

Homework 3

10) a) Let  $Z = \{n \in \mathbb{N} \mid n \text{ is Dedekind finite}\}$   
 •  $n = 0 = \emptyset$ . Then trivially any mapping  $f: \emptyset \rightarrow \emptyset$  is both injective and surjective. Thus every injection from  $0$  to  $0$  is a bijection and  $0$  is Dedekind finite. Thus  $0 \in Z$ .

• Suppose  $n \in Z$ , so  $n$  is Dedekind finite. Thus any injective function  $g: n \rightarrow n$  is also surjective. Now consider any injective function  $f: s(n) \rightarrow s(n)$ , where  $s(n) = n \cup \{n\}$ . Suppose it is not surjective, then there are 2 options:

1)  $\forall x \in s(n) f(x) \neq n$ , so  $n$  is not in the image of  $f$ . Now restrict the domain of  $f$  to  $n$ , one obtains a function  $f': n \rightarrow n$ . As  $f$  was injective ~~and  $\forall x \in s(n) f(x) \neq n$~~   $f'$  is also injective. As  $n$  is Dedekind finite,  $f'$  is also surjective. Thus  $\forall k \in n \exists ! \ell \in n f'(\ell) = k$ . Thus, for  $f$  to be injective,  $f(n) \notin n$  but we also assumed that  $\forall x \in s(n) f(x) \neq n$ , so also  $f(n) \neq n$ . Thus  $f(n) \notin s(n)$ , a contradiction.

2)  $\exists \bar{x} \in s(n) f(\bar{x}) = n$  and  $\forall x \in s(n) f(x) \neq y$  for some  $y \in n$ . As  $f$  is injective, the  $\bar{x} \in s(n)$  s.t.  $f(\bar{x}) = n$  is unique. Now consider  $f': s(n) \rightarrow s(n)$  given by  $f'(\bar{x}) = y$  (where  $\bar{x}$  was s.t.  $f(\bar{x}) = n$ ) and  $f'(z) = f(z) \forall z \in s(n) \setminus \{\bar{x}\}$ . As  $f$  was injective, so is  $f'$ , and  $\forall x \in s(n) f'(x) \neq n$ . But now we have exactly the situation as in 1), which will yield a contradiction.

Thus any injective function  $f: s(n) \rightarrow s(n)$  is surjective, and  $s(n)$  is Dedekind finite.

$\Rightarrow$  By the principle of induction  $Z = \mathbb{N}$  and every natural number is Dedekind finite.

b) Let  $Z_m = \{n \in \mathbb{N} \mid n \uplus m \text{ is in bijection with some } k \in \mathbb{N}\}$ , where  $m$  is some fixed natural number.

•  $n = 0 = \emptyset$ . Now take  $k = m$  and the function  $f: k \rightarrow n \uplus m$  given by  $f(x) = (1, x)$  for all  $x \in k$ . Clearly this function is bijective, so  $0 \in Z_m$ .

• Suppose  $n \in Z_m$ , consider  $s(n) = n \cup \{n\}$ . We know there exists a  $k \in \mathbb{N}$  s.t.  $f: k \rightarrow n \uplus m$  is a bijection. Now consider  $g: s(k) \rightarrow s(n) \uplus m$  given by  $g(x) = f(x) \forall x \in k$  and  $g(k) = (0, n)$ . Clearly this function is bijective, so  $s(n) \in Z_m$ .

$\Rightarrow$  By the principle of induction  $Z_m = \mathbb{N}$  for all  $m \in \mathbb{N}$ . Thus for any natural numbers  $n, m$





there is a natural number  $k$  s.t.  $k$  is in bijection with  $n \uplus m$ .

Now suppose there are two natural numbers  $k, k' \in \mathbb{N}$ , distinct ( $k \neq k'$ ), such that ~~both~~ both are in bijection with  $n \uplus m$  for some  $n, m \in \mathbb{N}$ .

W.l.o.g.  $k' < k$ . By assumptions, there are bijections  $f: k \rightarrow n \uplus m$  and  $g: n \uplus m \rightarrow k'$ , thus  $f \circ g: k' \rightarrow k$  is a bijection. Restrict the domain of  $f \circ g$  to  $k'$ , one obtains a function  $h: k' \rightarrow k$  which is injective as  $f \circ g$  was injective. By 10a)  $k'$  is Dedekind finite so  $h$  is also surjective. Now as  $k' < k$ ,  $\exists x \in k, x \notin k'$ . For  $f \circ g$  to be injective, we have  $f \circ g(x) \notin k'$ , a contradiction.

Thus for any natural numbers  $n, m$  there is a unique natural number  $k$  s.t.  $k$  is in bijection with  $n \uplus m$ .

