

Regularity properties on the generalized reals

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Amsterdam, 03 November 2014

The Baire property

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- All analytic sets satisfy the Baire property (Suslin 1917).
- “*All projective sets satisfy the Baire property*” is independent of ZFC (Gödel 1938 + Solovay 1970).

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Generalize **descriptive set theory** in the standard way:

- Borel = smallest collection containing open sets and closed under complements and κ -unions.
- Σ_1^1 = projections of closed.
- Π_n^1 = complements of Σ_n^1 .

Baire property for generalized projective sets

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There is a Σ_1^1 set without the κ -Baire property.

Idea: let C denote the **club filter** on κ , considered as a subset of 2^κ , i.e.,

$$C = \{x \in 2^\kappa \mid \{i < \kappa \mid x(i) = 1\} \text{ contains a club}\}.$$

Note that:

- “To be closed” is (topologically) closed.
- “To be unbounded” is G_δ .
- \Rightarrow “To be in the club filter” is Σ_1^1 .

Show that C does not have the κ -Baire property (we will see a more general proof later).

Baire property for generalized projective sets

Theorem (Friedman-Hyttinen-Kulikov 2014)

A κ^+ -product of κ -Cohen forcing (forcing with $2^{<\kappa}$) with supports of size $<\kappa$, forces that all Δ_1^1 sets have the κ -Baire property.

(Remember that $\Delta_1^1 \neq \text{Borel}$).

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Also, it is easy to see that in L there is a Δ_1^1 set without the κ -Baire property.

So $\Delta_1^1(\kappa\text{-Baire})$ is independent.

Combinatorial regularity properties

In the classical setting, people have studied many regularity properties: Lebesgue measure, Ramsey property, Sacks property etc. A lot of them can be cast in a unifying framework in terms of **forcing partial orders** (Brendle, Löwe, Ikegami, Kh, Laguzzi).

There is a rich theory of such properties for projective sets beyond the analytic (Δ_2^1 , Σ_2^1 etc.)

We wanted to conduct a systematic study of what happens with such properties in the setting of **generalized reals**.

Why is this interesting?

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Some possible answers...

- Applications to forcing theory.
- Understanding “what makes ω so special”.
- Importance of the club-filter.
- Understanding the importance of “absoluteness” in DST.
- Developing new forcing techniques
- ...

Classical vs. Generalized DST

Classical DST	Generalized DST
Borel = Δ_1^1 .	Borel $\neq \Delta_1^1$.
Σ_1^1 -absoluteness for all models and Shoenfield absoluteness for models containing ω_1 .	Σ_1^1 -absoluteness may fail even for forcing extensions (destroy stationary set by shooting club); however, it holds for $<\kappa$ -closed forcing.
Σ_2^1 -good w.o. of the reals in L .	Σ_1^1 -good w.o. of the generalized reals in L .
“Proper forcing” is well-understood.	“ κ -proper forcing” is not well-understood and no general iteration theorems.

Definition

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We call a forcing poset \mathbb{P} **κ -tree-like** if the conditions are trees on κ^κ or 2^κ , ordered by inclusion, with some additional assumptions:

- 1 If $T \in \mathbb{P}$ and $\sigma \in T$ then $T \upharpoonright \sigma \in \mathbb{P}$.
- 2 All $T \in \mathbb{P}$ are pruned (no terminal nodes) and $<\kappa$ -closed (increasing sequences of length $< \kappa$ of nodes in T have a limit in T).
- 3 The definition of \mathbb{P} is absolute.

Examples

- κ -Cohen \mathbb{C}_κ : basic open sets $[\sigma]$ for $\sigma \in \kappa^{<\kappa}$ or $2^{<\kappa}$.

