

On Static Solutions of the Einstein-Vlasov System with Charged Particles

March 21^{st} , 2018

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1 Introduction – Vlasov Matter



1.1 Vlasov matter: a model for many astrophysical phenomena

Assumptions of Vlasov matter: ensemble of collisonless particles (collisionless Boltzmann equation)

- Mass distribution of globular clusters (King's model, cf. e.g. Heggie, Giersz 2007)
- (disc) galaxies: understanding e.g. velocity curves (Andréasson, Rein 2015)
- Simulation of collisions: Milkyway \leftrightarrow Andromeda
- Matter accretion of black holes (e.g. Rioseco, Sarbach 2016)
- Gravitational collapse (e.g. Andréasson 2010)







1.2 Vlasov matter – with Newtonian gravity

- Matter described by a particle distribution function $f = f(t, \vec{x}, \vec{v})$ defined on *phase space*.

$$\begin{cases} \Delta \phi(t, \vec{x}) = 4\pi \int_{\mathbb{R}^3} f(t, \vec{x}, \vec{v}) \mathrm{d}v^1 \mathrm{d}v^2 \mathrm{d}v^3 \\ \partial_t f(t, \vec{x}, \vec{v}) + \vec{v} \cdot \nabla_{\vec{x}} f(t, \vec{x}, \vec{v}) - \nabla_{\vec{x}} \phi(t, \vec{x}) \cdot \nabla_{\vec{v}} f(t, \vec{x}, \vec{v}) = 0 \end{cases}$$

• Characteristic system:

$$\dot{\vec{x}}(t) = \vec{v}(t), \qquad \dot{\vec{v}}(t) = -\nabla\phi(t, \vec{x}).$$



1.3 Vlasov matter – with Einstein gravity

- Relevant in the realm of concentrated matter or high particle velocities
- Vlasov-Poisson: global existence of time evolution (Lions, Perthame 1991, Pfaffelmose, Schaeffer 1991/2004)
- Einstein-Vlasov system: different behavior in certain situations
- Let (\mathcal{M}, g) be a 4-dim Lorentzian manifold. Einstein-Vlasov system:

$$G_{\mu\nu}[g] = 4\pi T_{\mu\nu}[f]$$

$$T^{\mu\nu}[f] = \int_{\mathbb{R}^3} f(t, \vec{x}, \vec{p}) p^{\mu} p^{\nu} \frac{\sqrt{|\det g|}}{-p_0} dp^1 dp^2 dp^3$$

$$p^0 \partial_t f(t, \vec{x}, \vec{p}) + p^i \partial_{x^i} f(t, \vec{x}, \vec{p}) - \Gamma^i_{\mu\nu} p^{\mu} p^{\nu} f(t, \vec{x}, \vec{p}) = 0$$

Christoffel symbol: $\Gamma^{\alpha}_{\beta\gamma} = \frac{1}{2}g^{\alpha\delta} (\partial_{\beta}g_{\gamma\delta} + \partial_{\gamma}g_{\delta\beta} - \partial_{\delta}g_{\beta\gamma}).$ Einstein-Tensor: $G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}$ Ricci tensor: $R_{\mu\nu}$, Ricci scalar: R.



1.4 Particle trajectories

- Particles move on geodesics
- Characteristic system

$$\dot{X}^{\mu}(s) = P^{\mu}(s),$$

$$\dot{P}^{\mu}(s) = -\Gamma^{\mu}_{\alpha\beta}P^{\alpha}P^{\beta}$$

- Curves $(X^{\mu}(s), P^{\nu}(s))$ in $T\mathcal{M}$
- particles' rest mass: $m^2 := -g_{\mu\nu}p^{\mu}p^{\nu} \rightarrow \text{conserved}$
- Curves stay in the mass shell

$$\mathscr{P}_m = \{ (x^{\mu}, p^{\mu}) \in T\mathscr{M} : g_{\mu\nu} p^{\mu} p^{\nu} = -m^2, \, p \, \text{future directed} \}$$

• Massshell condition yields relation for $p^0 \to f = f(t, \vec{x}, \vec{p})$.



