Complex submanifolds and holonomy

joint work with A.J. Di Scala and C. Olmos

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1 Main results

Main results

[–, Di Scala]

• computed the holonomy group Φ^{\perp} of the normal connection of complex symmetric submanifolds of $\mathbb{C}P^n$.

• as a by-product, given a new proof of the classification of complex symmetric submanifolds of $\mathbb{C}P^n$ by using a normal holonomy approach

Then, we prove Berger type theorems for Φ^{\perp} , namely,

[-, Di Scala, Olmos]

M full, irreducible and complete

- 1. for \mathbb{C}^n , Φ^{\perp} acts transitively on the unit sphere of the normal space;
- 2. for $\mathbb{C}P^n$, if Φ^{\perp} does not act transitively, then *M* is the complex orbit, in the complex projective space, of the isotropy representation of an irreducible Hermitian symmetric space of rank greater or equal to 3.

2 Submanifolds and Holonomy

2.1 Real submanifold geometry

Submanifolds of real space forms

 $M \hookrightarrow \mathbb{R}^n, S^n, \mathbb{R}H^n$ with induced metric \langle , \rangle and Levi-Civita connection ∇

vM: normal bundle of *M* with the normal connection ∇^{\perp}

 $v_0 M = maximal parallel and flat subbundle of vM$

Notation

 α second fundamental form

A shape operator

 R^{\perp} normal curvature tensor

recall $\langle \alpha(X,Y), \xi \rangle = \langle A_{\xi}X, Y \rangle$, which is symmetric in *X*, *Y*

Fundamental equations Gauss: $\langle R_{X,Y}Z,W \rangle = \langle \alpha(X,W), \alpha(Y,Z) \rangle - \langle \alpha(X,Z), \alpha(Y,W) \rangle$ Codazzi: $(\bar{\nabla}_X \alpha)(Y,Z)$ are symmetric in *X*, *Y*, *Z* Ricci: $\langle R_{X,Y}^{\perp}\xi,\eta \rangle = \langle [A_{\xi},A_{\eta}]X,Y \rangle$

Nullity: $\mathscr{N} = \bigcap_{\mathcal{E}} \ker A_{\mathcal{E}}$

2.2 Normal holonomy for submanifolds of real space forms

Normal holonomy for submanifolds of real space forms

(**Restricted**) Normal Holonomy $\Phi^{\perp} (\Phi^{\perp *})$: (restricted) holonomy of the normal connection on the normal bundle of a submanifold

Normal Holonomy Theorem [Olmos]

M submanifold of a space form \overline{M} . $\implies \Phi^{\perp *}$ (at some point *p*) is compact,

 $\Phi^{\perp *}$ acts (up to its fixed point set) as the isotropy representation of a Riemannian symmetric space (*s*-representation)

Consequences:

The Normal Holonomy Theorem is a very important tool for the study of submanifold geometry, especially in the context of *submanifolds with "simple extrinsic geometric invariants"*

e.g., isoparametric and homogeneous submanifolds

Distinguished class: **orbits of** *s***-representations** = flag manifolds

similar rôle as symmetric spaces in Riemannian geometry

Special cases

Symmetric submanifolds: characterizations

[Ferus, Strübing]

- parallel second fundamental form $(\nabla \alpha = 0)$ - distinguished orbits of *s*-repr. (symmetric R-spaces)

K compact Lie group

 $M = \operatorname{Ad}(K)X \cong K/K_X \hookrightarrow (\mathfrak{k}, -B(,))$

standard immersion of a cx flag manifold = cx orbit of s-repr

2.3 Complex submanifolds

Complex submanifolds

 $M \hookrightarrow \mathbb{C}^n, \mathbb{C}P^n, \mathbb{C}H^n$ complex submanifold

J: complex structure (both on M and on the ambient space)

$$\alpha(X,JY) = J\alpha(X,Y) \Longleftrightarrow A_{\xi}J = -JA_{\xi} = -A_{J\xi}$$

$$\implies [A_{\xi}, A_{J\eta}] = J[A_{\xi}, A_{\eta}] - 2JA_{\xi}A_{\eta}$$

for $\eta = \xi$, by the Ricci equation

$$\langle \mathbf{R}^{\perp}(X,Y)\boldsymbol{\xi},J\boldsymbol{\xi}\rangle = \langle -2JA_{\boldsymbol{\xi}}^{2}X,Y\rangle$$