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 - κ -Sacks \mathbb{S}_κ : trees $T \subseteq 2^{<\kappa}$ s.t.
 - every node has a splitting extension, and
 - if $\{\sigma_i \mid i < \lambda\}$ is an increasing sequence of splitting nodes of length $\lambda < \kappa$, then $\bigcup_{i < \lambda} \sigma_i$ is a splitting node.
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- κ -Miller \mathbb{M}_κ : forcing conditions are trees $T \subseteq \kappa^{<\kappa}$ s.t.
 - every node has a (club-)splitting extension, and
 - if $\{\sigma_i \mid i < \lambda\}$ is an increasing sequence of club-splitting nodes of length $\lambda < \kappa$, then $\bigcup_{i < \lambda} \sigma_i$ is a club-node.

(Friedman & Zdomsky 2010)

Other examples

Other (more artificial?) examples:

- κ -Laver \mathbb{L}_κ : every $\sigma \in T$ extending the stem is club-splitting.
- κ -Mathias \mathbb{R}_κ : uniform version of \mathbb{L}_κ .
- κ -Silver \mathbb{V}_κ : uniform version of \mathbb{S}_κ (only makes sense for inaccessible κ).

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NB: **random forcing** is missing from the list—we don't know how to generalize random forcing to generalized Baire spaces (cf. Giorgio's talk tomorrow).

\mathbb{P} -measurability

For $A \subseteq \kappa^\kappa$ or 2^κ , we follow the abstract approach of Ikegami and define:

Definition

- A is \mathbb{P} -**nowhere dense** iff $\forall T \in \mathbb{P} \exists S \leq T ([S] \cap A = \emptyset)$.
- A is \mathbb{P} -**meager** iff it is the countable union of \mathbb{P} -null sets.
- A is \mathbb{P} -**measurable** iff $\forall T \in \mathbb{P} \exists S \leq T ([S] \subseteq^* A$ or $[S] \cap A =^* \emptyset)$, where \subseteq^* and $=^*$ stand for “modulo \mathbb{P} -meager”.

For $\mathbb{P} = \kappa$ -Cohen, this generalizes the Baire property.

\mathbb{P} -measurability of projective sets

- 1 Are Borel sets \mathbb{P} -measurable?
- 2 Are Σ_1^1 -sets \mathbb{P} -measurable?
- 3 Are Δ_1^1 -sets \mathbb{P} -measurable?
- 4 Imitating classical Δ_2^1 -theory on Δ_1^1 -level?

1. Are Borel sets \mathbb{P} -measurable?

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In the ω^ω -setting we can use **forcing** and **Shoenfield absoluteness** to prove that all Σ_1^1 -sets are \mathbb{P} -measurable (for a wide class of \mathbb{P}). But in the generalized setting Shoenfield absoluteness may fail, so we need to rely on more primitive methods.

Topological or Axiom A

Definition

\mathbb{P} is **topological** iff $\{[T] \mid T \in \mathbb{P}\}$ forms a topology base on κ^κ (i.e., $T \perp S \Rightarrow [T] \cap [S] = \emptyset$).

Topological or Axiom A

Definition

\mathbb{P} satisfies **Axiom A** iff there are orderings $\{\leq_\alpha \mid \alpha < \kappa\}$, with $\leq_0 = \leq$, satisfying:

- 1 $T \leq_\beta S$ implies $T \leq_\alpha S$, for all $\alpha \leq \beta$.
- 2 If $\langle T_\alpha \mid \alpha < \lambda \rangle$ is a sequence of conditions, with $\lambda \leq \kappa$ (in particular $\lambda = \kappa$) satisfying $T_\beta \leq_\alpha T_\alpha$ for all $\alpha \leq \beta$, then there exists $T \in \mathbb{P}$ such that $T \leq_\alpha T_\alpha$ for all $\alpha < \lambda$.
- 3 For all $T \in \mathbb{P}$, D dense below T , and $\alpha < \kappa$, there exists an $E \subseteq D$ and $S \leq_\alpha T$ such that $|E| \leq \kappa$ and E is predense below S .

