

## Analysis on (almost) complex manifolds

### Almost complex manifolds

Suppose  $(M, J)$  is an almost complex manifold. For  $p \in M$  we consider the complexified tangent space  $T_p M \otimes \mathbb{C}$ , which is a complex vector space of dimension  $\dim M$  and a real vector space of dimension  $2 \dim M$ . The real linear map  $J$  extends to a complex linear map  $J$  on this space, and since  $J^2 = -\text{Id}$  this map has eigenvalues  $\pm i$ . We denote the corresponding eigenspaces as<sup>1</sup>

$$\begin{aligned} T_{1,0} &:= \{w \in T_p M \otimes \mathbb{C} \mid Jw = iw\} \subset T_p M \otimes \mathbb{C} \\ T_{0,1} &:= \{w \in T_p M \otimes \mathbb{C} \mid Jw = -iw\} \subset T_p M \otimes \mathbb{C} \end{aligned}$$

These are naturally complex subspaces of the complex vector space  $T_p M \otimes \mathbb{C}$ , where the complex structure is given by multiplication by  $i$ .

*Exercise 1.* Prove that the map  $T_p M \rightarrow T_p M \otimes \mathbb{C}$  given by  $v \mapsto v - iJv$  is an isomorphism of the complex vector spaces  $(T_p M, J) \cong (T_{1,0}, i)$ . Similarly  $v \mapsto v + iJv$  is an isomorphism between the complex vector spaces  $(T_p M, J)$  and  $(T_{0,1}, -i) =: \overline{T_{0,1}}$ .

We have  $T_p M \otimes \mathbb{C} \cong T_{1,0} \oplus T_{0,1}$  and denote the projections by

$$\pi_{1,0} : T_p M \otimes \mathbb{C} \rightarrow T_{1,0} \quad \text{and} \quad \pi_{0,1} : T_p M \otimes \mathbb{C} \rightarrow T_{0,1}.$$

An analogous discussion applies to the complexified cotangent space  $T_p^* M \otimes \mathbb{C}$ . We have  $T_p^* M \otimes \mathbb{C} \cong T_p^{1,0} \oplus T_p^{0,1}$  where

$$\begin{aligned} T_p^{1,0} &:= \{\phi \in T_p^* M \otimes \mathbb{C} \mid \phi \circ J = i\phi\} \subset T_p^* M \otimes \mathbb{C} \\ T_p^{0,1} &:= \{\phi \in T_p^* M \otimes \mathbb{C} \mid \phi \circ J = -i\phi\} \subset T_p^* M \otimes \mathbb{C}. \end{aligned}$$

The corresponding projections are denoted by

$$\pi^{1,0} : T_p^* M \otimes \mathbb{C} \rightarrow T_p^{1,0} \quad \text{and} \quad \pi^{0,1} : T_p^* M \otimes \mathbb{C} \rightarrow T_p^{0,1}.$$

As above, we have isomorphisms of complex vector spaces

$$T_p^{1,0} \cong (T_p^* M, J) \cong \overline{T_p^{0,1}}.$$

where the composition of the isomorphisms is literally complex conjugation, sending a given element  $\phi - i\phi \circ J \in T_p^{1,0}$  to  $\phi + i\phi \circ J \in \overline{T_p^{0,1}} = (T_p^{0,1}, -i)$ .

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<sup>1</sup>To avoid cumbersome notation, we leave off the base point here.

## Complex analysis

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As this splitting of  $T_p^*M \otimes \mathbb{C}$  can be done at each point, we get a global splitting of the complexified cotangent bundle as the direct sum of two subbundles

$$T^*M \otimes \mathbb{C} \cong T^{1,0} \oplus T^{0,1}.$$

The exterior powers of the complexified cotangent bundle split accordingly as

$$\Lambda^k(T^*M) \otimes \mathbb{C} \cong \bigoplus_{p+q=k} \underbrace{\Lambda^p T^{1,0} \wedge \Lambda^q T^{0,1}}_{=: \Lambda^{p,q} T^*M}.$$

