

Mathematical Institute

The Higgs bundle moduli space

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Oxford Mathematics





Think of the Hitchin fibration as a box of donuts, except that there are donuts attached not only to a grid of points in the base of the carton box, but to *all* points in the base. So we have infinitely many donuts – Homer Simpson would sure love that!

1977.... INSTANTONS

- connection on \mathbf{R}^4 , $A_i(x)$ $n \times n$ skew Hermitian
- covariant derivative

$$\nabla_i = \frac{\partial}{\partial x_i} + A_i$$

• curvature
$$F_{ij} = [\nabla_i, \nabla_j]$$

• equations

$$F_{12} + F_{34} = 0 = F_{13} + F_{42} = 0 = F_{14} + F_{23}$$

• anti-self-dual Yang-Mills F + *F = 0

• boundary conditions
$$\int_{\mathbf{R}^4} \|F\|^2 < \infty$$

• gauge equivalence
$$g: \mathbf{R}^4 \to U(n)$$
 $\nabla_i \mapsto g^{-1} \nabla_i g$

M.F.Atiyah, N.J.Hitchin, V.G.Drinfeld & Yu.I.Manin: *Construc tion of instantons*, Phys. Lett. A 65 (1978) 185-187.

1981 MONOPOLES

- \bullet connection on ${\rm R}^3$
- $A_i(x)$ + Higgs field ϕ , $n \times n$ skew Hermitian
- curvature $F_{ij} = [\nabla_i, \nabla_j]$
- equations

 $F_{12} + [\nabla_3, \phi] = 0 = F_{23} + [\nabla_1, \phi] = 0 = F_{31} + [\nabla_2, \phi]$

 ${\ensuremath{\,\bullet\,}}$ connection on ${\rm R}^3$

•
$$A_i(x)$$
 + Higgs field ϕ , $n \times n$ skew Hermitian

• curvature
$$F_{ij} = [\nabla_i, \nabla_j]$$

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• anti-self-dual Yang-Mills, invariant under x_4 -translation

•
$$\phi \sim A_4$$

• boundary conditions
$$\int_{\mathbf{R}^3} \|F\|^2 + \|\nabla\phi\|^2 < \infty$$

N.J.Hitchin *On the construction of monopoles*, Comm.Math.Phys. **83** (1982) 579–602.



TWO DIMENSIONS....

- \bullet connection on ${\rm R}^2$
- $A_i(x)$ + Higgs fields $\phi_1, \phi_2, n \times n$ skew Hermitian
- curvature $F_{ij} = [\nabla_i, \nabla_j]$
- equations

 $F_{12} + [\phi_1, \phi_2] = 0 = [\nabla_1, \phi_2] + [\nabla_2, \phi_1] = [\nabla_1, \phi_1] + [\nabla_2, \phi_2]$

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TWO- AND THREE-DIMENSIONAL INSTANTONS

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The four-dimensional Yang-Mills Lagrangian implies corresponding structures in lower dimensions. Instantons, characterized by a zero energy-momentum tensor as well as finite action, emerge as the solutions of coupled first order equations. For the Abelian case all such solutions are determined by the non-linear Poisson-Boltzmann equation.

term have acquired special values. One can obtain first order equations which imply the field equations, and these are deduced from eqs. (6):

$$F_{ij}^{a} = \pm e \,\epsilon_{ij} \epsilon^{a \, b \, c} \psi^{b} \phi^{c} ,$$

$$D_{i} \psi^{a} \pm \epsilon_{ij} D_{j} \phi^{a} = 0 . \qquad (11)$$

Unfortunately, in this case the model is not interesting because the action is always zero.

