

Operator valued Fourier transforms on nilpotent Lie groups

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Reference

- ▶ I. BELTIȚĂ, D. B., J. LUDWIG, **Fourier transforms of C^* -algebras of nilpotent Lie groups**. Preprint arXiv:1411.3254 [math.OA].

Plan

- ① Motivation: continuity properties of the Kirillov correspondence
- ② Operator-valued Fourier transforms: continuity of operator fields
- ③ Tools from C^* -algebra extension theory: Busby invariant, completely positive lifting
- ④ C^* -algebras of nilpotent Lie groups: stratifications of the dual, C^* -solvability
- ⑤ Application to Heisenberg groups

Motivation (1): Lie group representations

- Nilpotent Lie group $G = (\mathfrak{g}, \cdot)$: finite-dim. \mathbb{R} -linear space \mathfrak{g} with polynomial group law satisfying $(sx) \cdot (tx) = (s+t)x$ for $s, t \in \mathbb{R}$, $x \in \mathfrak{g}$
- $\widehat{G} :=$ unitary equivalence classes $[\pi]$ of unirreps $\pi: G \rightarrow U(\mathcal{H}_\pi)$
- Kirillov correspondence: $\kappa: \widehat{G} \xrightarrow{\sim} \mathfrak{g}^*/\text{Ad}_G^*$ (=the coadjoint G -orbits)

where $\text{Ad}_G^*: G \times \mathfrak{g}^* \rightarrow \mathfrak{g}^*$

Recall: $[\pi] \xleftrightarrow{\kappa} \mathcal{O} \iff (\forall \varphi \in \mathcal{C}_c^\infty(\mathfrak{g})) \quad \text{Tr } \pi(\varphi) = \int_{\mathcal{O}} \widehat{\varphi}$

Goal

continuity properties of the bijection κ

- Regular representation $\lambda: L^1(G) \rightarrow \mathcal{B}(L^2(G))$, $\lambda(f)\varphi = f * \varphi$
- $C^*(G) := \overline{\lambda(L^1(G))}^{\|\cdot\|} \subseteq \mathcal{B}(L^2(G)) \rightsquigarrow \widehat{G} \simeq \widehat{C^*(G)}$

Motivation (2): C^* -algebra representations

- C^* -alg. $\mathcal{A} \rightsquigarrow$ spectrum $\widehat{\mathcal{A}} := \{[\pi] \mid \pi: \mathcal{A} \rightarrow B(\mathcal{H}_\pi) \text{ irred. } * \text{-repres.}\}$
 \rightsquigarrow topology with open sets $\{[\pi] \in \widehat{\mathcal{A}} \mid \pi|_{\mathcal{J}} \neq 0\}$ for closed 2-sided ideals $\mathcal{J} \subseteq \mathcal{A}$
 - $\mathcal{A}_0 := \{a \in \mathcal{A} \mid \widehat{\mathcal{A}} \rightarrow [0, \infty), [\pi] \mapsto \text{Tr}(\pi(a)\pi(a)^*) \text{ well-def. \& cont}\}$
 - \mathcal{A} has continuous trace $\iff \overline{\mathcal{A}_0} = \mathcal{A}$
- $\Rightarrow \widehat{\mathcal{A}}$ is loc. comp. Hausdorff and $\pi(\mathcal{A}) = \mathcal{K}(\mathcal{H}_\pi)$ for all $[\pi] \in \widehat{\mathcal{A}} \setminus \{[0]\}$
- Example:** $\mathcal{A} = \mathcal{C}_0(\Gamma, \mathcal{K}(\mathcal{H}))$ with Γ loc. comp. Hausdorff
 $\Rightarrow \widehat{\mathcal{A}} \simeq \Gamma$ and \mathcal{A} has continuous trace

Theorem (N.V. Pedersen, 1984)

If G is a nilpotent Lie group then there exist closed 2-sided ideals of $C^*(G)$

$$\{0\} = \mathcal{J}_0 \subseteq \mathcal{J}_1 \subseteq \dots \subseteq \mathcal{J}_n = C^*(G)$$

with $\mathcal{J}_j/\mathcal{J}_{j-1}$ having continuous trace for $j = 1, \dots, n$

Question: Can we always arrange to have $\mathcal{J}_j/\mathcal{J}_{j-1} \simeq \mathcal{C}_0(\Gamma_j, \mathcal{K}(\mathcal{H}_j))$
 $j = 1, \dots, n$?

