

# FIFTEENTH LECTURE

## INFINITE GAMES

LENT 2021

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GOAL: Relationship between

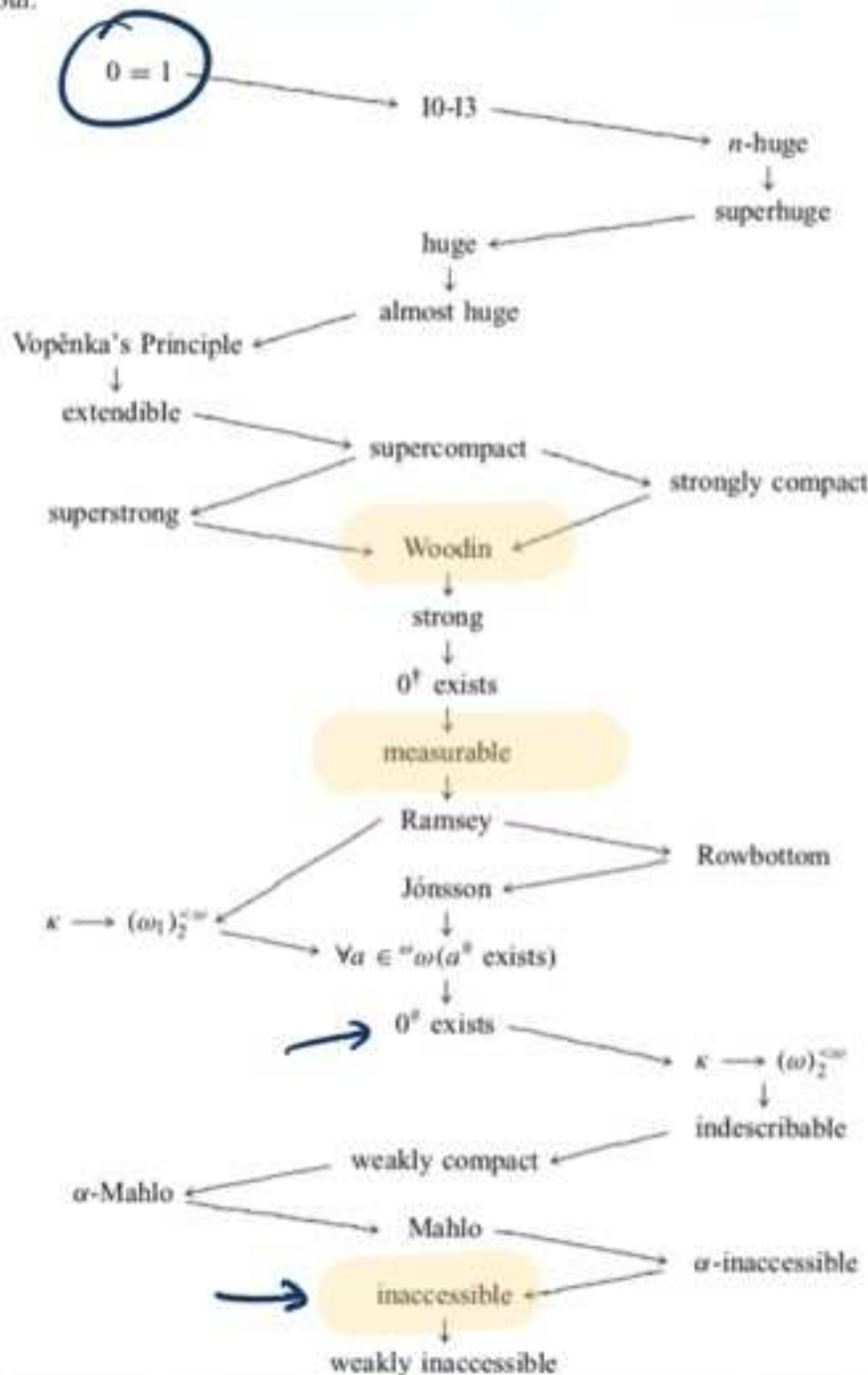
- ① LARGE CARDINALS
- ② DETERMINACY
- ③ DEFINABLE WELL-ORDERINGS OF  $\omega^\omega$

## LARGE CARDINALS

Chart of Cardinals

from: Kanamori, *The Higher Infinite*

The arrows indicates direct implications or relative consistency implications, often both.



