

## From modular forms to multiple q-zeta values

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ALGEBRAIC AND ANALYTIC ASPECTS OF AUTOMORPHIC FORMS  
ICTS Bangalore, March 24-27 2019

$$1 = 1^2 + 0^2 + 0^2 + 0^2 = (-1)^2 + 0^2 + 0^2 + 0^2$$

### Example

Let  $n \geq 0$  and

$$r_4(n) = \#\{(a, b, c, d) \in \mathbb{Z}^4 \mid a^2 + b^2 + c^2 + d^2 = n\},$$

then for the generating series

$$\begin{aligned} \sum_{n \geq 0} r_4(n) q^n &= \left( \sum_{i \in \mathbb{Z}} q^{i^2} \right)^4 \stackrel{\heartsuit}{=} -\frac{1}{3}(E_2(q) - 4E_2(q^4)) = \sum_{n \geq 0} \left( 8 \sum_{\substack{d|n \\ 4 \nmid d}} d \right) q^n \\ &= 1 + 8q + 24q^2 + 32q^3 + 24q^4 + 48q^5 + 96q^6 + 64q^7 + \dots \end{aligned}$$

where  $E_2(q)$  denotes the Eisenstein series of weight 2 (to be defined later).

For example we read of:

$$\begin{aligned} r_4(1) &= 8 \\ r_4(2019) &= r_4(3 \cdot 673) = 8(1 + 3 + 673 + 2019) = 21568. \end{aligned}$$

1/102

3/102

## Why care about modular forms?

Modular forms can be seen either as

- functions with infinite symmetries
- $q$ -series  $\sum a_n q^n$  with arithmetical interesting coefficients

Modular forms span finite dimensional vector spaces and this allows for example "trivial" proofs of interesting identities.

## What will we learn ?

We will study the ring of modular forms

$$M_* = \bigoplus_{k=0}^{\infty} M_k.$$

We will present proofs of the following characterisations:

- (i)  $M_* \cong \mathbb{C}[E_4, E_6]$  *Whose this is this?*
- (ii)  $M_k = \langle \text{Hecke eigenforms} \rangle_{\mathbb{C}}$  *Hecke*
- (iii)  $M_k \oplus S_k \cong W_k$  *Shimura-Eichler*
- (iv)  $M_k = \langle \{E_k\} \cup \{E_a E_{k-a}\} \rangle_{\mathbb{C}}$  *Kohnen-Zagier*

If time permits, we relate modular forms to multiple zeta values and their  $q$ -analogues. We plan to indicate why (iv) should be seen in analogy to Eulers formula

$$\zeta(2k) = -\frac{B_{2k}}{2 \cdot 2k} \frac{(2\pi i)^{2k}}{(2k-1)!} = \sum_{n \geq 1} \frac{1}{n^{2k}}$$

where the Bernoulli numbers are given by the series

$$\sum_{k=0}^{\infty} \frac{B_k}{k!} X^k = \frac{X}{e^X - 1}.$$

*↑  
Q[2]*

2/102

4/102

### Some selected references

$$\sum_{\mathbb{Z} \setminus \{0\}} \frac{1}{n^3} = 0 \quad \text{v.s.} \quad \sum_{n \neq 0} \frac{1}{n^3} = \zeta(3)$$

- (i) Modular forms:
  - Don Zagier: Utrecht lectures; Introduction to modular forms; The 1-2-3 of Modular Forms; Modular forms with rational periods. (online: <https://people.mpim-bonn.mpg.de/zagier/>)
  - Francois Martin & Emmanuel Royer: Formes modulaires et periodes. (online at [docplayer.fr](http://docplayer.fr))
  - (many excellent books/surveys: Shimura, Diamond-Darmon-Taylor, Cornell-Silverman, ...)
- (ii) Multiple Eisenstein series and multiple  $q$ -zeta functions:
  - Herbert Gangl & Masanobu Kaneko & Don Zagier: Double zeta values and modular forms. (online: <https://people.mpim-bonn.mpg.de/zagier/>)
  - Henrik Bachmann: Multiple Eisenstein series and  $q$ -analogues of multiple zeta values; Henrik Bachmann & U.K.: A dimension conjecture for  $q$ -analogues of multiple zeta values. (both available online: <https://www.henrikbachmann.com>)
- (iii) Multiple Zeta values:
  - Jose Burgos-Gil & Javier Fresan: Multiple zeta values: from numbers to motives. (online: <http://javier.fresan.perso.math.cnrs.fr/mzv.pdf>)