Operator valued Fourier transforms of a C^* -algebra \mathcal{A}

- let $\Gamma \subseteq \widehat{\mathcal{A}}$
 - select $\pi_\gamma: \mathcal{A} \rightarrow B(\mathcal{H}_\gamma)$ with $[\pi_\gamma] = \gamma$ for all $\gamma \in \Gamma$
- \leadsto *Fourier transform*

$$\mathcal{F}_\Gamma: \mathcal{A} \rightarrow \ell^\infty\left(\Gamma, \prod_{\gamma \in \Gamma} B(\mathcal{H}_\gamma)\right), \quad a \mapsto \{\pi_\gamma(a)\}_{\gamma \in \Gamma}$$

Problem: What is the range of \mathcal{F}_Γ , particularly for $\mathcal{A} = C^*(G)$ & $\Gamma = \widehat{\mathcal{A}}$?

Continuity of operator fields

- Γ Hausdorff
 - $(\forall \gamma \in \Gamma) \mathcal{H}_\gamma = \mathcal{H}$
 - total subset $\mathcal{V} \subseteq \mathcal{H}$, dense $*$ -subalg. $\mathcal{S} \subseteq \mathcal{A}$ satisfying
 1. $(\forall a \in \mathcal{S})(\forall v_1, v_2 \in \mathcal{V}) \quad \Gamma \rightarrow \mathbb{C}, \gamma \mapsto \langle \pi_\gamma(a)v_1, v_2 \rangle$ is continuous
 2. $(\forall a \in \mathcal{S}) \quad \Gamma \rightarrow \mathbb{C}, \gamma \mapsto \text{Tr} \pi_\gamma(a)$ is well-defined & continuous
- $\implies (\forall a \in \mathcal{A}) \quad \mathcal{F}_\Gamma(a) \in \mathcal{C}_b(\Gamma, \mathcal{K}(\mathcal{H}))$

Tools from C^* -algebra extension theory

- Extension of C^* -algebras: $0 \rightarrow \mathcal{J} \hookrightarrow \mathcal{A} \xrightarrow{q} \mathcal{B} \rightarrow 0$
classified by Busby's $*$ -morphism $\beta: \mathcal{B} \rightarrow M(\mathcal{J})/\mathcal{J}$

Example

$\mathcal{J} = \mathcal{C}_0(\Gamma, \mathcal{K}(\mathcal{H})) \Rightarrow M(\mathcal{J}) = \{\varphi: \Gamma \rightarrow \mathcal{B}(\mathcal{H}) \mid \varphi \text{ bounded strong}^*\text{-cont.}\}$

- If the points of $\Gamma = \widehat{\mathcal{J}}$ are closed separated in $\widehat{\mathcal{A}}$, then

$$\beta: \mathcal{B} \rightarrow \mathcal{C}_b(\Gamma, \mathcal{K}(\mathcal{H}))/\mathcal{C}_0(\Gamma, \mathcal{K}(\mathcal{H})) (\subseteq M(\mathcal{J})/\mathcal{J})$$

Choi-Effros completely positive lifting theorem

If \mathcal{B} nuclear separable, then there exists $\nu: \mathcal{B} \rightarrow \mathcal{C}_b(\Gamma, \mathcal{K}(\mathcal{H}))$ linear, completely positive, $\|\nu\| \leq 1$, satisfying

$$(\forall b \in \mathcal{B}) \quad \beta(b) - \nu(b) \in \mathcal{C}_0(\Gamma, \mathcal{K}(\mathcal{H})).$$

Also $\nu(b_1 b_2) - \nu(b_1)\nu(b_2) \in \mathcal{C}_0(\Gamma, \mathcal{K}(\mathcal{H}))$ for $b_1, b_2 \in \mathcal{B}$.

