

Regularity Properties and Determinacy

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Classical results: Assume the **Axiom of Determinacy (AD)**. Then all sets of reals are **Lebesgue Measurable**, have the **Baire property** and the **Perfect Set Property**.

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Example: AD \rightarrow **Baire property**.

Proof:

- Define the Banach-Mazur game, G^{**} .
- Show: I wins $G^{**}(A) \Leftrightarrow A$ is comeager in an open set,
 II wins $G^{**}(A) \Leftrightarrow A$ is meager.
- If all sets satisfy that disjunction, then all sets have the **Baire property**. \square

Determinacy without AD

AD contradicts **AC**. Suppose, instead, that we have **ZFC**: what is determinacy?

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Determinacy without AD

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$$\mathbf{D} := \{A \mid G(A) \text{ is determined}\}$$

Is determinacy a “mother regularity property”, i.e., does it imply all the other regularity properties?

Answer: it does **classwise** but not necessarily **pointwise**.

Classwise vs. Pointwise

Let Γ be a boldface pointclass

(closed under continuous pre-images, and in some cases under intersections with basic open sets).

If all sets in Γ are determined then all sets in Γ are regular.

Classwise vs. Pointwise

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(closed under continuous pre-images, and in some cases under intersections with basic open sets).

If all sets in Γ are determined then all sets in Γ are regular.

Definition: Let **Reg** be some regularity property. Then we say:

- “Determinacy implies **Reg** classwise” \iff
for all boldface pointclasses Γ ($\Gamma \subseteq \mathbf{D} \rightarrow \Gamma \subseteq \mathbf{Reg}$).
- “Determinacy implies **Reg** pointwise” $\iff \mathbf{D} \subseteq \mathbf{Reg}$

Example

Example: Let Γ be a boldface pointclass. If $\Gamma \subseteq \mathbf{D}$ then $\Gamma \subseteq \mathbf{BP}$.

Proof:

- Define the Banach-Mazur game, G^{**} .
- Encode $A \rightsquigarrow A'$ so that $G^{**}(A) \equiv G(A')$.
- Then: I wins $G(A')$ \iff A is comeager in an open set
 II wins $G(A')$ \iff A is meager.
- If $A \in \Gamma$ then $A' \in \Gamma$ so $G(A')$ is determined. Then A is either comeager in an open set or meager.
- If all sets in Γ have this property, then all sets in Γ have the **Baire property**. □

Pointwise

Pointwise “mother regularity property” = e.g.
homogeneously Suslin sets, and **not** determinacy.

Benedikt Löwe, **The pointwise view of determinacy: arboreal forcings, measurability, and weak measurability**, Rocky Mountains Journal of Mathematics **35** (2005), pp. 1233–1249

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Sets can be **determined**
but not **regular (AC)**.

My MSc thesis: continue this investigation.

Arboreal Forcings

Definition:

- A **forcing partial order** (\mathbb{P}, \leq) is called **arboreal** if it is isomorphic to a collection \mathcal{T} of perfect **trees** on ω or 2 ordered by inclusion, with the extra condition that

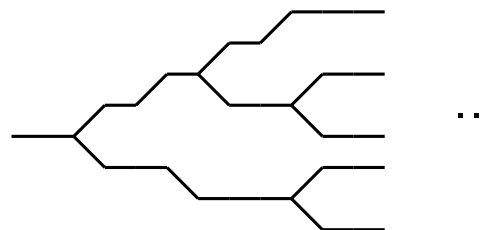
$$\forall T \in \mathcal{T} \forall t \in T \exists S \in \mathcal{T} (S \subseteq T \wedge t \subseteq \text{stem}(S))$$

- An arboreal (\mathbb{P}, \leq) is called **topological** if $\{[P] \mid P \in \mathbb{P}\}$ is a topology base on ω^ω or 2^ω . Otherwise, it is called **non-topological**.

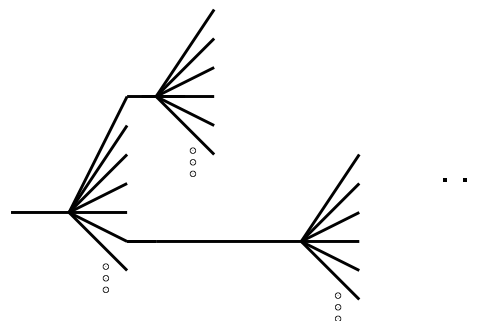
Examples

Some examples: (non-topological)

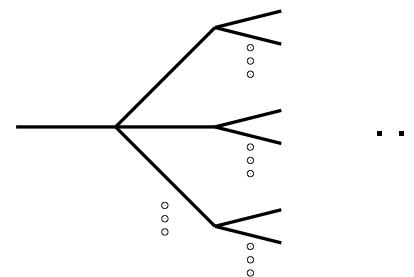
- Sacks forcing \mathbb{S} : all perfect trees.