### Modular group

#### Definition

We denote the upper complex halfplane by

$$\mathbb{H} = \{ \tau \in \mathbb{C} \mid \text{Im}(\tau) > 0 \}.$$

We use the notation  $\tau = x + iy$ , with  $x, y \in \mathbb{R}$

We have an action

$$\text{SL}_2(\mathbb{Z}) \times \mathbb{H} \rightarrow \mathbb{H}$$

$$\left( \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \tau \right) \mapsto \frac{a\tau + b}{c\tau + d},$$

We call  $\Gamma(1) = \text{SL}_2(\mathbb{Z})/\pm 1$  the modular group (of Level 1).

### Fundamental domain

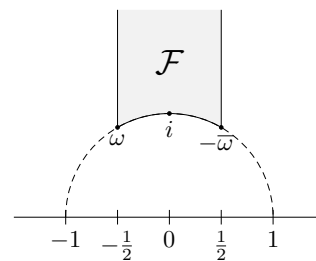
#### Proposition (Fundamental domain for $\Gamma(1)$ )

Let

$$\mathcal{F} = \{ \tau \in \mathbb{H} \mid -\frac{1}{2} \leq \text{Re}(\tau) \leq \frac{1}{2} \text{ and } |\tau| \geq 1 \}$$

then we have

- for any  $\tau \in \mathbb{H}$  there exist a  $\gamma \in \Gamma(1)$  such that  $\gamma.\tau \in \mathcal{F}$ .
- if  $\tau$  and  $\gamma.\tau$  are elements of  $\mathcal{F}$ , then  $\tau, \gamma.\tau \in \partial\mathcal{F}$ .



Idea of proof: First we observe that the matrices

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \text{ and } T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

generate  $\text{SL}_2(\mathbb{Z})$ . We have

$$T\tau = \tau + 1 \quad \text{and} \quad S\tau = \frac{-1}{\tau}.$$



The following diagram shows how the fundamental domain  $\mathcal{F}$  is translated by different matrices in  $\text{SL}_2(\mathbb{Z})$ .

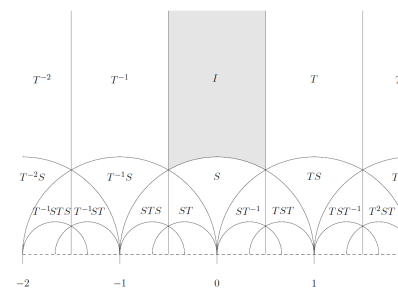


Figure : Translations of the fundamental domain  $\mathcal{F}$ .

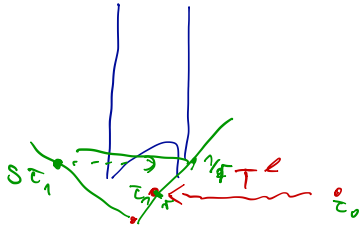
## Aside: Modular curve

Given  $\tau_0$  there is some power  $T^{l_0}$  of the translation  $T$  such that

$$\tau_1 := T^{l_0} \tau_0 \in \left\{ \tau \in \mathbb{H} \mid -\frac{1}{2} \leq \text{Im } \tau \leq \frac{1}{2} \right\}$$

Now using  $S$  we increase the imaginary part of  $\tau_1$  and continue the above procedure until we end in  $\mathcal{F}$ .