Examples: $C^*(G)$ is nuclear separable.

The class of nuclear separable C^* -algebras is closed under closed 2-sided ideals and quotients.

Boundary values of operator fields

- \mathcal{A} separable C^* -algebra
- open sets $\emptyset = V_0 \subseteq V_1 \subseteq \dots \subseteq V_n = \widehat{\mathcal{A}}$
- ideals $\{0\} = \mathcal{J}_0 \subseteq \mathcal{J}_1 \subseteq \dots \subseteq \mathcal{J}_n = \mathcal{A}$ with $\widehat{\mathcal{J}}_\ell = V_\ell$, satisfying
 - ① $\Gamma_\ell := V_\ell \setminus V_{\ell-1}$ is dense in $\widehat{\mathcal{A}} \setminus V_{\ell-1}$;
 - ② there exist a complex Hilbert space \mathcal{H}_ℓ and $\pi_\gamma: \mathcal{A} \rightarrow \mathcal{K}(\mathcal{H}_\ell)$ with $[\pi_\gamma] = \gamma$ for all $\gamma \in \Gamma_\ell$ such that for every $a \in \mathcal{A}$ the mapping $\Gamma_\ell \rightarrow \mathcal{K}(\mathcal{H}_\ell)$, $\gamma \mapsto \pi_\gamma(a)$ is **norm continuous**.

Define

$$\mathcal{L}_\ell := \{f: \widehat{\mathcal{A}} \setminus V_\ell \rightarrow \mathcal{K}(\mathcal{H}_{\ell+1}) \oplus \dots \oplus \mathcal{K}(\mathcal{H}_n) \mid f(\gamma) \in \mathcal{K}(\mathcal{H}_j) \text{ if } \gamma \in \Gamma_j\}$$

and $\mathcal{F}_{\mathcal{A}/\mathcal{J}_\ell}: \mathcal{A}/\mathcal{J}_\ell \rightarrow \mathcal{L}_\ell$, $(\mathcal{F}_{\mathcal{A}/\mathcal{J}_\ell}(a + \mathcal{J}_\ell))(\gamma) := \pi_\gamma(a)$, $\ell = 0, \dots, n$.

There exist linear maps $\nu_\ell: \mathcal{F}_{\mathcal{A}/\mathcal{J}_\ell}(\mathcal{A}/\mathcal{J}_\ell) \rightarrow \mathcal{C}_b(\Gamma_\ell, \mathcal{K}(\mathcal{H}_\ell))$, which are completely positive, completely isometric, almost $*$ -morphisms, with

$$\mathcal{F}_{\mathcal{A}}(\mathcal{A}) = \{f \in \mathcal{L}_0 \mid f|_{\Gamma_\ell} - \nu_\ell(f|_{\widehat{\mathcal{A}} \setminus V_\ell}) \in \mathcal{C}_0(\Gamma_\ell, \mathcal{K}(\mathcal{H}_\ell)), \ell = 1, \dots, n-1\}$$

C^* -algebras of nilpotent Lie groups are solvable (1)

G nilpotent Lie group $\implies C^*(G)$ has a special solving series.

That is, a finite series of ideals $\{0\} = \mathcal{I}_0 \subseteq \mathcal{I}_1 \subseteq \dots \subseteq \mathcal{I}_n = \mathcal{A} := C^*(G)$ with $\mathcal{I}_j/\mathcal{I}_{j-1} \simeq \mathcal{C}_0(\Gamma_j, \mathcal{K}(\mathcal{H}_j))$ for $j = 1, \dots, n$, and moreover