- Miller forcing \mathbb{M} : all super-perfect trees.



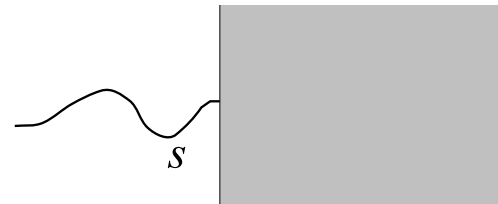
- Laver forcing \mathbb{L} : all trees with finite stem and afterwards ω -splitting.



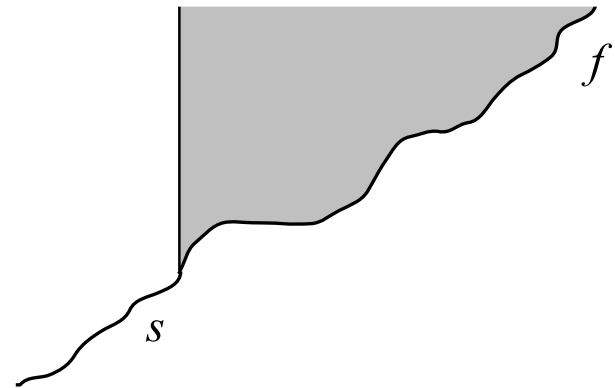
Examples (2)

Some examples: (topological)

● Cohen forcing \mathbb{C} : basic open sets $[s]$.



● Hechler forcing \mathbb{D} : for $s \in \omega^{<\omega}$ and $f \in \omega^\omega$ with $s \subseteq f$, define $[s, f] := \{x \in \omega^\omega \mid s \subseteq x \wedge \forall n \geq |s| (x(n) \geq f(n))\}$.

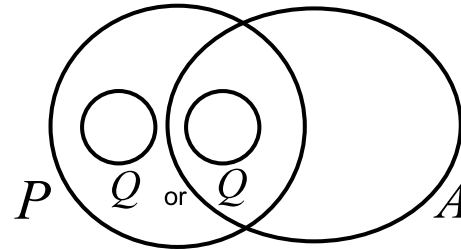


Regularity Properties

Definition: Given (\mathbb{P}, \leq) , we define

- the **Marczewski-Burstin** algebra of \mathbb{P} :

$$A \in \text{MB}(\mathbb{P}) \iff \forall P \in \mathbb{P} \exists Q \leq P ([Q] \subseteq A \vee [Q] \cap A = \emptyset)$$

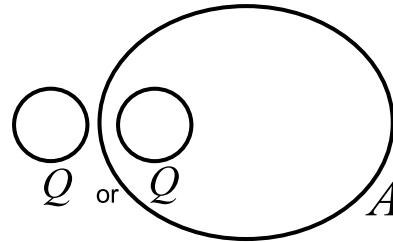


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- the **weak Marczewski-Burstin** algebra of \mathbb{P} :

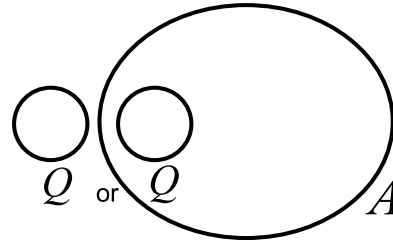
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$$A \in \text{wMB}(\mathbb{P}) \iff \exists Q \in \mathbb{P} ([Q] \subseteq A \vee [Q] \cap A = \emptyset)$$

- If \mathbb{P} is **topological**, then we define

$$\text{BP}(\mathbb{P}) := \{A \mid A \text{ has the } \text{Baire property in } (\omega^\omega, \mathbb{P})\}$$

Pointwise View of Determinacy

In “The pointwise view of determinacy”, the following results were proved: For **non-topological** \mathbb{P} :

1. $\mathbf{D} \not\rightarrow \mathbf{MB}(\mathbb{P})$ pointwise (i.e., $\mathbf{D} \not\subseteq \mathbf{MB}(\mathbb{P})$).
2. \mathbb{P} classified into three cases:
 - Case 1: $\mathbf{D} \rightarrow \mathbf{wMB}(\mathbb{P})$ pointwise.
 - Case 2: $\mathbf{D} \not\rightarrow \mathbf{wMB}(\mathbb{P})$ pointwise.
 - Case 3: There are examples either way.

