

# Set Theory, Homework 8



November 5, 2021

## Exercise 27

**Proposition.** *Let  $\kappa$  be infinite. Then every ordinal  $\alpha < \kappa^+$  can be written as the union of countable sets,  $\alpha = \bigcup_{n < \omega} X_{\alpha, n}$ ; such that for every  $n$  the order type of  $X_{\alpha, n}$  is at most  $\kappa^n$  (ordinal power).*

*Proof.* We can distinguish two cases:

- $\alpha \leq \kappa$ : Then let  $X_{\alpha, 0} = 0$  and  $X_{\alpha, n} = \alpha$  for  $0 < n < \omega$ . Then clearly  $\alpha = \bigcup_{n < \omega} X_{\alpha, n}$  and for every  $n > 0$  we have that  $\alpha \leq \kappa^n$  as  $\alpha \leq \kappa$ . Furthermore, for  $n = 0$  we see that  $0 \leq \kappa^0 = 0$ .
- $\kappa < \alpha < \kappa^+$ : We'll distinguish between  $\alpha$  a successor ordinal and a limit ordinal. Furthermore, we'll assume that  $X_{\beta, n}$  has been defined for all ordinals  $\beta$  smaller than  $\alpha$ .

If  $\alpha$  is a successor ordinal such that  $\alpha = \beta + 1$  we define  $X_{\alpha, n}$  as:

- $X_{\alpha, 0} = \{\beta\}$
- $X_{\alpha, n+1} = X_{\beta, n}$

Now assume it holds for  $\alpha$ , so  $\bigcup_{n < \omega} X_{\alpha, n} = \alpha$  and for all  $n$  we have  $\langle X_{\alpha, n} \rangle \leq \kappa^n$  (where  $\langle X \rangle$  is the order type of  $X$ ). Now  $\bigcup_{n < \omega} X_{\alpha+1, n} = \{\alpha\} \cup \bigcup_{n < \omega} X_{\alpha, n} =_{IH} \alpha \cup \{\alpha\} = \alpha + 1$ . Now  $X_{\alpha+1, 0} = \{\alpha\}$  which has order type 1, so  $1 \leq \kappa^0$ . Furthermore, we have  $\langle X_{\alpha+1, n+1} \rangle = \langle X_{\alpha, n} \rangle \leq_{IH} \kappa^n \leq \kappa^{n+1}$ .

Now let  $\alpha$  be a limit ordinal. Then we know that  $\alpha = \bigcup_{\beta < \alpha} \beta$ . So by assumption  $\bigcup_{\beta < \alpha} \bigcup_{n < \omega} X_{\beta, n} = \bigcup_{n < \omega} \bigcup_{\beta < \alpha} X_{\beta, n}$ . And therefore we know that  $\bigcup_{\beta < \alpha} X_{\beta, n} \leq \alpha$ . And as  $cf \alpha \leq \kappa$  it follows that  $cf(\bigcup_{\beta < \alpha} X_{\beta, n}) \leq \kappa$ . Now let us say  $\epsilon = cf(\bigcup_{\beta < \alpha} X_{\beta, n})$ , so  $\epsilon \leq \kappa$ . So there is some  $X_{\gamma, n}$  of cardinality  $\epsilon$  that is cofinal in  $\bigcup_{\beta < \alpha} X_{\beta, n}$ . So now let  $X_{\alpha, n+1}$  be the union of all these  $X_{\gamma, n}$ . Then we see that  $\bigcup_{n < \omega} X_{\alpha, n} = \alpha$ . And now as each  $\bigcup_{\beta < \alpha} X_{\beta, n}$  had order type of at most  $\kappa^n$  we see that  $X_{\alpha, n+1}$  has order type at most  $\kappa^n \cdot \epsilon$ . And as  $\epsilon \leq \kappa$  we get  $\kappa^n \cdot \epsilon \leq \kappa^n \cdot \kappa = \kappa^{n+1}$

□

## Exercise 28

**Proposition.** Let  $\lambda$  be an infinite cardinal and  $\langle \kappa_i; i < \lambda \rangle$  an increasing sequence of regular cardinals, and  $\kappa = \sup_{i < \lambda} \kappa_i$ . Then  $2^\kappa = \prod_{i < \lambda} 2^{\kappa_i}$ .

*Proof.* We will prove this by giving a bijection between the set  $P(\kappa)$  and the product set  $\prod_{i < \lambda} P(\kappa_i)$ .

