



Mathematical
Institute

Higgs bundles for diffeomorphism groups

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Mathematics



GAUGE THEORY AND DIFFEOMORPHISMS

- gauge theory – Lie group G , connection, curvature, equations....
- replace G by $\text{Diff}(X)$

- gauge theory – Lie group G , connection, curvature, equations....
- replace G by $\text{Diff}(X)$
- bundle: manifold $E \rightarrow M$, fibre X
- connection: horizontal distribution $H \subset TE$
- flat iff integrable = transverse foliation

NAHM'S EQUATIONS FOR $\text{SDiff}(M^3)$

- 3-manifold M^3 with volume form
- X_1, X_2, X_3 volume-preserving vector fields on M^3
- $\frac{dX_1}{dt} = [X_2, X_3]$ etc.
- \Rightarrow hyperkähler metric on $M^3 \times (a, b)$

A. Ashtekar, T. Jacobson & L. Smolin, *A new characterization of half-flat solutions to Einstein's equation*, Commun. Math. Phys. **115** (1988) 631– 648.

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- 3-manifold M^3 with volume form
- X_1, X_2, X_3 vector fields on M^3
- $\frac{dX_1}{dt} = [X_2, X_3]$ etc.
- \Rightarrow hypercomplex structure on $M^3 \times (a, b)$

NJH, *Hypercomplex manifolds and the space of framings*, in
"The geometric universe" 930, OUP 1998

HIGGS BUNDLES

- compact Riemann surface Σ , compact group G
- principal G -bundle P + connection A
- Higgs field $\Phi \in \Omega^{1,0}(\Sigma, \mathfrak{g}^c)$
- equations

$$F_A + [\Phi, \Phi^*] = 0, \quad \bar{\partial}_A \Phi = 0$$

- G^c -connection $\nabla_A + \Phi + \Phi^*$
- equations \Rightarrow flat G^c -connection
- conversely, given a reductive representation $\pi_1(\Sigma) \rightarrow G^c$
- a harmonic section of $\tilde{\Sigma} \times_{\pi_1} G^c/G$
- ... defines a solution to the Higgs bundle equations

- G^c connection $\nabla_A + \Phi + \Phi^*$
- equations \Rightarrow flat connection
- real form $G^r \subset G^c$, max compact H
- $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m}$
- flat G^r connection if A reduces to H and $\Phi \in H^0(\Sigma, \mathfrak{m} \otimes K)$

EXAMPLE: $G = SU(2)$, $G^c = SL(2, \mathbf{C})$, $G^r = SL(2, \mathbf{R})$

- $V = K^{-1/2} \oplus K^{1/2} \quad \Phi = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$

- $U(1)$ -connection A on $K^{1/2}$

- $F_A + [\Phi, \Phi^*] = 0 \Rightarrow K = -1$ (Gaussian curvature)

- uniformization: $\pi_1(\Sigma) \rightarrow SL(2, \mathbf{R})$

THE GROUP $SU(\infty)$

- $\text{SDiff}(S^2)$ = group of symplectic diffeomorphisms of S^2
- $df = i_X\omega$ Hamiltonian vector fields
- Lie algebra = $C^\infty(S^2)/\text{const.}$

- $\text{SDiff}(S^2)$ = group of symplectic diffeomorphisms of S^2
- $df = i_X\omega$ Hamiltonian vector fields
- Lie algebra = $C^\infty(S^2)/\text{const.}$
- $SU(2) \subset \text{SDiff}(S^2)$
- spherical harmonics $C^\infty/\text{const.} = 3 + 5 + 7 + \dots$

- $SU(2) \rightarrow SU(n)$ irreducible representation
- $\mathfrak{su}(n) = 3 + 5 + 7 + \dots + (2n - 1)$
- $SU(\infty) \stackrel{\text{def}}{=} \text{SDiff}(S^2)$
- Poisson bracket \neq Lie bracket (except on $SU(2)$)

PROPERTIES

- invariant metric

$$(f, g) = \int_{S^2} fg \omega$$

- invariant polynomials

$$p_n(f) = \int_{S^2} f^n \omega$$

- \sim compact Lie group G

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- \sim compact Lie group G
- ... but no complexification G^c

$SU(\infty)$ -CONNECTION

- 2-sphere bundle $p : M^4 \rightarrow \Sigma$
- non-vanishing section ω_F of $\Lambda^2 T_F^*$
- horizontal subbundle $H \subset TM$
- such that for each horizontal lift of a vector field X on M ...
- $\mathcal{L}_X \omega_F = 0$

