

# SET THEORY

2021-12-20

## ① POWER SET AXIOM

NICE EXERCISE

IF  $\Sigma \in M^P$  AND  $\text{DOM } \Sigma \subseteq$

$\{\check{m} : m \in \omega\}$

LET  $\sigma = \{ \langle \check{m}, p \rangle : (\forall q \in P)(\langle \check{m}, q \rangle \in \Sigma \rightarrow q \perp p) \}$

VERIFY

$$\sigma_G = \omega \setminus \tau_G$$

$\Sigma$  ARBITRARY

$\Vdash \tau \subseteq \omega$

MAKE  $\Sigma' = \{ \langle \check{m}, p \rangle : p \Vdash \check{m} \in \tau \}$

$$- \tau'_G = \tau_G$$

THEN WE CAN MAKE  $\sigma$  FROM  $\Sigma'$

$$\text{AND GET } \sigma_G = \omega \setminus \tau_G.$$

READ  
"CHAPTER 4" (WEEK 12)

PAGES 62-66

$M \in N$  MODELS OF ZFC

WE HAVE  $a \in M$  AND  $\sigma \in N$

WITH  $\sigma \leq a$

FOR ALL RELATIONS  $R \in M$

WITH  $\text{DOM } R \subseteq a$

WE HAVE  $R[\sigma] \in N$

$\text{OB}(\sigma, M) = \{ R[\sigma] : R \in M \text{ RELATION } \}$   
 $\text{DOM } R \subseteq a$

IF  $\text{OB}(\sigma, M)$  IS CLOSED UNDER  
TAKING DIFFERENCES

$$(A, B \in \text{OB} \rightarrow A \setminus B \in \text{OB})$$

THEN  $\sigma$  IS AN  $M$ -GENERIC FILTER  
ON  $(a, \leq)$  FOR SOME P.O. ON  $a$ .

# SET THEORY

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LET  $\sigma \in \Gamma^P$  WE BUILD  $\mathcal{G} \in \Gamma^P$

$$\begin{aligned} S &= \mathcal{P}^n(\text{DOM}(\sigma) \times P) \\ &= \{ \tau \in \Gamma^P : \text{DOM} \tau \subseteq \text{DOM} \sigma \} \\ \mathcal{G} &= S \times \{1\} \end{aligned}$$

ASSUME  $\mu \in \Gamma^P$  AND  $\mu_G \in \mathcal{G}$

$$\mathcal{T} = \{ \langle \pi, p \rangle : \pi \in \text{DOM} \sigma \text{ AND } p \Vdash \pi \in \mu \}$$

$$\tau \in S \quad \tau_G \in \mathcal{G}$$

WE SHOW  $\tau_G = \mu_G$ .

-  $\mu_G \in \tau_G$ : IF  $x \in \mu_G$  THEN  $x = \pi_G$   
FOR SOME  $\pi \in \text{DOM} \sigma$

$\pi_G \in \mu_G$  SO

TAKE  $p \in G$  WITH  $p \Vdash \pi \in \mu$

$$\langle \pi, p \rangle \in \mathcal{T}$$

$x = \pi_G \in \tau_G$  THANKS TO  $p$

-  $\tau_G \in \mu_G$

IF  $x \in \tau_G$  THEN THERE ARE

$p \in G$  AND  $\pi \in \text{DOM} \tau$

WITH  $x = \pi_G$  AND  $\langle \pi, p \rangle \in \mathcal{T}$

BUT  $p \Vdash \pi \in \mu$

SO  $x = \pi_G \in \mu_G$

= ALMOST DISJOINT FAMILIES

• SIERPIŃSKI [1920]

