

# INFINITE GAMES

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## Lecture VIII

BOREL  
HIERARCHY



$$\alpha \leq \beta \Rightarrow \Sigma_{\alpha}^0 \subseteq \Sigma_{\beta}^0$$

$$\alpha < \beta \Rightarrow \Pi_{\alpha}^0 \subseteq \Sigma_{\beta}^0$$

$F_{\sigma}$

$G_{\delta}$

OPEN

CLOSED

CLOPEN

Def. A top. space is called a  $G_{\delta}$  space

if  $\Pi_1^0 \subseteq \Pi_2^0$

"every closed set is a  $G_{\delta}$  space".

Note Every metric space is a  $G_{\delta}$  space.

For Countable & Baire space, we proved that there is a ctbl topology base of clopen sets and this implies being  $G_\delta$ .

Prop. If  $X$  has a ctbl clopen topology base, then  $X$  is  $G_\delta$ .

Proof. Let  $F \subseteq X$  be closed.  
Let  $G := X \setminus F$ . [ $G$  is open]

For every  $x \in G$ , find  $B_x$  in the topology base s.t.  $x \in B_x \subseteq G$ .

Since  $B_x$  is clopen,  $X \setminus B_x$  is also open.

$\{B_x; x \in G\}$  is countable,

so let's write  $\{B_n; n \in \mathbb{N}\}$

Then  $F = \bigcap_{n \in \mathbb{N}} X \setminus B_n \in \Pi_2^0$ .

[since ctbl  $\Rightarrow$  wellorderability, no choice needed.]

q.e.d.

By the Axiom of Replacement, the Borel hierarchy terminates at some ordinal  $\alpha$  [i.e.,  $\sum_{\sim}^{\circ} \alpha = \prod_{\sim}^{\circ} \alpha$ ].

Q. What can we say about  $\alpha$ ?

Observation 1 If  $X$  is discrete, then every subset of  $X$  is clopen, so  $\Delta_{\sim}^{\circ} = \Sigma_{\sim}^{\circ} = \Pi_{\sim}^{\circ}$ .

Observation 2 If singletons are closed and  $X$  is countable, then  $\Delta_{\sim}^{\circ} = \Sigma_{\sim}^{\circ} = \Pi_{\sim}^{\circ}$ .

[If  $A = \{x; x \in A\}$  is ctble, then  $A = \bigcup_{x \in A} \{x\}$ .]

Better upper bound than by cardinality of  $X$ :

Proposition (ZFC).

For arbitrary  $\kappa$ ,  $\Delta_{\kappa}^0 = \Sigma_{\kappa}^0 = \Pi_{\kappa}^0$ .

Proof. It's enough to show

$$\Sigma_{\kappa}^0 = \bigcup_{\alpha < \kappa} \Pi_{\alpha}^0.$$

Clearly: " $\supseteq$ ".

" $\subseteq$ ": If  $A \in \Sigma_{\kappa}^0$ , there are  $A_n$

s.t.  $A = \bigcup_{n \in \mathbb{N}} A_n$  and  $\alpha_n < \kappa$  s.t.

$$A_n \in \Pi_{\alpha_n}^0$$

Since  $\kappa$  is a regular cardinal, every countable subset  $A \subseteq \kappa$  is bounded, i.e., there is  $\beta < \kappa$  s.t.  $A \subseteq \beta$ .

$$\{\alpha_n; n \in \mathbb{N}\} \subseteq \kappa$$

Find bound  $\beta < \kappa$ :  $\{\alpha_n; n \in \mathbb{N}\} \subseteq \beta$

Then  $\forall n$   $A_n \in \bigcup_{\alpha < \beta} \Pi_{\alpha}^0 \Rightarrow A \in \Sigma_{\beta+1}^0 \subseteq \Pi_{\beta+2}^0$   
 $\Rightarrow$  CLAIM. q.e.d.

Height of the Borel hierarchy (in ZFC):

$$1 \leq \beta \leq \aleph_1.$$

Theorem (ZFC). If  $X$  is a separable space, Baire space or  $\mathbb{R}$ , then the height of the Borel hierarchy is  $\aleph_1$ .

[In general, if  $X$  is uncountable Polish space.]

This means: if  $\alpha < \aleph_1$ , then  $\sum_{\alpha}^0 \neq \Pi_{\alpha}^0$ .

The proof of this uses the method of universal sets.

Def. A pointclass is an operator that assigns to each top. space  $X$  a set of subsets of  $X$ .

Examples: "open" /  $\sum_1^0$

"closed" /  $\Pi_1^0$

or  $\sum_{\alpha}^0 / \Pi_{\alpha}^0 / \Delta_{\alpha}^0$

If  $\Gamma$  is a pointclass, we define

$\overset{\circ}{\Gamma}$  by

$$\overset{\circ}{\Gamma}(X) := \{X \setminus A; A \in \Gamma(X)\}$$

called the dual pointclass of  $\Gamma$   
 [Pronounced "Gamma dual".]

and

$\Delta_{\Gamma}$

by  $\Delta_{\Gamma}(X) := \Gamma(X) \cap \overset{\circ}{\Gamma}(X)$   
 called the ambiguous pointclass of  $\Gamma$ .