- 1 $\widehat{\mathcal{A}}$ is a topological \mathbb{R} -space, Γ_j are \mathbb{R} -subspaces, $\widehat{\mathcal{A}} = \Gamma_1 \sqcup \dots \sqcup \Gamma_n$
- 2 $\dim \mathcal{H}_n = 1$ and $\Gamma_n \simeq [\mathfrak{g}, \mathfrak{g}]^\perp$ as topological \mathbb{R} -spaces
- 3 $\dim \mathcal{H}_j = \infty$ if $j < n$, Γ_j is open dense, having closed and separated points in $\widehat{\mathcal{A}} \setminus \widehat{\mathcal{I}}_{j-1}$
- 4 $\Gamma_j \simeq$ semi-algebraic cone in a finite-dimensional vector space, which is a Zariski open set for $j = 1$, and its dimension is the *index of G* , denoted by $\text{ind } G$.
- 5 there exists a homogeneous function $\varphi_j: \widehat{\mathcal{A}} \rightarrow \mathbb{R}$ such that $\varphi_j|_{\Gamma_1}$ is a polynomial function and

$$\Gamma_j = \{\gamma \in \widehat{\mathcal{A}} \mid \varphi_j(\gamma) \neq 0 \text{ and } \varphi_i(\gamma) = 0 \text{ if } i < j\}.$$

C^* -algebras of nilpotent Lie groups are solvable (2)

A *topological \mathbb{R} -space* is a topological space X with a continuous map $\mathbb{R} \times X \rightarrow X$, $(t, x) \mapsto t \cdot x$, and with a distinguished point $x_0 \in X$ satisfying

- 1 $(\forall x \in X) \quad 0 \cdot x = x_0$
- 2 $(\forall t, s \in \mathbb{R})(\forall x \in X) \quad t \cdot (s \cdot x) = ts \cdot x$
- 3 For every $x \in X \setminus \{x_0\}$ the map $\mathbb{R} \rightarrow X$, $t \mapsto t \cdot x$ is a homeomorphism onto its image.

An *\mathbb{R} -subspace* is any $\Gamma \subseteq X$ with $\mathbb{R} \cdot \Gamma \subseteq \Gamma \cup \{x_0\}$, so $\Gamma \cup \{x_0\}$ is a topological \mathbb{R} -space.

- Examples:** 1. Finite-dimensional \mathbb{R} -linear spaces are topological \mathbb{R} -spaces.
2. $G = (\mathfrak{g}, \cdot) \rightsquigarrow \widehat{G} \simeq \widehat{C^*(G)} \simeq \mathfrak{g}^*/\text{Ad}_G^*$ topological \mathbb{R} -space via

$$t \cdot \mathcal{O}_\xi := \mathcal{O}_{t\xi}$$

where $\mathcal{O}_\xi = \text{Ad}_G^*(G)\xi$. The linear space $[\mathfrak{g}, \mathfrak{g}]^\perp$ (\simeq the singleton orbits) is an \mathbb{R} -subspace of $\mathfrak{g}^*/\text{Ad}_G^*$.

C^* -algebras of nilpotent Lie groups are solvable (3)

- nilpotent Lie group $G = (\mathfrak{g}, \cdot)$
- Jordan-Hölder sequence $\{0\} = \mathfrak{g}_0 \subseteq \cdots \subseteq \mathfrak{g}_m = \mathfrak{g}$
- duality pairing $\langle \cdot, \cdot \rangle: \mathfrak{g}^* \times \mathfrak{g} \rightarrow \mathbb{R}$
- coadjoint isotropy at $\xi \in \mathfrak{g}^*$: $\mathfrak{g}(\xi) := \{x \in \mathfrak{g} \mid \langle \xi, [x, \mathfrak{g}] \rangle = 0\}$
- jump set at $\xi \in \mathfrak{g}^*$: $J_\xi := \{j \in \{1, \dots, m\} \mid \mathfrak{g}_j \not\subseteq \mathfrak{g}(\xi) + \mathfrak{g}_{j-1}\}$
- \mathcal{E} the set of all subsets of $\{1, \dots, m\}$

Piecewise continuity of trace wrt the coarse stratification

Define $\Omega_e := \{\xi \in \mathfrak{g}^* \mid J_\xi = e\}$ for $e \in \mathcal{E}$.