Definition

\mathbb{P} satisfies **Axiom A*** if in 3 of the definition above, additionally we have “ $[S] \subseteq \bigcup\{[T] \mid T \in E\}$ ”.

Topological or Axiom A

Lemma

If \mathbb{P} is topological then A is \mathbb{P} -measurable iff A has the Baire property in the \mathbb{P} -topology. In particular, Borel sets are \mathbb{P} -measurable.

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If \mathbb{P} satisfies Axiom A^ then the algebra of \mathbb{P} -measurable sets is closed under κ -unions and κ -intersections. In particular, Borel sets are \mathbb{P} -measurable.*

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NB: This is completely analogous to the classical situation!

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Recall the **club filter** used by Halko & Shelah:

$$C = \{x \in 2^\kappa \mid \{i < \kappa \mid x(i) = 1\} \text{ contains a club}\}.$$

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$$C = \{x \in 2^\kappa \mid \{i < \kappa \mid x(i) = 1\} \text{ contains a club}\}.$$

For $S \subseteq \kappa$ stationary, co-stationary, define:

$$C_S = \{x \in \kappa^\kappa \mid \{i < \kappa \mid x(i) \in S\} \text{ contains a club}\}.$$

Clearly C_S is also Σ_1^1 .

Generalizing Halko-Shelah

Theorem (Friedman-Kh-Kulikov)

- 1 If \mathbb{P} is any tree-like forcing on 2^κ **refining** \mathbb{S}_κ , then C is not \mathbb{P} -measurable.
- 2 If \mathbb{P} is any tree-like forcing on κ^κ **refining** \mathbb{M}_κ , then C_S is not \mathbb{P} -measurable.

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Proof.

(1) Suppose C is \mathbb{P} -measurable, let $T \in \mathbb{P}$ be s.t. $[T] \subseteq^* C$ or $[T] \cap C =^* \emptyset$, w.l.o.g. the former. Let $\{X_i \mid i < \kappa\}$ be \mathbb{P} -nowhere dense sets such that $[T] \setminus C = \bigcup_{i < \kappa} X_i$. Construct a decreasing sequence of trees as follows:

- $T_0 := T$,
- $T_{i+1} \leq T_i$ is s.t. $[T_{i+1}] \cap X_i = \emptyset$ and $|\text{stem}(T_{i+1})| > |\text{stem}(T_i)|$,
- at limits λ , first let $T'_\lambda := \bigcap_{i < \lambda} T_i$, which is in \mathbb{P} **by assumption**. Choose $T_\lambda \leq T'_\lambda$ such that $\text{stem}(T_\lambda) \supseteq \text{stem}(T'_\lambda) \frown \langle 0 \rangle$.

Now $x := \bigcup_{i < \kappa} \text{stem}(T_i)$ is a branch through T , $x \notin X_i$ for all $i < \kappa$, and $x(i) = 0$ **for club-many** $i < \kappa$, hence $x \notin C$ —contradiction. \square

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Proof.

(2) Proceed analogously, except that at limit stages choose $T_{\lambda} \leq T'_{\lambda}$ such that $\text{stem}(T_{\lambda}) \supseteq \text{stem}(T'_{\lambda}) \cap \langle \alpha \rangle$, where α is in S or not in S depending on what we want. □

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Corollary

For all \mathbb{P} refining \mathbb{S}_{κ} or \mathbb{M}_{κ} , $\Sigma_1^1(\mathbb{P}\text{-measurability})$ is false.

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In L , use the Σ_1^1 -good wellorder to construct counterexamples to $\Delta_1^1(\mathbb{P})$, for any \mathbb{P} , by diagonalization.

Question: Is $\Delta_1^1(\mathbb{P}\text{-measurability})$ consistent?

Forcing Δ_1^1 - \mathbb{P} -measurability

Theorem (Friedman-Kh-Kulikov)

Let \mathbb{P} be a $<\kappa$ -closed, κ -tree-like forcing.

