

Homework 9

Exercise 1

a) Suppose $p \in I$ and $q \in J$
 proof: then A_p contains a cub set
 B_q contains a cub set

Since the intersection of two cub sets is again a cub set, which is not empty,
 $A_p \cap B_q \neq \emptyset$

There exists $\alpha \in A_p \cap B_q$. Then $f(\alpha) < p$ and $f(\alpha) > q$
 Thus $p > q$.

Therefore, if $p \in I$ and $q \in J$, then $p > q$.

b) suppose $I = \mathbb{Q}$

proof: then $\bigcap_{q \in \mathbb{Q}} A_q = \bigcap_{q \in \mathbb{Q}} \neq \emptyset$ as, countably many cub sets of ω_1 is still cub.
 There exist $\alpha \in \bigcap_{q \in \mathbb{Q}} A_q$

then $f(\alpha) < q$ for any $q \in \mathbb{Q}$ which is impossible.
 Thus $I \neq \mathbb{Q}$

Similarly, we can prove that $J \neq \mathbb{Q}$

c) The case when $J = \emptyset$ or $I = \emptyset$ is easy as $I, J \neq \mathbb{Q}$, I is upward closed and J

proof: suppose $J \neq \emptyset$ and $I \neq \emptyset$

By a), for any $p \in I$, $q \in J$. $p > q$.

Thus $\sup J \leq \inf I$.

Suppose $\sup J = \inf I = a \in \mathbb{R}$

Then as the intersection of countably many cub sets of ω_1 is still cub,

$\bigcap_{p \in I} \bigcap_{q \in J} A_p \cap B_q$ contains a cub (of cardinality \aleph_1)

for any $x \in \bigcap_{p \in I} \bigcap_{q \in J} A_p \cap B_q$, $p > f(x) > q$ for any $p \in I$, $q \in J$

Thus $\inf I \geq f(x) \geq \sup J$

$f(x) = a$

This contradicts the assumption that f is injective.

Therefore, $\sup J < \inf I$

d)

proof: By c), $\sup J < \inf I$

thus there exists $q \in \mathbb{Q}$ s.t. $\sup J < q < \inf I$ as \mathbb{Q} is dense in \mathbb{R} .

Then there exist $a, b \in \mathbb{Q}$ s.t. $\sup J < a < q < b < \inf I$

As $a \notin J$, B_a doesn't contain any cub set, for any cub set C , there exists $x \in C$ s.t. $f(x) \leq a < q$, namely $x \in A_q$

As $b \notin I$, I_b doesn't contain any cub set, for any cub set C , there exists $x \in C$ s.t. $\text{cf}x \geq b > \omega$, namely $x \in B_\omega$.
Thus A_ω and B_ω are stationary.

Exercise 2

proof: We prove by induction on α that for every stationary subset S of ω_1 , there is a closed subset of order type $\alpha+1$ that is contained in S .

$\alpha=0$, clearly true (a single element of S works)

$\alpha=\beta+1$, by IH, there's a closed subset T of order type $\beta+1$ that is contained in S . As $\alpha<\omega_1$, T is countable and thus $\text{sup}T < \omega_1$.

Then $[\text{sup}T+1, \omega_1]$ is a cub set.

As S is stationary, $S \cap [\text{sup}T+1, \omega_1] \neq \emptyset$

Let x be the least element s.t. $x \in S \cap [\text{sup}T+1, \omega_1]$, then $T \cup \{x\}$ is of order type $(\beta+1)+1$ that is contained in S . As T is closed, and $x > \text{sup}T$, $T \cup \{x\}$ is closed as well.

$\alpha=\zeta$ is a limit ordinal, as $\alpha<\omega_1$, $\text{cf}\alpha=\omega$

Let $\langle c_n : n < \omega \rangle$ be an increasing and cofinal sequence in α .

Define a sequence $\langle C_\gamma : \gamma < \omega_1 \rangle$ of countable closed subsets stated in the hint recursively as follows:

Suppose $\langle C_\zeta : \zeta < \gamma \rangle$ has been defined s.t. $C_\zeta \subseteq S$ for any $\zeta < \gamma$, and $\max C_\zeta < \min C_{\zeta+1}$ whenever $\zeta_1 < \zeta_2$ and if $\gamma = \omega \cdot s + n$, then C_γ has order type $\alpha+n+1$. Consider γ , by division algorithm, there exists unique s and n s.t. $\gamma = \omega \cdot s + n$ ($n < \omega$)

Since $s < \omega$, and C_s is countable for any $s < \gamma$, $m = \bigcup_{\zeta < \gamma} C_\zeta$ is countable $< \omega_1$, $[m+1, \omega_1]$ is closed and unbounded in ω_1 .

As S is stationary, $S \cap [m+1, \omega_1]$ is stationary as well.