2 Concepts in the Analysis of Speherically Symmetric Steady States



2.1 Static solutions in spherical symmetry

Spherically symmetric + static space-time $\Rightarrow \exists$ coordinates such that

$$g = -e^{2\mu(r)}\mathrm{d}t^2 + e^{2\lambda(r)}\mathrm{d}r^2 + r^2\mathrm{d}\vartheta^2 + r^2\sin^2(\vartheta)\mathrm{d}\varphi^2.$$

A solution on $\mathbb{R}_t \times \mathbb{R}^3_x$ is characterized by

- Metric functions $\mu(r), \lambda(r)$
- Matter quantities

$$\varrho(r) := T_{00}(r)e^{-2\mu(r)}, \quad p(r) := T_{11}(r)e^{-2\lambda(r)}, \quad p_T(r) = g_{ij}T^{ij} - p(r).$$

Important results:

- G. Rein, A. Rendall (1992, 1993, 1999: existence, finite support),
- T. Ramming, G. Rein (2007: on finite support),
- H. Andréasson, M. Kunze, G. Rein (2014: axially symmetric solutions)



2.2 Illustration: A multishell solution

An anisotropic particle distribution forming a multishell.



The matter is arranged in shells separated by vacuum.

2.3 The Buchdahl inequality - a feature in Einstein gravity

• Hawking mass:

$$m(r) = 4\pi \int_0^r s^2 \varrho(s) \mathrm{d}s.$$

• Buchdahl inequality

$$\Gamma := \sup_{r \in (0,\infty)} \frac{2m(r)}{r} \le \frac{8}{9}.$$

- Originally for stars where $\varrho'(r) \leq 0$, isotropic pressure (Buchdahl 1959)
- Generalized to a broad class of matter models: $\rho(r) \ge p(r) + 2p_T(r)$ (Andréasson 2006)
- Rules out an *adiabatic black hole transition*.

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2.4 The "thin shell limit"

- Introduced by H. Andréasson in 2007 to prove that the Buchdahl inequality $\Gamma \leq \frac{8}{9}$ is sharp.
- Sequence $\{f_n, g_n, \mathbb{R}_t \times \mathbb{R}^3_x\}_{n \in \mathbb{N}}$ of static, spherically symmetric solutions; the matter quantities are supported on $[R_{1,n}, R_{2,n}]$.
- This sequence then converges to the *thin shell limit* if

$$\frac{R_{1,n}}{R_{2,n}} \to 1$$
, as $n \to \infty$.

- Properties of the thin shell limit
 - The inequality $\Gamma \leq \frac{8}{9}$ becomes sharp
 - the energy condition $\rho \ge p + 2p_T$ becomes sharp
 - the transversal pressure p_T dominates the radial pressure p
- Important for: Buchdahl-type inequalities, massless particles, stability questions (?)



2.5 Geodesics in a shell





2.6 Geodesics in a thin shell



The behavior is very different from the "Einstein cluster", which has $\frac{2M}{R} \leq \frac{2}{3}$.



3 Charged Particles



3.1 Charge - a poor man's angular momentum

- With angular momentum: Stationary solutions with ergoregions observed (Andréasson, Ames, Logg 2016)
- In the charged case: Einstein-Vlasov-Maxwell system \rightarrow solution characterized by $(\mu, \lambda, q, \varrho, p, p_T)$ – a Buchdahl-like inequality holds (Andréasson 2007)
- Coordinate singularity if: $\frac{q(r)}{r} \rightarrow 1$ & Buchdahl inequality saturated
- Saturation observed in two scenarios: (Andréasson, Eklund, Rein 2009)