II a) Fix any  $n, m \in \mathbb{N}$ . Consider

$$Z = \{k \in \mathbb{N} \mid n + (m+k) = (n+m) + k\}$$

• Base case:  $k=0$ . Using the Grassmann equations:

$$\begin{aligned} n + (m+0) &= n+m \\ (n+m)+0 &= n+m \end{aligned} \quad \checkmark$$

• Inductive step: suppose  $k \in Z$ , so  $n + (m+k) = (n+m) + k$ . Now:

$$\begin{aligned} n + (m+s(k)) &= n + s(m+k) = s(n + (m+k)) \\ (n+m) + s(k) &= s((n+m) + k) \end{aligned}$$

Thus they are equal and  $s(k) \in Z$ .

By induction, and as  $n, m \in \mathbb{N}$  were randomly fixed, we can conclude that  $\forall n, m, k \in \mathbb{N} \quad n + (m+k) = (n+m) + k$ , as  $Z = \mathbb{N}$ .

b) Consider  $Z := \{m \in \mathbb{N} \mid Z_m \text{ is inductive}\}$

$$\text{where } Z_m = \{n \in \mathbb{N} \mid n+m = m+n\}$$

• Base case: Consider  $Z_0$ , to prove it is inductive:

- base case:  $0+0 = 0+0 = 0$  so  $0 \in Z_0$

- inductive step: Suppose  $n+0 = 0+n = n$ . Now

$$\begin{aligned} 0 + s(n) &= s(0+n) = s(n) \\ s(n) + 0 &= s(n) \end{aligned}$$

Thus  $Z_0 = \mathbb{N}$  and  $0 \in Z$ .

• Inductive step: I will use two observations:

1)  $n+1 = n+s(0) = s(n+0) = s(n)$

2)  $Z_1$  is inductive, proof:

- base case:  $1+0 = 1 = s(0) = s(0+0) = 0+s(0) = 0+1$

- Inductive step: Suppose  $n+1 = 1+n$ . Now

$$1+s(n) = s(1+n) = s(n+1) = s(s(n)) = s(s(n)+0) = s(n)+1$$

Thus  $Z_1 = \mathbb{N}$  and  $1 \in Z$

Now suppose  $n+m = m+n$ . Now:

$$\begin{aligned} n+s(m) &\stackrel{IIa}{=} n+(m+1) \stackrel{IIa}{=} (n+m)+1 \stackrel{IH}{=} (m+n)+1 \\ &\stackrel{IIa}{=} m+(n+1) = m+(1+n) \stackrel{IIa}{=} (m+1)+n \end{aligned}$$

Thus as  $Z_0$  and  $Z_1$  are inductive, by induction  $Z_m$  is inductive  $\forall m \in \mathbb{N}$  and  $Z = \mathbb{N}$ . Thus  $n+m = m+n \quad \forall m, n \in \mathbb{N}$



- c) Fix any  $n, m \in \mathbb{N}$ . Consider  $Z = \{k \in \mathbb{N} \mid n(m+k) = nm + nk\}$
- Base case:  $k=0$ , using the Grassmann equations:  
 $n(m+0) = n(m) = nm$   
 $nm + n \cdot 0 = nm$  ✓
  - Inductive step: Suppose  $k \in Z$ , so  $n(m+k) = nm + nk$ . Now:  
 $nm + n \cdot s(k) = nm + nk + n$   
 $n(m+s(k)) = n(m+(k+1)) \stackrel{IH}{=} n((m+k)+1) = n(s(m+k))$   
 $= n(m+k) + n \stackrel{IH}{=} nm + nk + n$
- Thus by induction  $Z = \mathbb{N}$  and as  $n, m \in \mathbb{N}$  were randomly fixed we can conclude that  $\forall n, m, k \in \mathbb{N} \quad n(m+k) = nm + nk$ .

- (13)  $X_1 := (X_1, <_1) \quad X_2 := (X_2, <_2)$  strict total orders.  
 $X_1 \oplus X_2 = (X, <)$ .  $X := X_1 \uplus X_2$   
 $(b, x) < (c, x') \Leftrightarrow (b=0 \wedge c=1) \vee (b=c=0 \wedge x <_1 x') \vee (b=c=1 \wedge x <_2 x')$
- To show:  $X_1 \oplus X_2$  is a strict total order.
    - Irreflexivity: Suppose for contradiction that  $(b, x) < (b, x)$  for some  $(b, x) \in X$ . There are 2 options:
      - 1)  $b=0$ , then it follows that  $x <_1 x$ , a contradiction as  $X_1$  is a strict total order.
      - 2)  $b=1$ , then  $x <_2 x$ , a contradiction as  $X_2$  is a strict total order.
 Thus  $(b, x) \not< (b, x) \quad \forall (b, x) \in X$ .
    - Transitivity: Suppose  $(a, x) < (b, x')$  and  $(b, x') < (c, x'')$ . There are 3 options:
      - 1)  $a=b=c=0$ , then it follows from the transitivity of  $X_1$  that as  $x <_1 x'$  and  $x' <_1 x''$ ,  $x <_1 x''$ , thus  $(a, x) < (c, x'')$ .
      - 2)  $a=b=c=1$ , by transitivity of  $X_2$ , as  $x <_2 x'$  and  $x' <_2 x''$ ,  $x <_2 x''$  and thus  $(a, x) < (c, x'')$ .
      - 3)  $a=b \neq c$  or  $a \neq b = c$ . In both cases  $a=0$  and  $c=1$ , thus  $(a, x) < (c, x'')$ .
    - Totality: Consider  $(a, x), (b, x') \in X$ . There are 2 options.
      - 1) If  $a \neq b$ , w.l.o.g. let  $a=0$ . Then  $(a, x) < (b, x')$ .
      - 2) If  $a=b$ , three suboptions:
        - $a=b=0$ , then as  $X_1$  is total  $x <_1 x'$  or  $x' <_1 x$  or  $x=x'$ . Thus  $(a, x) < (b, x')$  or  $(b, x') < (a, x)$  or  $(b, x') = (a, x)$ .
        - $a=b=1$ , then as  $X_2$  is total  $x <_2 x'$  or  $x' <_2 x$  or  $x=x'$ , so  $(a, x) < (b, x')$  or  $(b, x') < (a, x)$  or  $(b, x') = (a, x)$ .
 Thus  $X_1 \oplus X_2$  is a strict total order.