- $[\nabla_1 + i\nabla_2, \phi_1 + i\phi_2] = 0$ Cauchy-Riemann
- $z = x_1 + ix_2 \in \mathbf{C}$
- $\Phi = (\phi_1 + i\phi_2)d(x_1 + ix_2)$
- $F + [\Phi, \Phi^*] = 0$ conformally invariant

 \Rightarrow define on any Riemann surface

- C^{∞} Hermitian vector bundle E
- connection A+ Higgs field $\Phi \in \Omega^{1,0}(\operatorname{End} E)$
- Equations: $\bar{\partial}_A \Phi = 0$, $F_A + [\Phi, \Phi^*] = 0$
- moduli space \mathcal{M} = all solutions modulo C^{∞} unitary automorphisms of E

THE CASE OF U(1)

- E = line bundle L, End L trivial bundle
- Φ = holomorphic 1-form
- A = flat connection on L
- moduli space = $Jac(\Sigma) \times H^0(\Sigma, K) = T^* Jac(\Sigma)$

- $\bullet \ \mathcal{M}$ finite-dimensional
- non-compact
- hyperkähler metric

SOLUTIONS

HOLOMORPHIC VECTOR BUNDLES

- Riemann surface Σ
- + holomorphic vector bundle E
- + holomorphic section Φ of End $E \otimes K$

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Hermitian metric on $E \Rightarrow$ compatible connection ∇

.... find a metric such that $F_A + [\Phi, \Phi^*] = 0$



• NJH, *The self-duality equations on a Riemann surface*, Proc. London Math. Soc. (3) **55** (1987), 59–126.

(modelled on Donaldson's proof of Narasimhan-Seshadri)

• C.Simpson, *Higgs bundles and local systems*, Inst. Hautes Études Sci. Publ. Math. **75** (1992), 595.

(modelled on Uhlenbeck-Yau's theorem on Hermitian Yang-Mills)

- $V \subset E$ subbundle
- Φ -invariance = $\Phi(V) \subset V \otimes K \subset E \otimes K$
- **stable** = for each Φ -invariant subbundle V

$$\frac{\deg(V)}{\operatorname{rk} V} < \frac{\deg(E)}{\operatorname{rk} E}$$

FLAT VECTOR BUNDLES

- Riemann surface Σ
- flat connection ∇ on vector bundle E

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Hermitian metric on $E \Rightarrow \pi_1$ -equivariant map $f: \tilde{\Sigma} \to GL(n, \mathbb{C})/U(n)$

..... find an equivariant harmonic map f.

- ∇ irreducible (irreducible representation $\pi_1(\Sigma) \to GL(n, \mathbb{C})$) \Rightarrow there exists a unique solution
- S.K.Donaldson, *Twisted harmonic maps and the self-duality equations*, Proc. London Math. Soc. **55** (1987) 12713.
- K.Corlette, *Flat G-bundles with canonical metrics*, J. Differential Geom. **28** (1988), 361382.

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Equations $\nabla^{0,1}\Phi = 0$, $F_A + [\Phi, \Phi^*] = 0$

 $\Rightarrow \nabla_A + \Phi + \Phi^*$ is a flat connection

ANOTHER VIEWPOINT

- charge one SU(2)-instanton on ${f R}^4$
- SO(4)-invariant solution
- quaternion $\mathbf{x} = x_0 + \mathbf{i}x_1 + \mathbf{j}x_2 + \mathbf{k}x_3$
- connection

$$A = \operatorname{Im}\left(\frac{\mathbf{x}d\bar{\mathbf{x}}}{1+|\mathbf{x}|^2}\right)$$

• curvature

$$F_A = \left(\frac{d\mathbf{x} \wedge d\bar{\mathbf{x}}}{(1+|\mathbf{x}|^2)^2}\right)$$

- \bullet translate from centre 0 to \mathbf{a}_i
- $A_1 + \ldots + A_k$ approximate charge k solution if a_i far apart
- Taubes grafting \Rightarrow exact solution

- charge one SU(2)-monopole on ${f R}^3$
- SO(3)-invariant solution

•
$$\mathbf{x} = \mathbf{i}x_1 + \mathbf{j}x_2 + \mathbf{k}x_3$$

• connection
$$A = \left(\frac{1}{\sinh r} - \frac{1}{r}\right) \frac{1}{r} \mathbf{x} \times d\mathbf{x}$$