It is easy to see that the vertical boundary is identified via the translation  $T$ . Analogously the lower part is identified with  $S$ . Observe that the corners and  $i$  have non-trivial stabilisers.  $\square$



9/102

11/102

## Aside: Modular curve



### Remark

In fact there are natural bijections

$$\Gamma(1) \backslash \mathbb{H} \xrightarrow{\sim} \mathcal{L} / \mathbb{C}^* \xrightarrow{\sim} \{ \text{complex elliptic curves} \} / \text{isomorphisms}$$

$$\tau \qquad \Lambda_\tau = \mathbb{Z}\tau + \mathbb{Z} \qquad E_\tau = \mathbb{C} / \Lambda_\tau$$

Here  $\mathcal{L}$  denotes the set of all lattices in  $\mathbb{C}$  on which  $\mathbb{C}^*$  acts by scaling. The second bijection uses the complex uniformisation of elliptic curves, i.e., for any elliptic curve  $E : Y^2 = X^3 + AX + B$ , there exists a lattice  $\Lambda$  and a complex isomorphism

$$\begin{aligned} \mathbb{C} / \Lambda &\rightarrow E : Y^2 = X^3 + AX + B \\ z &\mapsto (\mathfrak{p}(z, \Lambda), \mathfrak{p}'(z, \Lambda)) \end{aligned}$$

10/102

### Remark

The action of  $\Gamma(1)$  on  $\mathbb{H}$  extends to a proper discontinuous action

$$\Gamma(1) \times (\mathbb{H} \cup \mathbb{P}^1(\mathbb{Q})) \rightarrow \mathbb{H} \cup \mathbb{P}^1(\mathbb{Q}).$$

Then by abstract topology and straightforward calculations we get an isomorphism

$$X(1) := \Gamma(1) \backslash (\mathbb{H} \cup \mathbb{P}^1(\mathbb{Q})) \rightarrow \mathbb{P}^1(\mathbb{C})$$

in such a way that

$$q := \exp(2\pi\tau)$$

is the local parameter at  $\infty \in \mathbb{P}^1(\mathbb{C})$ .

## Modular forms

### Definition

A holomorphic function  $f : \mathbb{H} \rightarrow \mathbb{C}$  is called a modular form of weight  $k$  if

- (i)  $f\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^k f(\tau)$  for all  $\tau \in \mathbb{H}$  and all  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$
- (ii)  $f(\tau) = \sum_{n \geq 0} a_n q^n$ , for  $q = \exp(2\pi i\tau)$

*"in finite symbols"*

If  $f$  is a modular form of weight  $k$  we have by (i)

$$\begin{aligned} f(\tau+1) &= f(T\tau) = f(\tau), \\ f(-1/\tau) &= F(S\tau) = \tau^k f(\tau), \end{aligned}$$

Thus any function in (i) has a Fourier expansion  $\sum_{n \in \mathbb{Z}} a_n q^n$ . The additional requirement in (ii) is called

" $f$  is holomorphic at infinity".

Since  $-I \in \text{SL}_2(\mathbb{Z})$ , a modular form of weight  $k$  satisfies  $f(\tau) = (-1)^k f(\tau)$ . This shows that there are no non-trivial modular forms of odd weight.

### Definition

We denote the vector space of modular forms of weight  $k$  by  $M_k$ . A modular form

$f = \sum_{n \geq 0} a_n q^n$  with  $a_0 = 0$  is called cusp form (or parabolic form) and the vector space of cusp forms is denoted by  $S_k$ .

12/102

### Proposition

Modular forms with different weights are linearly independent over  $\mathbb{C}$ .