THERE IS A FAMILY  $\mathcal{A} \in \mathcal{P}(\omega)$

SUCH THAT - IF  $A \in \mathcal{A}$  THEN  $|A| = \aleph_0$

- IF  $A, B \in \mathcal{A}$  AND  $A \neq B$   
THEN  $|A \cap B| < \aleph_0$

$$- |A| = 2^{\aleph_0}$$

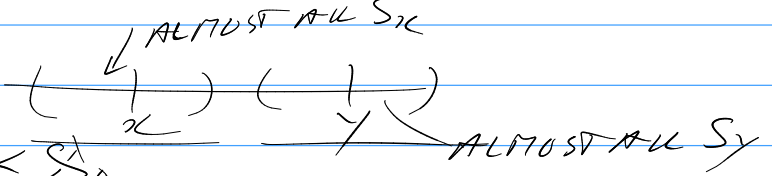
CHOOSE FOR  $x \in \mathbb{R} \setminus \mathbb{Q}$  A SEQUENCE  $S_x$  OF RATIONAL NUMBERS THAT CONVERGES TO  $x$

$$- |S_{x_i}| = \aleph_0$$

$$- x \neq y$$

$$|S_x \cap S_y| < \aleph_0$$

$$- |\mathbb{R} \setminus \mathbb{Q}| = 2^{\aleph_0}$$



[ALSO TARSKI]

IF CH THEN WE CAN DO SOMETHING SIMILAR ON  $\omega_1$

$$- \{A \in \mathcal{P}(\omega_1) \mid |A| = 2^{\aleph_1}\}$$

$$\cdot A \in \mathcal{A} \rightarrow |A| = \aleph_1$$

$$\cdot A \neq B \rightarrow |A \cap B| < \aleph_1$$

$$\mathcal{T} = \bigcup_{\alpha < \omega_1} 2^\alpha \quad |2^\alpha| = 2^{\aleph_0} \quad (\alpha \geq \omega)$$

$$|\mathcal{T}| = \aleph_1$$

$$x \in 2^{\omega_1} \sim B_x = \{x \upharpoonright \alpha : \alpha < \omega_1\}$$

$$|B_x| = \aleph_1$$

$$x \neq y \quad \text{SAY } x(\alpha) \neq y(\alpha)$$

$$\text{THEN } B_x \cap B_y \subseteq \{x \upharpoonright \beta : \beta \leq \alpha\} \cup \{y \upharpoonright \beta : \beta \leq \alpha\}$$

NEXT HOUR :

CONSISTENCY OF

$$\exists \text{FC} + 2^{\aleph_0} > \aleph_2$$

+ ALL ~~ALMOST~~

DISJOINT FAMILIES ON  $\omega_1$

HAVE CARD.  $\leq \aleph_2$

[BAUMGARTNER, 1979]

START WITH GCH IN  $\mathcal{M}$ .

$$\mathcal{P} = \text{FN}(\omega_3 \times \omega, 2); \text{ IN } 2^{\aleph_0} = 2^{\aleph_1} = 2^{\aleph_2} = \aleph_3$$

IN  $M[G]$  NO ALMOST DISJOINT FAMILY OF CARD.  $\aleph_3$

ASSUME  $\langle A_\alpha : \alpha \in \omega_3 \rangle$  IS SUCH

- $|A_\alpha| = \aleph_1$  ;  $A_\alpha \subseteq \omega_1$
- $\alpha < \beta \rightarrow |A_\alpha \cap A_\beta| < \aleph_1$

TAKE  $p \in G$  AND  $\varphi \in \mathbb{P}^p$

SUCH THAT  $\varphi_G = \langle A_\alpha : \alpha \in \omega_3 \rangle$

- $p \Vdash \varphi : \check{\omega}_3 \rightarrow \mathcal{P}(\check{\omega}_1) \wedge \forall \alpha |\varphi(\alpha)| = \aleph_1 \wedge$
- $\wedge \alpha \neq \beta \rightarrow |\varphi(\alpha) \cap \varphi(\beta)| \in \aleph_0$

CLAIM: IF  $\alpha < \beta$  THEN THERE

IS  $\gamma(\alpha, \beta) < \omega_1$  SUCH THAT

$$p \Vdash \varphi(\check{\alpha}) \cap \varphi(\check{\beta}) \subseteq \gamma(\alpha, \beta)^\vee$$

WE KNOW

$$p \Vdash \sup(\varphi(\check{\alpha}) \cap \varphi(\check{\beta})) < \omega_1$$

$$F_{\alpha, \beta} = \{ \gamma \in \omega_1 : (\exists q \in p)(q \Vdash \check{\gamma} = \sup(\varphi(\check{\alpha}) \cap \varphi(\check{\beta})) \}$$

