f_\alpha(\xi) = 1\}$$

Precisely one of these sets is in  $U$ . Let  $b_\beta$   
 be either 0, 1 depending on which set it is in  $U$ .  
 $\Rightarrow A_\xi := \{\alpha; f_\alpha(\xi) = b_\xi\}$

Since  $A_\xi \in U$  for each  $\xi < \lambda$ ,  
and  $\cup$  is  $\kappa$ -complete, have

$$\begin{aligned} A &:= \bigcap_{\xi < \lambda} A_\xi \in U. \\ &= \bigcap_{\xi < \lambda} \{\alpha; f_\alpha(\xi) = b_\xi\} \\ &= \{\alpha; \forall \xi < \lambda f_\alpha(\xi) = b_\xi\} \end{aligned}$$

But  $|A| \leq 1$ , so  $A \notin U$ .

Contradiction! q.e.d.

MEASURABLE CARDINALS will feature  
primarily in the second half of  
this course.

For now, all we know is that they are  
large cardinals.

# COMPACTNESS

In FOL, compactness is the statement  
if  $\Phi$  is finitely satisfiable (every finite subset  
is satisfiable)

then  $\Phi$  is satisfiable.

Compactness is very powerful but also the  
source of limitations of FOL:

E.g., cannot characterize finite structures,  
countable structures etc.

Tarski's idea      Expand FOL to allow

infinite formulas.      INFINITARY LOGICS

Let  $\kappa$  and  $\lambda$  be cardinals. We define

$L_{\kappa\lambda}$  language by :

1. allowing arbitrary families of variables
2.  $S$  nonlogical symbol  
[could be infinite / uncountable]
3.  $\wedge, \vee, \neg, \Rightarrow, \exists, \forall$  as usual

4.  $\bigwedge_{\alpha < \mu} \varphi_\alpha$  where  $\mu < \kappa$

$\bigvee_{\alpha < \mu} \varphi_\alpha$   $\vec{x}$  is a seq.  
of var. of length  $\mu$

5.  $\exists^M \vec{x} \varphi, \forall^M \vec{x} \varphi$  where  $\mu < \lambda$