- 1 Suppose \mathbb{P} satisfies the κ^+ -c.c., and let \mathbb{P}_{κ^+} be the κ^+ -iteration of \mathbb{P} with supports of size $<\kappa$. Then $V^{\mathbb{P}_{\kappa^+}} \models \Delta_1^1(\mathbb{P}\text{-measurability})$.
- 2 Suppose \mathbb{P} satisfies Axiom A^* , and let \mathbb{P}_{κ^+} be the κ^+ -iteration of \mathbb{P} with supports of size $\leq \kappa$. *Moreover, assume that for every $x \in \kappa^\kappa \cap V^{\mathbb{P}_{\kappa^+}}$, there is $\alpha < \kappa^+$ such that $x \in \kappa^\kappa \cap V^{\mathbb{P}^\alpha}$.* Then $V^{\mathbb{P}_{\kappa^+}} \models \Delta_1^1(\mathbb{P}\text{-measurability})$.

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All forcings we consider are $<\kappa$ -closed and satisfy either the κ^+ -c.c. or Axiom A^* . However, the **red** condition is essentially about “preservation of κ -properness”, which is a very difficult problem in the generalized setting.

Towards the proof

For the proof, we need a lemma which is proved similarly to the ω^ω -case.

Lemma

Let \mathbb{P} be as in the theorem. For every elementary submodel $M \prec \mathcal{H}_\theta$ of a sufficiently large \mathcal{H}_θ , with $|M| = \kappa$ and $M^{<\kappa} \subseteq M$, and for every $T \in \mathbb{P} \cap M$, there is $T' \leq T$ such that

$$[T'] \subseteq^* \{x \in \kappa^\kappa \mid x \text{ is } \mathbb{P}\text{-generic over } M\}.$$

(where \subseteq^* means “modulo \mathbb{P} -meager” and a κ -real x is \mathbb{P} -generic over M iff $\{S \in \mathbb{P} \cap M \mid x \in [S]\}$ is a \mathbb{P} -generic filter over M .)

Proof of theorem

Proof.

In $V[G_{\kappa^+}]$, let A be Δ_1^1 , defined by Σ_1^1 -formulas ϕ and ψ . Let $S \in \mathbb{P}$ be arbitrary. We must find $T \leq S$ such that $[T] \subseteq^* A$ or $T \cap A =^* \emptyset$.

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By assumption, there exists $\alpha < \kappa^+$ s.t. S and the parameters of ϕ and ψ belong to $V[G_\alpha]$. Moreover, there is a $\beta > \alpha$ s.t. S belongs to $G(\beta + 1)$. Let $x_{\beta+1}$ be the $(\beta + 1)$ -th generic real.

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In $V[G_{\kappa^+}]$, either $\phi(x_{\beta+1})$ or $\psi(x_{\beta+1})$ holds. By symmetry, we may w.l.o.g. assume the former. **Since (the iteration of) \mathbb{P} is $<\kappa$ -closed, we have Σ_1^1 -absoluteness between $V[G_{\kappa^+}]$ and $V[G_{\beta+1}]$.** In particular, $V[G_{\beta+1}] \models \phi(x_{\beta+1})$. By the **forcing theorem** there exists $T \in V[G_\beta]$, $T \leq S$ and $T \Vdash_{\mathbb{P}} \phi(\dot{x}_{\text{gen}})$.

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Take an elementary M of size κ containing T . By elementarity, $M \models "T \Vdash_{\mathbb{P}} \phi(\dot{x}_{\text{gen}})"$. Going back to $V[G_{\kappa^+}]$, use the previous lemma to find $T' \leq T$ such that $[T'] \subseteq^* \{x \mid x \text{ is } \mathbb{P}\text{-generic over } M\}$. Now note that if x is \mathbb{P} -generic over M and $x \in [T]$, then $M[x] \models \phi(x)$. **By upwards- Σ_1^1 -absoluteness** between M and $V[G_{\kappa^+}]$ we conclude that $\phi(x)$ really holds. Since this was true for arbitrary $x \in [T']$, we obtain $[T'] \subseteq^* \{x \mid \phi(x)\} = A$. □

Independence for Δ_1^1 sets

Corollary

Let \mathbb{P} be as in the assumption of the theorem. Then $\Delta_1^1(\mathbb{P}\text{-measurability})$ is independent.