FOR  $\gamma \in F_{\alpha, \beta}$  PICK  $q_\gamma$  AS IN DEFINITION

IF  $\gamma \neq \delta$  THEN  $q_\gamma \perp q_\delta$

WE NOW KNOW

$|F_{\alpha, \beta}| \leq \aleph_0$  BECAUSE ANTICHAINS IN  $P$  ARE COUNTABLE

$$\gamma(\alpha, \beta) = \sup F_{\alpha, \beta} + 1$$

WE HAVE

$$\text{IN } M : \gamma : [\omega_3]^2 \rightarrow \omega_1 \quad \underline{\text{INT}}$$

$$\aleph_3 = (2^{\aleph_1})^+$$

$$\text{ERDŐS-RADO } \aleph_3 \rightarrow (\aleph_2)_{\aleph_1}^2$$

WE FIND ONE  $\gamma$

AND  $H \subseteq \omega_3$  WITH

$$- |H| = \aleph_2$$

$$- \gamma(\alpha, \beta) = \gamma \quad (\alpha, \beta \in H)$$

SO  $p \Vdash \varphi(\check{\alpha}) \cap \varphi(\check{\beta}) \subseteq \check{\gamma}^\vee$

FOR  $\alpha, \beta \in H$

IN  $G$ :  $\varphi(\alpha) = A_\alpha$  IS UNCOUNTABLE

SO -  $A_\alpha \setminus \gamma \neq \emptyset$

IF  $\alpha \neq \beta$  IN  $H$

THEN  $(A_\alpha \setminus \gamma) \cap (A_\beta \setminus \gamma) = \emptyset$

IMPOSSIBLE.  $\aleph_2$  MANY DISJOINT  
NONEMPTY SUBSETS OF  $\omega$ .

CONTRADICTION

EXERCISE:

THERE IS ALWAYS AN ALMOST  
DISJOINT FAMILY OF CARD  $\aleph_2$  ON  $\omega$ ,

HINT WORK IN  $\omega_1 \times \omega$ ,

USE GRAPHS OF FUNCTIONS.

RECTANGLES

$X$  A SET  $A, B \in X$

$A \times B$  IS A RECTANGLE IN  $X \times X$

-  $\mathcal{R}_X = \{A \times B : A, B \in X\}$

- TAKE THE  $\sigma$ -ALGEBRA  $\mathcal{S}_X$

GENERATED BY  $\mathcal{R}_X$

-  $X \times X \in \mathcal{S}_X$

-  $S \in \mathcal{S}_X \rightarrow (X \times X) \setminus S \in \mathcal{S}_X$

-  $\{S_m\}_m \in \mathcal{S}_X \rightarrow \bigcup_m S_m \in \mathcal{S}_X$

GENERATED BY: THE SMALLEST  
ONE THAT CONTAINS  $\mathcal{R}_X$

??  $\mathcal{S}_X = \mathcal{P}(X \times X)$  ??

- YES  $X = \omega$

EVERY  $\{ \langle m, m \rangle \}$  IS A RECTANGLE

- YES  $X = \omega_1$ ,

IF  $S \in \omega_1 \times \omega_1$  THEN YOU CAN MAKE

$\{X_\alpha : \alpha \in \omega_1\}$  AND  $\{Y_\alpha : \alpha \in \omega_1\}$   
SUBFAMILIES OF  $\mathcal{P}(\omega)$