First consider  $\sum_{i < \lambda} \kappa_i = \lambda \cdot \sup_{i < \lambda} \kappa_i = \lambda \cdot \kappa$ . Now we see that  $\lambda \leq \kappa$  as  $\kappa_i < \kappa$  and the sequence is increasing. Hence,  $\sum_{i < \lambda} \kappa_i = \kappa$ . Therefore we see that there is a bijection  $f : \kappa \rightarrow \sum_{i < \lambda} \kappa_i$ . So we define the function  $g : P(\kappa) \rightarrow P(\sum_{i < \lambda} \kappa_i)$  so that  $g(s) = f[s]$ . This clearly is a bijection as  $f$  was a bijection.

Now we know that we can see  $\prod_{i < \lambda} P(\kappa_i)$  as the set of choice functions on  $P(\kappa_i)$ . So let  $h : P(\sum_{i < \lambda} \kappa_i) \rightarrow \prod_{i < \lambda} P(\kappa_i)$  be such that  $h(s') = c$  where  $c$  is the choice function such that for all  $i$  we have  $c(i) = t$  iff  $s' \cap \kappa_i = t$ . This also is a bijection.

So now we can see that  $h \circ g$  is a bijection between  $P(\kappa)$  and  $\prod_{i < \lambda} P(\kappa_i)$ .  $\square$

## Exercise 29

a)

**Proposition.**  $\aleph_\omega^{\aleph_1} = \aleph_\omega^{\aleph_0} \cdot 2^{\aleph_1}$

*Proof.* First note that by Theorem 5.20i) we have  $\aleph_1^{\aleph_1} = 2^{\aleph_1}$ . Now we can distinguish two cases:

- $\aleph_\omega > \aleph_1^{\aleph_1}$ : Now let  $\mu < \aleph_\omega$ , so  $\mu = \aleph_{n+1}$  for some  $n < \omega$ . By the Hausdorff formula we see that  $\aleph_{n+1}^{\aleph_1} = \aleph_n^{\aleph_1} \cdot \aleph_{n+1}$ . We can continue this progress until we get to  $\aleph_{n+1}^{\aleph_1} = \aleph_1^{\aleph_1} \cdot \dots \cdot \aleph_{n+1}$ . And as we know of each of these that they are strictly smaller than  $\aleph_\omega$  we see that  $\aleph_{n+1}^{\aleph_1} < \aleph_\omega$ . Therefore we see that for all  $\mu < \aleph_\omega$  we have that  $\mu^{\aleph_1} < \aleph_\omega$ . And as  $cf \aleph_\omega = \aleph_0 \leq \aleph_1$  we see by Theorem 5.20 iii)b) that  $\aleph_\omega^{\aleph_1} = \aleph_\omega^{cf \aleph_\omega} = \aleph_\omega^{\aleph_0}$ . And as  $\aleph_\omega > \aleph_1^{\aleph_1}$  we also have  $\aleph_\omega^{\aleph_0} > \aleph_1^{\aleph_1}$  and therefore  $\aleph_\omega^{\aleph_0} \cdot 2^{\aleph_1} = \aleph_\omega^{\aleph_0} = \aleph_\omega^{\aleph_1}$ .
- $\aleph_\omega \leq \aleph_1^{\aleph_1}$ : Then as  $\aleph_1 < \aleph_\omega$  and  $\aleph_1^{\aleph_1} \geq \aleph_\omega$  we get by Theorem 5.20 ii) that  $\aleph_\omega^{\aleph_1} = \aleph_1^{\aleph_1}$ . So we see that  $\aleph_\omega^{\aleph_0} < \aleph_\omega^{\aleph_1} = \aleph_1^{\aleph_1}$ . And therefore  $\aleph_\omega^{\aleph_0} \cdot 2^{\aleph_1} = 2^{\aleph_1} = \aleph_\omega^{\aleph_1}$ .

$\square$

b)

**Proposition.** If  $2^{\aleph_1} = \aleph_2$  and  $\aleph_\omega^{\aleph_0} > \aleph_{\omega_1}$ , then  $\aleph_{\omega_1}^{\aleph_1} = \aleph_\omega^{\aleph_0}$ .

*Proof.* As  $\aleph_\omega < \aleph_{\omega_1}$  and  $\aleph_\omega^{\aleph_0} \geq \aleph_\omega^{\aleph_0} > \aleph_{\omega_1}$ , we see by Theorem 5.20 ii) that  $\aleph_{\omega_1}^{\aleph_1} = \aleph_\omega^{\aleph_1}$ . Now by a) we see that  $\aleph_\omega^{\aleph_1} = \aleph_\omega^{\aleph_0} \cdot 2^{\aleph_1}$ . And by our assumption this means  $\aleph_{\omega_1}^{\aleph_1} = \aleph_\omega^{\aleph_0} \cdot \aleph_2$ . So as  $\aleph_2 < \aleph_\omega \leq \aleph_\omega^{\aleph_0}$  we see that  $\aleph_{\omega_1}^{\aleph_1} = \aleph_\omega^{\aleph_0}$ . And therefore we have now shown that  $\aleph_{\omega_1}^{\aleph_1} = \aleph_\omega^{\aleph_0} = \aleph_\omega^{\aleph_0}$ .  $\square$

c)