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The proof of the above theorem is related to classical proofs for Δ_2^1 sets. So a natural question is: how much of the theory for classical Δ_2^1 sets holds for Δ_1^1 sets in the generalized context?

More on Δ_1^1 .

4. Imitating classical Δ_2^1 -theory for Δ_1^1 -level?

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Theorem (Judah-Shelah 1989)

Δ_2^1 (Baire property) holds iff for every $r \in \omega^\omega$ there exists a Cohen real over $L[r]$.

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4. Imitating classical Δ_2^1 -theory for Δ_1^1 -level?

Theorem (Judah-Shelah 1989)

Δ_2^1 (Baire property) holds iff for every $r \in \omega^\omega$ there exists a Cohen real over $L[r]$.

Does this hold for Δ_1^1 sets in the generalized context?

More on Δ_1^1 .

No!

Theorem (Friedman, Wu & Zdomsky 2014)

Suppose κ is successor. There is a forcing iteration starting from L , in which cofinally many iterands have the κ^+ -c.c., such that in the extension the club filter is Δ_1^1 .

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Suppose κ is successor. There is a forcing iteration starting from L , in which cofinally many iterands have the κ^+ -c.c., such that in the extension the club filter is Δ_1^1 .

One can verify that this iteration adds κ -Cohen reals cofinally often! Hence, in that model there are κ -Cohen reals over $L[r]$, for every $r \in 2^\kappa$, however $\Delta_1^1(\kappa\text{-Baire property})$ fails.

More on Δ_1^1

Still, there are a few things we can say.

Fact

$\Delta_1^1(\kappa\text{-Baire property}) \Rightarrow \forall r \in \kappa^\kappa \exists \kappa\text{-Cohen real over } L[r].$

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$\Delta_1^1(\kappa\text{-Baire property}) \Rightarrow \forall r \in \kappa^\kappa \exists \kappa\text{-Cohen real over } L[r].$

Lemma

Suppose κ inaccessible. Then $\Delta_1^1(\mathbb{M}_\kappa\text{-measurability}) \Rightarrow \forall r \in \kappa^\kappa \exists x$ (x is unbounded over $L[r]$).

(This means $\{i \mid x(i) > y(i)\}$ is unbounded in κ , for every $y \in L[r]$).

Proof.

Based on the ω^ω -proof of Brendle & Löwe, but very technical. □

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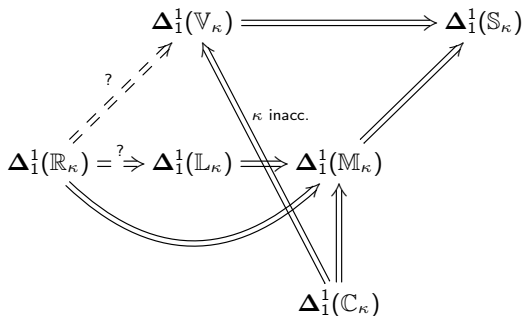
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Proof.

Based on the ω^ω -proof of Brendle & Löwe, but very technical. □

We do not have any similar results for the other forcings notions.

Implication diagram on Δ_1^1 level



\mathbb{C}_κ = Cohen, \mathbb{S}_κ = Sacks, \mathbb{M}_κ = Miller, \mathbb{L}_κ = Laver, \mathbb{R}_κ = Mathias, \mathbb{V}_κ = Silver.

The proofs are straightforward but quite technical.

Are the implications strict?

Can we prove that some/any of these implications are strict, i.e., cannot be reversed?