Proof: Suppose we have nonzero modular forms  $f_1, f_2, \dots, f_m$  with respective weights  $k_1 < k_2 < \dots < k_m$ , such that they admit a nontrivial linear relation

$$\alpha_1 f_1(\tau) + \alpha_2 f_2(\tau) + \dots + \alpha_m f_m(\tau) = 0 \quad (1)$$

for all  $\tau \in \mathbb{H}$  and  $\alpha_j \neq 0$  for  $j = 1, \dots, m$ . Replacing  $\tau$  by  $S(\tau)$  and using the modularity, i.e.  $f_j(S(\tau)) = \tau^{k_j} f_j(\tau)$ , we obtain

$$\alpha_1 \tau^{k_1} f_1(\tau) + \alpha_2 \tau^{k_2} f_2(\tau) + \dots + \alpha_m \tau^{k_m} f_m(\tau) = 0 \quad (1')$$

for all  $\tau \in \mathbb{H}$ . With Fourier expansions  $f_j(\tau) = \sum_{n=0}^{\infty} a_n^{(j)} q^n$  where  $q = e^{2\pi i \tau}$ , this is equivalent to

$$\sum_{n=0}^{\infty} \left( \alpha_1 \tau^{k_1} a_n^{(1)} + \alpha_2 \tau^{k_2} a_n^{(2)} + \dots + \alpha_m \tau^{k_m} a_n^{(m)} \right) e^{2\pi i n \tau} = 0.$$

13/102

Now we consider this equation for  $\tau = iy$  ( $y > 0$ ), then

$$\sum_{n=0}^{\infty} \left( \alpha_1 (iy)^{k_1} a_n^{(1)} + \alpha_2 (iy)^{k_2} a_n^{(2)} + \dots + \alpha_m (iy)^{k_m} a_n^{(m)} \right) e^{-2\pi n y} = 0. \quad (2)$$

For  $n > 0$  and any  $r \geq 0$  we have  $\lim_{y \rightarrow \infty} y^r e^{-2\pi n y} = 0$ . Now let  $N$  be the smallest integer, such that at least for one  $1 \leq j \leq m$  we have  $a_N^{(j)} \neq 0$ . Dividing (2) by  $e^{-2\pi N y}$  and taking the limit  $y \rightarrow \infty$  we obtain

$$\lim_{y \rightarrow \infty} \alpha_1 (iy)^{k_1} a_N^{(1)} + \alpha_2 (iy)^{k_2} a_N^{(2)} + \dots + \alpha_m (iy)^{k_m} a_N^{(m)} = 0.$$

But the left-hand side of this equation is the limit  $y \rightarrow \infty$  of a non-constant polynomial in  $y$ , which can not be zero and therefore a relation of the form (1) can not exist.  $\square$

14/102

## Eisenstein series

### Proposition

Addition and multiplication of holomorphic functions induces the structure of a graded ring

$$M^*(\mathrm{SL}_2(\mathbb{Z})) = \bigoplus_{k \geq 0} M_k(\mathrm{SL}_2(\mathbb{Z}))$$

on the set of all modular forms.

### Definition (Eisenstein series)

Let  $k \in \mathbb{N}$  be even, then the Eisenstein series of weight  $k$  is defined by

$$G_k(\tau) = \frac{(k-1)!}{2(2\pi i)^k} \sum'_{m,n} \frac{1}{(mz+n)^k}, \quad \tau \in \mathbb{H}.$$

### Remark

- $\sum'_{m,n}$  abbreviates  $\sum_{(m,n) \in \mathbb{Z}^2 / (0,0)}$
- $\frac{(k-1)!}{2(2\pi i)^k}$  is a normalising factor (different for different authors!)

15/102

### Theorem

The Eisenstein series  $G_k(\tau)$  are modular forms of weight  $k \geq 4$  and they have the Fourier expansion

$$G_k(\tau) = \frac{(k-1)!}{(2\pi i)^k} \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) q^n,$$

where  $\sigma_k(n) = \sum_{d|n} d^k$  is the divisor function of degree  $k$ ,  $q = e^{2\pi i z}$  and  $\zeta(k) = \sum_{n \geq 1} \frac{1}{n^k}$  is the value of the Riemann zeta functions at  $k$ .

