

# Basic set-theoretic techniques in logic

## Part IV: The Axiom of Choice

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# Why axioms?

If we start playing with sets without any care we are in trouble:

## The Russell paradox

Suppose that there is a set  $X$  such that

$$X = \{a : a \notin a\}.$$

Then  $X \in X \iff X \notin X$ , which is a false sentence.

Therefore we start by a list of axioms naming some legitimate operations.

The Russell paradox show that it is not always possible to form a set of the form  $\{x : \varphi(x)\}$ .

# Some axioms of Zermelo-Fraenkel (ZF)

- **Union:** For any sets  $X$  and  $Y$  there is a set, denoted  $X \cup Y$ , containing all elements of  $X$  and all elements of  $Y$ .
- **Separation:** If  $A$  is a set and  $\varphi$  is some property then there is a set  $\{x \in A : \varphi(x)\}$ .
- **Power set:** For every set  $X$  there is a set  $\{A : A \subseteq X\}$  (denoted  $P(X)$ ).
- **Infinity:** There is an infinite set.

## The axiom of choice (AC)

For every family  $\mathcal{A}$  of nonempty sets there is a choice function  $f$ , such that  $f(A) \in A$  for every  $A \in \mathcal{A}$ .

**ZF + AC = ZFC.**

# Equivalent forms

- ① **The axiom of choice.**
- ② **Zermelo's theorem:** Every set can be well-ordered.

Proof.

**(1)  $\rightarrow$  (2)** Take any set  $X$ . We shall show that  $X = \{x_\alpha : \alpha < \gamma\}$  for some ordinal number  $\gamma$ .

Let  $f$  be a choice function for the family of all nonempty subsets of  $X$ . We define

$$x_\alpha = f(X \setminus \{x_\beta : \beta < \alpha\}),$$

until it is possible. Then take  $\gamma$  to be the first ordinal number for which the set  $X \setminus \{x_\alpha : \alpha < \gamma\}$  is empty.

**(2)  $\rightarrow$  (1)** If  $\mathcal{A}$  is any family of nonempty sets then we can order the union  $X = \bigcup \mathcal{A}$  of all of them and define  $f(A)$  to be the first element of  $A$ . □

# Zorn's lemma, Tuckey style

## Theorem

*Let  $X$  be a set and  $\mathcal{A}$  be a family of its subsets. Assume that  $\mathcal{A}$  has finite character, i.e.  $B \in \mathcal{A}$  if and only if all finite subsets of  $B$  belong to  $\mathcal{A}$ .*

*Then for any  $A \in \mathcal{A}$  there is  $M \in \mathcal{A}$  such that  $A \subseteq M$  and  $M$  is maximal, i.e. for every  $M' \in \mathcal{A}$  satisfying  $M \subseteq M'$  we have  $M' = M$ .*

## Proof.

Let  $X = \{x_\alpha : \alpha < \gamma\}$ . Define  $M$  by

$$x_\alpha \in M \Leftrightarrow A \cup \{x_\beta \in M : \beta < \alpha\} \cup \{x_\alpha\} \in \mathcal{A}.$$

Then  $M \in \mathcal{A}$  because all the finite subsets of  $M$  are in  $\mathcal{A}$ . □

# Application: Hamel basis

A set  $\{x_1, x_2, \dots, x_n\}$  of reals is linearly independent over  $\mathbb{Q}$  if for any  $q_i \in \mathbb{Q}$ , if  $q_1x_1 + q_2x_2 + \dots + q_nx_n = 0$  then  $q_i = 0$  for all  $i \leq n$ .

## Example

$\{1, \sqrt{2}, \sqrt{3}\}$  is linearly independent over  $\mathbb{Q}$ .

## Remark

If  $\{x_1, x_2, \dots, x_n\}$  is l.i. while  $\{x_1, x_2, \dots, x_n, y\}$  is not then  $y = q_1x_1 + \dots + q_nx_n$  for some  $q_i$ 's.

## Theorem

*There is a maximal linearly independent over  $\mathbb{Q}$  set  $H \subseteq \mathbb{R}$ . Every  $x \in \mathbb{R}$  has the unique representation  $x = \sum_{i \leq n} q_i h_i$ , where  $n \in \mathbb{N}$ ,  $q_i \in \mathbb{Q}$ ,  $h_i \in H$ .*

# Application: Vitali sets

For  $x, y \in \mathbb{R}$ , say that  $x \sim y$  if  $x - y \in \mathbb{Q}$ . The relation is equivalence relation on  $\mathbb{R}$ , that is  $x \sim x$ ,  $x \sim y \Leftrightarrow y \sim x$  and  $x \sim y, y \sim z \Rightarrow x \sim z$  for any  $x, y, z$ . The relation  $\sim$  divides  $\mathbb{R}$  into disjoint nonempty sets, where each set is of the form  $\{y : y \sim x\}$  for some  $x$ . Let  $V$  be a selector for that partition. Then

- $(q + V) \cap V = \emptyset$  for every rational  $q \neq 0$ ; otherwise, if  $x \in (q + V) \cap V$  then  $x = y + q$  for some  $x, y \in V$ , which gives  $x \sim y, x \neq y$ , a contradiction.
- $\bigcup_{q \in \mathbb{Q}} (q + V) = \mathbb{R}$ .
- We can assume that  $V \subseteq [0, 1)$ . Then

$$[0, 1) \subseteq \bigcup_{q \in \mathbb{Q} \cap [-1, 1)} (q + V) \subseteq [-1, 2).$$

# Is Axiom of Choice controversial?

## Banach-Tarski paradox

The ball of radius 1 (in  $\mathbb{R}^3$ ) can be, by AC, decomposed into 5 pieces. Using those sets one can, using rotations and translations, form two balls of radius 1.

It follows that  $1=2$  so there must be something wrong with AC.  
... Or with you intuition concerning the volume. Why do you assume that you can measure the volume of every set in  $\mathbb{R}^3$ ?



# Thank you for your attention!

**David Hilbert:**

*No one shall expel us from the Paradise that Cantor has created.*

**Georg Cantor:**

*The essence of mathematics lies entirely in its freedom.*