### IMPRECISE DEFINITION

Let  $\Phi$  be a property of cardinals. We write

$\Phi C$  for  $\exists x \Phi(x)$ .

$\Phi$  is going to be the **LARGE CARDINAL PROPERTY**

$\Phi C$  is going to be the **LARGE CARDINAL AXIOM**

$\Phi$  is a **LCP** if

①  $\Phi(\kappa)$  implies that  $\kappa$  is "large" in some sense.

②  $\Phi C \vdash \text{Cons}(ZFC)$

$$\textcircled{2} \quad \text{ZFC} + \Phi \vdash \text{Cons}(\text{ZFC})$$

Comments.

(i) Gödel's Incompleteness Theorem  
says if  $\text{ZFC} \vdash \Phi$   
and  $\textcircled{2}$

then ZFC is inconsistent.

So, under reasonable assumptions, it means  
 $\text{ZFC} \not\vdash \Phi$ .

(ii) Gödel's Completeness Theorem  
tells us that  
 $\text{Cons}(\text{ZFC})$

is equivalent to

$$\exists M \text{ " } M \models \text{ZFC} \text{ "}$$

If  $\Phi, \Psi$  are two L.C.P., we can say

$$\underline{\Phi \subset \Psi} \quad \text{if} \quad \text{ZFC} + \Psi \vdash \text{Cons}(\text{ZFC} + \Phi)$$

$\Phi$  &  $\Psi$  are equiconsistent

$$\text{if} \quad \text{ZFC} + \text{Cons}(\Phi) \leftrightarrow \text{ZFC} + \text{Cons}(\Psi)$$

Def. A cardinal is called inaccessible if it is regular and a strong limit.

[A cardinal  $\kappa$  is a strong limit if

for all  $\lambda < \kappa$ ,  $2^\lambda < \kappa$ .]

We write  $I$  for the property of being inaccessible, as before

$$IC : \Leftrightarrow \exists x I(x)$$



"there is an inaccessible cardinal".

Check properties (1) & (2).

(1) Clearly, if  $I(\kappa)$ , then

$$\aleph_1, \aleph_2, \aleph_3 < \kappa$$

$$\aleph_\omega, \aleph_{\omega_1}, \aleph_{\omega_2}, \dots < \kappa$$

if  $\lambda = \aleph_\alpha$ , [Aleph fixed pt] and  $\lambda$  is least with that property, then

$$cf(\lambda) = \aleph_0 < \kappa, \text{ so } \lambda < \kappa.$$

Remark.  $\kappa$  is a limit

$$\Leftrightarrow \forall \lambda < \kappa (\lambda^+ < \kappa).$$

Remark. Under GCH

[ $\forall \lambda \lambda^+ = 2^\lambda$ ] we have:

$$\kappa \text{ is limit} \Leftrightarrow$$

$$\kappa \text{ is strong limit.}$$

② Need to show  $ZFC + IC \vdash Cons(ZFC)$ .

Theorem If  $\kappa$  is inaccessible, then  $V_\kappa \models ZFC$

VON NEUMANN  
HIERARCHY

- $V_0 := \emptyset$
- $V_{\alpha+1} := \mathcal{P}(V_\alpha)$
- $V_\lambda := \bigcup_{\alpha < \lambda} V_\alpha$ .

In L&ST 2019/20,

⊛ Example Sheet #4:  
Example 9

If  $\lambda > \omega$  limit  
ordinal, then

$V_\lambda \models$  all axioms of ZFC  
minus Replacement.