Coarse stratification: $\mathfrak{g}^* = \bigsqcup_{e \in \mathcal{E}} \Omega_e$, finite partition into G -invariant sets

$$\leadsto \widehat{G} \simeq \mathfrak{g}^* / \text{Ad}_G^* = \bigsqcup_{e \in \mathcal{E}} \Xi_e \quad \text{where } \Xi_e := \Omega_e / \text{Ad}_G^*$$

For every $e \in \mathcal{E}$ one has:

- 1 The relative topology of $\Xi_e \subseteq \mathfrak{g}^* / \text{Ad}_G^*$ is Hausdorff.
- 2 For every $\varphi \in C_0^\infty(G)$ the function $\Xi_e \rightarrow \mathbb{C}$, $\mathcal{O} \mapsto \text{Tr}(\pi_{\mathcal{O}}(\varphi))$ is well defined and continuous, where $[\pi_{\mathcal{O}}] \longleftrightarrow \mathcal{O}$.

C^* -algebras of nilpotent Lie groups are solvable (4)

Piecewise continuity wrt the refined stratification

Define $J_\xi^k := \{j \in \{1, \dots, k\} \mid \mathfrak{g}_j \not\subseteq \mathfrak{g}_k(\xi|_{\mathfrak{g}_k}) + \mathfrak{g}_{j-1}\}$ for $k = 1, \dots, m$, $\xi \in \mathfrak{g}^*$, and

$$(\forall \varepsilon \in \mathcal{E}^m) \quad \Omega_\varepsilon := \{\xi \in \mathfrak{g}^* \mid (J_\xi^1, \dots, J_\xi^m) = \varepsilon\}.$$

Fine stratification: $\mathfrak{g}^* = \bigsqcup_{\varepsilon \in \mathcal{E}^m} \Omega_\varepsilon$ finite partition into G -invariant sets

$$\leadsto \boxed{\widehat{G} \simeq \mathfrak{g}^*/\text{Ad}_G^* = \bigsqcup_{\varepsilon \in \mathcal{E}^m} \Xi_\varepsilon} \quad \text{where } \Xi_\varepsilon := \Omega_\varepsilon/\text{Ad}_G^*$$

For $\varepsilon \in \mathcal{E}^m$ let $\Gamma_\varepsilon \subseteq \widehat{G}$ be the image of Ξ_ε through Kirillov's correspondence $\mathfrak{g}^*/\text{Ad}_G^* \simeq \widehat{G}$.

For $\varepsilon \in \mathcal{E}^m$ there exist a Hilbert space \mathcal{H}_ε & unirrep $\pi_\gamma: G \rightarrow \mathcal{B}(\mathcal{H}_\varepsilon)$ with $[\pi_\gamma] = \gamma$ for $\gamma \in \Gamma_\varepsilon$ such that the map $\Pi_a: \Gamma_\varepsilon \rightarrow \mathcal{B}(\mathcal{H}_\varepsilon)$, $\gamma \mapsto \pi_\gamma(a)$, is **norm continuous** for all $a \in C^*(G)$.

Prf. 1. Weak continuity for $a \in C_0^\infty(G)$ suffices since trace continuity holds on Γ_ε .

C^* -algebras of nilpotent Lie groups are solvable (5)

2. Models of representations via canonical coordinates on coadjoint orbits.

Let $2d = \dim \mathcal{O}$ for all $\mathcal{O} \in \Xi_\varepsilon$ and $\mathcal{H}_\varepsilon := L^2(\mathbb{R}^d)$.

(2a) Let $p_1, \dots, p_d, q_1, \dots, q_d$ be the coordinate functions on \mathbb{R}^{2d} . Then

$$\mathcal{E}^1(\mathbb{R}^{2d}) := \{\varphi \in C^\infty(\mathbb{R}^{2d}) \mid \varphi = a_{\varphi,0}(q) + \sum_{j=1}^d a_{\varphi,j}(q)p_j\}$$

is a Lie algebra wrt the Poisson bracket, and $Q: \mathcal{E}^1(\mathbb{R}^{2d}) \rightarrow \text{Diff}(\mathbb{R}^d)$,

$$Q(\varphi)f = \sum_{j=1}^d a_{\varphi,j} \partial_j f + \left(i a_{\varphi,0} + \frac{1}{2} \sum_{j=1}^d \partial_j a_{\varphi,j} \right) f$$

is a Lie algebra morphism into the skew-symmetric differential operators.