EXAMPLE

- 2-sphere bundle $p : M^4 \rightarrow \Sigma$
- symplectic form ω such that fibres are symplectic
- define horizontal subbundle H

= symplectic orthogonal to fibres

$SU(\infty)$ HIGGS BUNDLES

$SU(\infty)$ -HIGGS FIELD

- Locally $\phi_1 dx_1 + \phi_2 dx_2$
- ϕ_i functions on Σ with values in $C^\infty(S^2)$
- \sim functions on M
- $\Phi = (\phi_1 dx_1 + \phi_2 dx_2)^{1,0}$ section of p^*K on M

- connection: $\frac{\partial}{\partial x} + A_1, \frac{\partial}{\partial y} + A_2$

A_1, A_2 Hamiltonian vector fields on S^2 depending on x, y

- Higgs field:

Φ_1, Φ_2 Hamiltonian vector fields on S^2 depending on x, y

- connection: $\frac{\partial}{\partial x} + A_1, \quad \frac{\partial}{\partial y} + A_2$

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- $$\left[\frac{\partial}{\partial x} + A_1 - i\Phi_2, \frac{\partial}{\partial y} + A_2 + i\Phi_1 \right] = 0$$

(cf. $\nabla_A + \Phi + \Phi^*$ flat)

- complex vector fields

$$X_1 = \frac{\partial}{\partial x} + A_1 - i\Phi_2, \quad X_2 = \frac{\partial}{\partial y} + A_2 + i\Phi_1$$

$$[X_1, X_2] = 0$$

- \Rightarrow integrable complex structure
- as long as $X_1, \bar{X}_1, X_2, \bar{X}_2$ are linearly independent
- iff Hamiltonian vector fields Φ_1, Φ_2 are linearly independent

- Hamiltonian functions ϕ_1, ϕ_2 for vector fields Φ_1, Φ_2
- linear dependence where $\{\phi_1, \phi_2\} = 0$ (Poisson bracket)
- defines a hypersurface N^3

$$\left[\frac{\partial}{\partial x} + A_1 + i \left(\frac{\partial}{\partial y} + A_2 \right), \Phi_1 + i\Phi_2 \right] = 0$$

$$\left[\frac{\partial}{\partial x} + A_1 + i\Phi_2, \frac{\partial}{\partial y} + A_2 - i\Phi_1 \right] = 0$$

- two more complex structures \Rightarrow hypercomplex manifold
- symplectic \Rightarrow hyperkähler

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- ϕ_1, ϕ_2 Hamiltonian functions
- $(\phi_1 + i\phi_2)dz$ gives local $M^4 \cong T^*\Sigma$
- ω_1 -symplectic-orthogonal to fibres = $\text{SDiff}(S^2)$ -connection

THE CANONICAL MODEL

- $V = K^{-1/2} \oplus K^{1/2}$ $\Phi = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$
- $SU(2) \subset SU(\infty)$
- defines the canonical folded hyperkähler metric
- the extension of the hyperbolic metric on Σ
- real form $SO(2) \subset SU(2), SL(2, \mathbf{R}) \subset SL(2, \mathbf{C})$

THE CANONICAL MODEL

Theorem (Feix, Kaledin) Given a real analytic Kähler metric on M there is a unique S^1 -invariant hyperkähler extension to a neighbourhood of the zero section in T^*M .

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- $\omega_2 + i\omega_3 =$ canonical complex symplectic form
- $M = S^2$ complete metric (Eguchi-Hanson)
- $M = \Sigma$ surface of genus $g > 1$ incomplete (complete \Rightarrow polynomial growth in π_1)

- take Σ with hyperbolic metric
- $T^*\Sigma \subset \mathbf{P}(K \oplus 1)$
- the hyperkähler extension is defined on the unit disc bundle in $T^*\Sigma$
- and extends to a folded hyperkähler metric on the S^2 -bundle $\mathbf{P}(K \oplus 1)$

J.D.Gegenberg & A.Das, *Stationary Riemannian space-times with self-dual curvature*, Gen. Relativity Gravitation **16** (1984) 817–829.

H.Pedersen & B.Nielsen, *On some Euclidean Einstein metrics*, Lett.Math.Phys. **12** (1986) 277–282.

S.K.Donaldson, *Moment maps in differential geometry*, Surv. Differ. Geom., **8** Int. Press, Somerville, MA, 2003 171-189.