Complex valued differential forms of degree  $k$  are smooth sections of the bundle  $\Lambda^k(T^*M) \otimes \mathbb{C}$ , so they can be split according to type as well. More precisely, we define  $\Omega^{p,q}(M)$  to be the space of smooth sections of  $\Lambda^{p,q}(T^*M)$ , and obtain

$$\Omega^k(M, \mathbb{C}) = \bigoplus_{p+q=k} \Omega^{p,q}(M).$$

With respect to this splitting, we have projections

$$\pi^{p,q} : \Omega^k(M, \mathbb{C}) \rightarrow \Omega^{p,q}(M).$$

We would now like to understand the behavior of the exterior differential  $d : \Omega^k(M, \mathbb{C}) \rightarrow \Omega^{k+1}(M, \mathbb{C})$  with respect to the above splitting. Since  $d$  is a derivation, it is essential to understand it on functions and 1-forms. For functions  $C^\infty(M, \mathbb{C}) = \Omega^0(M, \mathbb{C})$  we of course have

$$d : \Omega^0(M, \mathbb{C}) \rightarrow \Omega^1(M, \mathbb{C}) \cong \Omega^{1,0}(M) \oplus \Omega^{0,1}(M),$$

and we *define* the maps

$$\begin{aligned} \partial : \Omega^0(M, \mathbb{C}) &\rightarrow \Omega^{1,0}(M) & , & \quad \partial := \pi^{1,0} \circ d & \quad \text{and} \\ \bar{\partial} : \Omega^0(M, \mathbb{C}) &\rightarrow \Omega^{0,1}(M) & , & \quad \bar{\partial} := \pi^{0,1} \circ d. \end{aligned}$$

Over a contractible open subset  $U \subset M$  we can trivialize the bundles  $\Lambda^{1,0}T^*M$  and  $\Lambda^{0,1}T^*M$ , and so we can locally find  $n = \dim_{\mathbb{C}} M$  sections  $\alpha_1, \dots, \alpha_n$  of  $\Omega^{1,0}(U)$ . Their complex conjugates  $\bar{\alpha}_1, \dots, \bar{\alpha}_n$  will be sections of  $\Omega^{0,1}(U)$  such that any complex valued 1-form  $\phi \in \Omega^1(U, \mathbb{C})$  can be written as

$$\phi = \sum_{k=1}^n f_k \alpha_k + \sum_{\ell=1}^n g_\ell \bar{\alpha}_\ell$$

with smooth complex valued functions  $f_k : U \rightarrow \mathbb{C}$  and  $g_\ell : U \rightarrow \mathbb{C}$ . More generally, any form  $\eta \in \Omega^{p,q}(U)$  can be written as

$$\eta = \sum_{|K|=p, |L|=q} \eta_{K,L} \alpha_K \wedge \bar{\alpha}_L, \tag{1}$$

with the obvious multiindex notation. Now

$$\Omega^2(M, \mathbb{C}) \cong \Omega^{2,0}(M) \oplus \Omega^{1,1}(M) \oplus \Omega^{0,2}(M),$$

so the exterior differential of one of the forms  $\alpha_k$  will generally have the three components

$$\pi^{2,0}(d\alpha_k) \in \Omega^{2,0}(M) \quad , \quad \pi^{1,1}(d\alpha_k) \in \Omega^{1,1}(M) \quad \text{and} \quad \pi^{0,2}(d\alpha_k) \in \Omega^{0,2}(M),$$

and similarly for their complex conjugates. Applying the derivation property  $d(\eta_1 \wedge \eta_2) = (d\eta_1) \wedge \eta_2 + (-1)^{\deg \eta_1} \eta_1 \wedge (d\eta_2)$  to a general  $(p, q)$ -form  $\eta$  written as in (1) one finds that on a general almost complex manifold  $(M, J)$  the exterior differential  $d$  is a direct sum of maps

$$d : \Omega^{p,q}(M) \rightarrow \Omega^{p-1,q+2}(M) \oplus \Omega^{p,q+1}(M) \oplus \Omega^{p+1,q}(M) \oplus \Omega^{p+2,q-1}(M), \quad (2)$$

and typically all four components can and will be nonzero.

## Complex manifolds

On a *complex* manifold  $(M, J)$ , the situation simplifies drastically. Indeed, on a sufficiently small open subset  $U \subset M$  we can now find *complex coordinates*  $z_k = x_k + iy_k$  such that  $J$  becomes standard in these coordinates, meaning that

$$J(\partial_{x_k}) = \partial_{y_k} \quad \text{and} \quad J(\partial_{y_k}) = -\partial_{x_k},$$

so that

$$dx_k \circ J = -dy_k \quad \text{and} \quad dy_k \circ J = dx_k.$$

It follows that we can choose as our local basis of sections  $\{\alpha_k\}$  for  $T^{1,0}|_U$  the forms  $dz_k = dx_k + idy_k (= dx_k - idx_k \circ J)$ , with  $\bar{\alpha}_k = d\bar{z}_k = dx_k - idy_k$ . These forms are exact, hence also closed, and so for a general  $(p, q)$ -form

