

# TWENTIETH LECTURE

## INFINITE GAMES

LENT 2021  
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Theorem 1 (Shoenfield). If  $\kappa$  is uncountable, then every  $\Pi_1^1$  set is  $\kappa$ -Suslin.

Notation. Fix a bijection  $i \mapsto s_i$  from  $\omega \rightarrow \omega^{<\omega}$  such that if  $s_i \subseteq s_j$ , then  $i \leq j$ . (This implies that  $\text{lh}(s_i) \leq i$ .) Let  $T \subseteq (\omega \times \omega)^{<\omega}$  be a tree,  $x \in \omega^\omega$ , and  $s \in \omega^{<\omega}$ . Then we let

$M$  is the set of partial functions from  $\omega$  to  $\kappa$  with finite domain.

$$T_s := \{t \in \omega^{<\omega}; (s \upharpoonright \text{lh}(t), t) \in T\},$$
$$T_x := \{t \in \omega^{<\omega}; (x \upharpoonright \text{lh}(t), t) \in T\} = \bigcup_{n \in \mathbb{N}} T_{x \upharpoonright n},$$
$$K_s := \{i \leq \text{lh}(s); s_i \in T_s\}, \text{ and}$$
$$K_x := \{i \in \omega; s_i \in T_x\} = \bigcup_{n \in \mathbb{N}} K_{x \upharpoonright n}.$$

$A \Pi_1^1$  s.t.  
 $x \in A$  iff  $\hat{T}_x$   
is well founded.

Need bij.  $M \leftrightarrow \kappa$ .

If  $s \in \omega^{<\omega}$  and  $u \in M^{<\omega}$  such that  $\text{lh}(u) \leq \text{lh}(s)$ , we say that  $u$  is coherent with  $s$  if

coherent with  $s$  if

- (1) for all  $i < \text{lh}(u)$ , we have that  $\text{dom}(u(i)) = K_{s \upharpoonright i}$ ,
- (2) for all  $i < \text{lh}(u)$ ,  $u(i)$  is an order preserving map from  $(K_{s \upharpoonright i}, <_{KB})$  into  $(\kappa, <)$ , and
- (3) for  $i \leq j$ , we have that  $u(i) \subseteq u(j)$ .

We now define the Shoenfield tree on  $M \times \omega$  by  $\hat{T} := \{(u, s); u \text{ is coherent with } s\}$

CLAIM:

$$A = p[\hat{T}]$$

Already done:

$$A \subseteq p[\hat{T}]$$

Lecture XV

Remains:

$$p[\hat{T}] \subseteq A.$$

Proof of 2nd inclusion.  $x \in p[\hat{T}]$

$\iff$  there is  $u$  s.t.  $(u, x) \in \hat{T}$

$\iff$  there is  $u$  s.t. f.a.  $n$   $(u \upharpoonright n, x \upharpoonright n) \in \hat{T}$

$\iff$  there is  $u$  s.t. f.a.  $n$   $u \upharpoonright n$  is coherent with  $x \upharpoonright n$ .

(1)/(2)  $u(i) : K_{x \upharpoonright i} \rightarrow \kappa$  o.p. (3)  $u(i) \subseteq u(j)$  for  $i \leq j$ .

By (3), define  $\hat{u} : K_x \rightarrow \kappa$   
by  $\hat{u} := \bigcup_{i \in \mathbb{N}} u(i)$ .

If  $\hat{\sigma}$  is order preserving from

$(K_x, <_{KB})$  into  $(K, <)$ ,

then  $T_x$  is wellfounded and thus  $x \in A$ .

So, we're left to show that  $\hat{\sigma}$  is o.p.

Suppose not:

then there are  $i, j \in K_x$  s.t.

$s_i <_{KB} s_j$

but

$\hat{\sigma}(i) \not< \hat{\sigma}(j)$

[ Since  $K_x = \bigcup_{n \in \mathbb{N}} K_x \upharpoonright n$ , there is  $n \in \mathbb{N}$  s.t.

$i, j \in K_x \upharpoonright n$ . ]

But  $\sigma(n)$  was order preserving:

$s_i <_{KB} s_j \iff$

$\sigma(n)(i) < \sigma(n)(j)$

This is a contradiction!

Thus  $\hat{\sigma}$  is o.p.; thus  $x \in A$ .

q.e.d.

Theorem (Martik, 1969/70).

If there is a measurable cardinal, then all  $\aleph_1$  sets are determined.

Def.  $\kappa$  is measurable  $\iff$   
there is  $\cup$   $\kappa$ -complete, non-principal  
on  $\kappa$

Theorem (ZFC, no proof)

If  $\kappa$  is measurable, there is a  
normal  $\kappa$ -complete non-principal of. on  $\kappa$ .

Theorem (Rowbottom, ES # 3)

If  $\cup$  is normal on  $\kappa$  and  $\gamma < \kappa$  and  
 $c_u: [\kappa]^{<\omega} \rightarrow \gamma$ , there is  
a set  $H \in \cup$  s.t. for all  $n, k \in \mathbb{N}$   
 $c_u \upharpoonright [H]^k$  is constant.