As $[m+1, \omega_1]$ is of order type ω_1 , $S \cap [m+1, \omega_1]$ is stationary, by IH there exists a closed subset $C_\gamma \subseteq [m+1, \omega_1] \cap S$ of order type $\alpha+n+1$. Clearly for any $\zeta < \gamma$, $\max C_\zeta < \min C_\gamma$. C_γ satisfies the properties we want.

Now let $\Sigma = \{x \mid x \text{ is the limit point of } \{\max C_\gamma : \gamma < \omega_1\}\}$

Σ is unbounded: for any $s < \omega_1$, $\max C_s \geq s$ as $s \mapsto \max C_s$ is order-preserving.

Suppose $s = \omega \cdot p + n$, then $\bigcup_{n < \omega} \max C_{\omega \cdot p + n}$ is a limit point and thus belongs to Σ .
 $\bigcup_{n < \omega} \max C_{\omega \cdot p + n} > s$.

$n < \omega$

Since the limit point of limit points of $\{\max C_\gamma : \gamma < \omega_1\}$ is clearly the limit point of $\{\max C_\gamma : \gamma < \omega_1\}$, Σ is closed and thus a cub set.

As S is stationary, $K \in S \cap \Sigma \neq \emptyset$

Now as k is a limit point of $\{\max C_\gamma : \gamma < \omega_1\}$, $k = \sup_{n < \omega} \max C_{\omega \cdot p + n}$ as $\text{cf}k = \omega$

where $C_{\alpha n}$ is of order type $\alpha+1$. Now take $C_{\alpha 0}$ whose order type is $\alpha+1$, take ξ_1 many elements from $C_{\alpha 1}$ s.t. $\alpha+1+\xi_1 = \alpha_1+1$ (ξ_1 is a successor ordinal and such ξ_1 exists and is unique by ordinal subtraction), then take the first ξ_2 many elements from $C_{\alpha 2}$ s.t. $\alpha_1+1+\xi_2 = \alpha_2+1$ and so on.

Let T be the set of all elements taken from the above process.

$T \cup \{k\}$ is order type $\alpha+1$

since by our construction, for $\delta+\xi'$, C_δ and $C_{\xi'}$ are disjoint closed sets, and ξ_i 's are all successor ordinals, T is a closed subset.

As k is the limit point of T , $T \cup \{k\}$ is closed as well.

Clearly $T \cup \{k\} \subseteq S$. Thus we get a closed subset of order type $\alpha+1$ contained in S and finishes the induction.

Exercise 3

a)

proof: Define $f: E_{\omega_1}^{w_2} \rightarrow \omega_2$ as follows:

$$f(\alpha) = \sup(\alpha \cap C_\alpha)$$

As C_α is countable and $c|\alpha = \omega_1$, $\sup(\alpha \cap C_\alpha) < \alpha$.

Thus f is regressive on $E_{\omega_1}^{w_2}$ which is stationary.

By the Pressing-down lemma, there exists $\gamma \in \omega_2$ s.t. $T = \{\alpha | f(\alpha) = \gamma\}$ is stationary.

Now for any $\alpha \in T$, $\alpha \cap C_\alpha \subseteq \gamma + 1$

$(\gamma + 1, \omega_2)$ is a cub set, and thus $T \cap (\gamma + 1, \omega_2)$ is stationary as well.

For any C_α , let $D_\alpha = [sup(C_\alpha)]^{w_2}$ which is a cub (sup $C_\alpha < \omega_2$ as C_α is countable). Consider $\Delta D_\alpha = \{\xi | \forall \beta < \xi \rightarrow \xi \in D_\beta\}$, the diagonal intersection of cub sets is again a cub set.

Thus $\Sigma = T \cap (\gamma + 1, \omega_2) \cap \Delta D_\alpha$ is stationary, and thus of cardinality $\geq \omega_2$.

For any $\alpha, \beta \in \Sigma$ s.t. $\alpha + \beta \in \Delta D_\alpha$

$$\alpha > \gamma + 1, \beta > \gamma + 1$$

w.l.o.g assume $\alpha < \beta$, as $\beta \cap C_\beta \subseteq \gamma + 1$
 $\alpha \notin C_\beta$.

Since $\beta \in \Delta D_\alpha$, $\beta \in D_\alpha$ and thus $\beta \notin C_\alpha$.

Therefore, for any $\alpha, \beta \in \Sigma$ s.t. $\alpha + \beta \in \Delta D_\alpha$, $\alpha \notin C_\beta$.