Higgs field
$$\phi = \left(\frac{1}{\tanh r} - \frac{1}{r}\right) \frac{1}{r} \mathbf{x}$$

- \bullet translate from centre 0 to \mathbf{a}_i
- $A_1 + \ldots + A_k$ approximate charge k solution if a_i far apart
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- A.Jaffe & C.Taubes, *Vortices and monopoles*, Birkhäuser, Boston (1980)

- SU(2) Higgs bundle equations on ${f R}^2$
- SO(2)-invariant?
- = anti-self-dual Yang-Mills on ${f R}^4$ invariant by ${f R}^2 imes {\it SO}(2)$

L.Mason & N.Woodhouse, *Self-duality and the Painlevé transcendents*, Nonlinearity **6** (1993), 569–581.

 P_{III} : Two translations and a rotation:

$$X = \partial_{\tilde{\tau}} \qquad Y = \xi \partial_{\xi} - \tilde{\xi} \partial_{\tilde{\xi}} \qquad Z = \partial_{\tau}.$$

The reduction by Y and X + Z gives the Ernst equation, which is known to have a further reduction to P_{III} (as well as to P_V): see the papers cited in [1, p 344].

• Ernst equation: stationary axisymmetric spacetimes

• Painlevé III:
$$\psi_{xx} = \frac{1}{2}e^{2x}\sinh 2\psi$$

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- R.Mazzeo, J.Swoboda, H.Weiss & F.Witt, *Ends of the moduli space of Higgs bundles,* arXiv 1405.5765v2
- D.Gaiotto, G.Moore, & A.Neitzke Wall crossing, Hitchin sys tems and the WKB approximation Ad in Math. 234 (2013) 239–403.

A SINGULAR SOLUTION

• connection

$$A = \frac{1}{8} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \left(\frac{dz}{z} - \frac{d\overline{z}}{\overline{z}} \right)$$

•
$$U(1)$$
-connection $F_A = dA = 0$

• Higgs field $\Phi,$ need $[\Phi,\Phi^*]=0$

$$\Phi = \begin{pmatrix} 0 & r^{1/2} \\ zr^{-1/2} & 0 \end{pmatrix} dz$$

normal using the constant Hermitian metric

A REGULAR SOLUTION

• connection
$$A_t = f_t(r) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \left(\frac{dz}{z} - \frac{d\overline{z}}{\overline{z}} \right)$$

• Higgs field
$$\Phi = \begin{pmatrix} 0 & r^{1/2}e^{h_t(r)} \\ e^{i\theta}r^{1/2}e^{-h_t(r)} & 0 \end{pmatrix} dz$$

•
$$h_t(r) = \psi(\log(8tr^{3/2}/3))$$
 $f_t(r) = \frac{1}{8} + \frac{1}{4}r\frac{dh_t}{dr}$

•
$$\psi$$
 special solution to Painlevé III

Thm (MSWW)

- (A_t, Φ_t) is smooth at the origin and converges exponentially in t, uniformly in $r > r_0$ to the singular solution.
- (A_t, Φ_t) satisfy the equations $\bar{\partial}_A \Phi_t = 0, F_{A_t} + t^2 [\Phi_t, \Phi_t^*] = 0$
- \Rightarrow ($A_t, t\Phi_t$) satisfies the Higgs bundle equations.