We say  $\kappa$  satisfies Rowbottom's Theorem if for all  
 $\gamma < \kappa$ ,  $c_u: [\kappa]^{<\omega} \rightarrow \gamma$  there is  $H$  with  $|H| = \kappa$   
s.t. for all  $n, k \in \mathbb{N}$   $c_u \upharpoonright [H]^k$  is constant.

SUMMARY ZFC implies that measurable  
cardinals satisfy Rowbottom's Theorem.

Reverse (Martin). If  $K$  satisfies Rowbottom's Reverse, then all  $\mathbb{N}^{\mathbb{N}}$  sets are determined.

PROOF. Fix  $A \in \mathbb{N}^{\mathbb{N}}$ . By Silverfield, we have  $\hat{t}$  tree on  $M \times \omega$  s.t.

$$A = p[\hat{t}].$$

We know that if you compare  $G(A)$  and  $G_{\text{aux}}(\hat{t})$ , we get:

- $G_{\text{aux}}(\hat{t})$  is determined [Gale-Stewart]
- w.s. of player I transfer from  $G_{\text{aux}}(\hat{t})$  to  $G(A)$

So we still need:

(\*) If player II has a w.s. in  $G_{\text{aux}}(\hat{t})$ , then player II has a w.s. in  $G(A)$ .

•  $\text{II}$   $x_0$   $x_1$   $x_2$   $x_3$   $x_4$  ...  $x \in A$

•  $\text{II}$   $u_0, x_0$   $x_1$   $u_1, x_2$   $x_3$   $u_2, x_4$   $x_5$  ...  $(u, x) \in [\hat{t}]$



Can assume that  $u_0$  is player s.t. player I does not lose immediately.

So:  $u_0: K_{x \times 10} \longrightarrow K$  order preserving.

$$\begin{aligned}
 &= K_{\emptyset} \\
 &= \{i \leq 0; s_i \in T_{x \times 10}\} \\
 &= \{0\}
 \end{aligned}$$

One step further

$$u_1: K_{x \times 11} \longrightarrow K$$

either a single elt. of  $K$  or a two-element subset of  $K$ .

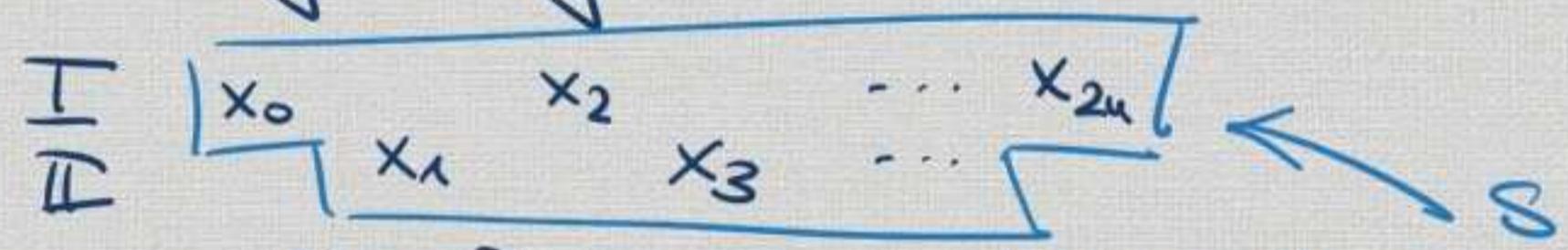
$$\begin{aligned}
 &= K_{(x_0)} \\
 &= \{i \leq 1; s_i \in T_{x \times 11}\} = \left\{ \begin{array}{l} \{0\} \\ \{0, 1\} \end{array} \right\} \\
 &\iff s_x \in T_{x_0}
 \end{aligned}$$

Let  $k_s := |K_s|$  and  $Q \in [k]^{k_s}$ .  
 Then  $Q$  determines a unique order  
 preserving map

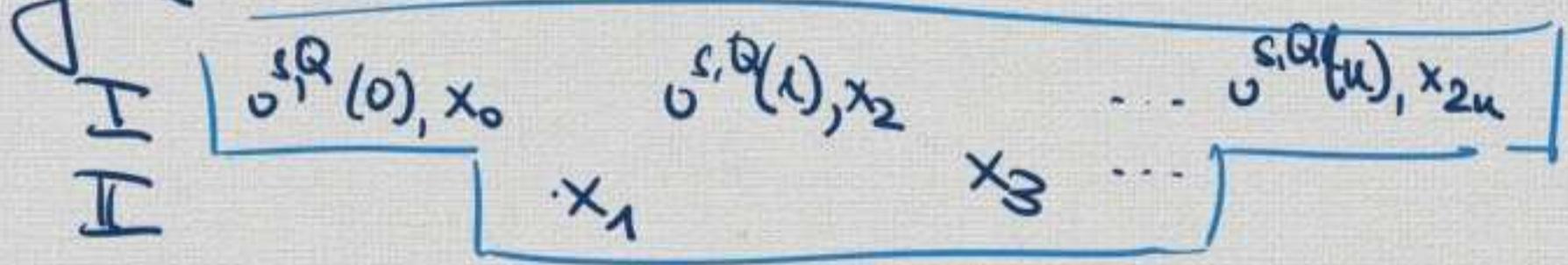
$$w_Q: (K_s, \prec_{K_s}) \longrightarrow (Q, \prec)$$

Define  $v^{s,Q}(i) := w \upharpoonright K_{sti}$ .