Consequence: [Di Scala]

 $M \hookrightarrow \mathbb{C}^n$ is full (not contained in any proper affine hyperplane) $\iff v_0 M$ is trivial

[Indeed if ξ is a section of $v_0 M$, $R^{\perp}(X, Y)\xi = 0 \Longrightarrow A_{\xi} = 0 \Longrightarrow M$ not full]

2.4 Normal holonomy for submanifolds of complex space forms

Normal holonomy for complex (Kähler) submanifolds

• $M \hookrightarrow \mathbb{C}^n$

[Di Scala]: *M* is **irreducible** (up a totally geodesic factor) $\iff \Phi^{\perp}$ **acts irreducibly**.

(extrinsic analogue of the de Rham decomposition theorem)

• $M \hookrightarrow \mathbb{C}P^n, \mathbb{C}^n, \mathbb{C}H^n$

Theorem [Alekseevsky-Di Scala]

If Φ^{\perp} acts irreducibly on $v_p M \Longrightarrow \Phi^{\perp}$ is linear isomorphic to the holonomy group of an irreducible Hermitian symmetric space.

M full & $\mathcal{N} = \{0\} \Longrightarrow \Phi^{\perp}$ acts irreducibly

Homogeneous Kähler submanifolds

Calabi rigidity theorem of complex submanifolds $M \hookrightarrow \mathbb{C}P^N \Longrightarrow$ isometric and holomorphic immersions are equivariant: any intrinsic isometry can be extended to $\mathbb{C}P^N$.

Borel-Weil construction

G simple Lie group, d positive integer

 $\rho: G^{\mathbb{C}} \to \mathfrak{gl}(\mathbb{C}^{N_d+1})$ irreducible representation of $G^{\mathbb{C}}$ with highest weight $d\Lambda_j$ (Λ_j fundamental weight corresponding to the simple root α_j)

 \implies

Induces a unitary representation of G

 $M := G \cdot [p] \subset \mathbb{C}P^{N_d}$

with p highest weight vector corresponding to $d\Lambda_j$ \rightsquigarrow a full holomorphic embedding

$$f_d: M = G/K \hookrightarrow CP^{N_d}$$

d-th canonical embedding of *M*

Homogeneous Kähler submanifolds

M is the unique complex orbit of the action of *G* on $\mathbb{C}P^{N_d}$ (or equivalently, the unique compact orbit of the $G^{\mathbb{C}}$ -action)

The induced metric on $M \subset \mathbb{C}P^{N_d}$ is Kähler-Einstein.

Calabi rigidity \implies any f_d factors through the Veronese embeddings and the first canonical embedding f_1 ,

.e.,
$$f_d = \operatorname{Ver}_d \circ f_1$$

where $\operatorname{Ver}_d : \mathbb{C}P^{N_1} \to \mathbb{C}P^{N_d}$ is the Veronese embedding

$$[z_0:\dots:z_{N_1}]\mapsto \left[z_0^d:\dots:\sqrt{\frac{d!}{d_0!\dots d_{N_1}!}}z_0^{d_0}\dots z_{N_1}^{d_{N_1}}:\dots:z_{N_1}^d\right]$$

 $(d_0, \ldots, d_{N_1}$ range over all non-negative integers with $d_0 + \cdots + d_{N_1} = d$)

Symmetric complex submanifolds $M \subset \mathbb{C}P^n$

 $M \subset \mathbb{C}P^n$ symmetric $\iff \nabla \alpha = 0$

Symmetric complex submanifolds $M \subset \mathbb{C}P^n$ were classified by Nakagawa-Takagi

Arise as unique complex orbits in $\mathbb{C}P^n$ of the isotropy representation of an irreducible Hermitian symmetric space

[-, Di Scala]

• computed the holonomy group of the normal connection of complex symmetric submanifolds of the complex projective space.