Σ is what we want.

b)

proof: If we only require $|S(\alpha)| \leq \lambda$, then consider the following counterexample:

$s(\alpha) = \alpha$ where $k = \omega_1$, $\lambda = \omega_1$, $|s(\alpha)| \leq \lambda$

It's clear that we can't find a free set for s of cardinality λ in this case.

c)

proof: The proof is the same as a)

Let $\langle s_\alpha : \alpha < k \rangle$, define $f: E_k^k \rightarrow k$ as follows: $f(\alpha) = \sup\{\alpha \cap s_\alpha\}$

As $|s_\alpha| < \lambda$ and $f(\alpha) = \lambda$, $\sup\{\alpha \cap s_\alpha\} < \alpha$

Thus f is regressive on E_k^k which is stationary.

There exists $\delta \in k$ st $T = \{\alpha | f(\alpha) = \delta\}$ is stationary by the pressing down lemma.

For any $\alpha \in T$, $\alpha \cap s_\alpha \subseteq \delta + 1$

$[0, \delta + 1]$ is a cub set and thus $T[\delta + 1, \delta + 1] \cap T$ is stationary

~~There exists $\delta \in k$ st $T =$~~ For any s_α , let $D_\alpha = [\sup s_\alpha + 1, \delta + 1]$ ($\sup s_\alpha < \delta + 1$ as $\delta + 1 > \lambda > |\alpha|$ and $\delta + 1$ is regular)

D_α 's are cub sets, so is ΔD_α

Then $\Sigma = T \cap T[\delta + 1, \delta + 1] \cap \Delta D_\alpha$ is stationary, and is thus of cardinality k .

It's easy to check that Σ is what we want.

d)

proof: If λ is finite, then $s: k \rightarrow [k]^{\leq n}$, E_k^k will work as in a) and c).

If λ is infinite, for any $\alpha \in k$, $|s(\alpha)| < \lambda$ and thus $|s(\alpha)|^n < \lambda$

Suppose for any regular cardinal μ below λ , $\{|\alpha| | s(\alpha)| \leq \mu\} < k$

Then $K = \bigcup_{\substack{\mu < \lambda \\ \mu \text{ is regular}}} \{|\alpha| | s(\alpha)| \leq \mu\}$

λ is regular

$= \sum_{\substack{\mu < \lambda \\ \mu \text{ is regular}}} |\{|\alpha| | s(\alpha)| \leq \mu\}|$ which is impossible as λ is regular.

Thus there exists a regular cardinal $\mu < \lambda$ st $\{|\alpha| | s(\alpha)| \leq \mu\}$ has cardinality k .

Let $f: k \rightarrow \{|\alpha| | s(\alpha)| \leq \mu\}$ be a bijection.

Define $s': k \rightarrow [k]^{\leq \mu}$ as follows:

$s'(\alpha) = f^{-1}[s(f(\alpha))]$

Then by c), there exists a free set F for s' of cardinality k .

For any $\alpha, \beta \in F$, $\alpha \notin s'(\beta)$ iff $f(\alpha) \notin s(f(\beta))$

Thus $\{f(\alpha) | \alpha \in F\}$ is a free set for s of cardinality k

e)

proof: Induction on λ

$\lambda = 1$, trivially true (as every element is mapped to the empty set.)

$\lambda = n+1$, suppose there exist m st for any $n > m$, $s(n) \cap m \neq \emptyset$.

Then define $S': \mathbb{Z}_0 \rightarrow [\mathbb{Z}_0]^{<\kappa}$

$$S'(a) = \begin{cases} S(a) & \text{if } a > m \\ \emptyset & \text{otherwise} \end{cases}$$

By IH, there exists F s.t for any $a, b \in F$ s.t $a \neq b$, $a \notin S'(b)$ and F is of cardinality \mathbb{Z}_0 .

Let $F' = F \cap [m+1, \omega)$ which is of cardinality \mathbb{Z}_0

For any $a, b \in F'$ s.t $a \neq b$, $a > m, b > m$ $a \notin S'(b) = S(b) \setminus m$
Thus $a \notin S(b)$

Therefore, F' is a free set for S of cardinality \mathbb{Z}_0 .

Suppose for any m , there exists n s.t $n > m$ and $\bigcap_{i \in \mathbb{N}} S(i) \cap m = \emptyset$, then we defines $F: \omega \rightarrow \omega$ ~~recursively~~ as follows:

$$F(0) = 0$$

$F(n+1) = \text{the least element } m \text{ s.t } m > \max(\bigcup_{i \in \mathbb{N}} S(i) \cup \text{Ran } F|_{\leq n}) + 1 \text{ and } (\bigcap_{i \in \mathbb{N}} S(i) \cup \text{Ran } F|_{\leq n+1}) \neq \emptyset$

Then clearly F is injective and $|\text{Ran}(F)| = \mathbb{Z}_0$.

It's then easy to see that $\text{Ran}(F)$ is a free set for S .

~~This fin~~ In either case, we get a free set for S of cardinality \mathbb{Z}_0 .

This finishes the induction and thus the whole proof.