### Remark

- (i) By Euler's relation we actually have  $G_k \in \mathbb{Q}[[q]]$ , indeed we have

$$G_k(\tau) = -\frac{B_k}{2k} + \sum_{n=1}^{\infty} \sigma_{k-1}(n) q^n.$$

- (ii) Another common notation for the Eisenstein series is given by

$$E_k(\tau) = 1 - \frac{2k}{B_k} \sum_{n=1}^{\infty} \sigma_{k-1}(n) q^n.$$

16/102

Idea of Proof:

It is straightforward to show that for  $k \geq 4$  the series  $G_k(\tau)$  converges.

Using the convergence of  $G_k(\tau)$ , we deduce

$$\begin{aligned} G_k\left(\frac{a\tau + b}{c\tau + d}\right) &= \frac{(k-1)!}{2(2\pi i)^k} \sum'_{m,n} \frac{1}{\left(m\frac{a\tau+b}{c\tau+d} + n\right)^k} \\ &= (c\tau + d)^k \frac{(k-1)!}{2(2\pi i)^k} \sum'_{m,n} \frac{1}{(m(a\tau + b) + n(c\tau + d))^k} \\ &= (c\tau + d)^k \frac{(k-1)!}{(2\pi i)^k} \sum'_{m,n} \frac{1}{((ma + nc)z + mb + nd)^k}. \end{aligned}$$

For  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$  the set of all  $(ma + nc, mb + nd)$  equals the set of all  $(m, n) \in \mathbb{Z}^2 \setminus (0, 0)$ . We therefore have

$$G_k(\tau) = (c\tau + d)^{-k} G_k\left(\frac{a\tau + b}{c\tau + d}\right)$$

for all  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$ , i.e.  $G_k$  transforms as a modular form of weight  $k$  for  $\text{SL}_2(\mathbb{Z})$ .

17/102

### Lemma (Lipschitz-formula)

$$\sum_{n \in \mathbb{Z}} \frac{1}{(z+n)^k} = \frac{(-2\pi i)^k}{(k-1)!} \sum_{r=1}^{\infty} r^{k-1} e^{2\pi i r z}, \quad z \in \mathbb{C} \setminus \mathbb{Z}$$

The Lipschitz formula follows either from the Poisson summation or from the Taylor expansion of  $\frac{\pi}{\tan(\pi x)}$  (Exercise!).

Now the Fourier expansion of  $G_k$  follows

$$\begin{aligned} G_k(\tau) &= \frac{(k-1)!}{2(2\pi i)^k} \sum_{n \neq 0} \frac{1}{n^k} + \sum_{m \neq 0} \frac{(k-1)!}{2(2\pi i)^k} \sum_{n \in \mathbb{Z}} \frac{1}{(m\tau + n)^k} \\ &= \frac{(k-1)!}{(2\pi i)^k} \sum_{n=1}^{\infty} \frac{1}{n^k} + \sum_{m=1}^{\infty} \sum_{r=1}^{\infty} r^{k-1} e^{2\pi i r m \tau} \\ &= \frac{(k-1)!}{(2\pi i)^k} \zeta(k) + \sum_{n=1}^{\infty} \sigma_{k-1}(n) q^n, \end{aligned}$$

*Lipschitz for  $m\tau$  instead of  $z$*

where  $\sigma_k(n) = \sum_{d|n} d^k$  is the divisor function of degree  $k$ ,  $q = e^{2\pi i \tau}$  and  $\zeta(k) = \sum_{n \geq 1} \frac{1}{n^k}$  is the value of the Riemann zeta functions at  $k$ .