3.2 The Einstein-Vlasov-Maxwell system

A solution of the EVM-system for particles with mass $m_0 \ge 0$ and charge $q_0 \ge 0$ is a 4-dim. Lorentzian manifold (\mathcal{M}, g) , a particle distribution function $f \in C(\mathscr{P}_{m_0}; \mathbb{R}_+)$, and an electro-magnetic field tensor $F \in \Lambda^2(T\mathcal{M})$ such that the EVM-system,

$$\begin{aligned} R_{\mu\nu}[g] - \frac{1}{2}R[g]g_{\mu\nu} &= 8\pi \left(T_{\mu\nu}[f] + \tau_{\mu\nu}[F]\right), \\ T_{\mu\nu}[f] &= g_{\mu\alpha}g_{\nu\beta}\int_{\mathscr{P}_x} f(x,p)p^{\alpha}p^{\beta}\mu_{\mathscr{P}_x}, \\ \tau_{\mu\nu}[F] &= \frac{1}{4\pi} \left(-\frac{1}{4}g_{\mu\nu}F_{\alpha\beta}F^{\alpha\beta} + F_{\nu\alpha}F_{\mu}^{\ \alpha}\right), \\ \left(v^{\mu}\partial_{x^{\mu}} + \left(q_0F^i_{\ \nu}p^{\nu} - \Gamma^i_{\alpha\beta}p^{\alpha}p^{\beta}\right)\partial_{v^i}\right)f = 0, \\ \mathrm{d}F &= 0, \\ \nabla_{\alpha}F^{\alpha\beta} &= -4\pi q_0 \int_{\mathscr{P}_x} f(x,p)p^{\beta}\mu_{\mathscr{P}_x} \end{aligned}$$

is satisfied.



3.3 The reduced static system in spherical symmetry

• Simplifications due to *staticity* and *spherical symmetry*

$$g = -e^{2\mu(r)} dt^2 + e^{2\lambda(r)} dr^2 + r^2 d\vartheta^2 + r^2 \sin^2 \vartheta d\varphi^2,$$

$$f(t, x, p) = f(r, w, L),$$

$$F(t, x) = F(r).$$

- "Elimination" of the Vlasov equation with the *method of characteristics*
 - Recall: f solves the Vlasov equation iff. $\frac{\mathrm{d}}{\mathrm{d}s}f(X^{\mu}(s),P^{\nu}(s))=0$
 - Identify conserved quantities E, L
 - Construct a solution of the Vlasov equation $f = \Phi(E, L)$
 - Matter quantities become explicit functions of r, μ, λ, \ldots , like e.g.

$$\varrho(r) = g_{\Phi}(r, \mu(r), \dots).$$



3.4 The system in spherical symmetry

The system reduces to a system of three coupled ordinary integro-differential equations.

An asympt. flat solution of the EVM-system is a triple $(\mu, \lambda, q) \in (C^1([0, \infty)))^3$ such that

$$\begin{split} e^{-2\lambda(r)} &= 1 - \frac{8\pi}{r} \int_0^r s^2 g_{\Phi}(s,\mu(s),I_q(s)) \mathrm{d}s - \frac{1}{r} \int_0^r \frac{q^2(s)}{s^2} \mathrm{d}s, \\ \mu'(r) &= e^{2\lambda(r)} \Biggl(4\pi r h_{\Phi}(r,\mu(r),I_q(r)) + \frac{4\pi}{r^2} \int_0^r s^2 g_{\Phi}(s,\mu(s),I_q(s)) \mathrm{d}s - \frac{q^2(r)}{2r^3} + \frac{1}{2r^2} \int_0^r \frac{q^2(s)}{s^2} \mathrm{d}s \Biggr), \\ q'(r) &= 4\pi r^2 q_0 e^{\lambda(r)} k_{\Phi}(r,\mu(r),I_q(r)), \end{split}$$

and

$$\mu(0) = \mu_c$$
 and $\lambda(0) = q(0) = \lim_{r \to \infty} \mu(r) = \lim_{r \to \infty} \lambda(r) = 0.$



3.5 Existence of solutions

Theorem. Let $(\mu_0, \lambda_0)_{\mu_c}$ be a uncharged background solution corresponding to the central value $\mu_c < 0$ with matter quantities supported on $[0, R_{\text{vac}}]$. Let $\Delta > 0$, such that $(\mu_0, \lambda_0)_{\mu_c}$ has a vacuum region on $[R_{\text{vac}}, R_{\text{vac}} + \Delta]$. Then, if q_0 is chosen sufficiently small there exists a spherically symmetric, asymptotically flat, static solution $(\mu, \lambda, q)_{\mu_c}$ of the Einstein-Vlasov-Maxwell system whose matter quantities are supported on $[0, R_{\text{vac}} + \Delta]$.