- To show:  $X_1, X_2$  wellfounded  $\Leftrightarrow X_1 \oplus X_2$  wellfounded.  
 (wellfounded  $\Leftrightarrow$  every nonempty subset has a least element).  
 $\Rightarrow$  Suppose  $X_1, X_2$  are wellfounded. Take any nonempty subset  $Z \subseteq X$ . Consider  $Z_1 = X_1 \cap \{x \mid (0, x) \in Z\} \subseteq X_1$ . If it is nonempty, it has a least element  $x_1$ , it follows that  $(0, x_1)$  is the least element of  $Z$ . If  $Z_1$  is empty, then  $Z_2 = X_2 \cap \{x \mid (1, x) \in Z\} \subseteq X_2$  is nonempty, thus it has a least element  $x_2$ . It follows that  $(1, x_2)$  is the least element of  $Z$ .  
 Thus  $X_1 \oplus X_2$  is wellfounded.



← Suppose  $X_1 \oplus X_2 \neq \emptyset$  is well founded, but for contradiction  $X_2$  is not. Then  $\exists Z_1 \subseteq X_2$  where  $Z_1 \neq \emptyset$  without a least element. Consider  $Z = \{(0, x) \mid x \in Z_1\} \neq \emptyset \subseteq X$  by assumption this has a least element  $(0, x_2)$ , but this implies  $x_2$  is the least element for  $Z_1$ .  
The case when  $X_2$  is not well founded is similar.

**Exercise 12.** Assume  $(X, \leq, 0, S)$  satisfies the principle of complete induction. We recursively define a function  $f: \mathbb{N} \rightarrow X: f(0) = 0$  and  $f(n \cup \{n\}) = S(f(n))$ . It can be shown by induction on  $n$  that  $m < n$  implies  $f(m) < f(n)$ . By totality, the converse is also true. Since  $f$  is strictly increasing, it is one-to-one. The set  $\text{ran } f$  is  $S$ -inductive hence  $f$  is onto. We conclude that  $f$  is an isomorphism from  $(\mathbb{N}, \subseteq, \emptyset, x \mapsto x \cup \{x\})$  to  $(X, \leq, 0, S)$ . Hence every property true in the former is also true in the latter. In particular, we have  $z < S(x)$  if and only if  $z < x$  or  $z = x$ .

We now prove that  $(X, \leq, 0, S)$  satisfies the principle of order induction. Let  $Z$  be an order inductive set. We define  $Z' = \{x \in X : \forall z < x (z \in Z)\}$ . Notice that because  $Z$  is order inductive, we have  $Z' \subseteq Z$ . Clearly  $0 \in Z'$  because there is no  $z < 0$ . If  $x \in Z'$ , then  $S(x) \in Z'$ . Indeed, if  $z < S(x)$  then either  $z < x$  or  $z = x$ . If  $z < x$  then  $z \in Z$  by the definition of  $Z'$  and if  $z = x$  then  $z \in Z$  since  $Z' \subseteq Z$ . Hence  $Z'$  is  $S$ -inductive thus  $Z' = X$ . Since  $Z' \subseteq Z \subseteq X$ , we also have  $Z = X$  and the principle of order induction is true.

Let us now assume that  $(X, \leq, 0, S)$  satisfies the principle of order induction. We show that it satisfies the least number principle. Let  $Z$  be a set with no least element. Then clearly  $Z^c$  is order inductive because if for all  $z < x, z \notin Z$ , then  $x \notin Z$  because otherwise  $x$  would be minimal. Hence  $Z^c = X$  and  $Z$  is empty.

We finally assume that  $(X, \leq, 0, S)$  satisfies the least number principle and show that it satisfies the principle of order induction. Let  $Z$  be an order inductive set. Assume  $Z \neq X$ , then there is a minimal  $x \in X$  such that  $x \notin Z$ . But for every  $z < x$ , we have  $z \in Z$  by the minimality of  $x$  hence  $x \in Z$  since  $Z$  is order inductive. That is absurd, thus we must have  $Z = X$ .