$\langle \alpha, \beta \rangle \in S \Leftrightarrow X_\alpha \cap Y_\beta$  INFINITE

$$A_m = \{\alpha : m \in \alpha\}$$

$$B_m = \{\beta : m \in \beta\}$$

$$S = \bigcap_{m \in \omega} \left( \bigcup_{n \geq m} A_n \times B_n \right)$$

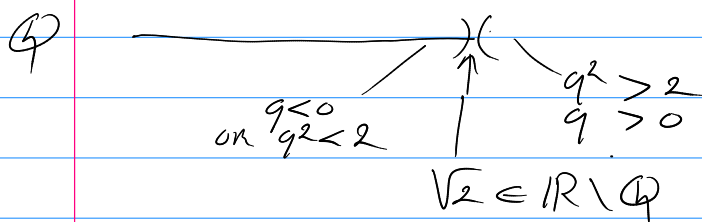
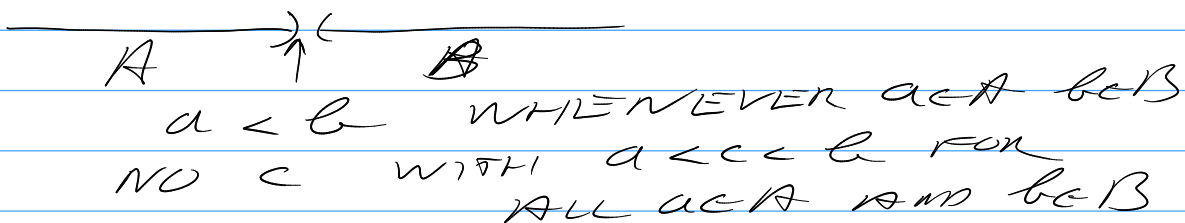
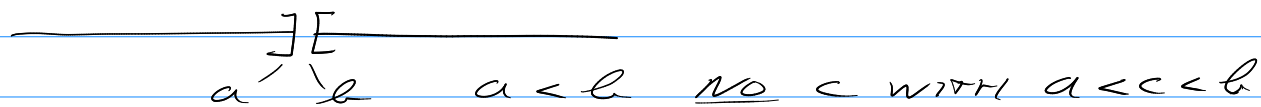
CH: YES IF  $X = \mathbb{R}$

IF  $G$  IS  $M$ -GENERIC ON  $F_N(\omega_2^{\aleph_1} \times \omega_2^{\aleph_1})$

THEN NO FOR  $X = \omega_2$   $\uparrow$  ANY  $\kappa > \omega_2$

SOUSLIN'S PROBLEM [1920]

IF  $L$  IS A LINEAR ORDER WITHOUT JUMPS OR GAPS IN WHICH EVERY PAIRWISE DISJOINT FAMILY OF OPEN INTERVALS IS COUNTABLE MUST  $L$  BE A NORMAL LINEAR CONTINUUM.



NORMAL LINEAR CONTINUUM:  $\mathbb{R}$

1968 TENNENBAUM JECH RELATIVELY EASY  
CONSISTENT: NO

SOLOVAY TENNENBAUM  
CONSISTENT YES  $\leftarrow$  WAY MORE DIFFICULT  
ITERATED FORCING.

# COUNTER EXAMPLE: SOUSLIN LINE

## JECH: CHAPTER 9

THERE IS A SOUSLINE LINE  
IFF THERE IS A SOUSLIN TREE  
SOUSLIN TREE

- TREE  $(T, \leq)$  PARTIAL ORDER
- TREE IF  $x \in T$  THEN  $\{y: y \leq x\}$  IS WELL-ORDERED

Souslin: -  $|T| = \aleph_1$

- ANTICHAINS ARE COUNTABLE

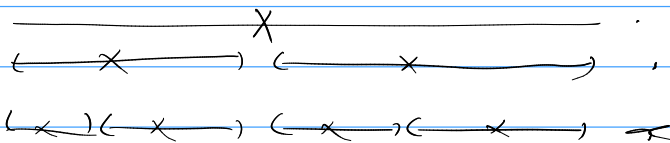
- $A$  IS AN ANTICHAIN IF

$x \not\leq y \wedge y \not\leq x$  IF  $x \neq y$   
(IN COMPATIBLE IN  $(T, \geq)$ )

$x \quad y$

- ALL CHAINS COUNTABLE

### LINE TO TREE



$\leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow \leftarrow$

### TREE TO LINE

THE MAXIMAL CHAINS (BRANCHES)  
CAN BE ORDERED TO GIVE A LINE.