$$\eta = \sum_{K,L} \eta_{K,L} dz_K \wedge d\bar{z}_L$$

the exterior derivative simplifies to

$$d\eta = \underbrace{\sum_{K,L} (\partial \eta_{K,L}) dz_K \wedge d\bar{z}_L}_{\in \Omega^{p+1,q}(M)} + \underbrace{\sum_{K,L} (\bar{\partial} \eta_{K,L}) dz_K \wedge d\bar{z}_L}_{\in \Omega^{p,q+1}(M)}.$$

Since the projections  $\pi^{r,s} : \Omega^{k+1}(M, \mathbb{C}) \rightarrow \Omega^{r,s}(M)$  are invariantly defined, we have proven that in contrast to general almost complex manifolds, on a complex manifold  $(M, J)$  only two out of the possible four terms in (2) are nontrivial, and the exterior derivative splits as

$$\begin{aligned} d &= \partial + \bar{\partial} \quad \text{with} \\ \partial &:= \pi^{p+1,q} \circ d : \Omega^{p,q}(M) \rightarrow \Omega^{p+1,q}(M), \\ \bar{\partial} &:= \pi^{p,q+1} \circ d : \Omega^{p,q}(M) \rightarrow \Omega^{p,q+1}(M). \end{aligned}$$

In particular, the equation  $d^2 = 0$  can be written as

$$0 = (\partial + \bar{\partial})(\partial + \bar{\partial}) = \partial^2 + (\partial\bar{\partial} + \bar{\partial}\partial) + \bar{\partial}^2.$$

Since each of the three summands on the right maps an element  $\eta \in \Omega^{p,q}(M) \subset \Omega^k(M, \mathbb{C})$  into a different component of  $\Omega^{k+2}(M, \mathbb{C})$ , each of them has to vanish separately. In particular, for each fixed  $p \geq 0$  we get a complex

$$0 \longrightarrow \Omega^{p,0}(M) \xrightarrow{\bar{\partial}} \Omega^{p,1}(M) \xrightarrow{\bar{\partial}} \Omega^{p,2}(M) \xrightarrow{\bar{\partial}} \dots$$

Its cohomology is called *Dolbeault cohomology*,

$$H^{p,q}(M) := \frac{\ker(\bar{\partial} : \Omega^{p,q}(M) \rightarrow \Omega^{p,q+1}(M))}{\operatorname{im}(\bar{\partial} : \Omega^{p,q-1}(M) \rightarrow \Omega^{p,q}(M))}.$$

*Exercise 2.* Prove the following assertions:

(a) The vector fields

$$\partial_k := \frac{\partial}{\partial z_k} := \frac{1}{2}(\partial_{x_k} - i\partial_{y_k})$$

give a global (complex) basis of sections for the bundle  $T_{1,0}(\mathbb{C}^n) \subset T_{\mathbb{R}}\mathbb{C}^n \otimes \mathbb{C}$ , and the vector fields

$$\bar{\partial}_k := \frac{\partial}{\partial \bar{z}_k} := \frac{1}{2}(\partial_{x_k} + i\partial_{y_k})$$

form a global (complex) basis of sections for the bundle  $T_{0,1}(\mathbb{C}^n) \subset T_{\mathbb{R}}\mathbb{C}^n \otimes \mathbb{C}$ . These vector basis are dual to the basis  $dz_k$  and  $d\bar{z}_k$  for the bundles  $T^{1,0}(\mathbb{C}^n)$  and  $T^{0,1}(\mathbb{C}^n)$ , respectively.

(b) The standard symplectic form  $\omega_{\text{st}} = \sum_k dx_k \wedge dy_k$  is given in these complex coordinates as

$$\omega_{\text{st}} = \frac{i}{2} \sum_k dz_k \wedge d\bar{z}_k.$$

(c) Writing a smooth complex valued function  $f : \mathbb{C}^n \rightarrow \mathbb{C}$  as  $f = f(z, \bar{z})$ , one has

$$\partial f = \sum_k (\partial_k f) dz_k \quad \text{and} \quad \bar{\partial} f = \sum_k (\bar{\partial}_k f) d\bar{z}_k.$$

Such a function is holomorphic if and only if  $\bar{\partial} f = 0$ .