3.6 Perturbation method

- 1. Local existence: Picard iteration. $\Psi^{\mu_c} := (\mu, \lambda, q)_{\mu_c}$ exists on the interval $[0, \delta]$.
- 2. Continuation criterion: Assume the solution exists on $[0, R_c)$. If

$$e^{2\lambda(r)} = \frac{1}{1 - \frac{2m_{\lambda}(r)}{r}} \le C < \infty$$

for all $r \in [0, R_c)$, then $\exists \delta > 0$ s.t. solution exists on $[0, R_c + \delta)$.

- 3. Consider a background solution $\Psi_0^{\mu_c}$ with vacuum region $[R_{\text{vac}}, \infty)$.
- 4. Bootstrap assumption: Let R > 0 be s.t. for some d > 0

$$|\Psi^{\mu_c}(r) - \Psi^{\mu_c}_0(r)| \le d$$
 for all $r \in [0, T]$.

5. Improve with Grönwall inequality, provided q_0 is small. Derive

$$\|\Psi^{\mu_c} - \Psi^{\mu_c}_0\|_{\infty} \le d \quad \text{on} \quad [0, R_{\text{vac}} + \Delta],$$

for some $\Delta > 0$. Deduce that Ψ^{μ_c} has a vacuum region on $[R_{\text{vac}} + \Delta, \infty)$ due to closeness to $\Psi_0^{\mu_c}$.



3.7 Thin shells

Theorem. Let $q_0 > 0$ be arbitrary and let $\hat{\mu}_c < 0$ be chosen such that $-\hat{\mu}_c$ is sufficiently large. Then for all $\mu_c \leq \hat{\mu}_c$ there exists a solution $(\mu, \lambda, q)_{\mu_c}$ of the Einstein-Vlasov-Maxwell system with the same particle charge q_0 . The matter quantities of these solutions are supported on $[R_1(\mu_c), R_2(\mu_c)]$ and we have

$$\lim_{\mu_c \to -\infty} \frac{R_2}{R_1} = 1$$

Furthermore we have

$$\lim_{\mu_c \to -\infty} \frac{q(r)}{r} = 0$$



3.8 Constraints on the particles' support

• The particle energy is given by

$$E = e^{\mu(r)} \sqrt{m_0^2 + w^2 + \frac{L}{r^2}} - I_q(r).$$

- Assuming an ansatz Φ such that $\Phi(E) = 0$, for $E \ge E_0$ implies constraints on the support.
- Analyze the *characteristic function*

$$\gamma(r) = \ln(E_0 + I_q(r)) - \mu(r) - \frac{1}{2}\ln\left(m_0^2 + \frac{L_0^2}{r^2}\right).$$

• For small r there is a close connection to the matter quantities:

$$\varrho \approx C \frac{\gamma^{\kappa}}{r^4}, \quad p \approx C \frac{\gamma^{\kappa+1}}{r^4}, \quad \varrho_q \approx C q_0 e^{\lambda(r)} \frac{\gamma^{\kappa}}{r^3}.$$

- Choose central data μ_c such that R_1 small $\Rightarrow \exists$ radius r^* such that $2m(r^*)/r^* > 0.8$.
- γ has a zero after r^* , a vacuum exterior can be glued to the matter region.



3.9 Outlook and conclusion

Achieved results

- Static solutions, with particles of small charge parameter, close to chargeless solutions
- For arbitrary particle charges: existence of a sequence of charged solutions approaching the *thin shell limit*, saturating the Buchdahl inequality

Open questions

- New classes of saturating solutions of the EVM-system with Q = M = R
- (In)Stability of thin shell solutions