$\mathcal{P}$  CONSISTS OF PAIRS  $p = \langle F_p, \leq_p \rangle$

- $F_p$  FINITE SUBSET OF  $\omega$

- $\leq_p$  A PARTIAL ORDER OF  $F_p$

$\longrightarrow \bullet x \leq_p z \wedge y \leq_p z \rightarrow y \leq_p x \vee x \leq_p y$

$\longrightarrow \bullet x \leq_p y \rightarrow x \leq y$

$$p \leq q \quad F_p \supseteq F_q$$

$$\leq_p \cap (F_q \times F_q) = \leq_q$$

- $D_\alpha = \{p : \alpha \in F_p\}$  IS DENSE ( $\alpha \in \omega$ )  
 IF  $\alpha \in F_q$  MAKE  $F_p = F_q \cup \{\alpha\}$   
 $\leq_p = \leq_q \cup \{\langle \alpha, \alpha \rangle\}$   
 $\alpha$  INCOMPARABLE WITH  $F_q$

IF  $G$  IS  $\mathcal{M}$ -GENERIC ON  $P$

THEN -  $\bigcup \{F_p : p \in G\} = \omega$ ,

-  $\leq_G = \bigcup \{\leq_p : p \in G\}$

IS A PARTIAL ORDER OF  $\omega$ ,

-  $\{y : y \leq_G x\}$  IS LINEARLY ORDERED

-  $x \leq_G y$  IMPLIES  $x \in y$

SO  $\leq_G$  IS WELL-FOUNDED

### LEMMA

LET  $A \in P$  BE UNCOUNTABLE

$\langle x_p : p \in A \rangle$  A CHOICE FUNCTION FOR  $\langle F_p : p \in A \rangle$

$\{x_p : p \in A\}$  IS UNCOUNTABLE

THEN THERE ARE  $p$  AND  $q$  IN  $A$  AND  $r \in P$

SUCH THAT  $r \leq p \cup q$

$x_p \leq_r x_q$  OR  $x_q \leq_r x_p$

DEPENDING ON  $x_p \in x_q$

OR  $x_q \in x_p$

IN PARTICULAR  $A$  IS NOT AN ANTICHAIN

( $x_p = \max F_p$  ( $\in \omega$ ))

W.L.O.C.

①  $\langle x_p : p \in A \rangle$  IS INJECTIVE

② THERE IS A  $k \in \omega$  S.T.  $|F_p| = k$

FOR ALL  $p$

③ APPLY THE  $\Delta$ -SYSTEM LEMMA TO FIND  $R$  AND  $B \subseteq A$



- $\mathcal{B}$  IS UNCOUNTABLE
- $F_p \cap F_q = R \quad p \neq q \text{ IN } \mathcal{B}$

(4)  $\langle \alpha_{p,i} : i \in \mathbb{R} \rangle$  MONOTONE ENUMERATION OF  $F_p$

- DETERMINES A PARTIAL ORDER  $\leq_p$  ON  $\mathbb{R}$  VIA  $i \leq_p j$  IFF  $\alpha_{p,i} \leq_p \alpha_{p,j}$
- $x_p$  HAS INDEX  $i_p$
- $R = \{ \alpha_{p,i} : i \in I_p \}$

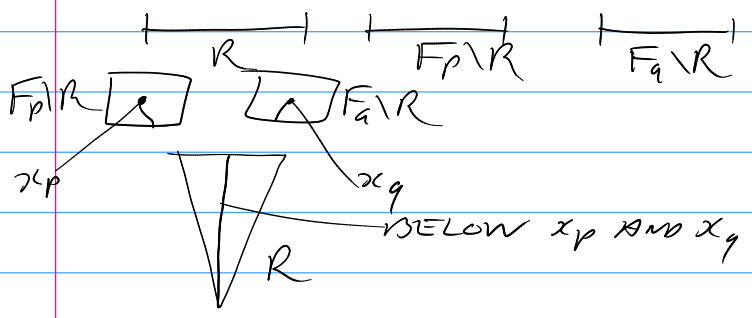
$\langle \leq_p, i_p, I_p \rangle$  HAS ONLY FINITELY MANY POSSIBILITIES  
ONE TRIPLE  $\langle \leq, i, I \rangle$