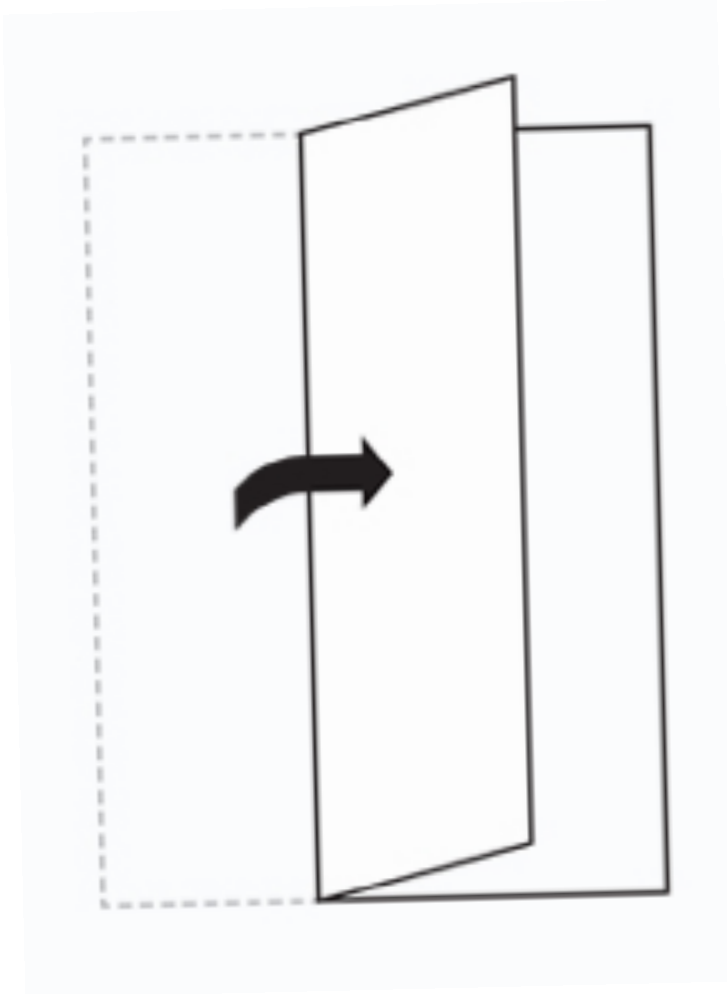
- Kähler form ω_1 on fibre $i \frac{dw d\bar{w}}{4(1 - |w|^2)^{1/2}}$

$$i \frac{dw d\bar{w}}{4(1 - |w|^2)^{1/2}} = \frac{dx_1 \wedge dx_2}{2(1 - x_1^2 - x_2^2)^{1/2}} = \frac{dx_1 \wedge dx_2}{2x_3}$$

- well-defined on S^2
- $\omega_1, \omega_2, \omega_3$ well-defined on $M^4 \xrightarrow{S^2} \Sigma$

- take Σ with hyperbolic metric
- $T^*\Sigma \subset \mathbf{P}(K \oplus 1)$
- the hyperkähler extension is defined on the unit disc bundle in $T^*\Sigma$
- and extends to a **folded** hyperkähler metric on the S^2 -bundle $\mathbf{P}(K \oplus 1)$

FOLDING



- $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2 \quad f(x, y) = (x^2, y)$

- $f^*(dx \wedge dy) = 2x dx \wedge dy$

- symplectic manifold M^{2m} : closed 2-form ω , $\omega^m \neq 0$

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- ... and $\omega|_N$ has maximal rank

- normal form $xdx \wedge dy + \sum_1^{m-1} du_i \wedge dv_i$

Theorem: Any compact oriented 4-manifold admits a folded Kähler structure.

RI Baykur, *Kähler decompositions of 4-manifolds*, AGT **6** (2006) 1239–1265.

(symplectic geometry of Stein surfaces)

- $M^4 = M^+ \cup N^3 \cup M^-$
- Kähler metric \pm definite on M^\pm

HYPERKÄHLER GEOMETRY

4D HYPERKÄHLER MANIFOLD

- metric g , complex structures I, J, K
- Kähler forms $\omega_1, \omega_2, \omega_3$
- $\omega_1^2 = \omega_2^2 = \omega_3^2$
- $\omega_1\omega_2 = \omega_2\omega_3 = \omega_3\omega_1 = 0$
- metric $g = \omega_1\omega_2^{-1}\omega_3$

FOLDED HYPERKÄHLER

- closed 2- forms $\omega_1, \omega_2, \omega_3$
- $\omega_1^2 = \omega_2^2 = \omega_3^2$
- $\omega_1\omega_2 = \omega_2\omega_3 = \omega_3\omega_1 = 0$
- $\omega_1^2 = 0$ defines a smooth hypersurface N^3