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Question: Can the same analysis be done for **topological** \mathbb{P} and $\mathbf{BP}(\mathbb{P})$? What about $\mathbf{wBP}(\mathbb{P})$?

Baire Property

Theorem: If \mathbb{P} is non-atomic then $\mathbf{D} \not\rightarrow \mathbf{BP}(\mathbb{P})$ pointwise.

Baire Property

Theorem: If \mathbb{P} is **non-atomic** then $\mathbf{D} \not\rightarrow \mathbf{BP}(\mathbb{P})$ pointwise.

Proof:

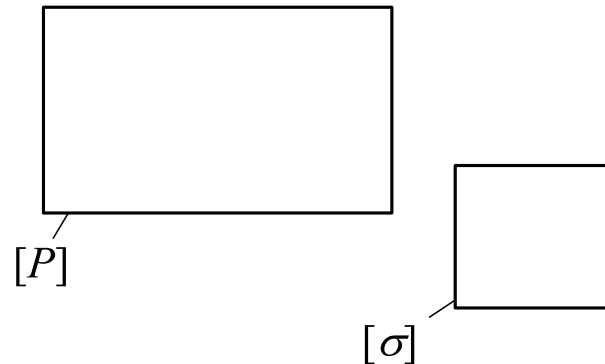
- If $A \in \mathbf{BP}(\mathbb{P})$ then for every open O there is a perfect tree T in O such that $[T] \subseteq A$ or $[T] \cap A = \emptyset$.

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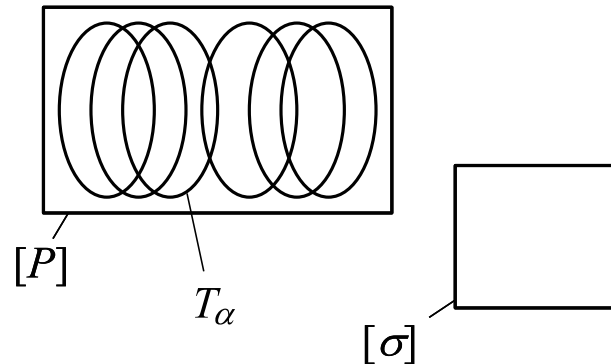


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- Let $\langle T_\alpha \mid \alpha < 2^{\aleph_0} \rangle$ enumerate all perfect trees in $[P]$.



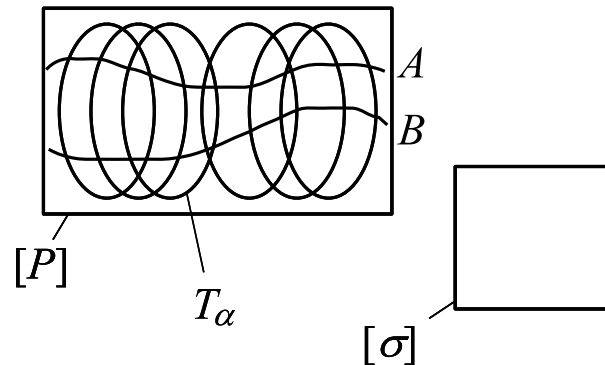
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- Let $\langle T_\alpha \mid \alpha < 2^{\aleph_0} \rangle$ enumerate all perfect trees in $[P]$.
- Since also $|T_\alpha| = 2^{\aleph_0}$, we find two Bernstein components A and B with $A \cap B = \emptyset$ and

$$\forall \alpha < 2^{\aleph_0} (A \cap [T_\alpha] \neq \emptyset \wedge B \cap [T_\alpha] \neq \emptyset)$$