• as a by-product, given a new proof of the classification of complex symmetric submanifolds by using a normal holonomy approach

Symmetric complex submanifolds $M \subset P(T_{[K]}G/K)$

[-, Di Scala]:

Idea of the proof

Use [Alekseevsky-Di Scala] to get

Lemma 1. M = G/K Hermitian symmetric space

 $M \hookrightarrow \mathbb{C}P^N$ full embedding with $\nabla \alpha = 0$ $\implies \exists$ an irreducible Hermitian symmetric space H/S such that $\Phi_p^{\perp} = S = K / I$ where $I \subset K$ is a normal subgroup, $\dim_{\mathbb{C}}(v_p(M)) = \dim_{\mathbb{C}}(H/S)$ and Φ_p^{\perp} acts on $v_p(M)$ as the isotropy repr. of S on $T_{[S]}(H/S)$.

 $[\]sim$ computation of the 3rd column in the Table

Hermitian symmetric space <i>G</i> / <i>K</i>	M as (unique) complex K-orbit	Normal holonomy	Remarks
$\frac{E_7}{T^1 \cdot E_6}$	$\frac{E_6}{T^1 \cdot Spin_{10}}$	$\frac{SO(12)}{T^1 \cdot SO(10)}$	
$\frac{E_6}{T^1 \cdot Spin_{10}}$	$\frac{SO(10)}{U(5)}$	$\frac{U(6)}{U(5)}$	
$\frac{Sp(n+1)}{U(n+1)}$	$\mathbb{C}P^n$	$\frac{Sp(n)}{U(n)}$	Veronese
$Gr_2^+(\mathbb{R}^{n+2}):=\frac{SO(n+2)}{T^1\cdot SO(n)}$	$Gr_2^+(\mathbb{R}^n)$	$rac{U(2)}{U(1)}$	Quadrics
$\frac{SO(2n)}{U(n)}$	$Gr_2(\mathbb{C}^n)$	$\frac{SO(2(n-2))}{U(n-2)}$	Plücker
$Gr_a(\mathbb{C}^{a+b}) := \frac{SU(a+b)}{S(U(a) \times U(b))}$	$\mathbb{C}P^{a-1} \times \mathbb{C}P^{b-1}$	$\frac{SU(a+b-2)}{S(U(a-1)\times U(b-1))}$	Segre
	has $\nabla \alpha = 0$ and all symmetric subm arise in this way	Hermitian symmetric space whose isotropy representation gives the normal hol. action	

Alternate proof of classif. of cx symmetric subm of $\mathbb{C}P^N$

A tool is

Theorem 2. Let $f_d: G/K \to \mathbb{C}P^{N_d}$ the *d*-th canonical embedding of G/K. If $\nabla \alpha = 0$ & f_d is not the Veronese embedding, f_d is the first canonical embedding f_1

 \implies look at 1st canonical embeddings only.

The following theorem gives a sharp description.

Theorem 3. If the first canonical embedding f_1 of an irreducible Hermitian symmetric space M of higher rank (≥ 1) has $\nabla \alpha = 0$.

 \implies rank (M) = 2.

Remark: list of images of the 1st can. embedding of an irred. Hermitian symm. space of rank two

list of the unique complex orbits of the isotropy action on the projective space $\mathbb{C}P(T_{[K]}G/K)$ (2nd column in the Table)

Higher canonical embedding and holonomy

Theorem [-, Di Scala]

Let $f_d : G/K \hookrightarrow \mathbb{C}P^{N_d}$ be the *d*-th canonical embedding of an irreducible Hermitian symmetric space. If $d \ge 2$ then the normal holonomy group is the full unitary group of the normal space (unless it is the Veronese embedding Ver₂)

Motivated by the above theorem we have the following

Question

=

 $\widetilde{M} \hookrightarrow \mathbb{C}P^N$ complete (connected) and full (i.e. not contained in a proper hyperplane) complex submanifold. is it true in general that if the normal holonomy group is not the full unitary group, then *M* has parallel second fundamental form?