□  
18/102

$$\begin{aligned} G_4(\tau) &= \frac{1}{240} + q + 9q^2 + 28q^3 + 73q^4 + 126q^5 + \dots \\ G_6(\tau) &= -\frac{1}{504} + q + 33q^2 + 244q^3 + 1057q^4 + \dots \\ G_8(\tau) &= \frac{1}{480} + q + 129q^2 + 2188q^3 + \dots \end{aligned}$$

Later we will prove the identity  $120G_4^2 = G_8$  and this implies Hurwitz identity

$$\sigma_7(n) = \sigma_3(n) + 120 \sum_{m=1}^{n-1} \sigma_3(m)\sigma_3(n-m)$$

for all  $n \geq 0$ . For example we have

$$\sigma_7(3) = 2188 = 28 + 120(1 \cdot 9 + 9 \cdot 1) = 28 + 120 \cdot 18 = 28 + 2160$$

19/102

### Eisenstein series of weight 2

We define the Eisenstein series  $G_2(\tau)$  by its Fourier series

$$G_2(\tau) = -\frac{1}{24} + q + 3q^2 + 4q^3 + 7q^4 + 6q^5 + 12q^6 + \dots$$

In fact, for the weight 2 case one has to enforce convergence by means of the Hecke trick:

$$G_2^*(\tau) = -\frac{1}{8\pi^2} \lim_{s \rightarrow 0} \sum'_{m,n} \frac{1}{(c\tau + d)^2} \frac{y^s}{|c\tau + d|^{2s}}.$$

The function  $G_2^*$  transforms as a modular form of weight 2. It satisfies

$$G_2^*(\tau) = G_2(\tau) + \frac{8}{\pi y}.$$

Now, using  $\text{Im}\left(\frac{a\tau+b}{c\tau+d}\right) = \frac{\text{Im}\tau}{|c\tau+d|^2}$ , we deduce the functional equation w.r.t.  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$

$$G_2\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^2 G_2(\tau) - \frac{c(c\tau + d)}{4\pi i}.$$

"quasi-modular form"

20/102

## $G_k$ & $q$ -series

We have

$$\sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n = \sum_{n=1}^{\infty} \frac{n^{k-1}q^n}{1-q^n}, \quad \leftarrow (1-q)^{-k}$$

Another not so well-known identity is given by a  $q$ -average of negative polylogarithms. Let

$$\text{Li}_{-s} = \sum_{n=1}^{\infty} n^s z^n = \frac{z P_s(z)}{(1-z)^{s+1}} \quad s \in \mathbb{N}$$

where  $P_s(z)$  are the Eulerian polynomials<sup>1</sup>, then we obtain

$$\sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n = \sum_{n=1}^{\infty} \frac{q^n P_{k-1}(q^n)}{(1-q^n)^k} \quad \leftarrow (1-q)^{-k}$$

Footnote: Eulerian poly

<sup>1</sup>e.g.  $P_0(t) = P_1(t) = t, P_2(t) = t^2 + t, P_3 = t^3 + 4t^2 + t, \dots$

## $G_k$ is a $q$ -zeta value

Now using  $P_s(1) = s!$  and

$$\lim_{q \rightarrow 1} \frac{(1-q^n)}{(1-q)^n} = \lim_{q \rightarrow 1} (1 + q + \dots + q^{n-1}) = n$$

we get

$$\lim_{q \rightarrow 1} (1-q)^k G_k(q) = \lim_{q \rightarrow 1} \sum_{n=1}^{\infty} \frac{q^n P_{k-1}(q^n)}{\left(\frac{1-q^n}{(1-q)^n}\right)^k} = (k-1)! \zeta(k).$$

now it's fine  
"let's back formula"

## Remark

There is a natural map

$$\{\text{modular forms } f \text{ of weight } k\} \xrightarrow{\sim} \{F : \mathcal{L} \rightarrow \mathbb{C} \mid F(c\Lambda) = c^{-k} F(\Lambda), \forall c \in \mathbb{C}^*, \Lambda \in \mathcal{L}\}$$

given by

$$f\left(\frac{\omega_1}{\omega_2}\right) := \omega_2^{-k} F(\mathbb{Z}\omega_1 + \mathbb{Z}\omega_2)$$

The Eisenstein series  $G_k(\tau)$  corresponds to the homogenous lattice function

$$G_k(\Lambda) = \sum_{\substack{\lambda \in \Lambda \\ \lambda \neq 0}} \frac{1}{\lambda^k}.$$

Remark: modular forms are sections of a line bundle on the modular curve

## Discriminant

### Definition

The discriminant (or Delta function)  $\Delta(\tau)$  is defined by

$$\Delta(\tau) = q \prod_{n=1}^{\infty} (1-q^n)^{24} = \sum_{n \geq 1} \tau(n) q^n$$

where  $\tau \in \mathbb{H}$  and  $q = e^{2\pi i \tau}$ .

