

# Set Theory Project: Introduction to Forcing

## Assignment 2

### Part A: Martin's Axiom and Generic Filters

1. Let  $\mathbb{P}$  be a (forcing) partial order and  $A \subseteq \mathbb{P}$ .  $A$  is called a *maximal antichain* in case it is an antichain (i.e., all  $p, q \in A$  are incompatible) which cannot be extended to a larger antichain (i.e., for every  $p \in \mathbb{P}$  there is a  $q \in A$  which is compatible to  $p$ ). Show:
  - (a) If  $A \subseteq \mathbb{P}$  is a maximal antichain, then  $\{q \in \mathbb{P} \mid \exists p \in A (q \leq p)\}$  is a dense subset of  $\mathbb{P}$ .
  - (b) (AC) If  $D \subseteq \mathbb{P}$  is a dense set, then there exists a maximal antichain  $A \subseteq D$ .
  - (c) The following are equivalent for all  $\kappa$ :
    - if  $\{D_\alpha \mid \alpha < \kappa\}$  is a collection of dense sets, then there exists a filter  $G$ , such that  $G \cap D_\alpha \neq \emptyset$  for all  $\alpha < \kappa$ .
    - if  $\{A_\alpha \mid \alpha < \kappa\}$  is a collection of maximal antichains, then there exists a filter  $G$ , such that  $G \cap A_\alpha \neq \emptyset$  for all  $\alpha < \kappa$ .

(Thus, in the definition of “ $\mathcal{D}$ -generic filter” in the statement of Martin's Axiom (and later for forcing) it does not matter whether we consider dense subsets of  $\mathbb{P}$  or maximal antichains in  $\mathbb{P}$ .)

2. Let  $I$  be an infinite set and  $J$  an arbitrary non-empty set, and let  $\text{Fn}(I, J) := \{p \mid p \text{ is a finite function with } \text{dom}(p) \subseteq I \text{ and } \text{ran}(p) \subseteq J\}$ . Consider the forcing  $\mathbb{P} = (\text{Fn}(I, J), \supseteq, \emptyset)$ , i.e.,  $\mathbb{P}$  is the forcing with conditions from  $\text{Fn}(I, J)$ , with the order given by  $q \leq p$  iff  $q \supseteq p$  (i.e.,  $q$  extends  $p$  as a function), and  $\mathbf{1} = \emptyset$ .
  - (a) Let  $D_x := \{p \mid x \in \text{dom}(p)\}$  and  $R_y := \{p \mid y \in \text{ran}(p)\}$ . Show that these sets are dense, and if  $G$  is a filter which is generic for  $\mathcal{D} := \{D_x \mid x \in I\} \cup \{R_y \mid y \in J\}$ , then  $f_G := \bigcup G$  is a surjection from  $I$  to  $J$  (i.e., it is a function, its domain is  $I$ , and its range is  $J$ ).
  - (b) Show that, if  $|I| < |J| = \kappa$ , then  $\text{MA}_{\mathbb{P}}(\kappa)$  is inconsistent.

**Part B: Forcing basics (names and interpretations)**

1. In the following,  $\sigma, \tau, \theta$  are  $\mathbb{P}$ -names in  $M$  and  $G$  is a  $\mathbb{P}$ -generic filter over  $M$ . Are the following true or false?
  - (a) If  $(\sigma, \mathbf{1}) \in \tau$  then  $\sigma_G \in \tau_G$ .
  - (b) If  $(\sigma, p) \in \tau$  and  $p \in G$ , then  $\sigma_G \in \tau_G$ .
  - (c) If  $\sigma_G \in \tau_G$  then  $(\sigma, \mathbf{1}) \in \tau$ .
  - (d) If  $x \in \tau_G$  then there exists  $(\sigma, p) \in \tau$  such that  $p \in G$  and  $x = \sigma_G$ .
  - (e) If  $\sigma_G \in \tau_G$  then there exists  $p \in G$  such that  $(\sigma, p) \in \tau$ .
  - (f) If  $\sigma_G \in \tau_G$  then there exists  $(\theta, r) \in \tau$  such that  $r \in G$  and  $\theta_G = \sigma_G$ .
  
2. Let  $\sigma, \tau$  be two  $\mathbb{P}$ -names in  $M$  and let  $G$  be generic over  $M$ . Show that  $(\sigma \cup \tau)_G = \sigma_G \cup \tau_G$
  
3. Write down the  $\mathbb{P}$ -name  $\check{3}$  in detail.

### Part C: Generic extensions

1. A condition  $p \in \mathbb{P}$  is called an *atom* if all  $q, r$  extending  $p$  are compatible. A forcing partial order  $\mathbb{P}$  is called *atomless* if it does not contain any atoms. In Kunen, there is a proof showing that if  $\mathbb{P} \in M$  is atomless then  $\mathbb{P}$ -generic filters over  $M$  cannot exist in  $M$ . Prove the converse, i.e., if  $\mathbb{P} \in M$  is not atomless (contains at least one atom), then there exists a  $G \in M$  which is a  $\mathbb{P}$ -generic filter over  $M$ .

2. Let  $M$  be a countable transitive model and let  $\mathbb{P} \in M$  be an atomless forcing. Prove that

$$|\{G : G \text{ is a } \mathbb{P}\text{-generic filter over } M\}| = 2^{\aleph_0}.$$

3. A subset  $D \subseteq \mathbb{P}$  is called *dense below  $p$*  if  $\forall q \leq p \exists r \leq q (r \in D)$ . Prove that if  $G$  is  $\mathbb{P}$ -generic over  $M$  and  $p \in G$ , then  $G$  has non-empty intersection with every  $D \in M$  which is dense below  $p$ .