**Proposition.** *If  $2^{\aleph_0} \geq \aleph_{\omega_1}$ , then  $\mathfrak{J}(\aleph_\omega) = 2^{\aleph_0}$  and  $\mathfrak{J}(\aleph_{\omega_1}) = 2^{\aleph_1}$ .*

*Proof.* So first let us consider  $\mathfrak{J}(\aleph_\omega)$ . We know that  $cf\aleph_\omega = \aleph_0$ , and thus  $\mathfrak{J}(\aleph_\omega) = \aleph_\omega^{cf\aleph_\omega} = \aleph_\omega^{\aleph_0}$ . Furthermore, we know that  $2 < \aleph_\omega$  and  $2^{\aleph_0} \geq \aleph_{\omega_1} > \aleph_\omega$ . And therefore we see by Theorem 5.20 ii) that  $\aleph_\omega^{\aleph_0} = 2^{\aleph_0}$ . So indeed  $\mathfrak{J}(\aleph_\omega) = 2^{\aleph_0}$ .

Now let us consider  $\mathfrak{J}(\aleph_{\omega_1})$ . We know that  $cf\aleph_{\omega_1} = \aleph_1$ , and thus  $\mathfrak{J}(\aleph_{\omega_1}) = \aleph_{\omega_1}^{\aleph_1}$ . Furthermore, we know that  $2 < \aleph_{\omega_1}$  and  $2^{\aleph_1} \geq 2^{\aleph_0} \geq \aleph_{\omega_1}$ . So by Theorem 5.20 ii) we see that  $\aleph_{\omega_1}^{\aleph_1} = 2^{\aleph_1}$ . And so also  $\mathfrak{J}(\aleph_{\omega_1}) = 2^{\aleph_1}$ .  $\square$

## Exercise 30

**Proposition.** *If  $\beta$  is such that  $2^{\aleph_\alpha} = \aleph_{\alpha+\beta}$  for all  $\alpha$ . Then  $\beta < \omega$ .*

*Proof.* So suppose this is not the case, so  $\beta \geq \omega$ . Now let  $\alpha$  be minimal such that  $\alpha + \beta > \beta$ . Now we can write  $\beta$  in its Cantor Normal form and say that  $\omega^\gamma$  is the biggest factor in it. For contradiction suppose that  $\alpha$  is a successor cardinal so that  $\alpha = \delta + 1$ . As  $\alpha$  was minimal  $\delta + \beta \leq \beta$  and thus  $\delta < \omega^\gamma$ . But as  $\omega^\gamma$  is a limit ordinal we also have  $\alpha < \omega^\gamma$ . So then we  $\alpha$  gets swallowed by  $\beta$ . And thus  $\alpha + \beta \leq \beta$ , a contradiction. So we see that  $\alpha$  is a limit.

Now let  $\kappa = \aleph_{\alpha+\alpha}$ . As  $\alpha$  is a limit ordinal, so is  $\alpha + \alpha$ . So then  $\kappa$  is a limit ordinal, i.e.,  $\kappa = \bigcup_{\epsilon < \alpha} \aleph_{\alpha+\epsilon}$ . This is a union of  $\alpha$  elements so  $cf\kappa \leq \alpha < \aleph_{\alpha+\alpha} = \kappa$ . And therefore we see that  $\kappa$  is singular.

Now we'll assume that for all  $\epsilon < \alpha$  we have  $2^{\aleph_{\alpha+\epsilon}} = \aleph_{\alpha+\beta}$ . So as  $\kappa$  is a limit, we see by Theorem 5.16 iii) that  $2^\kappa = (2^{<\kappa})^{cf\kappa}$ . Now as  $2^{<\kappa} = \sup_{\epsilon < \alpha} \aleph_{\alpha+\epsilon} = \aleph_{\alpha+\beta}$  we see that  $2^\kappa \leq (\aleph_{\alpha+\beta})^{cf\kappa} = (2^{\aleph_\alpha})^{cf\kappa} \leq (2^{\aleph_\alpha})^\alpha = 2^{\aleph_\alpha} = \aleph_{\alpha+\beta}$ . But by our assumption we have that  $2^\kappa = 2^{\aleph_{\alpha+\alpha}} = \aleph_{\alpha+\alpha+\beta}$ . And that is strictly bigger than  $\aleph_{\alpha+\beta}$  as already  $\alpha + \beta > \beta$ . So we have a contradiction and we find that indeed  $\beta < \omega$ .  $\square$