Baire Property

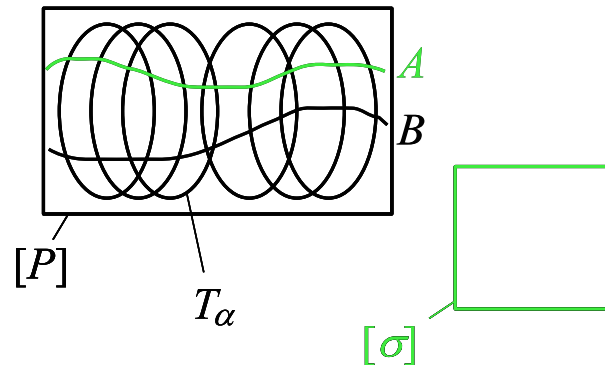
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$$\forall \alpha < 2^{\aleph_0} (A \cap [T_\alpha] \neq \emptyset \wedge B \cap [T_\alpha] \neq \emptyset)$$

- Let $A' := A \cup [\sigma]$. Then for **no** perfect tree T in $[P]$ do we have $[T] \subseteq A'$ or $[T] \cap A' = \emptyset$, so neither A' nor its complement is in $\mathbf{BP}(\mathbb{P})$. But either A' or its complement is determined. \square



Weak Baire property

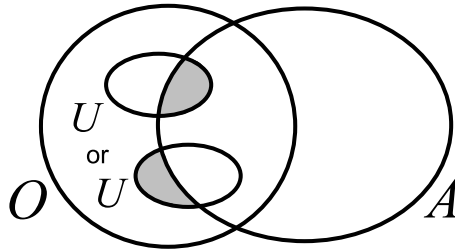
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Weak Baire property

How to define **wBP**(\mathbb{P})?

Use the following fact: A has the **Baire property** iff

$\forall O \exists U \subseteq O (U \cap A \text{ is meager} \vee U \setminus A \text{ is meager})$

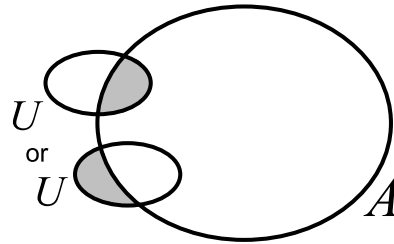


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Definition: A has the **weak Baire property** iff

$$\exists U (U \cap A \text{ is meager} \vee U \setminus A \text{ is meager})$$

Three Cases

Consider the topological space $(\omega^\omega, \mathbb{P})$ or $(2^\omega, \mathbb{P})$.

Case 1: For every σ :

$$\exists P \in \mathbb{P} \text{ s.t. } [P] \setminus [\sigma] \text{ is meager}$$

Case 2: For some σ :

$$\forall P \in \mathbb{P} \exists Q \leq P \text{ s.t. } [Q] \cap [\sigma] \text{ is meager}$$

Case 3: None of the above.

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Case 1: $\mathbf{D} \rightarrow \text{wBP}(\mathbb{P})$ pointwise.

Case 2: $\mathbf{D} \not\rightarrow \text{wBP}(\mathbb{P})$ pointwise.

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*All standard \mathbb{P}
belong to this
category*

Asymmetric Properties

Perfect Set Property: A is countable or contains a perfect tree. This is an **asymmetric** property, saying that “ A is big or small”.

PSP = “**Asym**(\mathbb{S})” (Sacks forcing).

Analogous **asymmetric** properties have been defined for other (\mathbb{P}, \leq) .

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Question:

1. Is there a general definition for **Asym**(\mathbb{P})?
2. What about $\mathbf{D} \rightarrow \mathbf{Asym}(\mathbb{P})$ pointwise?

Notions of Smallness

Definition:

- For two reals x, y , define

$$x \leq^* y \iff \forall^\infty n (x(n) \leq y(n))$$

- $A \subseteq \omega^\omega$ is **σ -bounded** iff $\exists f \forall x \in A (x \leq^* f)$.




- $A \subseteq \omega^\omega$ is **dominating** iff $\forall f \exists x \in A (f \leq^* x)$.