Then  $v^{s,Q}$  is coherent with  $s$ . This  
 allows me to translate a position in  
 the original game



into a (legal) position in the auxiliary  
 game



$\uparrow$   
 $s^* Q$   
 Position  $n$   
 $M < \omega \times \omega < \omega$

Define a colouring  $s \in \omega^{<\omega}$ .

$$c_s : [K]^{k_s} \longrightarrow \omega$$

by  $c_s(Q) := \underline{\tau(s_*^Q)}$

$$Q \in [K]^{k_s}$$

where  $\tau$  is our w.s. for player  $\text{II}$  in  $G_{\text{aux}}(\hat{\Gamma})$ .

This is a family of countably many colourings, so by Rowbottom, we find  $H \subseteq K$ ,  $|H| = K$  s.t.

$c_s \upharpoonright [H]^{k_s}$  is constant.

[i.e.,  $Q, Q' \in [H]^{k_s}$ , then  $c_s(Q) = c_s(Q')$ ,

so  $\tau(s_*^Q) = \tau(s_*^{Q'})$ .

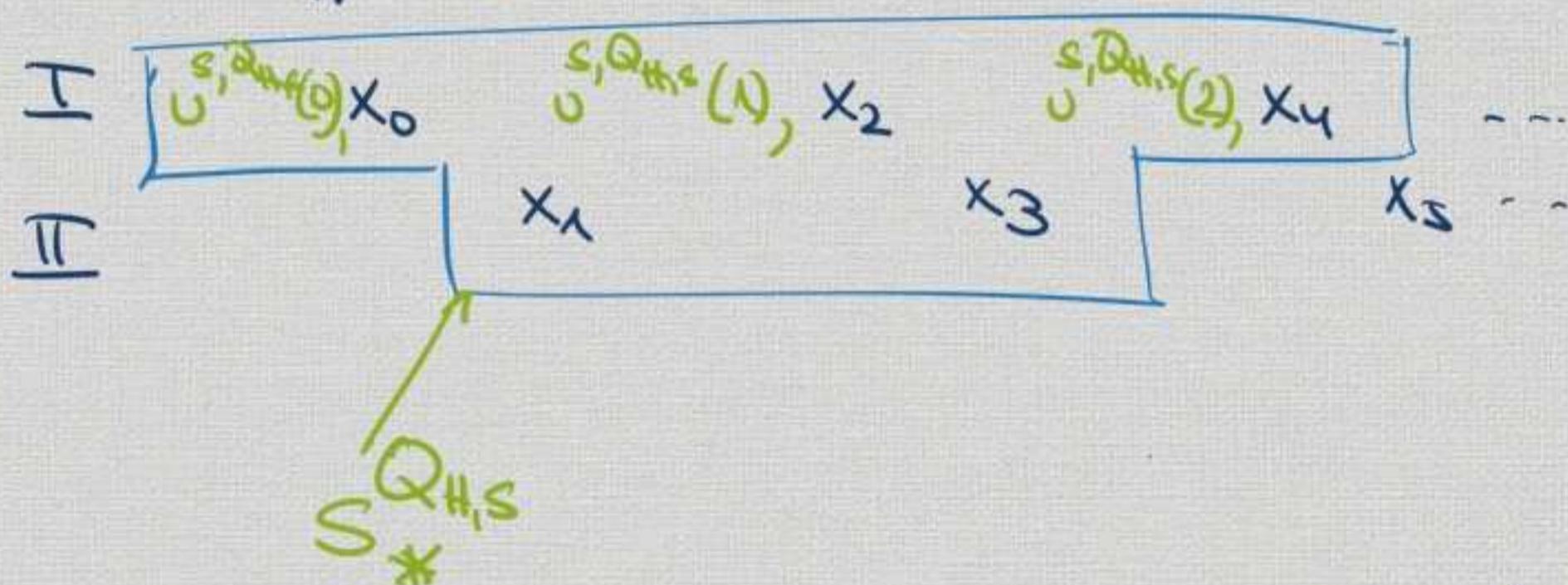
In words: if  $\text{II}$  fills in the gaps by any  $Q \in [H]^{k_s}$ , then the answer of  $\tau$  does not depend on the precise choice of  $Q$ .

So, let

$Q_{\#s} :=$  the first  $k_s$  many elements of  $\#$ .

Define strategy  $\tau_{\#}$  in the game  $G(A)$  for player  $\#$ :

$$\tau_{\#}(s) := \tau(s_{\#}^{Q_{\#s}})$$



CLAIM: If  $\tau$  was winning in  $G_{\text{aux}}(\hat{T})$ ,  
then  $\tau_{\#}$  is winning in  $G(A)$ .