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Theorem (Friedman-Kh-Kulikov)

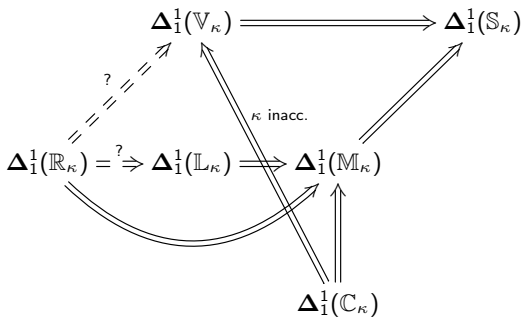
Suppose κ is inaccessible. Then $\text{Con}(\Delta_1^1(\mathbb{V}_\kappa\text{-measurability}) + \neg\Delta_1^1(\mathbb{M}_\kappa))$.

Proof.

Perform a κ^+ -iteration of κ -Silver forcing, starting in L , with supports of size κ . Then $\Delta_1^1(\mathbb{V}_\kappa\text{-measurability})$ holds by our previous theorem. Next, show that “ κ -properness” is preserved (similar to Kanamori’s κ -Sacks). Using inaccessibility of κ , the iteration is “ κ^κ -bounding”. As a result, the generic extension does not satisfy the statement “ $\forall r \exists x (x \text{ is unbounded over } \kappa^\kappa \cap L[r])$ ”, so $\Delta_1^1(\mathbb{M}_\kappa\text{-measurability})$ fails. \square

Implication diagram on Δ_1^1 level

However, there are still **many** open questions!



$\mathbb{C}_\kappa =$ Cohen, $\mathbb{S}_\kappa =$ Sacks, $\mathbb{M}_\kappa =$ Miller, $\mathbb{L}_\kappa =$ Laver, $\mathbb{R}_\kappa =$ Mathias, $\mathbb{V}_\kappa =$ Silver.

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All the properties we looked at are determined by **forcing** posets. We want these forcings to be $<\kappa$ -**closed**, so the trees $T \in \mathbb{P}$ are required to have a certain shape.

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All the properties we looked at are determined by **forcing** posets. We want these forcings to be $<\kappa$ -**closed**, so the trees $T \in \mathbb{P}$ are required to have a certain shape.

In particular, all our trees T satisfy:

$$\forall x \in [T] (\{i < \kappa \mid x \upharpoonright i \text{ is a split-node of } T\} \text{ is club}).$$

What if we drop this property?

Some results

- 1 If we drop the assumption on κ -Sacks trees that “limits of split-nodes are split-nodes”, we obtain a property weaker than \mathbb{S}_κ -measurability, **which consistently holds for all generalized projective sets**, (Schlicht).

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- 2 If we drop the assumption on κ -Miller trees that “limits of club-splitting-nodes are club-splitting”, we obtain a property weaker than \mathbb{M}_κ -measurability, **which consistently holds for all generalized projective sets** (Laguzzi, independently Lücke-Motto Ros-Schlicht).

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- ② If we drop the assumption on κ -Miller trees that “limits of club-splitting-nodes are club-splitting”, we obtain a property weaker than \mathbb{M}_κ -measurability, **which consistently holds for all generalized projective sets** (Laguzzi, independently Lücke-Motto Ros-Schlicht).
- ③ If we drop the assumption on κ -Silver trees that “splitting levels from a club” and replace it by “splitting levels form a stationary set”, we obtain a property weaker than \mathbb{V}_κ -measurability, **which consistently holds for all generalized projective sets** (Laguzzi).

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These weaker properties are not useful for forcing theory, because the corresponding forcing notions are **not** $<\kappa$ -**closed**.

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Work in progress (Friedman & Laguzzi)

Assume κ is measurable. Consider a version of Silver forcing in which the trees are required to split **on a set positive with respect to a normal measure on κ** . The corresponding forcing is κ -proper and $< \kappa$ -closed, and the corresponding regularity property is consistent for all projective sets.

Thank you!

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