- $A \subseteq \omega^\omega$ is **strongly dominating** iff

$$\forall f \exists x \in A \forall^\infty n [x(n+1) > f(x(n))]$$

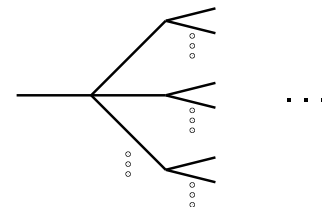
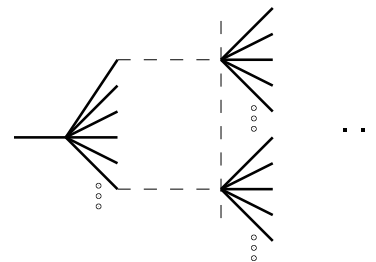
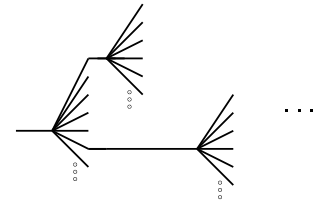
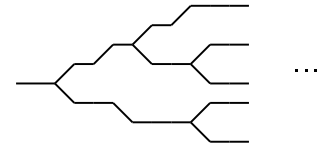
Notions of Largeness


Definition:

- 
Perfect tree: every node has an extension which is a splitting node.
- 
Super-perfect tree: every splitting node is ω -splitting and every node has an extension which is an ω -splitting node.
- 
Spinas tree: super-perfect tree such that for every $t \in T$:

$$\forall s_1, s_2 \ (t \frown s_1 \text{ and } t \frown s_2 \text{ are } \omega\text{-splitting nodes of } T \rightarrow |s_1| = |s_2|)$$

i.e., the next splitting node is a fixed distance away from t .



- 
Laver tree: the stem is finite, and after the stem, every node is ω -splitting.

Asymmetric properties

Forcing	Largness	Smallness	Reg
\mathbb{C}	contains perfect tree	countable	PSP
\mathbb{M}	contains super-perfect tree	σ -bounded	K_σ -regularity
\mathbb{L}^*	contains Spinas tree	not dominating	u-regularity
\mathbb{L}	contains Laver tree	not strongly dominating	Laver-regularity

What do all these properties have in common?

Asymmetric games

Definition:

1. Asymmetric Game $G^\bullet(A)$:

$I :$	s_0	s_1	\dots
<hr/>			
$II :$	n_1	n_2	\dots

$$s_i \in \omega^{<\omega} \setminus \{\emptyset\}, \quad n_i \in \omega$$

I wins iff

- $\forall i \geq 1: s_i(0) \neq n_i$
- $x := s_0 \frown s_1 \frown s_2 \frown \dots \in A.$

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2. Kechris Game $\tilde{G}(A)$:

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Asymmetric games

Definition:

3. Spinas Game $G_u(A)$:

$$\begin{array}{cccc} I : & (s_0, k_0) & (s_1, k_1) & \dots \\ \hline II : & & n_1 & n_2 \end{array}$$

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- $x := s_0 \frown s_1 \frown s_2 \frown \dots \in A$.

4. Goldstern Game $D(A)$:

$$\frac{I : \quad s_0 \quad \quad k_1 \quad \quad k_2}{II : \quad \quad n_1 \quad \quad n_2 \quad \quad \dots}$$

$$s_i \in \omega^{<\omega} \setminus \{\emptyset\}, \quad k_i \in \omega, \quad n_i \in \omega$$

I wins iff

- $\forall i \geq 1 : k_i > n_i$
- $x := s_0 \frown \langle k_1, k_2, \dots \rangle \in A$

Game Characterizations of Asym

Theorem: (Davis 1964; Kechris 1977; Spinas 1993; Goldstern et al 1995)

1. (a) I wins $G^\bullet(A) \iff A$ contains a perfect tree.
(b) II wins $G^\bullet(A) \iff A$ is countable.
2. (a) I wins $\tilde{G}(A) \iff A$ contains a super-perfect tree.
(b) II wins $\tilde{G}(A) \iff A$ is σ -bounded.
3. (a) I wins $G_u(A) \iff A$ contains a Spinas tree.
(b) II wins $G_u(A) \iff A$ is not dominating.
4. (a) I wins $D(A) \iff A$ contains a Laver tree.
(b) II wins $D(A) \iff A$ is not strongly dominating.

Generalized Asymmetric Games

Definition: Start with set of parameters $\Phi := (R, r^0, \{\Theta_n\}_{n \in \omega}, f)$ where

- $R \subseteq \mathcal{P}(\omega^{<\omega})$ is a countable set of **requirements**.
- $r^0 \subseteq \omega^{<\omega}$ is the **initial requirement**.
- The Θ_i are countable sets of **additional information**.
- $f : \bigcup_n \Theta_n \longrightarrow \mathcal{P}(R)$.