The answer is **YES**

3 Geometry of parallel focal manifolds and holonomy tubes

A Berger type Theorem

[-, Di Scala, Olmos]

 $M \hookrightarrow \mathbb{C}^n, \mathbb{C}P^n$ full, irreducible and complete

- 1. for \mathbb{C}^n , Φ^{\perp} acts transitively on the unit sphere of the normal space; $\implies \Phi_p^{\perp} = U(\mathbf{v}_p M)$, since it acts as an *s*-representation
- 2. for $\mathbb{C}P^n$, if Φ^{\perp} does not act transitively, then *M* is the complex orbit, in the complex projective space, of the isotropy representation of an irreducible Hermitian symmetric space of rank greater or equal to 3.

 $(\implies$ it is extrinsic symmetric)

False if *M* is non complete (counterexamples)

The methods in the proofs rely heavily on the singular data of appropriate holonomy tubes (after lifting the submanifold to the complex Euclidean space, in the $\mathbb{C}P^n$ case) and basic facts of complex submanifolds.

Some geometry needed in the proof

Endpoint map



 $x + \xi(x)$ focal point in dir. of $\xi \iff \ker(\operatorname{id} - A_{\xi(x)})$ is non trivial

3.1 Parallel focal manifolds

Parallel focal manifolds

 ξ parallel normal field, im $(t_{\xi}) = M_{\xi} = \{x + \xi(x) \mid x \in M\}$

- if 1 is not an eigenvalue of A_{ξ} , parallel manifold
- if 1 is a constant eigenvalue of A_{ξ} , parallel focal manifold

 $T_x M = T_{x+\xi(x)} M_{\xi} \oplus \operatorname{ker}(\operatorname{id} - A_{\xi(x)})$ integrable



 \rightarrow one has a submersion (non riemannian, in general)

$$\pi: M \to M_{\xi}: x \mapsto x + \xi(x),$$

 $\pi^{-1}(p)$ isoparametric in $v_p M_{\xi}$ (by Olmos' Normal Holonomy Theorem)

3.2 Holonomy tubes

Holonomy tube

$$M_{\eta_p} = \{c(1) + \eta(1)\} = \{c(1) + \tau_c^{\perp}(\eta_p)\}$$

where $c: [0,1] \to M$ is an arbitrary curve starting at p and $\eta(t)$ is the ∇^{\perp} -parallel transport of η_p along c(t).

Proposition

 M_{η_p} has flat normal bundle (=: full holonomy tube) $\iff \Phi_{\pi(p)}^{\perp} \cdot (p - \pi(p))$ is maximal dimensional

 \mathscr{H}^{π} horizontal subspace of $\pi: N = M_{\eta_p} \to N_{\eta} = M$

tube formulae

$$A_{\xi_{x}}^{M} = A_{\xi_{x}}^{N} (\mathrm{id} - A_{\eta(x)}^{N})_{|\mathscr{H}_{x}^{\pi}}^{-1}, \quad \xi_{x} \in v_{x}N$$
$$A_{\xi_{x}}^{N} = A_{\xi_{x}}^{M} (\mathrm{id} - A_{-\eta(x)}^{M})_{|\mathscr{H}_{x}^{\pi}}^{-1}, \quad \xi_{x} \in v_{x}N$$
$$N = M_{x}$$



3.3 The canonical foliation

The canonical foliation



canonical foliation

def. : $x \underset{\xi}{\sim} y$ if \exists curve γ in M from x to y: $\dot{\gamma}(t) \perp E_0^{\xi}$, $\forall t$ $H^{\xi}(x) = \{y \in M : x \underset{\xi}{\sim} y\}$

the orthogonal distribution \tilde{v}^{ξ} to the foliation $H^{\xi}(p)$ is integrable. $\Sigma_{\xi}(x)$: leaf of \tilde{v}^{ξ} through x

Note: $\tilde{v}^{\xi} \subseteq \mathscr{N} = \cap \ker A_{\xi}$ (nullity) \Longrightarrow the foliation is indep. on $\xi \mid E_0^{\xi} \neq \{0\}$

locally
$$M = \bigcup_{x' \in \Sigma_{\xi}(x)} (H^{\xi}(x))_{x'-x}$$

The canonical foliation

 \mathscr{H} : the horizontal distribution in M (w. r. to $\pi: M \to N$) \Longrightarrow

Technical Lemma

Assume that
$$\exists$$
 parallel ξ, ξ' such that $\mathscr{H} \subset (\ker A^M_{\xi} + \ker A^M_{\xi'})$
 $\implies \forall x \in M, H^{\xi}(x) = H^{\xi'}(x)$ is an isoparametric submanifold.