(2b) There exist a semi-alg. set T and a homeo. $\Psi: T \times \mathbb{R}^{2d} \rightarrow \Xi_\varepsilon$ with

- 1 for every $t \in T$, $\Psi_t := \Psi(t, \cdot)$ is a symplectomorphism from \mathbb{R}^{2d} onto a coadjoint orbit \mathcal{O}_t of G ;
- 2 $\psi^x := \langle \cdot, x \rangle$ on \mathcal{O}_t satisfies $\psi^x \circ \Psi_t \in \mathcal{E}^1(\mathbb{R}^{2d})$ for $t \in T$, $x \in \mathfrak{g}$.
- 3 For all $t \in T$, $\rho_t: \mathfrak{g} \rightarrow \text{Diff}(\mathbb{R}^d)$, $\rho_t(X) := Q(\psi^X \circ \Psi_t)$, is a Lie algebra morphism.
- 4 $f_1, f_2 \in C_0^\infty(\mathbb{R}^d) \Rightarrow T \times \mathfrak{g} \rightarrow \mathbb{C}$, $(t, x) \mapsto \langle \rho_t(x) f_1, f_2 \rangle$ is continuous.

Uniqueness of Heisenberg groups via solvable C^* -algebras

$G = (\mathfrak{g}, \cdot)$ nilpotent Lie group \Rightarrow Equivalent properties:

(1) $0 \rightarrow \mathcal{C}_0(\Gamma_1, \mathcal{K}(\mathcal{H})) \rightarrow C^*(G) \rightarrow \mathcal{C}_0([\mathfrak{g}, \mathfrak{g}]^\perp) \rightarrow 0$ exact sequence with

- ▶ Γ_1 dense open \mathbb{R} -subspace of \widehat{G} that is homeomorphic to $\mathbb{R} \setminus \{0\}$;
- ▶ \mathcal{H} separable infinite-dimensional complex Hilbert space.

(2) There exists $d \geq 1$ with $\dim[\mathfrak{g}, \mathfrak{g}]^\perp = 2d$ and $G \simeq \mathbb{H}_{2d+1}$.

Prf. (1) \Rightarrow (2)

• $\widehat{G} \simeq \mathfrak{g}^*/\text{Ad}_G^*$ via Kirillov's correspondence $\leadsto \mathfrak{g}^*/\text{Ad}_G^* = \Gamma_1 \sqcup [\mathfrak{g}, \mathfrak{g}]^\perp$

• $\mathcal{O}_\xi :=$ the coadjoint orbit of every $\xi \in \mathfrak{g}^*$

• G has infinite-dimensional unirreps $\Rightarrow G$ is non-commutative

$\Rightarrow (\exists \xi_1 \in \mathfrak{g}^*) \mathcal{O}_{\xi_1} \neq \{\xi_1\} \Rightarrow \mathfrak{g}^* = \bigsqcup_{t \in \mathbb{R} \setminus \{0\}} \mathcal{O}_{t\xi_1} \sqcup [\mathfrak{g}, \mathfrak{g}]^\perp$

$\Rightarrow (\exists x, y \in \mathfrak{g}) z := [x, y] \in \mathcal{Z}(\mathfrak{g}) \setminus \{0\}, \langle \xi_1, z \rangle \neq 0$

$\Rightarrow [\mathfrak{g}, \mathfrak{g}] = \mathbb{R}z \Rightarrow (\exists d \geq 1, k \geq 0) \mathfrak{g} = \mathfrak{h}_{2d+1} \times \mathbb{R}^k \Rightarrow \text{ind } \mathfrak{g} = k + 1$

• Γ_1 is a dense open subset of \widehat{G} that is homeomorphic to $\mathbb{R} \setminus \{0\}$

$\Rightarrow \text{ind } G = 1 \Rightarrow k = 0 \Rightarrow \mathfrak{g} = \mathfrak{h}_{2d+1}$