COMPACT RIEMANN SURFACE g>1

- \bullet compact Riemann surface Σ
- can't widely separate approximate solutions
- but...if (V, Φ) is stable, so is $(V, t\Phi)$ $t \neq 0$

(stability condition on Φ -invariant subbundles)

• \Rightarrow if (A, Φ) is a solution, then there is also a solution $(A_t, t\Phi)$

- study solutions $(A_t, t\Phi)$ as $t \to \infty$
- Note 1: $(A, e^{i\theta}\Phi)$ is a solution if (A, Φ) is

$$([e^{i\theta}\Phi, (e^{i\theta}\Phi)^*] = [\Phi, \Phi^*])$$

• Note 2: Consider $V = L \oplus L^*$

$$\Phi = \begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix} \qquad t\Phi = \begin{pmatrix} t^{1/2} & 0 \\ 0 & t^{-1/2} \end{pmatrix} \begin{pmatrix} 0 & a \\ 0 & 0 \end{pmatrix} \begin{pmatrix} t^{-1/2} & 0 \\ 0 & t^{1/2} \end{pmatrix}$$

defines same point in moduli space

• Higgs field
$$\Phi = \begin{pmatrix} 0 & r^{1/2}e^{h_t(r)} \\ e^{i\theta}r^{1/2}e^{-h_t(r)} & 0 \end{pmatrix} dz$$



- in general, for SU(2), det Φ is a holomorphic quadratic differential on the Riemann surface Σ
- invariant by gauge transformations $\Phi \mapsto g^{-1} \Phi g$
- \Rightarrow defined by equivalence class in the moduli space.

• quadratic differential = holomorphic section of K^2

• deg
$$K^2 = 4g - 4$$
, $g = genus$

• generically det Φ has 4g - 4 simple zeros.

• **Definition**: A limiting configuration for a Higgs bundle (A, Φ) where det Φ has simple zeros is $(A_{\infty}, \Phi_{\infty})$ satisfying

$$F_{A_{\infty}} = 0, \quad [\Phi_{\infty}, \Phi_{\infty}^*] = 0$$

and behaving like the model singular solution near each zero of det Φ .

$$\Phi = \begin{pmatrix} 0 & r^{1/2} \\ zr^{-1/2} & 0 \end{pmatrix} dz$$

• put
$$z = w^2$$
, det $\Phi = -zdz^2 = -(2w^2dw)^2$

- eigenvalues of $\Phi \pm 2w^2 dw$
- holomorphic 1-form $\alpha = 2w^2 dw$

•
$$\sigma(w) = -w$$
, $\sigma^* \alpha = -\alpha$

GLOBALLY...

- det $\Phi = -q$, q holomorphic section of K^2
- K defines a double covering S branched over the zeros of q
- S compact Riemann surface genus $g_S = 4g 3$

GLOBALLY...

- det $\Phi = -q$, q holomorphic section of K^2
- K defines a double covering S branched over the zeros of q
- S compact Riemann surface genus $g_S = 4g 3$
 - $x = \sqrt{q}$ defines a holomorphic 1-form α with double zeros on the ramification points
 - involution $\sigma:S\to S$ exchanges sheets, $\sigma^*\alpha=-\alpha$

- \bullet on S let L be a flat line bundle with $\sigma^*L \cong L^*$
- rank 2 vector bundle $E = L \oplus L^*$
- Higgs field $\Phi = (\alpha, -\alpha)$ satisfies equations with $[\Phi, \Phi^*] = 0$
- (E, Φ) is σ -invariant

- σ -invariant Higgs bundle on S =
- \bullet orbifold Higgs bundle on Σ
- parabolic Higgs bundle, singular connection

Thm (MSWW)

- Take a limiting configuration $(A_{\infty}, \Phi_{\infty})$.
- Then there exists a family $(A_t, t\Phi_t)$ of solutions to the Higgs bundle equations for large t where $(A_t, \Phi_t) \rightarrow (A_{\infty}, \Phi_{\infty})$
- If $(A_{\infty}, \Phi_{\infty})$ is associated to a solution (A_0, Φ_0) then (A_t, Φ_t) is gauge-equivalent to (A_0, Φ_0) .