(we are around a generic point s. t. $(\ker A_{\xi}^M + \ker A_{\xi'}^M)$ is a distribution of M)

Projecting down to N,
$$N = \bigcup_{y \in \pi(\Sigma_{\xi}(x))} (\pi(H^{\xi}(x)))_{y-\pi(x)}$$

Using Thorbergsson Theorem

Corollary of the Technical Lemma

 \exists compact group *K* of isometries of \mathbb{R}^n acting as an irred. *s*-representation s. t. (loc) $K \cdot \pi(x) = \pi(H^{\xi}(x))$, for all $x \in M$.

 \implies N is locally given, around a generic point q, as

$$N = \bigcup_{v \in (V_0(K \cdot q))_q} (K \cdot q)_v.$$

Moreover the nullity space of *N* at *p* is $\mathcal{N}_p^N = (v_0(K \cdot p))_p$.

Complex submanifold geometry 4

4.1 Complex submanifolds of \mathbb{C}^n

Complex submanifolds of \mathbb{C}^n

 $N \hookrightarrow \mathbb{C}^n$ full, irreducible complex submanifold for which Φ^{\perp} does not act transitively on the unit sphere of the normal space

Choose $\xi_q^1 \in v_q N \mid \Phi_q^{\perp} \cdot [\xi_q^1] \in \mathbb{C}P(v_q N)$ (unique) complex orbit

 $\implies (\xi_q^1)^{\perp} \cap v_{\xi_q^1} \Phi_q^{\perp} \cdot \xi_q^1 \text{ cx subsp. } (\textit{non trivial by non-transitivity!})$

Now choose $0 \neq \xi_q^2 \in (\xi_q^1)^{\perp} \cap v_{\xi_q^1} \Phi_q^{\perp} . \xi_q^1$ Since $R_{X,Y}^{\perp} \in L(\Phi_q^{\perp}), 0 = \langle R_{X,Y}^{\perp} \xi_q^1, \xi_q^2 \rangle \underset{\text{Ricci}}{=} \langle [A_{\xi_q^1}^N, A_{\xi_q^2}^N] X, Y \rangle.$ The same is true if we replace ξ_q^2 by $J\xi_q^2 \Longrightarrow [A_{\xi_q^1}^N, A_{J\xi_q^2}^N] = 0.$ $A^N_{\xi^1_q}A^N_{\xi^2_q}=A^N_{\xi^2_q}A^N_{\xi^1_q}=0$

By complex geometry

Take the holonomy tube $M := (N_{\xi_q^1})_{\xi_q^2} = N_{\xi_q^1 + \xi_q^2}$

 $\xi_q^1, \xi_q^2 \rightsquigarrow \text{parallel v. f. } \xi, \xi' \text{ on } M$

 $\text{Tube formula} \Longrightarrow A^M_{\xi} A^M_{\xi'|\mathscr{H}} = 0 \Longrightarrow \mathscr{H} \subset (\text{ker} A^M_{\xi} + \text{ker} A^M_{\xi'})$ \implies Technical Lemma and its corollary apply

Complex submanifolds of \mathbb{C}^n

 $\implies \exists$ compact group K of isometries of \mathbb{C}^n , which acts as the isotropy representation of an irreducible Hermitian symmetric space such that

$$N = \bigcup_{\text{locally } v \in (v_0(K \cdot q))_q} (K \cdot q)_v$$

Moreover $\mathcal{N}_p^N = (v_0(K.p))_p$.

Proof of the Berger-type Theorem for submanifolds of \mathbb{C}^n

We assume that 0 is the fixed point of K.

N is complete \implies if $p \in N$, the line $\{t \mapsto tp\} \subset N$

 $\forall t, T_{tp}N = T_pN$, as subspaces of $\mathbb{C}^n \Longrightarrow$ the isotropy K_{tp} must leave this subspace invariant.