Then the game $G_\Phi(A)$ is defined as follows:

$$\begin{array}{ccccccc} I : & (s_0, \theta_0) & & (s_1, \theta_1) & & (s_2, \theta_2) & \dots \\ \hline II : & & r_1 & & r_2 & & \dots \end{array}$$

where $s_i \in \omega^{<\omega} \setminus \{\emptyset\}$, $\theta_i \in \Theta_i$, $r_i \in R \cap f(\theta_{i-1})$

I wins $G_\Phi(A)$ iff

- $s_0 \in r^0$
- $\forall i \geq 1 : s_i \in r_i$
- $x := s_0 \frown s_1 \frown s_2 \frown \dots \in A$.

Asymmetric Game Characterizations

Definition: Let (\mathbb{P}, \leq) be a forcing notion, and let $G_{\mathbb{P}}$ be a generalized asymmetric game. We say that $G_{\mathbb{P}}$ **represents** \mathbb{P} iff

$$\forall A [I \text{ has a winning strategy in } G_{\mathbb{P}}(A) \iff \exists P \in \mathbb{P} ([P] \subseteq A)]$$

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Definition: Suppose G_Φ represents \mathbb{P} . Then we define $\text{Asym}_\Phi(\mathbb{P})$ by

$$A \in \text{Asym}_\Phi(\mathbb{P}) :\iff \exists P \in \mathbb{P} ([P] \subseteq A) \vee II \text{ wins } G_\Phi(A)$$

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$$A \in \text{Asym}_\Phi(\mathbb{P}) :\iff \exists P \in \mathbb{P} ([P] \subseteq A) \vee II \text{ wins } G_\Phi(A)$$

Examples:

- Let Φ_\bullet be the parameters corresponding to Davis's asymmetric game $G^\bullet(A)$. Then Sacks forcing is represented by $G_{\Phi_\bullet}(A)$. Therefore we can write $\text{PSP} = \text{Asym}_{\Phi_\bullet}(\mathbb{S})$.
- Let Φ_\sim be the parameters corresponding to the Kechris game $\tilde{G}(A)$. Then Miller forcing is represented by $G_{\Phi_\sim}(A)$. Therefore $K_\sigma\text{-regularity} = \text{Asym}_{\Phi_\sim}(\mathbb{M})$.

Questions

- Does every \mathbb{P} have an asymmetric game G_{Φ} which represents it?
- If so, is the representation unique?

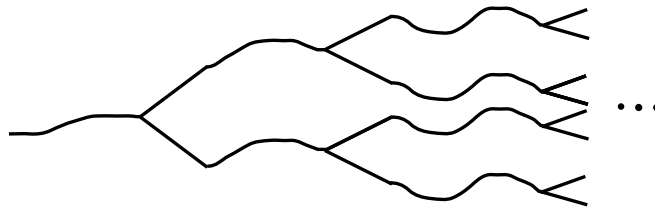
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For example, Silver forcing probably doesn't have a game representation.

Silver forcing: **uniform trees**. Perfect trees T on 2^ω such that

$$\forall s, t \in T (|s| = |t| \rightarrow \{i \mid s \frown \langle i \rangle \in T\} = \{i \mid t \frown \langle i \rangle \in T\})$$



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- Let $\langle T_\alpha \mid \alpha < 2^{\aleph_0} \rangle$ enumerate perfect trees and $\langle \tau_\alpha \mid \alpha < 2^{\aleph_0} \rangle$ II -strategies. Let $K_\alpha := \{x \mid s \subseteq x \wedge x \text{ is a play according to } \tau_\alpha\}$

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 - $a_{\alpha+1} \in K_\alpha \setminus (A_\alpha \cup B_\alpha)$
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- Then $A \cap [\sigma] = \emptyset$ so A is determined; A doesn't contain a perfect tree by construction; II doesn't have a winning strategy in $G_\Phi(A)$ because $\forall \tau_\alpha$, we have $a_{\alpha+1} \in A$ according to τ_α . □

Conclusion

There might be a better definition of $\text{Asym}(\mathbb{P})$, but as long as $\text{Asym}(\mathbb{P}) = \text{Asym}_{G_\Phi}(\mathbb{P})$ for some non-trivial G_Φ representing \mathbb{P} , we have

Conclusion: $\mathbf{D} \not\rightarrow \text{Asym}(\mathbb{P})$ pointwise.

In particular $\mathbf{D} \not\rightarrow \text{PSP}$, K_σ -regularity, u-regularity and Laver-regularity pointwise.

Thank you!

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