AND  $C \in \mathcal{B}$  UNCOUNTABLE  
 ST.  $\langle \leq_p, i_p, I_p \rangle = \langle \leq, i, I \rangle \quad p \in C$

$\text{MIN} \{ F_p \setminus R : p \in C \}$  IS UNCOUNTABLE

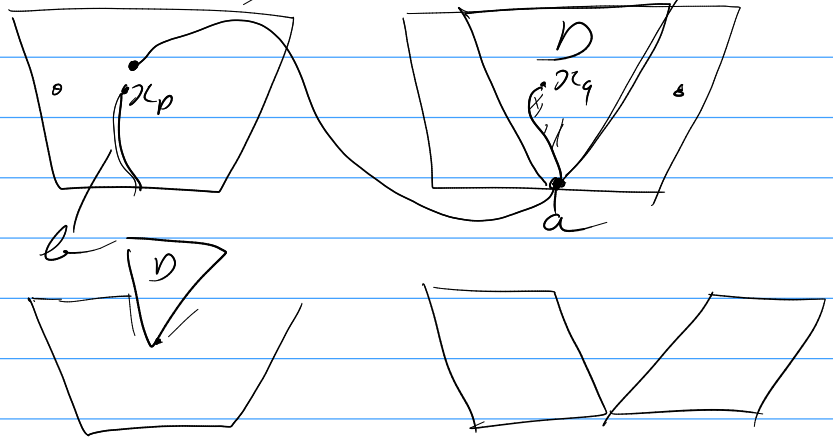
SO  $\text{MAX } R < \text{MIN } F_p \setminus R$  ALWAYS

$\text{MAX } F_p > \text{MIN } F_q$



$F_r = F_p \cup F_q$   
 $\leq_r = \leq_p \cup \leq_q \cup (C \times D)$

$x_p \in x_q$   
 $x_p <_r x_q$



$p \Vdash \mathcal{Z}$  IS UNCOUNTABLE

$B = \{ \beta : (\exists \eta \leq p)(\eta \Vdash \beta \in \mathcal{Z}) \}$

IS UNCOUNTABLE

TAKE  $p_\beta \leq p$  FOR  $\beta \in B$

MAY ASSUME  $\beta \in F_{p_\beta}$

APPLY THE LEMMA

WE GET  $\underline{\beta} \leq \underline{\gamma}$

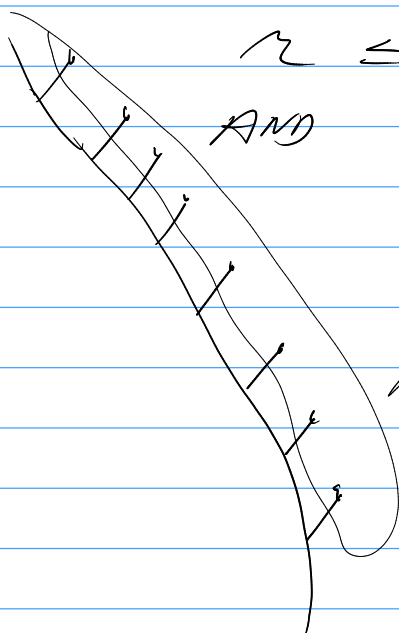
AND  $\mathcal{Z}$  WITH

$\mathcal{Z} \leq p_\beta, p_\gamma$

AND  $\beta <_{\mathcal{Z}} \gamma$

$$x_{p_\beta} = \beta \quad \nabla$$

SO  $\mathcal{Z} \Vdash \beta < \gamma$



ANTICHAIN

SHOENFIELD:  $\underline{M^p} = \underline{M} \quad \nabla$

LET  $f: X \rightarrow Y$

NAME FOR  $f: \underline{f}, \underline{\underline{f}}$

BOLDFACE

NAME OF  $f$

$S$  STATIONARY IN  $\omega_1$

$\leadsto$  THERE IS A  $G$

WITH  $\text{IN } M[G] \exists$  A SUBSET  $\subseteq$

THAT IS IN  $S$

HOMWORK #9 EX (33)