A contradiction for t = 0, since K acts irreducibly. Thus the normal holonomy group must be transitive.

4.2 Complex submanifolds of $\mathbb{C}P^n$

Complex submanifolds of $\mathbb{C}P^n$

Let $M \hookrightarrow \mathbb{C}P^n$ be a full complex submanifold.

Consider $\pi : \mathbb{C}^{n+1} \setminus \{0\} \to \mathbb{C}P^n$

 \widetilde{M} : lift *M* to $\mathbb{C}^{n+1} \setminus \{0\}$, i.e. $\widetilde{M} := \pi^{-1}(M)$

 \mathscr{V} : vertical distribution of the submersion $\pi: \widetilde{M} \to M$.

It is standard to show that $\mathscr{V} \subset \mathscr{N}^M$.

If *X* is a tang. vector to *M* we let \widetilde{X} be its horiz. lift to $\mathbb{C}^{n+1} \setminus \{0\}$. $\pi: \mathbb{C}^{n+1} \setminus \{0\} \to \mathbb{C}P^n$ is not a Riemannian submersion. Anyway,

O'Neill's type formula

Let $\widetilde{X}, \widetilde{Y} \in \Gamma(\mathbb{C}^{n+1} \setminus \{0\})$ be the horizontal lift of the vector fields $X, Y \in \Gamma(\mathbb{C}P^n)$. Then,

$$(D_{\widetilde{X}}\widetilde{Y})_{\widetilde{p}} = (\nabla_X^{FS}Y)_{\widetilde{p}} + \mathscr{O}(\widetilde{X},\widetilde{Y})$$

where $\mathscr{O}(\widetilde{X}, \widetilde{Y}) \in \mathscr{V}_{\widetilde{p}}$ is vertical.

Complex submanifolds of $\mathbb{C}P^n$

Lemma 1

 $M \subset \mathbb{C}P^n$, $\widetilde{M} \subset \mathbb{C}^{n+1}$ be its lift to \mathbb{C}^{n+1} .

Assume that the tangent vector $\tilde{v}_{\tilde{p}} \in T_{\tilde{p}} \tilde{M}$ is not a complex multiple of the position vector \tilde{p} . If $\widetilde{v}_{\widetilde{p}} \in \mathcal{N}^{\widetilde{M}} \Longrightarrow v_p \in \mathcal{N}^M$.

Lemma 2

Assume that $M \subset \mathbb{C}P^n$ is full and $\Phi^{\perp M}$ does not act transitively on $v_p(M)$. $\Longrightarrow \Phi^{\perp \widetilde{M}}$ does not act transitively on $v_{\widetilde{p}}(\widetilde{M})$, where $\pi(\widetilde{p}) = p$.

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Important fact (special case of a Theorem in [Abe-Magid])
Let M \subset \mathbb{C}P^n complete full with \Phi^{\perp} not transitive
\implies \mathscr{N}^M = \{0\}
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Proof of the Berger-type Theorem for submanifolds of $\mathbb{C}P^n$

 $N = \widetilde{M} \subset \mathbb{C}^{n+1} \Longrightarrow \widetilde{M} = \bigcup_{\nu \in (\nu_0(K \cdot q))_q} (K \cdot q)_{\nu}$ (*K* is the isotropy group of a irreducible Hermitian symmetric space)

Observe also that $v_0(K \cdot q)_q$ is a complex subspace $(=\mathcal{N}^N)$)

Then Lemma 1 and special case of Abe-Magid $\Longrightarrow \dim_{\mathbb{C}}(v_0(K \cdot q)_q) = 1$, otherwise the nullity of the second fundamental form of *M* would be not trivial.

Since \widetilde{M} is full \Longrightarrow the unique fixed point of *K* is $0 \in \mathbb{C}^{n+1}$.

So the leaves of the nullity distribution $\mathcal{N}^{\widetilde{M}}$ are just the complex lines given by the fibers of the submersion $\pi: \widetilde{M} \to M$.

Thus, *K* acts transitively on the complex submanifold $M \subset \mathbb{C}P^n$.

Therefore, M is a complex orbit of the projectivization of an irreducible Hermitian s-representation.

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