Ramanujan's tau fct

### Theorem

$\Delta(\tau)$  is a modular form of weight 12.

Proof: We have

$$\begin{aligned} \frac{d}{d\tau} \log(\Delta(\tau)) &= \frac{\Delta'(\tau)}{\Delta(\tau)} = \frac{d}{d\tau} \left( 2\pi i \tau + 24 \sum_{n=1}^{\infty} \log(1-q^n) \right) \\ &= 2\pi i \left( 1 - 24 \sum_{n=1}^{\infty} \frac{nq^n}{1-q^n} \right) = -48\pi i \left( -\frac{1}{24} + \sum_{n=1}^{\infty} \left( \sum_{r|n} r \right) q^n \right) \\ &= -48\pi i G_2(\tau). \end{aligned}$$

Now by means of the transformation laws for  $G_2$ , we derive for  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$

$$\frac{1}{(c\tau + d)^2} \frac{\Delta' \left( \frac{a\tau + b}{c\tau + d} \right)}{\Delta \left( \frac{a\tau + b}{c\tau + d} \right)} = \frac{\Delta'(\tau)}{\Delta(\tau)} + 12 \frac{c}{c\tau + d},$$

hence

$$\frac{d}{d\tau} \log \left( \Delta \left( \frac{a\tau + b}{c\tau + d} \right) \right) = \frac{d}{d\tau} \log (\Delta(\tau)(c\tau + d)^{12})$$

In other words we have

$$\Delta \left( \frac{a\tau + b}{c\tau + d} \right) = (c\tau + d)^{12} \Delta(\tau) \cdot \kappa_\gamma$$

for some  $\kappa_\gamma \in \mathbb{C}$ . We now show that  $\kappa_\gamma = 1$  for all  $\gamma \in \text{SL}_2(\mathbb{Z})$ .

We have  $\Delta(\tau) = \Delta(\tau + 1)$ , thus  $\kappa_\gamma = 1$ . Furthermore for  $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  we get

$$\Delta(i) = \Delta(Si) = \Delta \left( -\frac{1}{i} \right) = (-i)^{12} \Delta(i) \cdot \kappa_S$$

thus we obtain  $\kappa_S = 1$ . Since  $T$  and  $S$  generate  $\text{SL}_2(\mathbb{Z})$ , we finished the proof.  $\square$

25/102

### Theorem (Valence formula)

For a non-zero modular form  $f$  of weight  $k$  we have

$$v_\infty(f) + \frac{1}{2}v_i(f) + \frac{1}{3}v_\omega(f) + \sum_{\substack{p \in \text{SL}_2(\mathbb{Z}) \backslash \mathbb{H} \\ p \neq i, \omega}} v_p(f) = \frac{k}{12}.$$

Idea of proof: Use Cauchy's argument principle: You get the (order) of the zeros and poles of  $f$  in  $\text{SL}_2(\mathbb{Z}) \backslash \mathbb{H}$  by integrating the logarithmic derivative  $f'/f$  around the boundary of the fundamental domain  $\mathcal{F}$ .

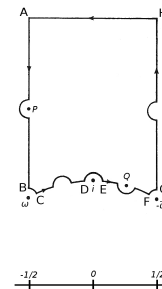


Figure : The contour of integration

27/102

### Corollary

Let  $k \in \mathbb{N}$  be an integer. Then

- i)  $M_0 = \mathbb{C}$ ,
- ii) If  $k = 2$ ,  $k < 0$  or if  $k$  is odd then  $M_k = 0$ .
- iii) If  $k \in \{4, 6, 8, 10, 14\}$ , then  $M_k = \mathbb{C}E_k$ .
- iv) If  $k < 12$  or  $k = 14$  then  $S_k = 0$ .
- v)  $S_{12} = \mathbb{C}\Delta$  and if  $k > 12$  then  $S_k = \Delta \cdot M_{k-12}$ .
- vi) If  $k \geq 4$  then  $M_k = \mathbb{C}E_k \oplus S_k$ .