Thm (MSWW)

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- If $(A_{\infty}, \Phi_{\infty})$ is associated to a solution (A_0, Φ_0) then (A_t, Φ_t) is gauge-equivalent to (A_0, Φ_0) .
- describes $[A_0, t\Phi_0]$ in moduli space as $t \to \infty$

GEOMETRY OF THE MODULI SPACE

- \mathcal{M} has a natural complete hyperkähler metric
- complex structures I, J, K
- Kähler forms $\omega_1, \omega_2, \omega_3$
- circle action fixing ω_1 , rotating ω_2, ω_3

HIGGS BUNDLES

- $\bullet~\Sigma$ compact Riemann surface
- V smooth vector bundle with Hermitian metric
- $\mathcal{A} = \text{infinite-dimensional affine space of } \bar{\partial}\text{-operators on } V$

$$\bar{\partial}_A : \Omega^0(V) \to \Omega^{0,1}(V) \qquad \bar{\partial}_A(fs) = f\bar{\partial}_A(s) + \bar{\partial}fs$$

• $\bar{\partial}_A - \bar{\partial}_B \in \Omega^{0,1}(\operatorname{End}(V))$

•
$$M = \mathcal{A} \times \Omega^{1,0}(\text{End}(V))$$

•
$$T_A M = \Omega^{0,1}(\operatorname{End}(V)) \oplus \Omega^{1,0}(\operatorname{End}(V))$$

• Hermitian form
$$\omega_1 \sim \int_{\Sigma} (\operatorname{tr} aa^* + \operatorname{tr} \phi \phi^*)$$

$$\omega_2 + i\omega_3 \sim \int_{\Sigma} \operatorname{tr} a\phi$$

• flat hyperkähler manifold

•
$$\mathfrak{g}^* = \{\omega \in \Omega^2(\operatorname{End}(V)), \omega^* = -\omega\}$$

•
$$\mathfrak{g} = \{\psi \in \Omega^0(\mathsf{End}(V)), \psi^* = -\psi\}$$

•
$$G = \text{group of } U(n)$$
 gauge transformations

• G = group of U(n) gauge transformations

•
$$\mathfrak{g} = \{\psi \in \Omega^0(\operatorname{End}(V)), \psi^* = -\psi\}$$

•
$$\mathfrak{g}^* = \{\omega \in \Omega^2(\operatorname{End}(V)), \omega^* = -\omega\}$$

- moment map $\mu(\bar{\partial}_A, \Phi) = (F_A + [\Phi, \Phi^*], \bar{\partial}_A \Phi)$
- F_A = curvature of Hermitian connection with $\nabla^{0,1} = \bar{\partial}_A$

•
$$\mu(\bar{\partial}_A, \Phi) = (F_A + [\Phi, \Phi^*], \bar{\partial}_A \Phi)$$

- hyperkähler quotient = $\mu^{-1}(0)/G$ = moduli space
- $\bar{\partial}_A \Phi = 0$ = holomorphic Higgs field $\Phi \in \text{End}(V) \otimes K$

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- $\bar{\partial}_A \Phi = 0$ = holomorphic Higgs field $\Phi \in \text{End}(V) \otimes K$
- $\nabla + \Phi + \Phi^*$ connection

$$F = [\nabla^{1,0} + \Phi, \nabla^{0,1} + \Phi^*] = 0$$

flat $GL(n, \mathbf{C})$ connection

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$$\mu(\bar{\partial}_A, \Phi) = (F_A + [\Phi, \Phi^*], \bar{\partial}_A \Phi)$$

- hyperkähler quotient = $\mu^{-1}(0)/G$ = moduli space
- $\bar{\partial}_A \Phi = 0$ = holomorphic Higgs field $\Phi \in \text{End}(V) \otimes K$ complex structure I
- $\nabla + \Phi + \Phi^*$ connection

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flat $GL(n, \mathbf{C})$ connection

complex structure J

R.Bielawski, Asymptotic behaviour of SU(2) monopole metrics, J. Reine Angew. Math. **468** (1995), 139–165.

(well separated monopoles \sim simple zeros of det Φ .)

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(higher rank groups)

R.Bielawski, *Monopoles and clusters.*, Comm. Math. Phys. **284** (2008), 675–712.

(clusters ~ multiple zeros of det Φ .)