- at $x \in N$, suppose $\omega_1, \omega_2, \omega_3$ are linearly independent in $\Lambda^2 T_x^* M$
- 3-dimensional subspace $V_x \subset \Lambda^2 T_x^* M$
- $\omega \in V_x \Rightarrow \omega^2 = 0 \Rightarrow$ decomposable $\omega = \alpha_1 \wedge \alpha_2$
- \Rightarrow projective line in $\mathbf{P}(T_x^*)$

- α -planes and β -planes in the Klein quadric
- \Leftrightarrow lines in a plane in $\mathbf{P}(T_x^*)$ or...
- .. lines through a point.

- all folded: $\omega_i = xdx \wedge \alpha_i + \beta_i \wedge \gamma_i$
- = β -plane
- = lines in $\mathbf{P}(T_x N)$

α -PLANES

- $\omega_1 = dx \wedge \varphi + x d\varphi$

$$\omega_2 = x dx \wedge \alpha_1 + \beta_1 \wedge \varphi$$

$$\omega_3 = x dx \wedge \alpha_2 + \beta_2 \wedge \varphi$$

- $[\varphi] \in \mathbf{P}(T^*)$

THE GROUP $SO(\infty)$

- $S^2 = \{(x_1, x_2, x_3) : x_1^2 + x_2^2 + x_3^2 = 1\}$
- involution $\sigma(x_1, x_2, x_3) = (x_1, x_2, -x_3)$
- $SO(\infty) = \{f \in \text{SDiff}(S^2) : f\sigma = \sigma f\}$

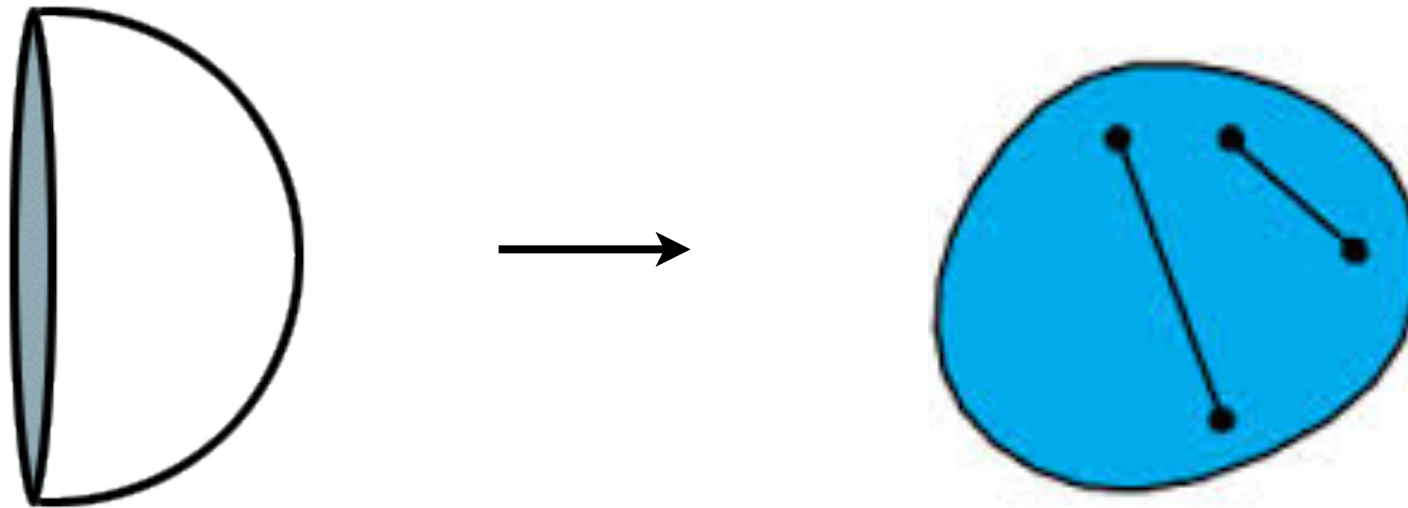
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- involution $\sigma(x_1, x_2, x_3) = (x_1, x_2, -x_3)$
- $SO(\infty) = \{f \in \text{SDiff}(S^2) : f\sigma = \sigma f\}$
- Lie algebra = odd functions on S^2
- \mathfrak{m} = even functions