Proof:

- i) Only constants have no zero.
- ii) We already know this for odd  $k$  and there is no solution for the others.
- iii) When  $k \in \{4, 6, 8, 10, 14\}$ , then the only solutions to the valence formula are:  
 $k = 4$ :  $v_\omega(f) = 1$  and all other  $v_p(f) = 0$ .  
 $k = 6$ :  $v_i(f) = 1$  and all other  $v_p(f) = 0$ .  
 $k = 8$ :  $v_\omega(f) = 2$  and all other  $v_p(f) = 0$ .  
 $k = 10$ :  $v_\omega(f) = v_i(f) = 1$  and all other  $v_p(f) = 0$ .  
 $k = 14$ :  $v_\omega(f) = 2$ ,  $v_i(f) = 1$  and all other  $v_p(f) = 0$ .  
 Now, if  $f_1, f_2 \in M_k$  for any such  $k$ , then  $\frac{f_1}{f_2}$  is a modular form of weight 0, which by i) must be constant. Therefore  $f_1$  and  $f_2$  are proportional to  $E_k \in M_k$ .

26/102

28/102

### Corollary

Let  $k \in \mathbb{N}$  be an integer. Then

- i)  $M_0 = \mathbb{C}$ ,
- ii) If  $k = 2$ ,  $k < 0$  or if  $k$  is odd then  $M_k = 0$ .
- iii) If  $k \in \{4, 6, 8, 10, 14\}$ , then  $M_k = \mathbb{C}E_k$ .
- iv) If  $k < 12$  or  $k = 14$  then  $S_k = 0$ .
- v)  $S_{12} = \mathbb{C}\Delta$  and if  $k > 12$  then  $S_k = \Delta \cdot M_{k-12}$ .
- vi) If  $k \geq 4$  then  $M_k = \mathbb{C}E_k \oplus S_k$ .

Proof:

- iv) If  $f \in S_k$  we have  $v_\infty(f) > 0$ , which is impossible for  $k < 12$  or  $k = 14$ .
- v) We know that  $v_\infty(\Delta) = 1$  and by the valenc formula this must be the only zero of  $\Delta$ . Therefore for any  $f \in S_k$  the function  $\frac{f}{\Delta}$  is a modular form of weight  $k - 12$ .
- vi) We can subtract the constant term of any modular form  $f \in M_k$  by adding a suitable multiple of  $E_k$ . □

29 / 102

### Theorem (Dimension formula)

For an even positive integer  $k$  we have

$$\dim_{\mathbb{C}} M_k = \begin{cases} \lfloor \frac{k}{12} \rfloor + 1 & , \quad k \not\equiv 2 \pmod{12} \\ \lfloor \frac{k}{12} \rfloor & , \quad k \equiv 2 \pmod{12} \end{cases} .$$

Proof: This will now follow by induction on  $k$  from the results in previous Proposition. For  $k < 12$  the above dimension formula is already proven. Combing the results of previous Proposition we have

$$M_{k+12} = \mathbb{C}E_{k+12} \oplus \Delta \cdot M_k$$

and since  $\lfloor \frac{k}{12} \rfloor + 1 = \lfloor \frac{k+12}{12} \rfloor$  the statement follows inductively.

$k$	0	2	4	6	8	10	12	14	16	18	20	22	24	26	28	30	32	34	36
$\dim_{\mathbb{C}} M_k$	1	0	1	1	1	1	2	1	2	2	2	2	3	2	3	3	3	3	4

Figure : Dimension of  $M_k$  for even  $0 \leq k \leq 36$ .

30 / 102