Zermelo Set Theory

So: we need to show that if  $\kappa$  is inacc.,  
then  $V_\kappa \models$  Replacement.

Lemma 1 If  $\kappa$  is inaccessible, then  $\forall \alpha < \kappa$   
 $|V_\alpha| < \kappa$ .

pf. follows directly from the two conditions  
in the definition by transfinite  
induction. q.e.d.

Lemma 2 Let  $\kappa$  be inaccessible. Then

TFAE:

(i)  $x \in V_\kappa$

(ii)  $x \subseteq V_\kappa$  &  $|x| < \kappa$ .

Proof (i)  $\Rightarrow$  (ii).

$x \in V_\kappa \Rightarrow x \subseteq V_\kappa$  [transitivity of  $V_\kappa$ ]

$x \in V_\kappa = \bigcup_{\alpha < \kappa} V_\alpha \Rightarrow \text{ex. } \alpha < \kappa$

$x \in V_\alpha$

$\Rightarrow x \subseteq V_\alpha$

$\Rightarrow |x| \leq |V_\alpha| < \kappa$ .  
L1

(ii)  $\Rightarrow$  (i).

Suppose  $x \subseteq V_\kappa$ . For every  $y \in x$ ,

define  $\alpha_y := \rho(y)$   $y \in V_{\alpha_y+1} \setminus V_{\alpha_y}$

$A := \{ \alpha_y^{+1}; y \in x \}$

Clearly  $|A| \leq |x| < \kappa$ .

By regularity of  $\kappa$ ,  $\bigcup A =: \alpha < \kappa$ .  $x \subseteq V_\alpha$

But then for all  $y \in x$ ,  $y \in V_\alpha \Rightarrow x \subseteq V_{\alpha+1} \subseteq V_\kappa$

## Proof of Theorem

We are going to show something even stronger:

Take any function  $F: V_\kappa \rightarrow V_\kappa$  and show that if  $x \in V_\kappa$ , then  $F[x] \in V_\kappa$ .

If  $x \in V_\kappa$ , by L2, we know that  $|x| < \kappa$ , but then

$|F[x]| \leq |x| < \kappa$ .  $\left. \begin{array}{l} \\ \end{array} \right\} \xrightarrow{L2} \begin{array}{l} F[x] \\ \in \\ V_\kappa. \end{array}$

Clearly,  $F[x] \in V_\kappa$ .

q.e.d.

## Next goal:

||| If there is a family of models with nice wellorders of  $\omega^\omega$

and  $\text{PSA}(\mathbb{N})$  holds, then there is a model of  $\text{ZFC} + \text{IC}$ .

1.  $M$  is an inner model if it is a transitive class containing all ordinals and a model of ZFC.

1.\* Suppose  $(V, \varepsilon) \models \text{ZFC}$  is a set model. Then  $M \subseteq V$  is called an inner model if it contains all of the ordinals of  $V$  and is transitive in  $V$  and  $(M, \varepsilon) \models \text{ZFC}$ .

### [EXAMPLE SHEET #3]

2. A formula  $\varphi$  defines an inner model if

$$x \in M \iff \varphi(x).$$

3. A formula  $\mu$  is called a canonical model family if the following classes are inner models:

$$M := \{w; \mu(\emptyset, w)\} \quad \text{ROOT}$$

For each  $x \in w^w$ :  $M_x := \{w; \mu(x, w)\}$

with the properties: (a)  $\forall x \quad M \subseteq M_x$

(b)  $\forall x \quad x \in M_x$

(c)  $M \models \text{GCH}$ .

4. If  $\mu$  is a canonical model family,  
we say that  $\mu$  is

$\Delta'_u$  - wellordered

if for each  $x$ , there is a  
wellordering  $<_x$  of  $\omega \cap M_x$

s.t.

$\{(u, v); u, v \in M_x \wedge u <_x v\}$   
 $\cong \Delta'_u.$