- $SL(\infty)$ -Higgs bundle
- ϕ_1, ϕ_2 even $\Rightarrow \{\phi_1, \phi_2\}$ odd
- $\Rightarrow \{\phi_1, \phi_2\}$ vanishes on circle bundle
- \Rightarrow fold = circle bundle

- $SO(\infty)$ preserves the fixed point set $x_3 = 0$
- homomorphism $SO(\infty) \rightarrow \text{Diff}(S^1)$
- $SL(\infty)$ -Higgs bundle $\Rightarrow \text{Diff}(S^1)$ -connection

GEOMETRY OF THE FOLD

- Higgs field Φ section of p^*K
- ... assume it defines a diffeomorphism from one disc bundle in $f : M \rightarrow T^*\Sigma$
- ..then $\phi_1 dx_1 + \phi_2 dx_2 = f^*\theta$ canonical one-form
- and $\omega_2 + i\omega_3 = f^*(dw \wedge dz)$



- f maps the fold to a (non-quadratic) circle bundle in $T^*\Sigma$
- Finsler geometry = circle bundle in $T\Sigma$
- Legendre transform $\Rightarrow T^*\Sigma$

- on $f(N)$, annihilator of $\beta_1, \varphi =$ one-dimensional foliation
- \sim Hamiltonian flow
- β_2 restricts to a parameter on the integral curve
- annihilator of $\varphi =$ horizontal subspaces = $\text{Diff}(S^1)$ -connection

- hyperkähler forms near $\{\phi_1, \phi_2\} = x = 0$

$$\omega_1 = dx \wedge \varphi + x d\varphi$$

$$\omega_2 = x dx \wedge \alpha_1 + \beta_1 \wedge \varphi$$

$$\omega_3 = x dx \wedge \alpha_2 + \beta_2 \wedge \varphi$$

- on the fold N^3 :

closed 2-forms $\beta_1 \wedge \varphi, \beta_2 \wedge \varphi$

- on $f(N)$ restrictions of real and imaginary parts of $dw \wedge dz$

EXAMPLE: CANONICAL MODEL

- $N =$ unit (cotangent) circle bundle for hyperbolic metric
- foliation = geodesic flow
- $\beta_2 = ds$ length

A QUESTION

- for each group $SL(n, \mathbf{R})$ there is a distinguished component of $\text{Hom}(\pi_1(\Sigma), SL(n, \mathbf{R}))/SL(n, \mathbf{R})$

- ... Teichmüller space for $n = 2$

- $\cong \bigoplus_{m=2}^n H^0(\Sigma, K^m)$ using Higgs bundles

..

- Is there an analogue for $SL(\infty, \mathbf{R})$ and does it parametrize generalized geodesic structures?

EVIDENCE 1

- circle action $\Phi \mapsto e^{i\theta} \Phi$
- for higher Teichmüller space unique fixed point
- ... = uniformizing representation

$$\pi_1(\Sigma) \rightarrow SL(2, \mathbf{R}) \xrightarrow{S^m} SL(m+1, \mathbf{R})$$

Is the canonical model the only S^1 -invariant folded hyperkähler manifold of this type?

- S^1 -invariance = $SU(\infty)$ Toda equation
- locally $(e^u)_{tt} + u_{xx} + u_{yy} = 0$
- globally:

$$\frac{\partial^2 g}{\partial t^2} - Kg = 0$$

- $g_{tt} = Kg \Rightarrow$ volume is quadratic in t
- rescale g to constant volume metric h
- put $h = fg_H$, g_H =hyperbolic metric

$$t(2-t)f_{tt} + 4(1-t)f_t = \Delta_H \log f.$$

- boundary conditions + maximum $\Rightarrow f = \text{const.}$

EVIDENCE 2

- deformations of fixed point of circle action
- for higher Teichmüller space \sim holomorphic sections of $K^2, K^3,$
- Also for $SL(\infty, \mathbf{R})$?

- θ canonical holomorphic 1-form on $T^*\Sigma$
- π Poisson tensor
- α holomorphic section of K^m
- h Hermitian form of hyperbolic metric

complex vector field $X^c = \pi(\alpha h^{-(k-1)} \bar{\theta}^{k-1})$

- $X = \text{real part of } X^c$
- \Rightarrow the closed 2-forms $\mathcal{L}_X \omega_i$ are anti-self-dual
- first order deformation $\dot{\omega}_1 = 0, \dot{\omega}_2 = \mathcal{L}_X \omega_2, \dot{\omega}_3 = \mathcal{L}_X \omega_3$
- deformation of hyperkähler metric
- deformation of polynomial invariant $\sim \alpha$