Ex.  $\overset{\circ}{\Pi}_a^0$  is  $\overset{\circ}{\Sigma}_a^0$   
 $\overset{\circ}{\Sigma}_a^0$  is  $\Delta_{\overset{\circ}{\Sigma}_a^0}$  (and  $\Delta_{\overset{\circ}{\Pi}_a^0}$ ).

### CLOSURE PROPERTIES OF POINTCLASSES:

For correctly closed $\alpha$ and $X$ .	• closed under finite unions	} $\overset{\circ}{\Sigma}_a^0, \overset{\circ}{\Pi}_a^0, \overset{\circ}{\Delta}_a^0$	
	• closed under finite intersections		
	• closed under countable unions	$\overset{\circ}{\Sigma}_a^0$	
	• closed under countable intersections	$\overset{\circ}{\Pi}_a^0$	
	• closed under complements	$\overset{\circ}{\Delta}_a^0$	
			$\overset{\circ}{\Pi}_a^0, \overset{\circ}{\Delta}_a^0$
			$\overset{\circ}{\Sigma}_a^0, \overset{\circ}{\Pi}_a^0$
		<b>YES</b>	<b>NO</b>

- $\Gamma$  is closed under cts preimages if whenever  $f: X \rightarrow Y$  is cts and  $A \in \Gamma(Y)$ , then

BOLDFACE  $f^{-1}[A] \in \Gamma(X)$ .

- $\Gamma$  is closed under cts images if whenever  $f: X \rightarrow Y$  is cts and  $A \in \Gamma(X)$ , then

$$f[A] \in \Gamma(Y).$$

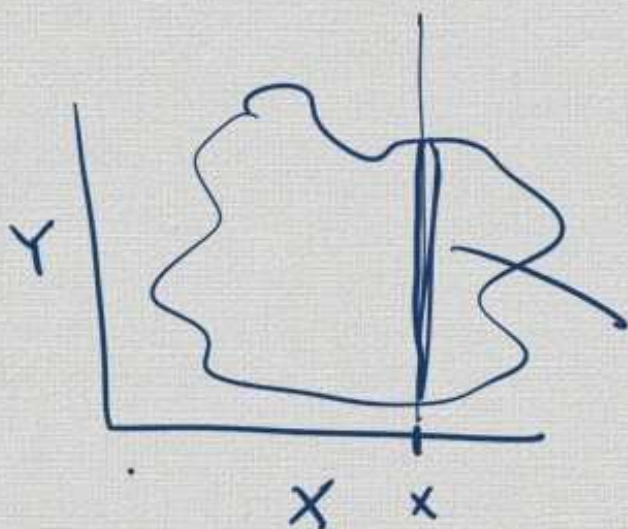
A simple inductive argument shows that our base classes  $\sum_{\sim}^{\circ} \alpha$ ,  $\prod_{\sim}^{\circ} \alpha$ ,  $\Delta_{\sim}^{\circ} \alpha$  are all boldface.

We're going to talk more about closure under cts images in lectures IX & X.

Definition Let  $X, Y$  be top. spaces and  $\Gamma$  a pointclass. A set  $U \subseteq X \times Y$  is called  $X$ -universal for  $\Gamma(Y)$

- if
- ①  $U \in \Gamma(X \times Y)$  ← product topology
  - ② For every  $A \in \Gamma(Y)$  there is some  $x \in X$  s.t.

$$\underline{U_x = A.}$$



$U_x := \{y \in Y; (x, y) \in U\}$   
 section of  $U$  at  $x$ .

Lemma Suppose  $U$  is  $X$ -universal for  $\Gamma(X)$  and  $\Gamma$  is boldface. Then  $\Gamma(X) \neq \overset{\vee}{\Gamma}(X)$ .

Proof. Consider  $X \times X \setminus U \in$  [non-selfdual]  $\overset{\vee}{\Gamma}(X \times X)$ . and

$$D := \{x; \underline{(x, x)} \notin U\}$$

$$\in \overset{\vee}{\Gamma}(X)$$

$$\begin{array}{ccc} X & \longmapsto & (x, x) \\ X & \longrightarrow & X \times X \\ & & \text{cts.} \end{array}$$

Assume  $\Gamma(X) = \overset{\vee}{\Gamma}(X)$ . Then find  $d \in X$  s.t.  $D = U_d$ .



Then

$$d \in D \iff (d, d) \in U$$

$$\iff d \in U_d$$

$$\iff d \notin D. \quad \text{Contradiction.}$$

q.e.d.

### Lecture IX

We shall prove that for  $\alpha < \aleph_1$ ,

$\sum_{\alpha}^0$  has an  $\omega^{\omega}$ -universal

set.

By lemma, this implies our theorem.